# RESILIENCE AND RESILIENCE CAPACITIES MEASUREMENT OPTIONS

**FULL APPROACH** 

Household Questionnaire

October 2018





#### About the Resilience Evaluation, Analysis and Learning (REAL) Associate Award:

REAL is a consortium-led effort funded by the USAID Center for Resilience. It was established to respond to growing demand among USAID Missions, host governments, implementing organizations, and other key stakeholders for rigorous, yet practical, monitoring, evaluation, strategic analysis, and capacity building support. Led by Save the Children, REAL draws on the expertise of its partners: Food for the Hungry, Mercy Corps, and TANGO International.

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## **ACRONYMS**

BFS Bureau for Food Security

CFW Cash for Work
FFP Food for Peace
FFW Food for Work

FIES Food Insecurity Experience Scale

FTF Feed the Future

HDDS Household Dietary Diversity Score

HFIAS Household Food Insecurity Access Scale

HH Household

MFI Micro-finance institution

NGO Non-governmental organization

SMS Short message service

VSLA Village Savings and Loan Association

WASH Water, Sanitation, and Health

#### INTRODUCTION

NOTE: This household questionnaire was developed as part of the resilience instrument (which involves a household and a community questionnaire) to be used with the Food for Peace (FFP) core household questionnaire. Other USAID offices (e.g., BFS) may need to adjust references of specific FFP modules/questions to match their own core questionnaire.

Given the interrelatedness of the FFP questionnaire to the resilience instrument, the following table shows those questions that must remain in—or be deleted from—the FFP questionnaire when implementing this household questionnaire. The modules or questions identified below are required elements for calculating resilience capacities and indices and must either be included as part of the respective USAID core questionnaire (e.g., FFP, BFS) or the resilience instrument. This household questionnaire assumes the following about modules or individual questions in the FFP household questionnaire:

FFP questionnaire should include:2		FFP questionnaire should not include:3	
Modules/sections	Questions	Modules/sections	Questions
Identification and Informed Consent	Module A	HHS	C16-C21
HH roster, with max. level of education	B21	Humanitarian Assistance	C22-C24
HDDS	C3-C15	Shocks/stresses	C25
FIES	C22-C31	Livestock care/raising	GI5
Main source of drinking water	F04	Access to hazard insurance	G09
Improved practices for crops	G13B		
Improved practices for livestock	GI6		
Improved practices for natural resources	GI8		
Improved practices for crop storage	G21		
Durable goods expenditures	H7.02, F7.03		

Please note that this is a living document that will be continually edited and updated. Visit <a href="http://www.fsnnetwork.org/REAL">http://www.fsnnetwork.org/REAL</a> for the current version.

<sup>&</sup>lt;sup>2</sup> If the FFP (or other USAID) questionnaire does NOT include modules/questions listed here, they need to be added in the resilience instrument (i.e., household questionnaire). See Annex A.

<sup>&</sup>lt;sup>3</sup> Items listed here are included in the resilience instrument and need to be removed from the FFP (or other USAID) questionnaire.

## SHOCK EXPOSURE

#### **Module RI: Shocks and Stressors**

	R102	R103	R104	R105	R106	R107
Note: Country-specific shocks to be finalized during pre-planning / contextualization exercise	How many times over the last 5 years has your household experienced [the shock]?	Did your household experience [the shock] within the last year (12 months)?  I = Yes 2 = No >>Next shock	How severe was the impact on your household's income over the last 12 months?  Enter code from list Only ask if	How severe was the impact on your household's food consumption over the last 12 months?  Enter code from list Only ask if	How did your household cope with [the shock] over the last 12 months?  Enter code from list in R106a  Select all that apply Only ask if	To what extent has your household been able to recover from [the shock] you experienced over the last 12 months?  Enter code from list Only ask if
			R103=1	R103=1	R103=1	R103=1
Climatic shocks						
a. Excessive rains/ flooding						
b. Variable rain/drought						
c. Hail/frost						
d. Landslides/erosion						
Biological shocks						
e. Crop disease (rust on wheat, sorghum)						
f. Crop pests (locusts)						
g. Weeds (e.g., associated with striga)						
h. Livestock disease						
i. Human disease outbreaks (from contaminated water)						

Conflict shocks			
j. Theft or destruction of assets			
k. Theft of livestock (raids)			
Economic shocks			
I. Delay in PSNP food assistance			
m. Increasing food prices			
n. Increased prices of agricultural or livestock inputs			
o. Decreased prices for agricultural or livestock products			
p. Loss of land/rental property			
q. Unemployment for youths			
r. Death of household member			

R104, R105	R107
Severity of impact	Ability to recover
I. No impact	I. Did not recover
2. Slight decrease	2. Fully recovered, same as before the shock
3. Severe decrease	3. Fully recovered and better than before the shock
4. Worst ever happened	4. Partially recovered
-8 Don't know	5. Not affected by [event]
-9 Refused	-8 Don't know
	-9 Refused

### R106a

Did you or your household use any of the following strategies to cope with any shock/stress over the last 12 months? Read list; select all that apply\*

LIVESTOCK AND LAND HOLDINGS	Yes = I	COPING STRATEGIES TO GET MORE FOOD OR MONEY	Yes = I
	No = 2		No = 2
a. Sent livestock in search of pasture		m. Took up new/additional work (casual labor, wage labor)	
b. Sold livestock		n. Sold household items (e.g., radio, bed)	
c. Slaughtered livestock		o. Sold productive assets (e.g., plough, water pump)	
d. Leased out land		p. Took out a loan (with interest) from a (formal) bank	
MIGRATION		q. Took out a loan (with interest) from an MFI or village savings group	
e. HH member migrated for work		r. Took out a loan (with interest) from a money-lender	
f. Migrate (the whole family)		s. Took out a loan (no interest) from friends or relatives within the community (bonding)	
g. Sent children or an adult to stay with relatives		t. Took out a loan (no interest) from friends or relatives outside of the community (bridging)	
COPING STRATEGIES TO REDUCE CURRENT EXPENDITURE		u. Unconditional gift of money (not remittances) or food from family, friends, church or other group within community (bonding)	
h. Took children out of school		v. Unconditional gift of money (not remittances) or food from family, friends, church or other group outside of community (bridging)	
i. Moved to less expensive housing		w. Sent children to work for money (e.g., domestic service)	
<ul><li>j. Reduced food consumption (quantity/meal;</li><li># of meals/day)</li></ul>		x. Received emergency food aid from the government or NGO	
k. Reduced non-essential HH expenses		y. Received emergency cash transfer from the government or NGO	
I. Got food on credit from a local merchant		z. Participated in government or NGO food-for-work or cash-for-work activities (conditional)	
		aa. Used own savings	
dd. Did nothing		bb. Relied on remittances from a relative that migrated	
ee. Engaged in spiritual efforts (e.g., prayed, sacrifices, etc.)		cc. Other (specify)	

<sup>\*</sup> Note: the coping strategies already recorded for R106 will NOT populate on the tablet.

## Module RI: Shocks and Stressors (continued)

	Shock exposure and severity (cont'd)				
	To what extent has your ability to meet food	Ability to meet food needs is the same as before the shock			
	needs returned to the level it was before all the shocks and stressors you experienced in the last	Ability to meet food needs is better than before the shock 2			
R108	12 months?	Ability to meet food needs is worse than before the shock 3			
	[PROMPT]				
	In light of the shocks and stressors you faced in	Ability to meet food needs will be the same as before the shock			
	the last 12 months, to what extent do you believe you will be able to meet your food needs	Ability to meet food needs will be better than before the shock 2			
R109	in the next year?	Ability to meet food needs will be worse than before the shock 3			
	[PROMPT]				
RIIO	What have you done to protect your household from the impact of shocks in the future?  [Read list; select all that apply]	Nothing I Increased savings 2 Put aside grains (for HH or animals) 3 Switched to different crop(s) 4 Switched to different livestock 5 Added additional agricultural activity 6 Added additional non-agricultural activity 7 Diversified into agricultural livelihood 8 Diversified into non-agricultural activity 9 Changed from ag to non-ag livelihood 10 Changed from non-ag to ag livelihood 11 Acquired crop insurance 12 Acquired livestock insurance 13 Acquired other insurance (e.g., health) 14 Relocated temporarily 15 Relocated permanently 16 Other 17 -8 Don't know			

## ASSET OWNERSHIP

#### **Module R2. Productive Assets**

	Type of asset	R201	R202
		Number owned now	Did you sell any of this item in the past 12 months because your household was in distress from a shock or stress (not enough money to cover normal expenses)?
		-8 Don't know -9 Refused	I. Yes 2. No -8 Don't know -9 Refused
a.	Plough (oxen-pulled)		
b.	Mechanical plough		
c.	Sickle		
d.	Pick axe		
e.	Axe		
f.	Pruning/cutting shears		
g.	Hoe		
h.	Spade or shovel		
i.	Traditional beehive		
j.	Modern beehive		
k.	Knapsack chemical sprayer		
l.	Mechanical water pump		
m.	Motorized water pump		
n.	Stone grain mill		
0.	Motorized grain mill		
p.	Broad bed maker (oxen-pulled)		
q.	Small tractor		
r.	Hand-held motorized tiller		
s.	Agricultural land (hectares)		

#### Module R2A. Livestock Assets

		R201A	R202A
		Number owned now	Did you sell any of this item in the past 12 months because your household was in distress from a shock or stress (not enough money to cover normal expenses)?
		-8 Don't know	
		-9 Refused	I. Yes 2. No -8 Don't know -9 Refused
a.	Oxen		
b.	Cattle		
C.	Goats		
d.	Sheep		
e.	Donkey/mule		
f.	Poultry		
g.	Camels		
h.	Horse		
i.	Honey bees (hives)		

## MARKETS, SERVICES, AND INFORMATION

## Module R3. Availability of Markets, Availability of Markets, Infrastructure, and Services

		R301
		Are the following services available within 5 km of your village?  I = yes 2= no -8 Don't know -9 Refused  If =2, -8, or -9, Skip to next topic
a.	Institutions where people can borrow money	
b.	Institutions where people can save money	
C.	Primary school	
d.	Health center	
e.	Agricultural extension services	
f.	Veterinary services	
g.	Electricity	
h.	Mobile phone service	
i.	A public telephone	
j.	National radio signal	
k.	National TV signal	
l.	Livestock market(s)	
m.	Market(s) for selling agricultural products	
n.	Market(s) for purchasing agricultural inputs	
R308	Are there any government or NGO programs or activities in this village that help households when they are faced with a shock?	<ul> <li>I. Yes</li> <li>2. No</li> <li>-8 Don't know</li> <li>-9 Refused</li> </ul> Skip to next module

R309	What type of help do they provide?  Select all that apply	<ol> <li>Emergency food assistance</li> <li>Emergency cash assistance</li> <li>Conditional food transfer (FFW)</li> <li>Conditional cash transfer (CFW)</li> <li>Unconditional food transfer (non-emergency)</li> <li>Unconditional cash transfer (non-emergency)</li> <li>Household materials and non-food items</li> <li>Educational assistance/school feeding</li> <li>Agricultural inputs (seeds, fertilizer, etc.)</li> <li>Livestock inputs (feed, fodder, medicine, etc.)</li> <li>WASH</li> <li>Nutrition/supplemental feeding</li> <li>Other (specify):</li> <li>Don't know</li> <li>Refused</li> </ol>
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## Module R5. Access to Financial Services / Credit

R501	Did you or any other household member take out a cash loan in the last 12 months?	1. Yes Skip to R503 2. No -8 Don't know -9 Refused Skip to R505
R502	If no, why not?	1. Didn't need 2. Couldn't find a loan that met my needs" (i.e. "is appropriate" in terms of size, terms, etc.); 3. Afraid I couldn't pay back 4. No loan providers in my area 5. Do not like to be in debt 6. Cannot qualify (e.g., no collateral) 7. Process is too long 8. Other (specify) -8 Don't know -9 Refused Go to R505

R503	Did you or any other household member take out a loan in the last 12 months to deal specifically with a shock or stress?  Only ask if R501 = Yes	<ul> <li>I. Yes</li> <li>2. No</li> <li>-8 Don't know</li> <li>-9 Refused</li> </ul> Skip to R505
R504	What is the primary source of the loan taken out in the last 12 months to deal specifically with a shock or stress?  Only ask if R503 = Yes	I. Friend/family within the village 2. Friend/family outside of the village 3. Money-lender 4. MFI 5. Bank 6. NGO 7. Village-based savings group 8. Religious group 9. Local merchant/trader 10. Other -8 Don't know -9 Refused
R505	Is crop insurance available in your area?	I. Yes 2. No -8 Don't know -9 Refused
R506	Is livestock insurance available in your area?	<ul><li>I. Yes</li><li>2. No</li><li>-8 Don't know</li><li>-9 Refused</li></ul>
R507	Is health insurance available in your area?	<ul><li>I. Yes</li><li>2. No</li><li>-8 Don't know</li><li>-9 Refused</li></ul>
R508	Have you or does anyone in your household currently have any insurance?	<ul> <li>1. Yes</li> <li>2. No</li> <li>-8 Don't know</li> <li>-9 Refused</li> </ul> Skip to next module
R509	If yes, what type?  Read list; select all that apply	<ul><li>I. Crop</li><li>2. Livestock</li><li>3. Health</li><li>4. Other (specify)</li><li>-8 Don't know</li><li>-9 Refused</li></ul>

## Module R6. Access to Financial Services / Saving

R601	Do you or any other household member regularly save cash?	<ul> <li>I. Yes</li> <li>2. No</li> <li>-8 Don't know</li> <li>-9 Refused</li> </ul> Skip to next module
R602	Where are the savings primarily held?  Select only one	I. At home 2. MFI 3. Village savings/credit group 4. Bank 5. NGO 6. Mobile banking 7. Other -8 Don't know -9 Refused
R603	Who primarily decides how savings are used?  Select only one	<ol> <li>Yourself</li> <li>Your spouse/partner</li> <li>You and your spouse/partner jointly</li> <li>Yourself and other HH member jointly</li> <li>Your spouse/partner and other HH member jointly</li> <li>Other</li> <li>B Don't know</li> <li>Refused</li> </ol>
R604	Did you or any other household member use savings specifically to deal with a shock or stress in the last 12 months?	I. Yes 2. No -8 Don't know -9 Refused

#### **Module R7. Access to Information**

		R701	R702	R703
		Did you or anyone in your HH receive any information on [topic] in the last 12 months?	What was the main source of your information about [topic]?	Did the information influence any decisions made by household members?
		I. Yes 2. No -8 Don't know -9 Refused  If 2, -8, or -9, skip to next topic	See codes below	I. Yes, result of decision benefitted HH 2. Yes, result of decision had a negative effect on HH 3. Yes, but decision had no effect on HH 4. No, did not influence decisions 5. No decisions made -8 Don't know
	Early warning for natural hazards			-9 Refused
b	(flooding, hail, landslide)  Long-term changes in weather patterns			
C	Rainfall/ weather prospects for coming growing season			
	Water prices and availability in local boreholes, wells, etc.			
	Animal health (e.g., disease, epidemic, prevention)			
т.	Crop health (e.g., pest outbreaks, disease, prevention)			
g.	Improved crop production practices/technologies (CA, seeds)			
n.	Improved livestock production practices (fodder, husbandry)			
	Current market prices for live animals in the area			

		R701	R702	R703
j.	Market prices for animal products (milk, hides, skins, etc.)			
k.	Grazing conditions in nearby areas			
l.	Conflict or security issues			
m.	Business and investment opportunities			
n.	Opportunities for borrowing money			
0.	Market prices of the food you buy			
p.	Child nutrition and health information			
q.	Equal rights for women and men			
r.	Gender-based violence			
S.	Natural resource management			

CC	CODES FOR R702 - Main Information sources			
Ι	Relatives, friends, neighbors	9	Gov't: rural development agents, health/agriculture ext.	
2	Kebele leaders	10	NGOs	
3	Village development committee	П	Newspaper /Radio / TV	
4	School teachers	12	Internet or SMS	
5	Group in community (e.g., savings, forest users, farmers)	13	Private sector (input supplier, veterinarian, etc.)	
6	Religious leaders	14	Police or security individuals	
7	Elders	15	Other (specify)	
8	Local market	-8	Don't know	
		-9	Refused	

## **RESILIENCE CAPACITIES**

## **Module R8. Group Participation**

		R801	R802
		Are any of the following groups active in this village?  Read list	For any HH member who is in the group, how active is s/he in the group's decision-making?
		I = yes 2= no -8 Don't know -9 Refused If =2, -8, or -9, skip to next topic	1. No HH member in group 2. HH member does not participate in decision-making 3. Somewhat active 4. Very active 5. HH member is a leader -8 Don't know -9 Refused
a.	Water users' group		
b.	Grazing land users' group		
c.	Community natural resources group		
d.	Credit or micro-finance group		
e.	Savings groups (VSLA, merry-go-round, etc.)		
f.	Mutual help group (e.g., ritban, afoosha, ofera/ webera, burial, etc.)		
g.	Religious group		
h.	Mothers' group		
i.	Women's group		
j.	Youth group		
k.	Sports group		
l.	Disaster planning group		

R807	Over the last 12 months, how many times have you or anyone in your household been part of a group that provided labor to someone in the village who needed it?	<ol> <li>None, no one needed/asked for help</li> <li>None, I wasn't part of a group</li> <li>Once or twice</li> <li>3-5 times</li> <li>6 or more times</li> <li>Don't know</li> <li>9 Refused</li> </ol>
R808	Over the last 12 months, how many times have you or anyone in your household been part of a group that provided food to someone in the village who needed it?	<ol> <li>None, no one needed/asked for help</li> <li>None, I wasn't part of a group</li> <li>Once or twice</li> <li>3-5 times</li> <li>6 or more times</li> <li>8 Don't know</li> <li>9 Refused</li> </ol>
R809	Over the last 12 months, how many times have you or anyone in your household been part of a group that provided some other type of help to someone else in the village?	1. None, no one needed/asked for help 2. None, I wasn't part of a group 3. Once or twice 4. 3-5 times 5. 6 or more times -8 Don't know -9 Refused
R810	Has the amount of help you or anyone in your household can provide to others in your village changed over the last five years?	No one in HH provided help to anyone in village over the last 5 years     No (stayed the same)     Yes, decreased slightly     Yes, decreased greatly     Yes, increased slightly     Yes, increased greatly     Yes, increased greatly     Refused

## **Module R9. Collective Action**

R901	In the last 12 months, have you or anyone in your household worked with others in your village to do something for the benefit of everyone in the village?	<ul> <li>I. Yes</li> <li>2. No</li> <li>-8 Don't know</li> <li>→ Skip to next module</li> </ul>
	, ,	-9 Refused

		1. Soil conservation (terracing, bunds, half-moons, gabions, etc.)
		2. Flood diversion activities
		3. Repaired/built schools
		4. Repaired/built health posts or centers
	What activities did you participate in?	5. Road maintenance/construction
R902		6. Planted trees on communal land
11702	Read list; select all that apply	7. Area enclosure
		8. Improving access to drinking water
		9. Repaired/built communal irrigation system
		10. Other (specify)
		-8 Don't know
		-9 Refused

## **Module R10. Livelihood Activities**

		R1001	R1002
		What were all the sources of your household's food/income over the last 12 months?	Rank these sources based on the proportion of food/income they provide for your household
		Read each source I = Yes 2 = No -8 = Don't know -9 Refused	I = highest
a.	Own farming/crop production and sales		
b.	Own livestock production/fattening and sales		
c.	Agricultural wage labor (WITHIN THE VILLAGE)		
d.	Agricultural wage labor (OUTSIDE THE VILLAGE)		
e.	Non-agricultural wage labor (WITHIN THE VILLAGE)		
f.	Non-agricultural wage labor (OUTSIDE THE VILLAGE)		
g.	Salaried work		
h.	Sale of wild/bush products (including charcoal, firewood)		
i.	Honey production and sales		

j.	Petty trade (selling other products, e.g., grain, veggies, oil, sugar, etc.)	
k.	Petty trade (selling own products, e.g., local beer, sex work)	
l.	Other self-employment/own business (agricultural, e.g., buying/reselling chat)	
m.	Other self-employment/own business (non-agricultural, e.g., stone cutting, hair braiding, etc.	
n.	Rental of land, house, rooms	
0.	Remittances	
p.	Gifts/inheritance	
q.	Safety net food/cash assistance	
r.	Other (specify):	
R1003	Total number of sources Note: Enumerator does not record; number is automatically generated	

## Module R11. Migration and Use of Remittances

RIIOI	Over the last two years, has anyone who was living in your household migrated to <b>SOMEWHERE ELSE IN [country]</b> looking for work?	1. Yes 2. No -8 Don't know -9 Refused  Skip to R1105
R1102	Did the person(s) migrate <b>SOMEWHERE ELSE IN [country]</b> permanently or temporarily for work?	<ul><li>I. Permanent</li><li>2. Temporary</li><li>-8 Don't know</li><li>-9 Refused</li></ul>
R1103	Does the person(s) living <b>SOMEWHERE ELSE IN [country]</b> send money back to your household?	<ol> <li>Yes, regularly</li> <li>Yes, irregularly</li> <li>No</li> <li>Don't know</li> <li>Refused</li> </ol>
R1104	Who migrated to <b>SOMEWHERE ELSE IN [country]</b> within the last two years looking for work?  Select all that apply	I. Male HHH 2. Female HHH 3. Other adult males in HH 4. Other adult females in HH 5. Youths -8 Don't know -9 Refused

R1105	Over the last two years, has anyone who was living in your household migrated to <b>ANOTHER COUNTRY</b> looking for work?	1. Yes 2. No -8 Don't know -9 Refused  Skip to R1109
R1106	Did the person(s) migrate to <b>ANOTHER COUNTRY</b> permanently or temporarily for work?	I. Permanent     Z. Temporary     -8 Don't know     -9 Refused
R1107	Does the person living in <b>ANOTHER COUNTRY</b> send money back to your household?	<ol> <li>Yes, regularly</li> <li>Yes, irregularly</li> <li>No</li> <li>8 Don't know</li> <li>9 Refused</li> </ol>
R1108	Who migrated to ANOTHER COUNTRY within the last two years looking for work?  Select all that apply	I. Male HHH  2. Female HHH  3. Other adult males in HH  4. Other adult females in HH  5. Youths  -8 Don't know  -9 Refused
R1109	CHECK ANSWERS TO R1103 AND R1107: IF 1103 AND 1107 = 3, -8, or -9, END OF MODULE	
RIII0	1. Male HHH living in HH 2. Male HHH not in HH (i.e., who migrated) 3. Female HHH living in HH 4. Female HHH not in HH (i.e., who migrated)	
RIIII	Did you or any other household member use remittances to specifically deal with a shock or stress in the last 12 months?  I. Yes  2. No -8 Don't know -9 Refused	

## Module R13. Social and Capacity Building Support

FORMAL SOURCES OF SOCIAL SUPPORT			
R1301	Has your household received any kind of formal support from the government or NGO over the past 12 months?	1. Yes 2. No -8 Don't know -9 Refused  Skip to R1304	
R1302	What types of support were received?  Read list; select all that apply	<ol> <li>Emergency food assistance</li> <li>Emergency cash assistance</li> <li>Conditional cash transfer (CFW)</li> <li>Conditional food transfer (FFW)</li> <li>Unconditional cash transfer (non-emergency)</li> <li>Unconditional food transfer (non-emergency)</li> <li>Housing materials/non-food items</li> <li>WASH inputs</li> <li>Educational assistance/school feeding</li> <li>Ag inputs (seeds, fertilizers, etc.)</li> <li>Livestock inputs (e.g., feed, fodder, medicine, dips)</li> <li>Nutrition/supplemental feeding</li> <li>Other (specify)</li> <li>Bon't know</li> <li>Refused</li> </ol>	
SOCIAL C	APITAL		
R1304	If your household had a problem and needed help urgently (e.g., food, money, labor, transport, etc.), who IN THIS VILLAGE could you turn to for help?  Read list; select all that apply	1. Relatives 2. Non-relatives in my ethnic group/clan 3. Non-relatives in other ethnic group/clan 4. No one 5. Other (specify) -8 Don't know -9 Refused	
R1305	If your household had a problem and needed help urgently (e.g., food, money, labor, transport, etc.), who OUTSIDE THIS VILLAGE could you turn to for help?  Read list; select all that apply	I. Relatives 2. Non-relatives in my ethnic group/clan 3. Non-relatives in other ethnic group/clan 4. No one 5. Other (specify) -8 Don't know -9 Refused	

R1306	Compared to one year ago has your ability to get help from anyone within or outside of your village:	<ol> <li>Increased</li> <li>Stayed the same</li> <li>Decreased</li> <li>B Don't know</li> <li>Refused</li> </ol>
R1307	Who INSIDE THIS VILLAGE would you help if they needed help urgently (e.g., food, money, labor, transport, etc.)?  Read list; select all that apply	<ol> <li>Relatives</li> <li>Non-relatives in my ethnic group/clan</li> <li>Non-relatives in other ethnic group/clan</li> <li>No one</li> <li>Other (specify):         <ul> <li>B Don't know</li> <li>Refused</li> </ul> </li> </ol>
R1308	Who <b>OUTSIDE THIS VILLAGE</b> would you help if they needed help urgently (e.g., food, money, labor, transport, etc.)?  Read list; select all that apply	<ol> <li>Relatives</li> <li>Non-relatives in my ethnic group/clan</li> <li>Non-relatives in other ethnic group/clan</li> <li>No one</li> <li>Other (specify):         <ul> <li>B Don't know</li> <li>Refused</li> </ul> </li> </ol>
LINKING S	SOCIAL CAPITAL	
R1309	Do you or does anyone else in your household personally know an elected government official?	1. Yes 2. No -8 Don't know -9 Refused  Skip to R1312
R1310	How do you (or other household member) know the government official? Is he or she a?  Read list; select all that apply	<ol> <li>Family member or relative</li> <li>Friend</li> <li>Neighbor</li> <li>Acquaintance (member of a group, friend of a friend, etc.)</li> <li>Other (specify):         <ul> <li>8 Don't know</li> <li>9 Refused</li> </ul> </li> </ol>
RI3II	Could you ask the official to help your family or village if help was needed?	I. Yes 2. No -8 Don't know -9 Refused
R1312	Do you or does anyone else in your household personally know a staff member of an NGO?	1. Yes 2. No -8 Don't know -9 Refused  Skip to R1315

R1313	How do you (or another household member) know the NGO staff member? Is he or she a?  Read list; select all that apply	<ol> <li>Family member or relative</li> <li>Friend</li> <li>Neighbor</li> <li>Acquaintance (member of a group, friend of a friend, etc.)</li> <li>Other (specify):         <ul> <li>8 Don't know</li> <li>9 Refused</li> </ul> </li> </ol>	
R1314	Could you ask the NGO staff member to help your family or community if help was needed?	I. Yes 2. No -8 Don't know -9 Refused	
BONDING	SOCIAL CAPITAL		
R1315	Has your household given assistance to anyone WITHIN THIS VILLAGE in the last 12 months?	I Yes 2 No -8 Don't know -9 Refused  Skip to I318	
R1316	What types of assistance has your household given to someone WITHIN THIS VILLAGE in the last 12 months?  Read list; select all that apply	<ol> <li>Labor sharing (weeding, plowing, construction, etc.)</li> <li>Donation/gift (cash, animals, materials/ supplies, food, etc.)</li> <li>Loans (cash, seeds, animals, etc.)</li> <li>Other (specify):         <ul> <li>Bon't know</li> <li>Refused</li> </ul> </li> </ol>	
R1317	Who IN THIS VILLAGE have you given assistance to in the last 12 months?  Read list; select all that apply	<ol> <li>Relatives</li> <li>Non-relatives in my ethnic group/clan</li> <li>Non-relatives in other ethnic group/clan</li> <li>Other (specify):         <ul> <li>B Don't know</li> <li>Refused</li> </ul> </li> </ol>	
R1318	Within the last 12 months, has your household received assistance from anyone <b>WITHIN THIS VILLAGE</b> ?	I Yes 2 No -8 Don't know -9 Refused  Skip to I32I	

R1319	What types of assistance has your household received from someone WITHIN THIS VILLAGE in the last 12 months?  Read list; select all that apply	<ol> <li>Labor sharing (weeding, plowing, construction, etc.)</li> <li>Donation/gift (cash, animals, materials/ supplies, food, etc.)</li> <li>Loan (cash, seeds, animals, etc.)</li> <li>Other (specify):         <ul> <li>Bon't know</li> <li>Refused</li> </ul> </li> </ol>	
R1320	Who WITHIN THIS VILLAGE provided you with assistance over the last 12 months?  Read list; select all that apply	<ol> <li>Relatives</li> <li>Non-relatives in my ethnic group/clan</li> <li>Non-relatives in other ethnic group/clan</li> <li>Other (specify)</li> <li>Don't know</li> <li>Refused</li> </ol>	
BRIDGING	SOCIAL CAPITAL		
R1321	Within the last 12 months, has your household given assistance to anyone OUTSIDE THIS VILLAGE?	I Yes 2 No -8 Don't know -9 Refused  Skip to I324	
R1322	What types of assistance did you give to someone OUTSIDE THIS VILLAGE in the last 12 months?  Read list; select all that apply	<ol> <li>Labor sharing (weeding, plowing, construction, etc.)</li> <li>Donation/gift (cash, animals, materials/ supplies, food, etc.)</li> <li>Loan (cash, seeds, animals, etc.)</li> <li>Remittances</li> <li>Other (specify):         <ul> <li>Bon't know</li> <li>Refused</li> </ul> </li> </ol>	
R1323	Who <b>OUTSIDE THIS VILLAGE</b> did you give assistance to over the last 12 months?  Read list; select all that apply	<ol> <li>Relatives</li> <li>Non-relatives in my ethnic group/clan</li> <li>Non-relatives in other ethnic group/clan</li> <li>Other (specify):         <ul> <li>Bon't know</li> <li>Refused</li> </ul> </li> </ol>	
R1324	Within the last 12 months, has your household received assistance from anyone <b>OUTSIDE THIS VILLAGE</b> ?	I Yes 2 No -8 Don't know -9 Refused  Skip to I327	

R1325	What types of assistance did you receive from someone OUTSIDE THIS VILLAGE in the last 12 months?  Read list; select all that apply	<ol> <li>Labor sharing (weeding, plowing, construction, etc.)</li> <li>Donation/gift (cash, animals, materials/ supplies, food, etc.)</li> <li>Loan (cash, seeds, animals, etc.)</li> <li>Remittances</li> <li>Other (specify):         <ul> <li>Bon't know</li> <li>Refused</li> </ul> </li> </ol>
R1326	Who <b>OUTSIDE THIS VILLAGE</b> provided you with assistance over the last 12 months?  Read list; select all that apply	<ol> <li>Relatives</li> <li>Non-relatives in my ethnic group/clan</li> <li>Non-relatives in other ethnic group/clan</li> <li>Other (specify):         <ul> <li>Bon't know</li> <li>Refused</li> </ul> </li> </ol>
EDUCATION	ON AND TRAINING SUPPORT	
R1327	Have you or anyone in your household ever received any vocational (job) or skill training?	I. Yes 2. No -8 Don't know -9 Refused
R1328	Have you or anyone in your household ever received any business development training (including financial literacy)?	I. Yes 2. No -8 Don't know -9 Refused
R1329	Have you or anyone in your household ever received any early warning training?	I. Yes 2. No -8 Don't know -9 Refused
R1330	Have you ever or anyone in your household received any natural resource management training?	I. Yes 2. No -8 Don't know -9 Refused
R1331	Have you or anyone in your household ever received adult education?	I. Yes 2. No -8 Don't know -9 Refused
R1332	Have you or anyone in your household ever received training in how to use your mobile phone to get market information like prices?	<ul><li>I. Yes</li><li>2. No</li><li>-8 Don't know</li><li>-9 Refused</li></ul>

R1333	Have you or anyone in your household ever received seed packets/starter packets from the government or an NGO?	I. Yes 2. No -8 Don't know -9 Refused
R1334	Can you or any other adult in your household read or write?	I. Yes 2. No -8 Don't know -9 Refused

## Module R14. Aspirations and Confidence to Adapt

R1401	Please tell me which one of these two views you most agree with.	<ol> <li>"Each person is primarily responsible for his/her success or failure in life".</li> <li>"One's success or failure in life is a matter of his/her destiny".</li> </ol>
R1402	Please tell me which one of these two views you most agree with.	<ol> <li>"To be successful, above all one needs to work very hard".</li> <li>"To be successful above all one needs to be lucky".</li> </ol>
R1403	Are you willing to move somewhere else to improve your life?	I. Yes 2. No
R1404	Are you hopeful about your children's future?	1. Yes 2. No
R1405	What level of education do you want for your children?	No preference     Any level of primary     Graduated from primary     Graduated from secondary     Post-secondary (college, university)     No children in HH
R1406	Do you agree that one should always follow the advice of the elders?	I. Yes 2. No
R1407	Do you communicate regularly with at least one person outside the village?	I. Yes 2. No
R1408	During the past week, have you engaged in any economic activities with other villages or clans? For example, farming, trading, employment, borrowing or lending money.	I. Yes 2. No
R1409	How many times in the past month have you gotten together with friends, family, neighbors, etc. to discuss issues or share food/drinks, either in someone's home or in a public place?	times

R1410	How many days in the past month have you attended a church/mosque or other religious service?	days
RI4II	In the last year, how many times have you stayed more than 2 days outside your village?	times

Below is a series of statements that you may agree or disagree with. Using the scales below indicate your agreement with each item.

		I = Strongly disagree 2 = Disagree 3 = Slightly disagree 4 = Slightly agree 5 = Agree 6 = Strongly agree
R1412	My experience in life has been that what is going to happen will happen.	
R1413	My life is chiefly controlled by other powerful people.	
R1414	It is not always wise for me to plan too far ahead because many things turn out to be a matter of good or bad fortune.	
R1415	I can mostly determine what will happen in my life.	
R1416	When I get what I want, it is usually because I worked hard for it.	
R1417	My life is determined by my own actions.	
R1418	Most people are basically honest.	
R1419	Most people can be trusted.	
R1420	I trust my neighbors to look after my house if I am away.	

#### **Module RI5. Gender Norms**

R1501	Do you and your spouse sit and eat together within your household?	<ol> <li>Yes, and it is culturally acceptable</li> <li>Yes, but it is not culturally acceptable</li> <li>No, but it is culturally acceptable</li> <li>No, and it is not culturally acceptable</li> <li>Only for special occasions</li> <li>No spouse/spouse absent</li> <li>Don't know</li> <li>Refused</li> </ol>
R1502	Do you and your spouse sit together in public?	<ol> <li>Yes, and it is culturally acceptable</li> <li>Yes, but it is not culturally acceptable</li> <li>No, but it is culturally acceptable</li> <li>No, and it is not culturally acceptable</li> <li>Only for special occasions</li> <li>No spouse/spouse absent</li> <li>Don't know</li> <li>Refused</li> </ol>
R1503	Who primarily cares for your children?	<ol> <li>Yourself</li> <li>Your spouse/partner</li> <li>You help your spouse/partner</li> <li>Your spouse/partner helps you</li> <li>Not applicable</li> <li>Other (specify)</li> <li>B Don't know</li> <li>9 Refused</li> </ol>
R1504	Who primarily collects firewood for your household?	<ol> <li>Yourself</li> <li>Your spouse/partner</li> <li>You help your spouse/partner</li> <li>Your spouse/partner helps you</li> <li>Not applicable</li> <li>Other (specify)</li> <li>8 Don't know</li> <li>9 Refused</li> </ol>

R1505	Who primarily fetches water for your household?	<ol> <li>Yourself</li> <li>Your spouse/partner</li> <li>You help your spouse/partner</li> <li>Your spouse/partner helps you</li> <li>Not applicable</li> <li>Other (specify)</li> <li>8 Don't know</li> <li>9 Refused</li> </ol>
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## Module R16. Food Insecurity Coping Strategies Index (CSI)

	R1601		
Over the p	Number of days out of the past seven		
Read list	Read list		
a.	Rely on less preferred and less expensive foods?		
b.	Borrow food, or rely on help from a friend or relative?		
c.	Purchase food on credit?		
d.	Gather wild food, hunt, or harvest immature crops?		
e.	Consume seed stock held for next season?		
f.	Send household members to eat elsewhere?		
g.	Limit portion size at mealtimes?		
h.	Restrict consumption by adults in order for small children to eat?		
i. Feed working members of HH at the expense of non-working members?			
j. Reduce number of meals eaten in a day?			
k. Skip entire days without eating?			

#### ONLY ask these questions of the primary female decision-maker.

## Module R17. Gender-Equitable Decision-Making

R1701	Overall, who primarily makes most household decisions?	I. I am solely responsible for decision     2. I ask other HH members but I most always have final say     3. Spouse/partner and I jointly     4. Spouse/partner or other male asks for my opinion but I don't have final say     5. Spouse/partner or other male informs me of decision but I don't have final say     6. I have no say in the decision     7. Not applicable     8. Other (specify)
R1702	Who primarily makes decisions on how household income is used?	1. I am solely responsible for decision 2. I ask other HH members but I most always have final say 3. Spouse/partner and I jointly 4. Spouse/partner or other male asks for my opinion but I don't have final say 5. Spouse/partner or other male informs me of decision but I don't have final say 6. I have no say in the decision 7. Not applicable 8. Other (specify)
R1703	Who primarily makes household decisions over health care and nutrition?	<ol> <li>I am solely responsible for decision</li> <li>I ask other HH members but I most always have final say</li> <li>Spouse/partner and I jointly</li> <li>Spouse/partner or other male asks for my opinion but I don't have final say</li> <li>Spouse/partner or other male informs me of decision but I don't have final say</li> <li>I have no say in the decision</li> <li>Not applicable</li> <li>Other (specify)</li> </ol>
R1704	Who primarily makes decisions about major household purchases?	I. I am solely responsible for decision     2. I ask other HH members but I most always have final say     3. Spouse/partner and I jointly     4. Spouse/partner or other male asks for my opinion but I don't have final say     5. Spouse/partner or other male informs me of decision but I don't have final say     6. I have no say in the decision     7. Not applicable     8. Other (specify)

R1705	Who primarily makes decisions about your children's education?	<ol> <li>I am solely responsible for decision</li> <li>I ask other HH members but I most always have final say</li> <li>Spouse/partner and I jointly</li> <li>Spouse/partner or other male asks for my opinion but I don't have final say</li> <li>Spouse/partner or other male informs me of decision but I don't have final say</li> <li>I have no say in the decision</li> <li>Not applicable</li> <li>Other (specify)</li> </ol>
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			ou yourself participate in	How much input do	How much input did
			ns about [ACTIVITY] in the	you have in the	you have in decisions
		last 12	months?	decision for	on the use of income
If hou	sehold does not engage in a particular activity,	1.	Yes	[ACTIVITY]?	generated from
enter	code 3 for "Decision not made" and skip to	2.	No		[ACTIVITY]
next o	lecision category.	3.	Decision not made		
			2,3 Skip to next [activity]	CODE ↓	CODE ↓
			R1706	R1707	R1708
a.	Crop production				
b.	Livestock rearing				
C.	Major household expenditures (large appliances, etc.)				
d.	Spending money that you have earned				
e.	Spending money that your spouse has earned				
f.	Children's education				
g.	Seeking medical treatment for your children				
h.	Whether or not to use family planning (including contraception) to space or limit births				

R1706/R1707: Input into decisions		
I am solely responsible for the decision		
I ask other HH members, but I most always have final say 2		
Spouse/partner and I jointly decided 3		
Spouse/partner or other male asks for my opinion but I don't have final say	4	
Spouse/partner or other male informs me of decision but I don't have final say		5
I have no say in the decision 6		

## Module 17A. Women's Reproductive Health

RI70IA	Did you receive any antenatal care during your last pregnancy?	<ul><li>I. Yes</li><li>2. No &gt;&gt; skip to R1703A</li><li>-8 Don't know</li><li>-9 Refused</li></ul>
R1702A	How many times did you receive antenatal care during your last pregnancy?	times -8 Don't know -9 Refused
R1703A	Are you or your partner currently doing something or using any method to delay or avoid getting pregnant?	<ul><li>1. Yes</li><li>2. No &gt;&gt; end of module</li><li>-8 Don't know</li><li>-9 Refused</li></ul>
R1704A	What method are you or your partner using?  Select all that apply	<ol> <li>Oral contraceptives</li> <li>Fe/male condoms</li> <li>Vaginal spermicides</li> <li>Injectable hormonal contraceptives</li> <li>Hormonal implants</li> <li>Vaginal rings</li> <li>Abstinence</li> <li>Withdrawal</li> <li>Other (specify)</li> <li>Bon't know</li> <li>Refused</li> </ol>

#### **FOOD SECURITY**

NOTE: The FIES questions regarding the past 12 months are presented in the FFP core household survey. The questions below (FIES 30-day recall) should be integrated with those, if the survey is implementing the FIES rather than the HFIAS (Module R19) as a measure of food security.

#### Module R18. FIES (30-day only)

Ask these questions of the primary female decision-maker or whoever is most knowledgeable about the food consumption of household members.

R1801	During the past 30 days, was there a time when you or others in your household were worried you would not have enough food to eat because of a lack of money or other resources?	I. Yes 2. No
R1802	During the past 30 days, was there a time when you or others in your household were unable to eat healthy and nutritious food because of a lack of money or other resources?	I. Yes 2. No
R1803	During the past 30 days, was there a time when you or others in your household ate only a few kinds of foods because of a lack of money or other resources?	I. Yes 2. No
R1804	During the past 30 days, was there a time when you or others in your household had to skip a meal because of a lack of money or other resources to get food?	I. Yes 2. No
R1805	During the past 30 days, was there a time when you or others in your household ate less than you thought you should because of a lack of money or other resources?	I. Yes 2. No
R1806	During the past 30 days, was there a time when your household did not have food because of a lack of money or other resources?	I. Yes 2. No
R1807	During the past 30 days, was there a time when you or others in your household were hungry but did not eat because there was not enough money or other resources for food?	I. Yes 2. No
R1808	During the past 30 days, was there a time when you or others in your household went without eating for a whole day because of a lack of money or other resources?	I. Yes 2. No

NOTE: only ask Module R19 if using the HFIAS rather than the FIES as a measure of food security.

## Module R19. Household Food Insecurity Access Scale (HFIAS)

Ask these questions of the primary female decision-maker or whoever is most knowledgeable about the food consumption of household members.

R1901	In the past four weeks, did worry that your household would not have enough food?	<ol> <li>Yes</li> <li>No Skip to 1902</li> </ol>
R1901a	In the past 4 weeks, how often did this happen?	I = Rarely (I-2 times) 2 = Sometimes (3-10 times) 3 = Often (>10 times)
R1902	In the past four weeks, were you or any household member not able to eat the kinds of foods you preferred because of a lack of resources?	1. Yes 2. No Skip to 1903
R1902a	In the past 4 weeks, how often did this happen?	I = Rarely (I-2 times) 2 = Sometimes (3-10 times) 3 = Often (>10 times)
R1903	In the past four weeks, did you or any household member have to eat a limited variety of foods due to a lack of resources?	1. Yes 2. No Skip to 1904
R1903a	In the past 4 weeks, how often did this happen?	I = Rarely (I-2 times) 2 = Sometimes (3-10 times) 3 = Often (>10 times)
R1904	In the past four weeks, did you or any household member have to eat some foods that you really did not want to eat because of a lack of resources to obtain other types of food?	1. Yes 2. No Skip to 1905
R1904a	In the past 4 weeks, how often did this happen?	I = Rarely (I-2 times) 2 = Sometimes (3-10 times) 3 = Often (>10 times)
R1905	In the past four weeks, did you or any household member have to eat a smaller meal than you felt you needed because there was not enough food?	1. Yes 2. No Skip to 1906
R1905a	In the past 4 weeks, how often did this happen?	I = Rarely (I-2 times) 2 = Sometimes (3-10 times) 3 = Often (>10 times)

R1906	In the past four weeks, did you or any other household member have to eat fewer meals in a day because there was not enough food?	1. Yes 2. No <b>Skip to 1907</b>
R1906a	In the past 4 weeks, how often did this happen?	I = Rarely (I-2 times) 2 = Sometimes (3-10 times) 3 = Often (>10 times)
R1907	In the past four weeks, was there ever a time when there was no food of any kind to eat in your household because of lack of resources to get food?	1. Yes 2. No Skip to 1908
R1907a	In the past 4 weeks, how often did this happen?	I = Rarely (I-2 times) 2 = Sometimes (3-10 times) 3 = Often (>10 times)
R1908	In the past four weeks, did you or any household member go to sleep at night hungry because there was not enough food?	1. Yes 2. No Skip to 1909
R1908a	In the past 4 weeks, how often did this happen?	I = Rarely (I-2 times) 2 = Sometimes (3-10 times) 3 = Often (>10 times)
R1909	In the past four weeks, did you or any household member go a whole day and night without eating anything because there was not enough food?	I. Yes 2. No End of module
R1909a	In the past 4 weeks, how often did this happen?	I = Rarely (I-2 times) 2 = Sometimes (3-10 times) 3 = Often (>10 times)

#### \*\*THANK YOU\*\*

After the interview thank the respondents for giving you their time and for the co-operation in providing the information. At this point invite the respondents to ask you any questions that they might have.

#### ANNEX A. REPLACEMENT QUESTIONS

NOTE: For the Full Approach, all of the following modules must be inserted into the resilience instrument (HH questionnaire) if not using the FFP questionnaire (or other USAID instrument).

#### **Education/Training**

The following information is needed to calculate the Education/Training component of resilience capacity. This module is also required for the Light Approach.

NOTE: The household roster can be used to determine the answer to the following question, as long as it allows for calculating the highest level of education reached for each adult household member. Otherwise, the following question can be inserted into the resilience instrument at the end of MODULE 13.

R1335

Do any household adults have a primary school or higher education?

I. Yes

2. No

-8 Don't know
-9 Refused

#### Module R2B. Household Assets

This module is used in calculating the Asset Ownership component of resilience capacity. Assets should be contextualized. This module is also required for the Light Approach.

	Type of asset	R201B	R202B
		Number owned now	Did you sell any of this item in the past 12 months because your household was in distress from a shock or stress (not enough money to cover normal expenses)?
		-8 Don't know	I. Yes 2. No
		-9 Refused	-8 Don't know
			-9 Refused
a.	Improved charcoal/wood stove		
b.	Kerosene stove		
c.	Sofa		
d.	Leather bed		

	Type of asset	R201B	R202B
		Number owned now	Did you sell any of this item in the past 12 months because your household was in distress from a shock or stress (not enough money to cover normal expenses)?
		-8 Don't know	I. Yes 2. No
		-9 Refused	-8 Don't know
			-9 Refused
e.	Wooden bed		
f.	Metal bed		
g.	Telephone apparatus		
h.	Radio		
i.	Tape player		
j.	Television		
k.	Jewelry, gold		
l.	Jewelry, silver		
m.	Jewelry , wristwatches		
n.	Firearms		
0.	Modern Chair		
p.	Modern Table		
q.	Wheelbarrow		
r.	Bicycle		
s.	Cart (animal drawn)		
t.	Passenger car or truck		
u.	Motor bike (2 or 3 wheels)		
v.	Generator		
w.	Solar lamp		
x.	Micro-energy (Solar, Hydro, etc.)		

#### Module R4. Access to Water

This module is used in calculating the Access to Infrastructure component of resilience capacity. Contextualized responses must include "Piped".

R400	What is the main source of drinking water for your household?  Select only one	<ol> <li>Piped (public/HH tap)</li> <li>Protected wells/springs</li> <li>Unprotected wells/springs</li> <li>Rainwater collection</li> <li>Rivers/ponds/earthen reservoirs</li> <li>Truck/vendor</li> <li>Bottled water</li> <li>Other (specify):         <ul> <li>B DK</li> <li>P Refused</li> </ul> </li> </ol>
R401	How long does it take to fetch water for household use (round trip)?	Minutes -8 Don't know -9 Refused

## **Module R12. Adoption of Improved Practices**

R1201	Was your household engaged in crop production activities over the last 12 months?	I = yes 2 = no -8 DK -9 Refused
R1202	Is irrigation water available in your area?	I = yes 2 = no -8 DK -9 Refused If 2, -8, -9, skip to RI205
R1203	Does your household have access to it?	I = yes >> skip to R1205 2 = no -8 DK

R1204	If not, what is the main reason you do not have access to it?  Select only one  Only ask if R1203 = No	I. No water  2. System not functional  3. No management committee  4. Doesn't reach my fields  5. Too costly  6. Other (specify)  -8 DK  -9 Refused
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#### Contextualize list of improved practices based on program activities and goals.

	Agricultural Practices	R1205	R1206	R1207
		Are you familiar with any of the following agricultural practices?	If yes, how did you learn about it?	Did you practice it over the last 12 months?
		I = Yes 2 = No -8 DK -9 Refused If 2,-8,-9 Skip to next practice	I. Have always done it 2. Neighbor/local farmers 3. Government extension 4. NGO 5. Farmers' cooperative 6. Private sector 7. Other -8 DK -9 Refused	I = Yes 2 = No -8 DK -9 Refused
a.	Crop diversification			
b.	Cropping systems (crop rotation, intercropping, cover cropping)			
c.	Minimum tillage			
d.	Agroforestry (integrating fodder and fruit trees, other nitrogen fixing trees)			
e.	Soil and water conservation (mulching, construction of bunds, terraces, earthworks for water retention)			
f.	Integrated pest management			
g.	Soil fertility (compost, manure, organic fertilizer)			

h.	Drip or micro-irrigation		
	Use of improved seeds (drought-tolerant, early-maturing,)		
j.	Improved crop storage practices (e.g., PICS bags/grain bags, sealed containers, cereal banks, modern storage structure, etc.)		
k.	Crop thinning		

R1208	What is the main method of storage that you used for any cereals/grains you produced during the last season?  Select only one	I. Improved locally made structure/granary     Modern storage structure like cribs or silos     Sealed/airtight containers     Cereal banks     Traditional storage     Other     Did not store any     Refused	
R1209	Do you have animals and/or aquaculture products over which you make decisions about their management OR how to dispose/store/sell of the production?	1. Yes 2. No -8 DK -9 Refused  Skip to R1211	
R1210	Did you use any of the following practices when you cared for the livestock during the last 12 months?  Read out loud  Select all that apply .	1. Improved animal shelters 2. Vaccinations 3. Deworming 4. Castration 5. Dehorning 6. Supplemental feeding (commercial, local production) 7. Artificial insemination 8. Pen feeding 9. Fodder production 10. Animal health worker/paravet services 11. Cut and carry system 12. Controlled grazing 13. None -8 DK -9 Refused	

RI2II	Did you use any of the following natural resources management practices or techniques during the last 12 months?  Select all that apply	<ol> <li>Watershed management</li> <li>Agro-forestry</li> <li>Afforestation/reforestation</li> <li>Sustainable harvesting of forest products</li> <li>Rotational grazing or transhumance livestock keeping</li> <li>Hedge-row planting</li> <li>Water resource management (irrigation, water harvesting, etc.)</li> <li>None</li> <li>B DK</li> <li>9 Refused</li> </ol>
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#### Module R20. Household Dietary Diversity

This module is also required for the Light Approach.

Ask these questions of the primary female decision-maker or whoever is most knowledgeable about the food consumption of household members.

Now I would like to ask you about the types of foods that you or anyone else in your household ate yesterday during the day and at night. Please include all food eaten both at your home or away from home.

Read the list of foods. Choose "yes" if anyone in the household ate the food in question. Choose "no" if no one in the household ate the food.

R2001	Was yesterday an unusual or special day (festival, funeral, fasting, etc.) where you at special foods or where you ate more, or less, than usual?	I. Yes 2. No
R2002	Maize, bread, rice, millet, barley, bulgar wheat, porridge, buckwheat, noodles, teff, nifro, or other foods made from cereals/grains?	I. Yes 2. No
R2003	Cassava, potatoes, sweet potatoes, yams, taro, false banana/enset, or any other foods made from roots?	I. Yes 2. No
R2004	Any vegetables (leaves)? Such as spinach, lettuce, beetroot, kale, moringa, carrots, pumpkin leaves, okra, pumpkin, squash, gourds (including bitter & bottle), mushrooms, raddish, tomato, cucumber, cabbage, cauliflower, green leafy vegetables, skus, broad beans, brinjals, green peas	I. Yes 2. No
R2005	Any fruits? Including apples, oranges, banana, guava, papaya, mangoes, pineapple, berries, watermelon, avocado, cactus	1. Yes 2. No

R2006	Any meat? Lamb, camel, goat, chicken, kok, jigra (guinea fowl), or other birds, beef, liver, kidney, heart, or other organ meats or blood?	I. Yes 2. No
R2007	Any eggs? (chicken, ostrich, guinea fowl/jigra)	I. Yes 2. No
R2008	Any fresh or dried fish?	I. Yes 2. No
R2009	Any foods made from beans, peas, lentils, cowpeas, pigeon peas, groundnuts, peanuts, soyabeans, chickpeas, haricot beans?	I. Yes 2. No
R2010	Any cheese, yogurt, milk, sour milk, skimmed milk, or other dairy products?	I. Yes 2. No
R2011	Any foods made with oil, animal fat or butter?	I. Yes 2. No
R2012	Any sugar or honey, granulated sugar, sugar cane, sweet reed/tinksh/ageda?	I. Yes 2. No
R2013	Any other foods, such as condiments, salt, pepper, chili, ginger, garlic, cardamom, cumin, cinnamon, spices, coffee, or tea?	I. Yes 2. No



## About the USAID Resilience and Resilience Capacities Measurement Options

Given the range of USAID programs trying to capture changes in resilience promoted through their investments, there is a need for measurement options that better reflect the goals and available resources of these different programs.

This guidance presents Light, Intermediate, and Full approaches for analyzing resilience, each of which reflects a different level of effort—and budget—in terms of the survey instrument and analysis used.

This document is one of five resources intended to be used together for measuring and analyzing resilience in relevant USAID-funded activities:

- Resilience and Resilience Capacities Measurement Options
- Household Questionnaire (this document)
- Community Questionnaire
- Enumerator Guidance (for both questionnaires)
- Methodological Guide

Visit www.fsnnetwork.org/REAL for more information.



