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## SENG 474 ASSIGNMENT 1

- 1- Construct the root and the first level of a decision tree for the contact lenses data. Use the ID3 algorithm. Show the details of your construction. Then, check your solution with Weka (the data file is included with Weka).**

Please see the end for weka output for verification purpose for the first tree exercises

### Assignment 1

P 1

4) Attribute Age = young  
$$\text{info}([4, 2, 2]) = \text{entropy}\left(\frac{4}{8}, \frac{2}{8}, \frac{2}{8}\right) = \text{entropy}\left(\frac{1}{2}, \frac{1}{4}, \frac{1}{4}\right)$$
$$= -\frac{1}{2} \log_2\left(\frac{1}{2}\right) - \frac{1}{4} \log_2\left(\frac{1}{4}\right) - \frac{1}{4} \log_2\left(\frac{1}{4}\right)$$
$$= 1.5$$

Attribute Age = pre-presbyopic  
$$\text{info}([5, 2, 1]) = \text{entropy}\left(\frac{5}{8}, \frac{2}{8}, \frac{1}{8}\right) = \text{entropy}\left(\frac{5}{8}, \frac{1}{4}, \frac{1}{8}\right)$$
$$= -\frac{5}{8} \log_2\left(\frac{5}{8}\right) - \frac{1}{4} \log_2\left(\frac{1}{4}\right) - \frac{1}{8} \log_2\left(\frac{1}{8}\right)$$
$$= 1.300$$

Attribute Age = presbyopic  
$$\text{info}([6, 1, 1]) = \text{entropy}\left(\frac{6}{8}, \frac{1}{8}, \frac{1}{8}\right) = \text{entropy}\left(\frac{3}{4}, \frac{1}{8}, \frac{1}{8}\right)$$
$$= -\frac{3}{4} \log_2\left(\frac{3}{4}\right) - \frac{1}{8} \log_2\left(\frac{1}{8}\right) - \frac{1}{8} \log_2\left(\frac{1}{8}\right)$$
$$= 1.061$$

Expected info  
$$\text{info}([4, 2, 2], [5, 2, 1], [6, 1, 1]) = 1.5 \left(\frac{8}{24}\right) + 1.3 \left(\frac{8}{24}\right) + 1.061 \left(\frac{8}{24}\right)$$
$$= \boxed{1.287}$$

Attribute Spectacle-prescrip = myope  
$$\text{info}([7, 2, 3]) = -\frac{7}{12} \log_2\left(\frac{7}{12}\right) - \frac{2}{12} \log_2\left(\frac{2}{12}\right) - \frac{3}{12} \log_2\left(\frac{3}{12}\right)$$
$$= 1.384$$

Spectacle-prescrip = hypermetrop  
$$\text{info}([8, 3, 1]) = \text{entropy}\left(\frac{8}{12}, \frac{3}{12}, \frac{1}{12}\right)$$
$$= -\frac{8}{12} \log_2\left(\frac{8}{12}\right) - \frac{3}{12} \log_2\left(\frac{3}{12}\right) - \frac{1}{12} \log_2\left(\frac{1}{12}\right)$$

$$\text{entropy}\left(\frac{8}{12}, \frac{3}{12}, \frac{1}{12}\right) = 1.189$$

$$\text{Expected info} = \frac{12}{24}(1.384) + \frac{12}{24}(1.189)$$

$$\text{So } \text{info}([7, 2, 3], [8, 3, 1]) = \boxed{1.2865}$$

Attribute astigmatism

Astigmatism = yes

$$\begin{aligned} \text{info}([8, 4, 0]) &= \text{entropy}\left(\frac{8}{12}, \frac{4}{12}, \frac{0}{12}\right) = \text{entropy}\left(\frac{2}{3}, \frac{1}{3}, 0\right) \\ &= -\frac{2}{3} \log_2\left(\frac{2}{3}\right) - \frac{1}{3} \log_2\left(\frac{1}{3}\right) - 0 \\ &= 0.890 \end{aligned}$$

Astigmatism = no

$$\begin{aligned} \text{info}([7, 5, 0]) &= \text{entropy}\left(\frac{7}{12}, \frac{5}{12}, 0\right) \\ &= -\frac{7}{12} \log_2\left(\frac{7}{12}\right) - \frac{5}{12} \log_2\left(\frac{5}{12}\right) - 0 \\ &= 0.980 \end{aligned}$$

Expected info

$$\begin{aligned} \text{info}([8, 4, 0], [7, 5, 0]) &= \frac{12}{24}(0.890) + \frac{12}{24}(0.980) \\ &= \boxed{0.935} \end{aligned}$$

Attribute tear-prod-rate

tear-prod-rate = reduce

$$\begin{aligned} \text{info}([12, 0, 0]) &= \text{entropy}\left(\frac{12}{12}, 0, 0\right) \\ &= -1 \log_2(1) - 0 - 0 = 0 \end{aligned}$$

tear-prod-rate = normal

$$\begin{aligned} \text{info}([3, 5, 4]) &= \text{entropy}\left(\frac{3}{12}, \frac{5}{12}, \frac{4}{12}\right) \\ &= -\frac{3}{12} \log_2\left(\frac{3}{12}\right) - \frac{5}{12} \log_2\left(\frac{5}{12}\right) - \frac{4}{12} \log_2\left(\frac{4}{12}\right) = 1.555 \end{aligned}$$



Expected info is P2

$$\text{info}([12, 0, 0], [3, 5, 4]) = \frac{12}{24}(0) + \frac{12}{24}(1.555)$$

$$= \boxed{0.7775}$$

Since tear-prod-rate has the smallest entropy, it is the root of the tree.

tear-prod-rate

Now we continue to split for ~~tear-prod-rate~~ ~~is~~ normal. Using a smaller data set

Attribute Age

Age = young

$$\text{info}([2, 2, 0]) = \text{entropy}\left(\frac{2}{4}, \frac{2}{4}, 0\right) = 1$$

Age = pre-presbyopic

$$\text{info}([2, 1, 1]) = \text{entropy}\left(\frac{2}{4}, \frac{1}{4}, \frac{1}{4}\right)$$

$$= -\frac{1}{2} \log_2\left(\frac{1}{2}\right) - \frac{1}{4} \log_2\left(\frac{1}{4}\right) - \frac{1}{4} \log_2\left(\frac{1}{4}\right)$$

$$= 1.5$$

Age = presbyopic

$$\text{info}([2, 1, 1]) = \text{entropy}\left(\frac{2}{4}, \frac{1}{4}, \frac{1}{4}\right) = 1.5$$

Expected info is

$$\text{info}([2, 2, 0], [2, 1, 1], [2, 1, 1]) = \frac{4}{12} \times 1 + \frac{4}{12}(1.5) + \frac{4}{12}(1.5)$$

$$= \boxed{1.333}$$

Attribute = spectacle-prescrip  
 Spectacle-prescrip = myope  
 $\text{info}([2, 3, 1]) = \text{entropy}\left(\frac{2}{6}, \frac{3}{6}, \frac{1}{6}\right)$   
 $= -\frac{1}{3} \log_2\left(\frac{1}{3}\right) - \frac{1}{2} \log_2\left(\frac{1}{2}\right) - \frac{1}{6} \log_2\left(\frac{1}{6}\right)$   
 $= 1.459$

~~info~~ Spectacle-prescrip = hypermetrop  
 $\text{info}([3, 1, 2]) = \text{entropy}\left(\frac{3}{6}, \frac{1}{6}, \frac{2}{6}\right) = 1.459$

Expected info is  $\frac{6}{12}(1.459) + \frac{6}{12}(1.459) = \boxed{1.459}$

Attribute = astigmatism  
 astigmatism = no  
 $\text{info}([5, 0, 1]) = \text{entropy}\left(\frac{5}{6}, 0, \frac{1}{6}\right)$   
 $= -\frac{5}{6} \log_2\left(\frac{5}{6}\right) - 0 - \frac{1}{6} \log_2\left(\frac{1}{6}\right) = 0.650$

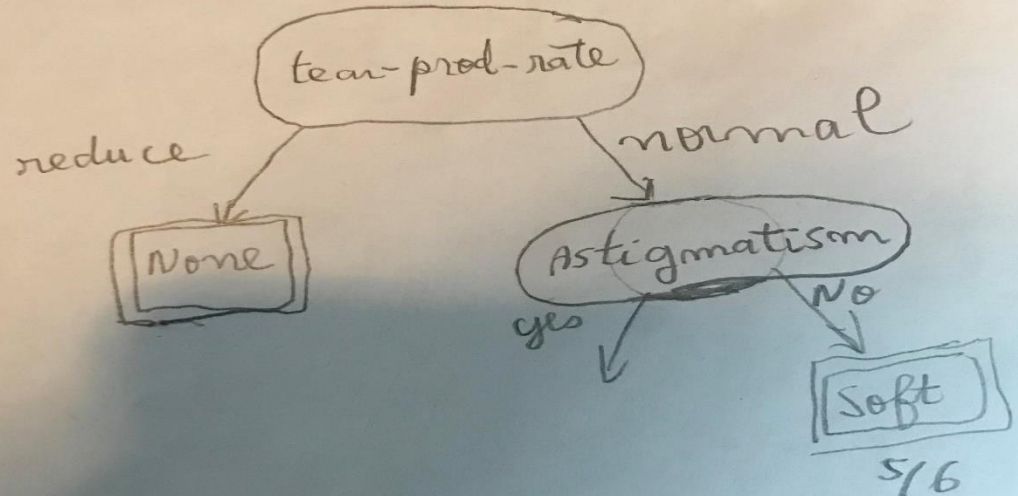
astigmatism = yes  
 $\text{info}([4, 0, 2]) = \text{entropy}\left(\frac{4}{6}, 0, \frac{2}{6}\right)$   
 $= -\frac{2}{3} \log_2\left(\frac{2}{3}\right) - \frac{1}{3} \log_2\left(\frac{1}{3}\right) = 0.918$

Expected information is  
 $\text{info}([5, 0, 1], [4, 0, 2]) = 0.650 \times \frac{6}{12} + 0.918 \times \frac{6}{12}$   
 $= \boxed{0.784}$

Since astigmatism is the smallest attribute, it is the winner!



the tree looks like this so far:



## 2 - Construct two rules using Prism

Rule we seek: If ? then Play = yes

Possible test		
outlook = Sunny	$\frac{2}{5}$	humidity = high $\frac{3}{7}$
outlook = raining	$\frac{3}{5}$	humidity = Normal $\frac{6}{7}$
outlook = overcast	$\frac{4}{4}$	windy = False $\frac{6}{8}$
Temperature = Hot	$\frac{3}{4}$	windy = True $\frac{3}{6}$
Temperature = mild	$\frac{4}{6}$	
Temperature = Cool	$\frac{3}{4}$	

we choose outlook = overcast since it has the highest probability ( $\frac{4}{4}$ )

Rule 1: (outlook = overcast)  $\wedge$  (...)  $\rightarrow$  Play = yes

Instances covered so far is:

outlook	Temperature	Humidity	Windy	Play
overcast	Hot	High	False	Yes
overcast	Cool	Normal	True	Yes
overcast	Mild	High	True	Yes
overcast	Hot	Normal	False	Yes

The rule is very accurate, getting 4 out of 4

So  $R_1$ : outlook = overcast  $\rightarrow$  yes

Second rule for recommending "yes" is built from instance not covered by  $R_1$ ,



Rule we seek :  
if ? then "yes"

outlook = Sunny	$\frac{2}{5}$
outlook = raining	$\frac{3}{5}$
Temperature = Hot	$\frac{0}{5}$
Temperature = mild	$\frac{3}{5}$
Temperature = Cool	$\frac{2}{5}$
Humidity = High	$\frac{1}{5}$
Humidity = Normal	$\frac{4}{5}$
windy = False	$\frac{4}{5}$
windy = True	$\frac{1}{5}$

We pick Humidity = Normal  
 $R_2 = (\text{Humidity} = \text{Normal}) \wedge (?) \rightarrow \text{yes}$   
 Instance covered so far =

outlook	Temperature	Humidity	windy	Play
Raining	Cool	Normal	False	yes
Raining	Cool	Normal	True	No
Sunny	Cool	Normal	False	yes
Raining	Mild	Normal	False	yes
Sunny	Mild	Normal	True	yes

outlook = raining	$\frac{2}{5}$	windy = False	$\frac{3}{5}$
outlook = sunny	$\frac{3}{5}$	windy = True	$\frac{2}{5}$
Temperature = Cool	$\frac{0}{5}$	we pick windy = False	
Temperature = Mild	$\frac{2}{5}$		



Thus  $R_2 = (\text{humidity} = \text{Normal} \wedge \text{windy} = \text{False}) \rightarrow \text{Play}$  <sup>P5</sup>

therefore

$(\text{outlook} = \text{overcast}) \rightarrow \text{"yes"}$   
 $(\text{humidity} = \text{Normal} \wedge \text{Windy} = \text{False}) \rightarrow \text{"yes"}$

We now do the same for  $\text{play} = \text{No}$

Rule we seek; if ? then  $\text{Play} = \text{No}$

Possible Test

outlook = Sunny

$\frac{3}{5}$

outlook = overcast

$\frac{0}{4}$

outlook = raining

$\frac{2}{5}$

Temperature = hot

$\frac{2}{4}$

Temperature = mild

$\frac{2}{6}$

Temperature = cool

$\frac{1}{4}$

humidity = high  $\frac{4}{7}$

humidity = Normal  $\frac{1}{7}$

windy = False  $\frac{2}{8}$

windy = ~~True~~  $\frac{3}{6}$

Since it has the highest

We pick outlook = sunny  
 Thus,  $(\text{outlook} = \text{sunny}) \wedge (\dots) \rightarrow \text{No}$   
 Instances covered so far

outlook	Temperature	Humidity	Windy	P class
Sunny	Hot	High	False	No
Sunny	Hot	High	True	No
Sunny	Mild	High	False	No
Sunny	Cool	Normal	False	Yes
Sunny	Mild	Normal	True	Yes

Repeat the same process

Temperature = hot

$\frac{2}{2}$

Temperature = Mild

$\frac{1}{2}$

Temperature = Cool

$\frac{2}{1}$

Humidity = high

$\frac{3}{3}$

Humidity = Normal

$\frac{0}{2}$

windy = False  $\frac{2}{3}$

windy = True  $\frac{1}{2}$

We pick Humidity = high (Coverage  $\frac{3}{3}$ )

Therefore  $R_1 = (\text{outlook} = \text{Sunny}) \wedge (\text{humidity} = \text{high}) \rightarrow \text{No}$

For the Second Rule ( $R_2$ ) (with 11 instances)

outlook = overcast,

$\frac{0}{4}$

outlook = Sunny,

$\frac{0}{2}$

outlook = Rainy,

$\frac{2}{5}$

temperature = hot,

$\frac{0}{2}$

temperature = Mild,

$\frac{1}{5}$

temperature = cool

$\frac{1}{4}$

Humidity = High

$\frac{1}{4}$

Humidity = Normal

$\frac{4}{1}$

windy = False

$\frac{1}{5}$

windy = True

$\frac{2}{5}$



we can pick either outlook = rainy or windy is true. I will pick outlook = rainy ( $\frac{2}{5}$ )

So, if (outlook = rainy)  $\wedge$  (...)  $\rightarrow$  Play = No  
The new data set is.

outlook	Temperature	Humidity	Windy	Play
Rainy	Mild	High	False	Yes
Rainy	Cool	Normal	False	Yes
Rainy	Cool	Normal	True	No
Rainy	Mild	Normal	False	Yes
Rainy	Mild	High	True	No

we apply the same algorithm  
 Temperature = Mild,  $\frac{1}{3}$  | windy = False,  $\frac{0}{3}$   
 Temperature = Cool,  $\frac{1}{2}$  | windy = True,  $\frac{2}{2}$   
 Humidity = High,  $\frac{1}{2}$   
 Humidity = Normal,  $\frac{2}{3}$

we pick windy = True, thus  $R_2$  becomes

((outlook = rainy)  $\wedge$  (windy = True))  $\rightarrow$  Play = No

Final results by PRISM

(outlook = overcast)  $\rightarrow$  Play = "yes"  
 (humidity = Normal  $\wedge$  windy = False)  $\rightarrow$  Play = "yes"  
 (outlook = sunny  $\wedge$  humidity = high)  $\rightarrow$  Play = "No"  
 ((outlook = rainy)  $\wedge$  (windy = True))  $\rightarrow$  Play = "No"



Exercise 3

Classify using Naive Bayes method the data item:

$$\begin{aligned}
 P(\text{lenses} = \text{hard} | E) &= P(\text{Age} = \text{pre-presbyopic} | \text{lenses} = \text{hard}) \\
 &\times P(\text{spectacle-prescrip} = \text{hypermetropic} | \text{lenses} = \text{hard}) \\
 &\times P(\text{astigmatism} = \text{yes} | \text{lenses} = \text{hard}) \\
 &\times P(\text{tear-prod-rate} = \text{reduce} | \text{lenses} = \text{hard}) \\
 &= \frac{1/4 \times 2/4 \times \frac{4}{4} \times \frac{0}{4} \times \frac{4}{25}}{P(E)}
 \end{aligned}$$

Apply Laplace estimator and adding the number of possible attribute values.

$$\begin{aligned}
 \text{then } P(\text{lenses} = \text{hard} | E) &= \frac{\frac{4+1}{4+3} \times \frac{2+1}{4+2} \times \frac{4+1}{4+2} \times \frac{0+1}{4+2} \times \frac{4+1}{24+3}}{P(E)} \\
 &= \frac{\frac{5}{7} \times \frac{3}{6} \times \frac{5}{6} \times \frac{1}{6} \times \frac{5}{27}}{P(E)} = \frac{0.0069}{P(E)}
 \end{aligned}$$

$$\begin{aligned}
 P(\text{lenses} = \text{soft} | E) &= \frac{\frac{2+1}{5+1} \times \frac{3+1}{5+2} \times \frac{0+1}{5+2} \times \frac{0+1}{5+2} \times \frac{5+1}{24+3}}{P(E)} \\
 &= \frac{\frac{1}{2} \times \frac{2}{3} \times \frac{1}{7} \times \frac{1}{7} \times \frac{2}{9}}{P(E)}
 \end{aligned}$$

$$\begin{aligned}
 P(\text{lenses} = \text{None}) &\approx \frac{\frac{5+1}{15+3} \times \frac{8+1}{15+2} \times \frac{8+1}{15+2} \times \frac{12+1}{15+2} \times \frac{15+1}{24+3}}{P(E)} \\
 &= \frac{\frac{1}{3} \times \frac{9}{17} \times \frac{9}{17} \times \frac{13}{17} \times \frac{16}{27}}{P(E)}
 \end{aligned}$$

$$\text{Thus, } P(\text{lenses} = \text{hard} | E) = \frac{\frac{50}{13608}}{P(E)} \approx \frac{0.0037}{P(E)}$$

$$P(\text{lenses} = \text{soft} | E) = \frac{\frac{4}{2646}}{P(E)} \approx \frac{0.0015}{P(E)}$$

$$P(\text{lenses} = \text{None} | E) = \frac{\frac{16848}{397953}}{P(E)} = \frac{0.042}{P(E)}$$

$$P(E) = 0.042 + 0.0015 + 0.0037 = 0.0472$$

$$\text{Therefore } P(\text{lenses} = \text{hard} | E) = \frac{0.0037}{0.0472} \approx 8\%$$

$$P(\text{lenses} = \text{soft} | E) = \frac{0.0015}{0.0472} \approx 3\%$$

$$P(\text{lenses} = \text{None} | E) = \frac{0.042}{0.0472} \approx 89\%$$

The date item is classified as None since it has the highest percentage.

```

=== Classifier model (full training set) ===

Id3

tear-prod-rate = reduced: none
tear-prod-rate = normal
| astigmatism = no
| | age = young: soft
| | age = pre-presbyopic: soft
| | age = presbyopic
| | | spectacle-prescrip = myope: none
| | | spectacle-prescrip = hypermetrope: soft
| astigmatism = yes
| | spectacle-prescrip = myope: hard
| | spectacle-prescrip = hypermetrope
| | | age = young: hard
| | | age = pre-presbyopic: none
| | | age = presbyopic: none

Time taken to build model: 0.02 seconds

=== Evaluation on training set ===

```

☐ Cross-validation Folds 10  
☐ Percentage split % 66

(Nom) play

result list (right-click for options)

- 14:21:38 - trees.Id3
- 14:24:57 - bayes.NaiveBayes
- 14:40:42 - rules.Prism

```

test model Evaluate on training data

=== Classifier model (full training set) ===

Prism rules
-----
If outlook = overcast then yes
If humidity = normal
  and windy = FALSE then yes
If temperature = mild
  and humidity = normal then yes
If outlook = rainy
  and windy = FALSE then yes
If outlook = sunny
  and humidity = high then no
If outlook = rainy
  and windy = TRUE then no

Time taken to build model: 0 seconds

=== Evaluation on training set ===

```



Test data:

pre-presbyopic, hypermetrope, yes, reduced, none

(Nom) contact-lenses

StartStop

Result list (right-click for options)

14:21:38 - trees.Id3

14:24:57 - bayes.NaiveBayes

=== Re-evaluation on test set ===

User supplied test set

Relation: contact-lenses

Instances: unknown (yet). Reading incrementally

Attributes: 5

=== Summary ===

Correctly Classified Instances	1	100	%
Incorrectly Classified Instances	0	0	%
Kappa statistic	1		
Mean absolute error	0.0498		
Root mean squared error	0.0545		
Total Number of Instances	1		

=== Detailed Accuracy By Class ===