

# Dynamic Programming - III

We have applied DP on two concrete examples:

- (i) Matrix chain order (ii) Rod-cutting

Optimization problem  $S$  will DP yield efficient solution to  $S$ ?

Characteristics of problems which admit DP technique.

- (i) Express optimal solution of  $S$  in terms of optimal solution of subproblems of  $S$ .

In our examples:

(i)  $M \subset H$

$$m_{ij} = \min_{i \leq l < j-1} \left\{ \underbrace{m_{il} + m_{l+1,j}}_{\text{optimal solution}} + p_i p_{l+1} p_{j+1} \right\}$$

$$\underbrace{(A_1 \dots A_l)}_{\text{optimal sol}} \underbrace{(A_{l+1} \dots A_n)}_{\text{optimal sol}}$$

Optimal solution of  $S$  contains optimal solution of (some) subproblems.

$$(ii) \quad R[i] = \max_{1 \leq l \leq i} \left\{ p[l] + \underbrace{R[i-l]}_{\text{optimal solution}} \right\}$$

To see where this principle (structure of optimal solution) gets violated,  
 Consider a variation of Rod cutting problem

$$l[i], \quad 1 \leq i \leq n$$

no. of pieces of length  $i$  should be  
 bounded  $l[i]$

$i \rightarrow$	1	2	3
$P[i]$	10	15	10
$l[i]$	2	—	—

$$n=3$$

(In the absence of any constraint  
 the optimal solution is  $1+1+1$ , 30)  
 With  $l_i$ 's given  
 the optimal solution is  $1+2$ , 25

$$R[3] \neq \max_{1 \leq l \leq 3} (P[l] + R[3-l])$$

$$R[2] = 1+1 = 20, \quad \text{with } l=1, \quad P[1] + R[2] = 30$$

Alternatively, to get an optimal solution of  $n=3$



optimal solution is  $1+1$  (20)  
not 2 (15)

optimal solution for

$n=3$  uses a solution to

Subproblem for  $n=2$

— but not optimal solution to this subproblem.

(ii) Second characteristic

Overlapping subproblems

Rod-cutting problem

$\frac{n}{-}$

$$R[n] = \max_{1 \leq l \leq n} \{P[l] + R[n-l]\}$$

$R[n]$  depends on  $R[n-1], R[n-2], \dots, R[0]$

Subproblem graph

(nodes as the subproblems)

(Edge from  $S_1$  to  $S_2$  if optimal solution of  $S_1$  depends on optimal solution of  $S_2$ , as seen from our equation for optimal solution)

$n = n$

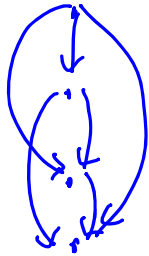


$n = 3$

$n = 2$

$n = 1$

$n = 0$



$R[2]$  is being used in solving  $R[3], R[4]$   
.....

set of subproblems for  $n=3$  and  $n=4$  is not disjoint.

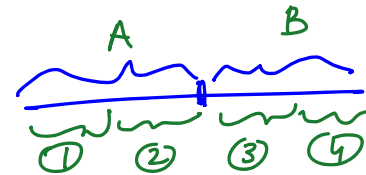
In the matrix-chain-order example, we saw in the recursion graph  $(m, n)$  that many subproblems arise more than once.

In contrast consider

Divide and Conquer technique.

here also solution to  $S$  is constructed from solutions to subproblem but these subproblems are disjoint

For example consider mergesort



Subproblems of 1 are disjoint from subproblems of B or subproblems of 4

(iii) Number of distinct subproblems is small  
(polynomial in the data of the problem).

Example in Rod cutting  $O(n)$  problems  
MCO —  $O(n^2)$  problems





An Example of (another) problem for which we may use DP.

## Problem Largest Common Subsequence (LCS)

A sequence is a finite string over some alphabet.

For example if alphabet is  $\{a, b, c, d\}$

$acdbaabdc b$  is a sequence over this alphabet.

A subsequence of a given sequence  $S$  is a sequence that arise by dropping some letter from  $S$ .

example:  $aba$  is a subsequence of the sequence above  
 $bbb$  is another "

More formally, Let  $s = x_1 x_2 \dots x_n$  be a sequence

then  $x_{i_1} x_{i_2} \dots x_{i_k}$ , where  $1 \leq i_1 < i_2 < i_3 \dots < i_k \leq n$   
is a subsequence of  $s$ .

## LCS

Given two sequences,  $u$  and  $v$ , find the longest  
Common subsequence of  $u, v$ .

Example

$u = \overline{a} b d \overline{b} a d$   
 $v = \underline{a} c \underline{b} \underline{d} c \underline{a}$

$abd$  is a common subsequence  
of  $u, v$ .

$\underline{abda}$  is another common subsequence.  
longest subsequence of  $u, v$

DNA may be considered as a string over alphabet  $\{A, C, G, \underline{T}\}$   
?

Given two DNA sequences (could be very long, hundreds of letters),  
we wish to find similarity (or match) between them.

LCS may be one way of answering this.

$O(n^2)$  solution for LCS problem using DP.

Equation for optimal solution:

What should we take as subproblems?

$x = x_1 \dots x_m$	$(i, j)$	LCS of	$x_1 \dots x_i$	$c[i, j]$
$y = y_1 \dots y_n$	$1 \leq i \leq m$		$y_1 \dots y_j$	
	$1 \leq j \leq n$			

$c[i, j]$  we also admit  $i=0, j=0$

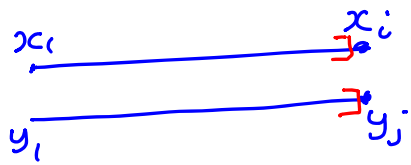
$$c[0, j] = 0$$

$$c[i, 0] = 0$$

$i=0$  corresponds to empty prefix of  $x$ .

$j=0$  corresponds to empty prefix of  $y$ .

$$c[i, j] = \begin{cases} c[i-1, j-1] + 1 & \text{if } x_i = y_j \\ \max \{ c[i, j-1], c[i-1, j] \} & \text{if } x_i \neq y_j \end{cases} \quad i, j \geq 1$$



$$\underline{x_i = y_j}$$

if  $\delta$  is the longest common subsequence of  $x_1 \dots x_{i-1}$  and  $y_1 \dots y_{j-1}$

then  $\delta x_i$  is lcs of  $x_1 \dots x_i$  and  $y_1 \dots y_j$

$$c[i, j] \geq c[i-1, j-1] + 1$$

To show that  $c[i, j] \leq c[i-1, j-1] + 1$ . | Consider  $t$  be a common subsequence of  $x_1 \dots x_i$  and  $y_1 \dots y_j$  of length  $c[i, j]$

$$t = x_{l_1} \dots x_{l_k} \quad k = c[i, j]$$

$$= y_{r_1} \dots y_{r_k}$$

Case (i)  $l_k < i, \quad r_k < j$   
not possible because  $t x_{l_k}$  would give a longer common subsequence.

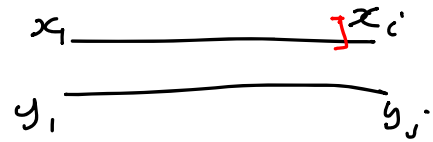
Case (ii)  $l_k = i, \quad r_k < j$   
 $t_1 = x_{l_1} \dots x_{l_{k-1}}$  is a subsequence of  $x_1 \dots x_{i-1}$   
 $= y_{r_1} \dots y_{r_{k-1}}$   $y_1 \dots y_{j-1}$

$$t_1 \leq c[i-1, j-1]$$

$$\underline{c[i, j]} = |t| = |t_1| + 1 \leq \underline{c[i-1, j-1] + 1}$$

All the other cases are similar (left as easy exercise)  $\square$

$$x_i \neq y_j$$



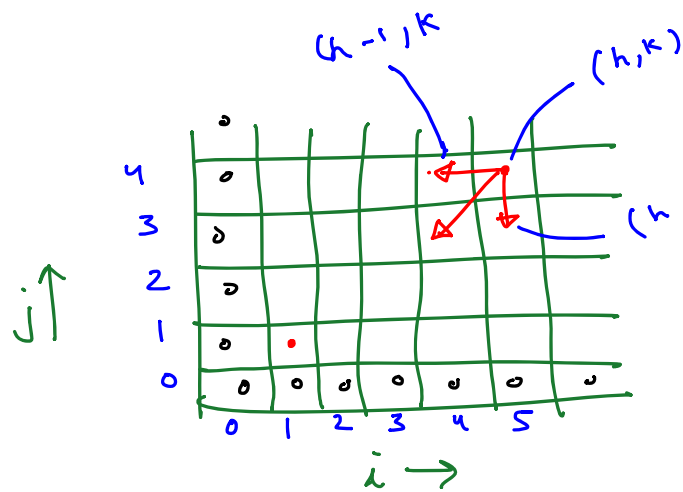
either  $i_k < i$

or  $j_k < j$

In this case  $t$  is a subsequence  
of  $x_i \dots x_{i-1}$   
and  $y_1 \dots y_j$  }  $c[i-1, j]$

$$\Rightarrow c[i, j] = \max \{ c[i-1, j], c[i, j-1] \}$$

[To prove the equation, in detail is left  
as an exercise]



$n \times m$  table

To fill a square in this table we need to look at only 3 previously constructed values.

$\Rightarrow$  This table can be constructed in  $O(n^2)$  time.

Entry at coordinates  $(n, m)$  contains answer to the original problem.

The longest subsequence may be constructed by storing in the table (a constant amount of) additional information.

[just the direction of the arrow].