

## Problem 1 (Continuous knapsack) :-

The greedy Strategy for continuous knapsack follows below steps:-

(1) We calculate ratio value/weight ( $c_i = \frac{v_i}{w_i}$ ) for each item from 1, 2, ..., n.

(2) Then, Sort the items on the basis of the ratio in decreasing order. Consider the sorted item sequence is 1, 2, ..., n & the corresponding ratios & weights are  $c_1, \dots, c_n$  &  $w_1, \dots, w_n$  respectively.

So,

$$\frac{v_1}{w_1} \geq \frac{v_2}{w_2} \geq \dots \geq \frac{v_n}{w_n}$$

(3) Initially we have weight capacity K & let current capacity y.

In every iteration we select item 'i' from the indexes 1, 2, ..., n.

This i<sup>th</sup> item present in the top of the list -

(i) If  $y \geq w_i$ , we can add whole item i & update the current weight  $y = y - w_i$ .

(ii) if  $y < w_i$ , we need to take a fraction of item i. This means the fraction value is  $f = \frac{y}{w_i}$  ( $\frac{y}{w_i}$ ) of the item i.

fraction  $\frac{\text{value}_i + \text{remaining capacity}}{\text{weight}_i}$

## Time analysis

- (1) step 1 takes  $O(n)$  times.
- (2) step 2 takes  $O(n \log n)$  times
- (3) step 3 takes  $O(n)$  times

Thus overall runtime is  $O(n \log n)$ .

## Correctness:-

Let define a ordered set  $\{a_1, a_2, a_3, \dots, a_n\}$

Such that  $\frac{v_{ai}}{w_{ai}} > \frac{v_{ai+1}}{w_{ai+1}}$  where  $1 \leq i \leq n$ .

for ordered set  $\{a_1, a_2, \dots, a_n\}$  we define  $\{a_1, a_2, \dots, a_k\}$  as a subset of it where  $k \leq n$ .

Lemma! Suppose A is a subset of an optimal solution. Let  $c_i = \frac{v_i}{w_i}$  be the task that

has the highest value/weight ratio among the items that are not in A.

Let  $A'$  be the subset obtained by appending  $c_i$  to end of A. Then  $A'$  is also a subset of an optimal solution.

Proof! Since  $A$  is a subset of an optimal solution. Let  $A^*$  be the optimal solution that contains  $A$  as a subset of  $A^*$ .

Let  $c_i$  be the task with highest value/weight ratio among the ~~the~~ leftover items means  $A^* \setminus A$ . By adding  $c_i$  to  $A$ , we get another solution  $A'$ .

There are two cases

- If whole of  $i$  is also contained in  $A^*$ , then  $A'$  &  $A^*$  agree, the lemma holds.
- If  $A^*$  does not take whole unit of  $i$ . Then we first take away the whole weight of  $w_i$  from  $A^*$  & replace it with whole weight of  $w_i$ . The capacity of  $A^*$  does not exceed  $K$ .

This yields to a new solution  $A''$ .

$A''$  will be as good as  $A^*$  because item  $i$  had the maximum value by weight ratio. & by replacing it in  $A^*$  we make sure that the capacity  $K$  does not exceed & the value of knapsack is maximum.

If  $A^*$  takes fraction  $f$  of item  $i$  &  $A'$  also take the same  $f$  of item  $i$ , the lemma holds.

If  $A^*$  takes less than  $f$  part of item  $i$  (we cannot take a larger part because of capacity)

To exchange, we first take  $f \otimes w_i$  away from  $A^*$  & replace it with  $f$  unit of item  $i$ . This will lead to a new solution  $A^q$ . The weight of  $A^q$  do not exceed the capacity  $K$  also it is as good as  $A^*$  because items had the best value/weight ratio value & we took the maximum part that we could accommodate, this lead to another optimal solution. Thus  $A^q$  is not any worse than that  $A^*$ .

Theorem Initially  $A$  is empty which is a subset of optimal solution. Let all items are sorted by ratio of value/weight in decreasing order. By ~~the above algorithm~~ ~~subset~~ ~~optimal~~ ~~solutions~~ ~~subset~~ ~~optimal~~ ~~solutions~~.

Since By lemma & induction on the number of iterations when the function terminates,  $A$  is a subset of an optimal solution.

Since there are no more items  $i$  that can be placed because we have filled the knapsack upto its weight capacity  $K$ . No other solution can contain  $A$ , thus  $A$  is optimal solution itself.

Problem 2 :- (minimizing average completion time) :-

Our goal is to minimize completion time of the schedule such that  $\left[ \frac{1}{n} \sum_{i=1}^n c_i \right]$  should be minimum.

Greedy approach follows below steps.

(a) We have given with set of  $n$  tasks denoted by  $y_i$  where  $i$  ranging from 1 to  $n$ . we are also given the completion time for each task  $t_i$  where  $i$  ranging from 1 to  $n$ .

(1) Merge sort the  $n$  tasks in order of increasing execution time such that

$$t_1 \leq t_2 \leq \dots \leq t_n.$$

(2) Then we will schedule the tasks based on their sorted execution time.

$$A \stackrel{\text{sorted}}{\approx} [y_1, y_2, \dots, y_n]$$

Time analysis :-

- ① Step(1) takes  $O(n \log n)$  time.
- ② Step(2) take  $O(n)$  times.

thus overall runtime is  $O(n \log n)$ .

## Correctness:

Containment relationship of the schedule sequence is defined as  $\{y_1, y_2, \dots, y_m\}$  where  $y_i$  should be scheduled only after completion of  $y_{i-1}$ .

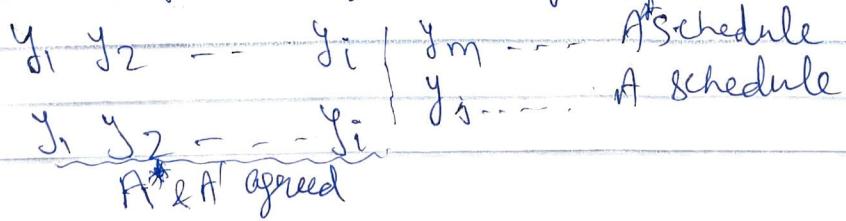
where  $1 \leq i \leq m$ . For schedule sequence  $\{y_1, y_2, \dots, y_n\}$ , we define  $\{y_1, y_2, \dots, y_k\}$  as a prefix-subsequence of it where  $k \leq n$ .

Lemma! Suppose  $A$  is a prefix-subsequence of an optimal ~~solution~~ schedule sequence. Let  $y_i$  be the task that has the shortest execution time among those tasks that are not in  $A$ . Let  $A'$  be the prefix-subsequence by appending  $y_i$  to the end of  $A$ . Then  $A'$  is also a prefix-subsequence of an optimal schedule solution.

Proof Since  $A$  is a prefix-subsequence of an optimal schedule solution sequence, let  $A^*$  be the optimal schedule sequence that contains  $A$  as a prefix-subsequence.

Let  $y_i$  be the task that has minimum execution time among those tasks in  $A^* - A$ . By appending  $y_i$  to the end of the  $A$ , we get another prefix-subsequence  $A'$ . There are two cases:-

- (1)  $A'$  is a prefix-subsequence of  $A^*$ , the lemma holds.
- (2)  $A'$  is not a prefix subsequence of  $A^*$



Let  $y_m$  be the first task that appears first in the subsequence  $A^* - A$ . In this case we must have:

$$t_m = t_i.$$

This can be proven by <sup>Proof of</sup> Contradiction.

Suppose  $t_m \neq t_i$ , since  $y_i$  is the task with shortest execution time in  $A^* - A$ , we must have  $t_m > t_i$ .

Let the last task in  $A$  be  $y_l$ . ~~.....~~

Now  $A^*$  continue from  $A$  as  $y_n, y_{c_1}, y_{c_2} \dots y_{c_s}; y_i, y_d, \dots, y_s$ . such that  $s$  number of tasks are assigned between  $y_m$  &  $y_i$  and  $s$  tasks scheduled after  $y_l$

we have

$C_m =$  Completion execution time upto l  
+  
- time required for task m)

$$C_m \Rightarrow C_l + t_m$$

$C_{ik} =$  completion time for task scheduled till task m  
+  
completion time from m upto task i

$$\Rightarrow C_m + \sum_{1 \leq i \leq s} t_{ci}$$

$c_i = [$  Execution time upto task  $m +$   
 execution time of task from  $m$  upto  
 task  $i$   
 $\rightarrow$  execution time of  $i$   $]$

$$c_i = c_m + \sum_{m < i} t_{ci} + t_i$$

$c_{dk} = [$  execution time upto task  $i$   $+ \not{}$   
 execution time of tasks after  $i$   $]$

$$c_{dk} = c_i + \sum_{i < k} t_{di}$$

Suppose we were to exchange the position of  
 $y_i$  &  $y_m$ , so our solution would look  
 like similar  $y_1, y_2, \dots, y_j, \underline{y_i}, y_{c_1}, y_{c_2}, \dots$   
 $\dots, y_{c_s}, y_j, y_d, \dots, y_{d_f}$ . Let's say this sequence is  
 $A^y$ .

$c_{ck}^y$  is the total time of tasks from  $y_i$  &  $y_j$

$c_{dk}^y$  is the total execution time of tasks from  $y_j$

we get  $c_i^y = c_k + t_i$

$$c_{ck}^y = c_i^y + \sum_{i < k} t_{ci}$$

$$C_m^u \geq C_i^l + \sum_{\text{Risks}} t_{ci} + t_m$$

$$C_{dk}^u \geq C_m^u + \sum_{\text{Risks}} t_{di}$$

Let's compare the equations.

$$\therefore C_m - C_i^u = (C_d + t_m) - (C_e + t_i)$$

$$\Rightarrow t_m - t_i$$

$$> 0$$

$$\therefore \textcircled{Q} C_{dk} - C_{ck}^u = \left[ C_m + \sum_{\text{Risks}} t_{ci} \right] - \left[ C_i^u + \sum_{\text{Risks}} t_{ci} \right]$$

$$\Rightarrow C_m - C_i^u$$

$$> 0$$

$$\therefore C_e - C_m^u = C_m - C_i^l + t_i - t_m$$

$$\Rightarrow t_m - t_i^l + t_i - t_m$$

$$\Rightarrow 0$$

Similarly :-  $C_{yk} - C_{y_k}^u = \textcircled{Q} C_i - C_m^u \geq 0$

This allows us to conclude that sum of completion time  $A^*$  is not smaller than the completion time of  $A'$  which means  $A^*$  is

a more optimal solution. This contradicts our fact that  $A^*$  is an optimal solution. Hence we conclude that  $t_i = t_m$ .

So, we get another optimal schedule sequence by exchanging the order of  $y_1 \dots y_m$  because  $t_i = t_m$ . the exchange will not affect overall

average completion time. A new optimal schedule sequence has  $A'$  as a prefix-subsequence. The lemma still holds.

Theorem:-

- (1) Initially,  $A$  is an empty sequence, which is always a prefix-subsequence of any schedule sequence.
- (2) All  $n$  tasks  $\{y_1, y_2, \dots, y_n\}$  have been sorted in order of increasing execution time. By lemma  $\{y_1\}$  is a prefix-subsequence of an optimal schedule sequence.
- (3) By Induction of no. of iteration When the algorithm terminates,  $A$  is still a prefix-subsequence of an optimal solution.
  - (a) When the algorithm terminates,  $A$  would contain all  $n$  tasks, no other schedule sequence can properly contain  $A$ , Thus  $A$  is the optimal schedule Sequence.

## Problem 2

- (b) for this problem we can use shortest remaining time policy to find an optimal schedule. It uses a min-heap to organize the released but not completed tasks where heap ordering is based on their remaining execution times. A scheduling decision is made when a task is completed or when a new task is released.

### Problem 3 (Deleting the larger half).:-

We will use an unsorted array A to implement for the given two operation.

Let n be the size of array A. Initially  $n=0$ .

Pseudo code for both operation.

~~Insertion(item, S)~~  
~~if S == empty~~  
~~A.append(item)~~

Insert( $x, S$ )  
 $n := n + 1$   
 $A[n] := x$

DeleteLargerHalf( $S$ )

- find median using linear-time median algorithm on Array A.
- we then use this median to partition the array around the median.
- Then delete the bigger half of the last partitioned array A.
- then  $n := n - \lceil n/2 \rceil$ , resize the size of A.

Worst case time analysis:-

Insert takes constant time while DeleteLargerHalf takes  $O(n)$  time since finding the median takes linear time; so do Partitioning & removing the elements.

So total time taken to perform the DeleteLargerHalf is  $O(n)$  time.

## Amortized Analysis

The following table shows the <sup>true</sup> amortized cost.

Operation	true time ( $t_i$ )	Amortized cost ( $a_i$ )
Insert	2	6
DeleteLargerHalf	{ no. of elements to be discarded } 0	{ $O(1)$ amortized time }

True time for insert takes a cost of two, one for incrementing ~~some~~  $n$  & second one assigning  $x$  to  $A[n]$ .

DeleteLargerHalf would take linear time  $n$  to find median, linear time ( $n$ ) to partition.

Insert takes 2 instruction, so it uses 2 unit cost but if gets 6 units, we conceptually store the remaining 4 unit of credit on the element we just inserted into the array.

DeleteLargerHalf uses 2 unit of credit stored on each element, 1 unit for median finding & 1 unit for partitioning.

While deleting the larger half we redistribute the ~~2~~ 2 units of credit on the remaining elements.

This means that there are always 4 units of credit on each item.

So, we always have enough credit to pay for future delete operation as well.

Each element in the array we will have 4 unit of credit on the element & size of an array is always non-negative, we make sure that the amount of credit is always enough.

Thus we proved that we take O(1) amortized time cost for each operation.

## Problem 4 (Binary search with insertion):

(a) We can implement `find` by doing binary search on each array in  $D$  because arrays are sorted & full. By using binary search, we can perform `find` operation efficiently.

Pseudo code:

`find (k, D)`

for  $i=1$  to  $l$  (length of  $D$ )

· if bit  $i=1$  in binary representation of  $n$ :  
search key  $k$  using binary search.  
if  $k$  is found, return associated item with,  
else continue to next array.

Time analysis:

The worst case would be of all the arrays from  $A_1, A_2, A_3 \dots A_l$  are full where  $l = \lceil \log(n+1) \rceil$ ,

Then total time taken is

$$T(n) = \Theta\left(\sum_{i=1}^l \log(2^{i-1})\right) \quad \begin{cases} \text{each array} \\ \text{has length} \\ 2^{i-1} \end{cases}$$

$$\Rightarrow \Theta\left(\sum_{i=1}^l (i-1)\right)$$

$$\Rightarrow \Theta\left(\frac{l(l-1)}{2}\right)$$

$$\Rightarrow \Theta(l^2)$$

$$\Rightarrow \Theta(\lceil \log(n+1) \rceil^2) = \Theta(\log^2 n)$$

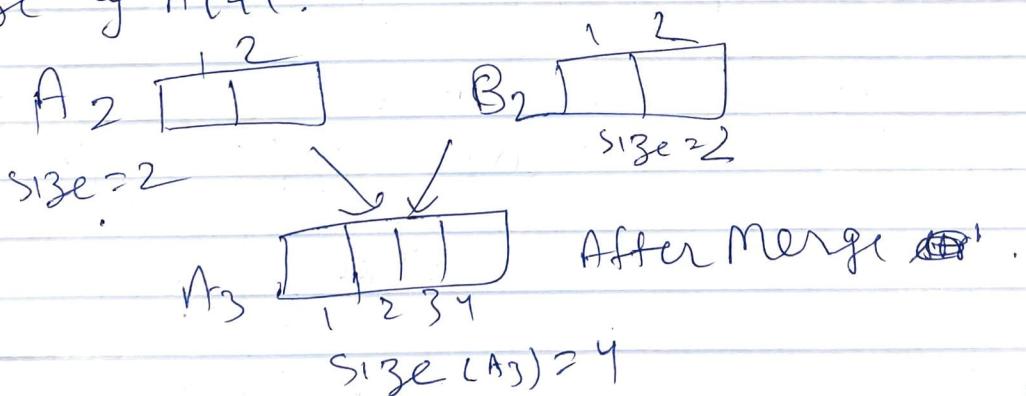
Thus the Worst case analysis time for the find operation is  $O(\log n)$ .

The Insert operation can be implemented by creating new sorted array  $B_1$  with size 1 that contains the key value pair  $(k, x)$  to be inserted.

We first check  $A_i$ , we do this by checking the  $i^{\text{th}}$  bit in the binary representation of  $n$ , if its empty we just replace  $A_i$  with  $B_1$ . If  $A_i$  is not empty, we merge sort  $A_i$  &  $B_1$  into a new sorted array  $B_2$ . Then check if  $A_{i+1}$  is empty.

If it is empty, we just replace it with  $B_2$ . If not we merge sort  $A_{i+1}$  &  $B_2$  & continue to check for the empty  $A_i$  Array in D.

$A_i$  is size of  $2^{i-1}$  & the array it is merged with also the same size  $2^{i-1}$ , resulting in new array of size  $2^i$  which is exactly the size of  $A_{i+1}$ .



## Time analysis :-

Merging two sorted array of the size  $n$  into one sorted array takes  $\Theta(n)$  time. The worst case is when all the arrays from  $A_1, A_2 \dots A_{l-1}$  are full so we have merge sort all of them into  $B$  into  $A_l$ .

This takes :-

$$T(n) = 2 \left( \sum_{i=1}^{l-1} 2^{i-1} \right)$$

$$\Rightarrow 2(2^{l-1} - 1)$$

$$\Rightarrow 2^l - 2$$

$$\Rightarrow 2^{\lceil \log(n+1) \rceil} - 2$$

$$\Rightarrow \Theta(n)$$

(using exponent property)

The worst case insertion takes  $\Theta(n)$ .

## Amortized Analysis :- using aggregate method.

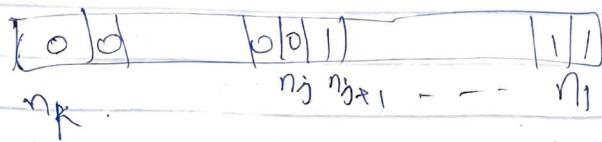
The aggregate method compute the total cost for a sequence of  $n$  insert operation starting with the empty data structure  $D_0$ .

The binary representation of  $D_i$  with  $n$  element is going to be

$$n_k, n_{k-1}, \dots, n_1$$

Let us assume that  $j$  be the position of the right most 0 bit & all other bits to right of  $n_j$  are 1

which means their corresponding arrays are ~~partially~~ full.



Thus the total cost of inserting the new element would be

$$T(n) = 2 \cdot \left( \sum_{i=1}^{j-1} 2^{i-1} \right)$$

$$\Rightarrow 2(2^{j-1} - 1)$$

$$\Rightarrow 2^j - 2$$

~~$\Rightarrow O(2^j)$~~

Binary representation of initial insertions:

$$D_1 = 00\ldots0001$$

$$D_2 = 00\ldots0010$$

$$D_3 = 00\ldots0011$$

$$D_4 = 00\ldots0100$$

$$D_5' = 00\ldots0101$$

↑ flip every  $2^0 = 1$  iteration

↓ full in every  $2^1 = 2$  iteration

Thus for each value of  $j$ , insertion will need atmost  $\lceil \frac{n}{2^{j-1}} \rceil$  insertions.

So ; total cost of  $n$  operations.

$$T(n) = O\left(\sum_{j=1}^l \left\lceil \frac{n}{2^{j-1}} \right\rceil 2^j\right) \quad (l = \log(n+1))$$
$$\Rightarrow O(n \log n) \quad \text{where } l$$

Therefore the amortized cost for  $n$  insertions  
operations is  $O(n \log n)$  & for each insertion  
it will  $O(\log n)$  time .