

## Horn Formula

$$B ::= \perp \mid \top \mid P$$

$$A ::= P \mid P \wedge A$$

$$C ::= A \rightarrow B$$

$$H ::= C \mid C \wedge H.$$

Each instance of  $C$  is a Horn clause.

A Horn formula is the conjunction of Horn clauses.

Example.

$$1. (P_1 \wedge P_2 \wedge \dots \wedge P_n) \rightarrow Q$$

$$2. (P \rightarrow Q) \wedge (S \wedge T \rightarrow \top) \wedge (P \wedge S \wedge T \rightarrow \perp)$$

$$3. P_1 \wedge P_2 \wedge P_3 \rightarrow \underline{\neg P_4} \quad - \text{Not a Horn formula.}$$

$$4. P_1 \wedge \underline{\neg P_2} \rightarrow P_3 \quad - \text{Not a Horn formula.}$$

$$P \equiv \top \rightarrow P \quad ; \quad \neg P \equiv P \rightarrow \perp$$

Note: Horn satisfiability is a P-complete problem.

Claim. if  $\alpha$  is a Horn formula, deciding if  $\alpha$  is satisfiable can be solved efficiently (linear time)

Procedure - A marking algorithm.

Algorithm.

Input: A Horn formula  $\alpha$ .

Output: Decision whether  $\alpha$  is satisfiable.

1. Mark all occurrence of  $T$  in  $\alpha$ .
2. while there is a conjunct  $p_1 \wedge p_2 \wedge \dots \wedge p_k \rightarrow p'$  of  $\alpha$  such that all  $p_j$  are marked but  $p'$  is not marked.
  - mark  $p'$ .end while
3. if  $\perp$  is marked then return "Unsatisfiable"  
else return "Satisfiable"

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**Termination:** if there are  $n$  propositions in  $\alpha$  then the while loop is executed atmost  $n+1$  times.

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**Correctness.** By induction on the number of iterations of the while loop, argue that for all  $v$  where  $v \models \alpha$ , for all marked  $p$ ,  $v \models p$ .

Base Case: All occurrences of  $T$  are marked - nothing else is marked

Induction step. Consider the iteration  $k+1$ .

$\exists p_1 \wedge p_2 \wedge \dots \wedge p_m \rightarrow p'$  of  $\alpha$  s.t.  $p_j$  is marked  $\forall j \in \{1, \dots, m\}$ .

Consider any  $v$  s.t.  $v \models \alpha$ . By IH,  $v \models p_j \forall j \in \{1, \dots, m\}$

if  $v \models \alpha$  then  $v \models p_1 \wedge p_2 \wedge \dots \wedge p_m \rightarrow p'$ .  $\therefore v \models p'$ .

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    — mark  $p'$ .  
end while
3. if  $\perp$  is marked then return "Unsatisfiable"  
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## Correctness.

- if  $\perp$  is marked, then there exists  $p_1 \wedge p_2 \wedge \dots \wedge p_m \rightarrow \perp$  where  $p_j$  is marked  $\forall j$ .  
 $\forall \mathcal{V} \leftarrow$  for all  $\mathcal{V}$  where  $\mathcal{V} \models p_j \quad \forall j$ .
- if  $\perp$  is not marked, consider valuation  $\mathcal{V}$  defined as:
  - assign  $T$  to all marked propositions
  - assign  $\perp$  to all unmarked propositions

Suppose  $\mathcal{V} \not\models \alpha \Rightarrow \exists \beta = p_1 \wedge p_2 \wedge \dots \wedge p_m \rightarrow p'$  s.t.  $\mathcal{V} \not\models \beta$

$\Rightarrow \mathcal{V} \models p_j \quad \forall j$  and  $\mathcal{V} \not\models p'$  (Semantics of  $\rightarrow$ )

$\therefore$  For all  $p_j$ ,  $p_j$  is marked.  $\Rightarrow p'$  is also marked.

Since  $\perp$  is not marked,  $p' = T$  or some proposition.

$\Rightarrow \mathcal{V} \models \beta$ . A contradiction.