

# Lecture Notes 5

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## 1.13 Osculating Circle and Radius of Curvature

Recall that in a previous section we defined the osculating circle of a planar curve  $\alpha: I \rightarrow \mathbf{R}^2$  at a point  $a$  of nonvanishing curvature  $t \in I$  as the circle with radius  $r(t)$  and center

$$\alpha(t) + r(t)N(t)$$

where

$$r(t) := \frac{1}{\kappa(t)}$$

is called the *radius of curvature* of  $\alpha$ . If we had a way to define the osculating circle independently of curvature, then we could define curvature simply as the reciprocal of the radius of the osculating circle, and thus obtain a more geometric definition for curvature.

**Exercise 1.** Let  $r(s, t)$  be the radius of the circle which is tangent to  $\alpha$  at  $\alpha(t)$  and is also passing through  $\alpha(s)$ . Show that

$$\kappa(t) = \lim_{s \rightarrow t} \frac{1}{r(s, t)}.$$

To do the above exercise first recall that, as we showed in the previous lecture, curvature is invariant under rigid motions. Thus, after a rigid motion, we may assume that  $\alpha(t) = (0, 0)$  and  $\alpha'(t)$  is parallel to the  $x$ -axis. Then, we may assume that  $\alpha(t) = (t, f(t))$ , for some function  $f: \mathbf{R} \rightarrow \mathbf{R}$  with  $f(0) = 0$  and  $f'(0) = 0$ . Further, recall that

$$\kappa(t) = \frac{|f''(t)|}{(1 + f'(t)^2)^{3/2}}.$$

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Thus

$$\kappa(0) = |f''(0)|.$$

Next note that the center of the circle which is tangent to  $\alpha$  at  $(0, 0)$  must lie on the  $y$ -axis at some point  $(0, r)$ , and for this circle to also pass through the point  $(s, f(s))$  we must have:

$$r^2 = s^2 + (r - f(s))^2.$$

Solving the above equation for  $r$  and taking the limit as  $s \rightarrow 0$ , using L'Hopital's rule, we obtain

$$\lim_{s \rightarrow 0} \frac{2|f(s)|}{f^2(s) + s^2} = |f''(0)| = \kappa(0),$$

which is the desired result.

**Note 2.** The above limit can be used to define a notion of curvature for curves that are not twice differentiable. In this case, we may define the *upper curvature* and *lower curvature* respectively as the upper and lower limit of

$$\frac{2|f(s)|}{f^2(s) + s^2}.$$

as  $s \rightarrow 0$ . We may even distinguish between right handed and left handed upper or lower curvature, by taking the right handed or left handed limits respectively.

**Exercise\* 3.** Let  $\alpha: I \rightarrow \mathbf{R}^2$  be a planar curve and  $t_0, t_1, t_2 \in I$  with  $t_1 \leq t_0 \leq t_2$ . Show that  $\kappa(t_0)$  is the reciprocal of the limit of the radius of the circles which pass through  $\alpha(t_0)$ ,  $\alpha(t_1)$  and  $\alpha(t_2)$  as  $t_1, t_2 \rightarrow t_0$ .

## 1.14 Kneser's Nesting Theorem

We say that the curvature of a curve is monotone if it is strictly increasing or decreasing. The following result shows that the osculating circles of a curve with monotone curvature are “nested”, i.e., they lie inside each other:

**Theorem 4** (Kneser's Nesting theorem). *Let  $\alpha: I \rightarrow \mathbf{R}^2$  be a  $C^4$  curve with monotone nonvanishing curvature. Then the osculating circles of  $\alpha$  are pairwise nested.*

To prove the above result we need the following Lemma. Note that if  $\alpha: I \rightarrow \mathbf{R}^2$  is a curve with nonvanishing curvature, then the centers of the osculating circles of  $\alpha$  for the curve

$$\beta(t) := \alpha(t) + r(t)N(t),$$

where  $r(t) := 1/\kappa(t)$  is the radius of curvature of  $\alpha$ . This curve  $\beta$  is known as the *evolute* of  $\alpha$ .

**Exercise 5.** Show that if  $\alpha: I \rightarrow \mathbf{R}^2$  is a  $C^4$  curve with monotone nonvanishing curvature, then its evolute  $\beta$  is a regular curve which also has nonvanishing curvature. In particular  $\beta$  contains no line segments.

Now we are ready to prove the main result of this section:

*Proof of Kneser's Theorem.* We may suppose that  $\|\alpha'\| = 1$ , and the curvature  $\kappa$  is increasing. We need to show that for every  $t_0, t_1 \in I$ , with  $t_0 < t_1$ , the osculating circle at  $t_1$  lies inside the osculating circle at  $t_0$ . To this end it suffices to show that

$$\|\beta(t_0) - \beta(t_1)\| + r(t_1) < r(t_0).$$

To see this, first note that, since  $\beta$  contains no line segments (see the previous exercise)

$$\|\beta(t_0) - \beta(t_1)\| < \int_{t_0}^{t_1} \|\beta'(t)\| dt.$$

Now a simple computation completes the proof:

$$\int_{t_0}^{t_1} \|\beta'(t)\| dt = \int_{t_0}^{t_1} |r'(t)| dt = \int_{t_0}^{t_1} -r'(t) dt = r(t_0) - r(t_1).$$

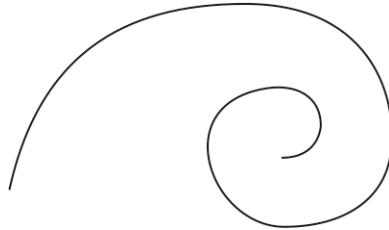
(Here  $|r'| = -r'$ , since  $\kappa$  is increasing by assumption and hence  $r$  is decreasing.)  $\square$

Kneser's theorem has a number of interesting corollaries:

**Exercise 6.** Show that a curve with monotone curvature cannot have any self intersections.

**Exercise 7.** Show that a curve with monotone curvature cannot have any bitangent lines.

The last two exercises show that a curve with monotone curvature looks essentially as depicted in the following figure, i.e., it spirals around itself.



## 1.15 Total Curvature and Convexity

The *boundary* of  $X \subset \mathbf{R}^n$  is defined as the intersection of the closure of  $X$  with the closure of its complement. A simple closed curve  $\alpha: I \rightarrow \mathbf{R}^2$  is *convex* provided that its image lies on one side of every tangent line. A subset of  $\mathbf{R}^n$  is convex if it contains the line segment joining each pair of its points. Clearly the intersection of convex sets is convex.

**Exercise 8.** Show that a simple closed planar curve  $\alpha: I \rightarrow \mathbf{R}^2$  is convex only if it lies on the boundary of a convex set. (*Hint:* By definition, through each point  $p$  of  $\Gamma$  there passes a line  $\ell_p$  with respect to which  $\Gamma$  lies on one side. Thus each  $\ell_p$  defines a closed half plane  $H_p$  which contains  $\Gamma$ . Show that  $\Gamma$  lies on the boundary of the intersection of all these half planes).

The *total curvature* of a curve  $\alpha: I \rightarrow \mathbf{R}^n$  is defined as

$$\int_I \kappa(t) dt,$$

where  $t$  is the arclength parameter.

**Exercise 9.** Show that the total curvature of any convex planar curve is  $2\pi$ . (*Hint:* We only need to check that the exterior angles of polygonal approximations of a convex curve do not change sign. Recall that, as we showed in a previous section, the sum of these angles is the total signed curvature. So it follows that the signed curvature of any segment of  $\alpha$  is either zero or has the same sign as any other segment. This in turn implies that the signed curvature of  $\alpha$  does not change sign. So the total signed curvature of  $\alpha$  is equal to its total curvature up to a sign. Since by definition the curve is simple, however, the total signed curvature is  $\pm 2\pi$  by Hopf's theorem.)

**Theorem 10.** *For any closed planar curve  $\alpha: I \rightarrow \mathbf{R}^2$ ,*

$$\int_I \kappa(t) dt \geq 2\pi,$$

*with equality if and only if  $\alpha$  is convex.*

First we show that the total curvature of any curve is at least  $2\pi$ . To this end recall that when  $t$  is the arclength parameter  $\kappa(t) = \|T'(t)\|$ . Thus the total curvature is simply the total length of the tantrix curve  $T: I \rightarrow \mathbf{S}^2$ . Since  $T$  is a closed curve, to show that its total length is bigger than  $2\pi$ , it suffices to check that the image of  $T$  does not lie in any semicircle.

**Exercise 11.** Verify the last sentence.

To see that the image of  $T$  does not lie in any semicircle, let  $u \in \mathbf{S}^1$  be a unit vector and note that

$$\int_a^b \langle T(t), u \rangle dt = \int_a^b \langle \alpha'(t), u \rangle dt = \langle \alpha(b) - \alpha(a), u \rangle = 0.$$

Since  $T(t)$  is not constant (why?), the function  $t \mapsto \langle T(t), u \rangle$  must change sign. So the image of  $T$  must lie on both sides of the line through the origin and orthogonal to  $u$ . Since  $u$  was chosen arbitrarily, it follows that the image of  $T$  does not lie in any semicircle, as desired.

Next we show that the total curvature is  $2\pi$  if and only if  $\alpha$  is convex. The “if” part has been established already in Exercise 9. To prove the “only if” part, suppose towards a contradiction that  $\alpha$  is not convex. Then there exists a tangent line  $\ell_0$  of  $\alpha$ , say at  $\alpha(t_0)$ , with respect to which the image of  $\alpha$  lies on both sides. So  $\alpha$  must have two more tangent lines parallel to  $\ell_0$ .

**Exercise 12.** Verify the last sentence (*Hint:* Let  $u$  be a unit vector orthogonal to  $\ell$  and note that the function  $t \mapsto \langle \alpha(t) - \alpha(t_0), u \rangle$  must have a minimum and a maximum different from 0. Thus the derivative at these two points vanishes.)

Now that we have established that  $\alpha$  has three distinct parallel lines, it follows that it must have at least two parallel tangents. This observation is worth recording:

**Lemma 13.** *If  $\alpha: I \rightarrow \mathbf{R}^2$  is a closed curve which is not convex, then it has a pair of parallel tangent vectors which generate distinct parallel lines.*

Next note that

**Exercise 14.** If  $\alpha: I \rightarrow \mathbf{R}^2$  is closed curve whose tantrix  $T: I \rightarrow \mathbf{S}^1$  is not onto, then the total curvature is bigger than  $2\pi$ . (*Hint:* This is immediate consequence of the fact that  $T$  is a closed curve and it does not lie in any semicircle)

So if  $T$  is not onto then we are done (recall that we are trying to show that if  $\alpha$  is not convex, then its total curvature is bigger than  $2\pi$ ). We may assume, therefore, that  $T$  is onto. This together with the above lemma yields that the total curvature is bigger than  $2\pi$ . To see this let  $t_1, t_2 \in I$  be the two points such that  $T(t_1)$  and  $T(t_2)$  are parallel and the corresponding tangent lines are distinct. Then  $T$  restricted to  $[t_1, t_2]$  is a closed nonconstant curve. So  $T([t_1, t_2])$  either (i) covers some open segment of the circle twice or (ii) covers the entire circle. Since we have established that  $T$  is onto, the first possibility implies that the length of  $T$  is bigger than  $2\pi$  and we are done. Furthermore, since  $T$  restricted to  $I - (t_1, t_2)$  is not constant, the second possibility (ii) would again imply case (i). Hence we conclude that if  $\alpha$  is not convex, then its total curvature is bigger than  $2\pi$ , which completes the proof of Theorem 10.

**Corollary 15.** *Any simple closed curve  $\alpha: I \rightarrow \mathbf{R}^2$  is convex if and only if its signed curvature does not change sign.*

*Proof.* Since  $\alpha$  is simple, its total signed curvature is  $\pm 2\pi$  by Hopf's theorem. After switching the orientation of  $\alpha$ , if necessary, we may assume that the total signed curvature is  $2\pi$ . Suppose, towards a contradiction, that the signed curvature does change sign. The integral of the signed curvature over the regions where it is positive must be bigger than  $2\pi$ , which in turn implies that the total curvature is bigger than  $2\pi$ , which contradicts the previous theorem. So if  $\alpha$  is convex, then  $\bar{\kappa}$  does not change sign.

Next suppose that  $\bar{\kappa}$  does not change sign. Then the total signed curvature is equal to the total curvature (up to a sign), which, since the curve is simple, implies, via Hopf's theorem, that the total curvature is  $2\pi$ . So by the previous theorem the curve is convex.  $\square$