

**Investor complaints data for NeoLiv Capital Advisory Private Limited.
(Co-Investment Portfolio Management Services)**

1. Investor complaints data for the month ending September 30, 2025

| Sr. No. | Investor Complaints received from | Pending as at the end of the last quarter | Received | Resolved | Total Pending at the end of the quarter | Pending complaints | Average Resolution time ^ |
|---------|-----------------------------------|---|----------|----------|---|--------------------|---------------------------|
| | | | | | | > 3 months | (in days) |
| 1. | Directly from Investors | - | - | - | - | - | - |
| 2. | SEBI (SCORES) | - | - | - | - | - | - |
| 3. | Other Sources (if any) | - | - | - | - | - | - |
| | Total | - | - | - | - | - | - |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days in the current month divided by total number of complaints resolved in the current month.

2. Trend of monthly disposal of complaints

| Sr. No. | Month | Carried forward from previous month | Received | Resolved* | Pending# |
|---------|--------------------|-------------------------------------|----------|-----------|----------|
| 1 | Jul- 24 | - | - | - | - |
| 2 | Aug- 24 | - | - | - | - |
| 3 | Sep- 24 | - | - | - | - |
| 4 | Oct- 24 | - | - | - | - |
| 5 | Nov- 24 | - | - | - | - |
| 6 | Dec- 24 | - | - | - | - |
| 7 | Jan- 25 | - | - | - | - |
| 8 | Feb- 25 | - | - | - | - |
| 9 | Mar- 25 | - | - | - | - |
| 10 | Apr- 25 | - | - | - | - |
| 11 | May- 25 | - | - | - | - |
| 12 | June- 25 | - | - | - | - |
| 13 | July- 25 | - | - | - | - |
| 14 | August-25 | - | - | - | - |
| 15 | September-25 | - | - | - | - |
| | Grand Total | - | - | - | - |

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

3. Trend of annual disposal of complaints

| Sr. No. | Year | Carried forward from previous year | Received | Resolved** | Pending## |
|---------|-------------|---------------------------------------|----------|------------|-----------|
| 1 | 2024-25 | - | - | - | - |
| 2 | 2025-26 | - | - | - | - |
| | Grand Total | - | - | - | - |

** Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.