

# Binary Heaps & Priority Queues

- Priority Queue ADT
- Binary Heaps



# Priority Queue ADT

- Enforces some kind of “priority” on the items
- For general purposes, call the highest priority the “maximum” (or the “minimum”)
- Operations:
  - add something to the PQ: `insert`
  - remove the item of highest priority: `delMin` or `delMax`
- Often, we store (key, value) pairs where the key is the “priority”
- **Key:** used to “rank” items—must be comparable

min PQ  
max PQ

# Priority Queue API

public class MaxPQ<Key extends Comparable<Key>>	
MaxPQ()	<i>create a priority queue</i>
MaxPQ(int max)	<i>create a priority queue of initial capacity max</i>
MaxPQ(Key[] a)	<i>create a priority queue from the keys in a[]</i>
void insert(Key v)	<i>insert a key into the priority queue</i>
Key max()	<i>return the largest key</i>
Key delMax()	<i>return and remove the largest key</i>
boolean isEmpty()	<i>is the priority queue empty?</i>
int size()	<i>number of keys in the priority queue</i>

API for a generic priority queue

NOTE: a **MinPQ** would be basically the same except you would have **min()** to get the smallest key and **delMin()** to return and remove the smallest key.



# How would you implement a Priority Queue?

# Unordered Array: Min PQ

insert: 23, 10, 17, 28, 34, 89, 22, 10

delMin, delMin

# Ordered Array: Min PQ

insert: 23, 10, 17, 28, 34, 89, 22, 10

delMin, delMin

# Key Operations: **insert** & **delMin**

## Option 1

Unordered array

Worst-case runtime analysis:

- **insert**  $O(1)$
- **delMin**  $O(N)$

## Option 2

Ordered array

Worst-case runtime analysis:

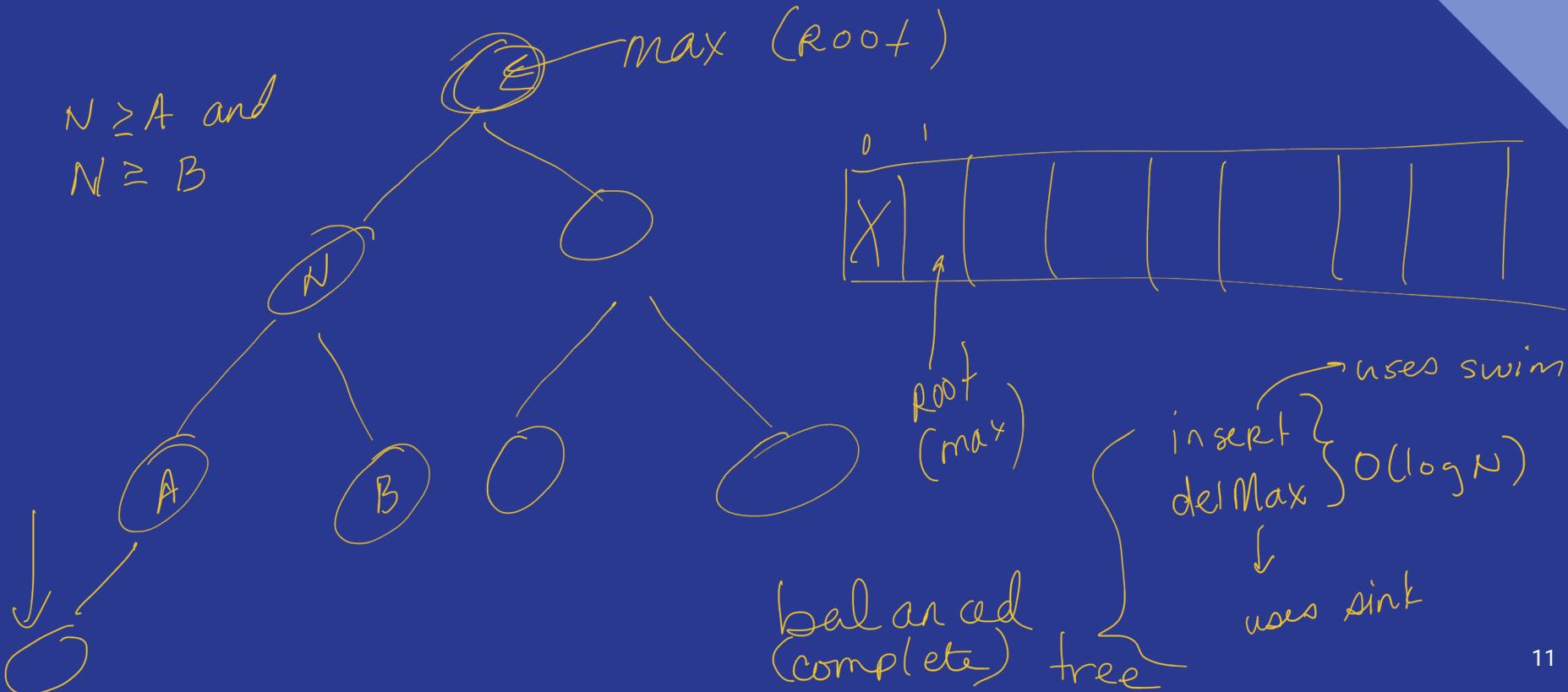
- **insert**  $O(N)$
- **delMin**  $O(1)$

# Imagine a company...

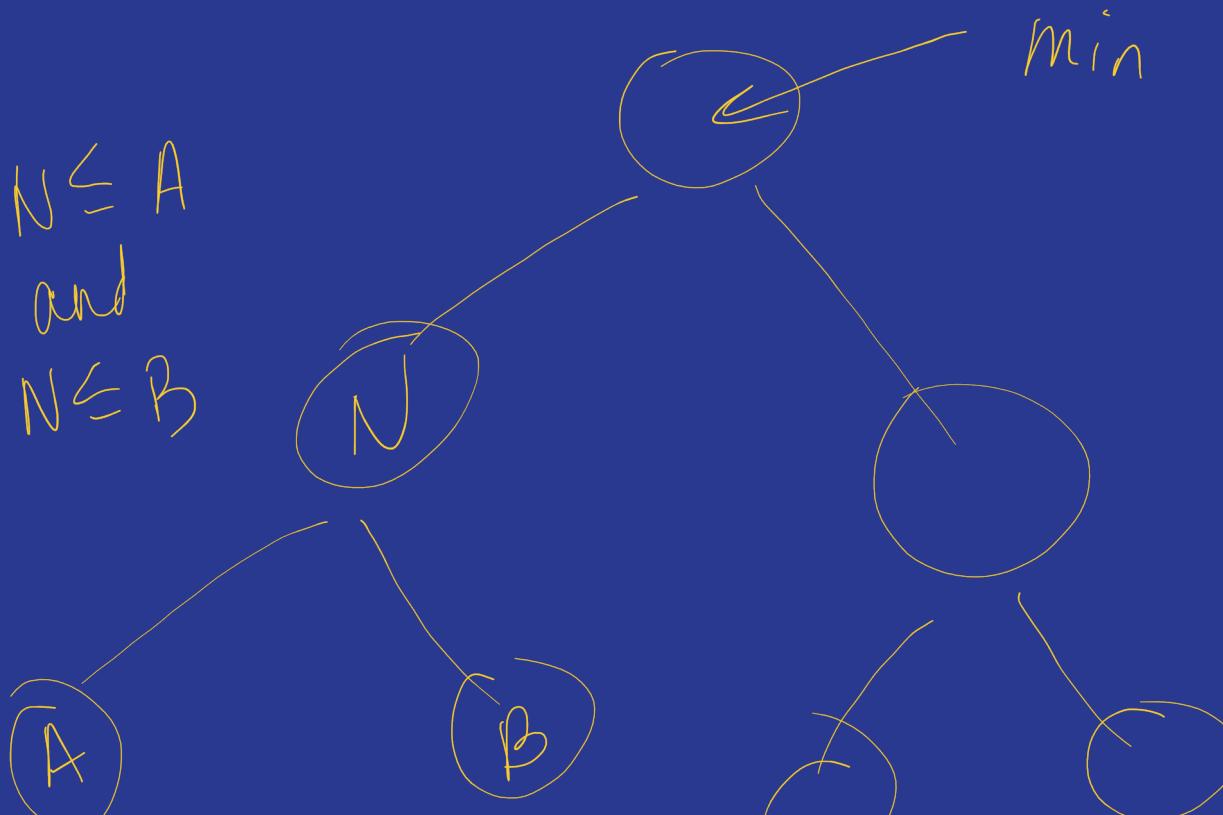
- New people are always hired at the lowest level with an open position
- Only the CEO ever quits
- Final ranking is determined by an IQ test where any given employee can only be managed by another employee with a higher IQ.
- Any given person can only directly manage two people.

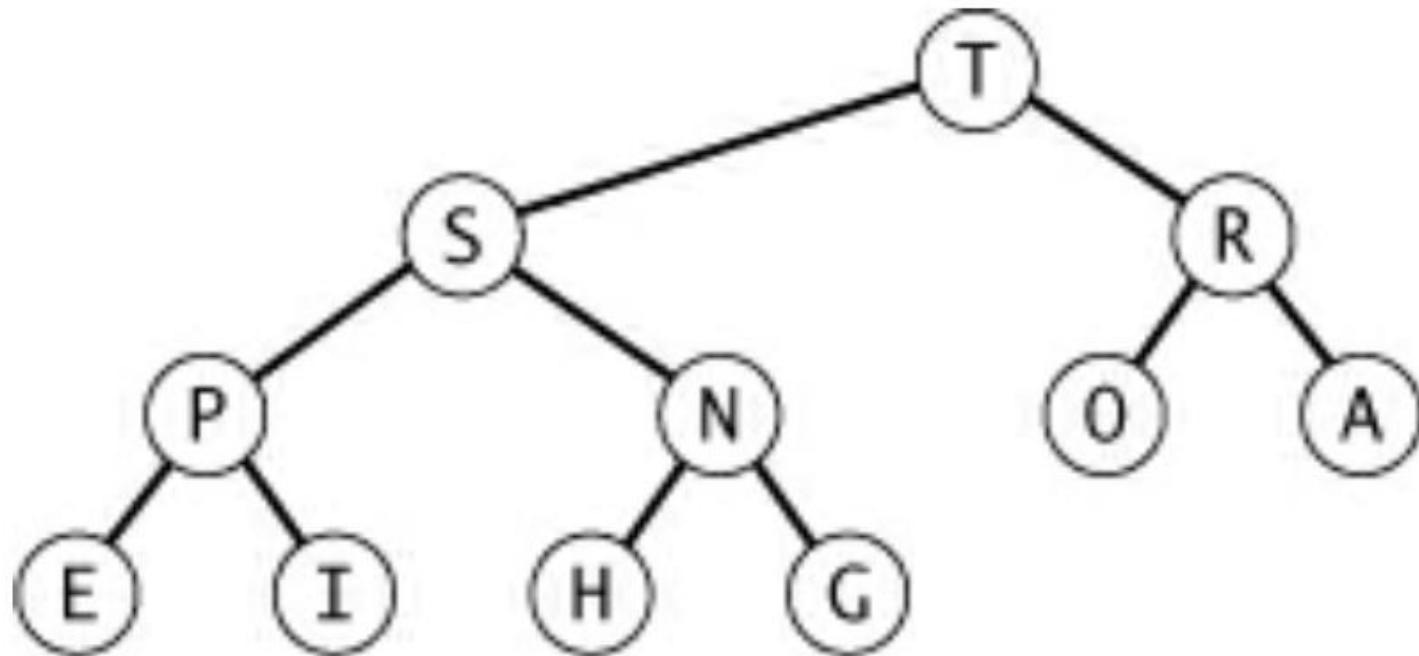


# So...what's a max binary heap?



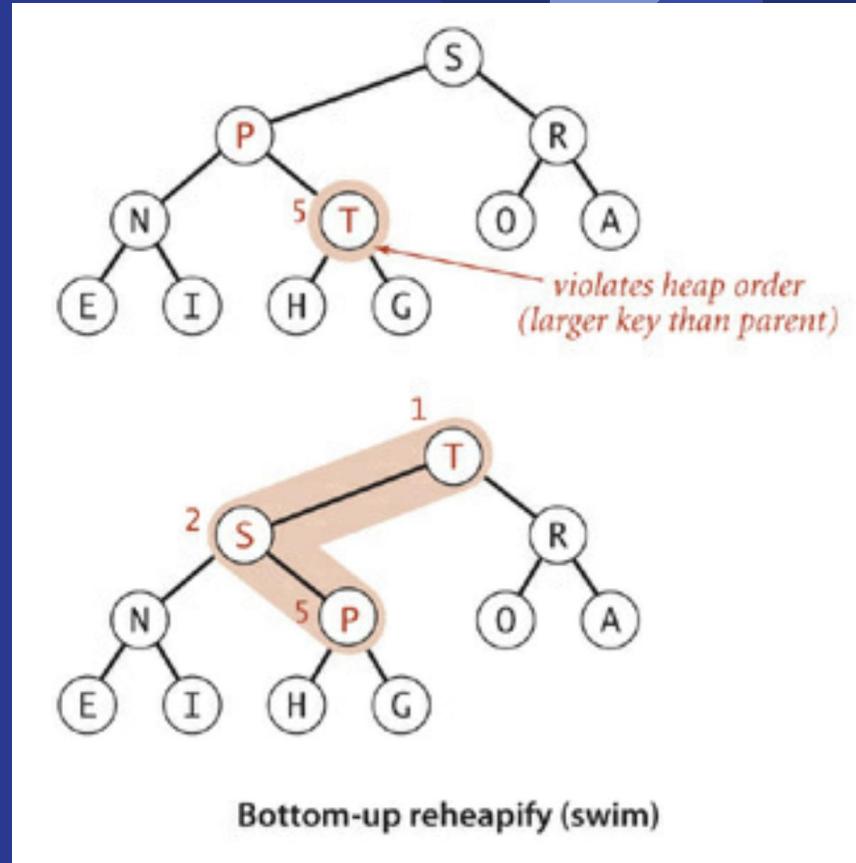
# So...what's a min binary heap?



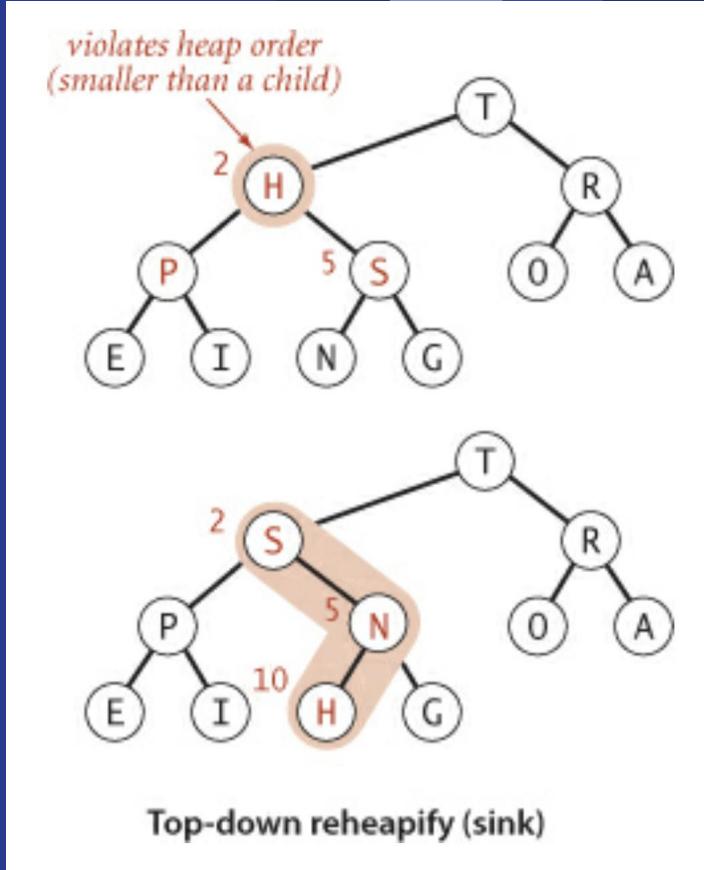


A heap-ordered complete binary tree

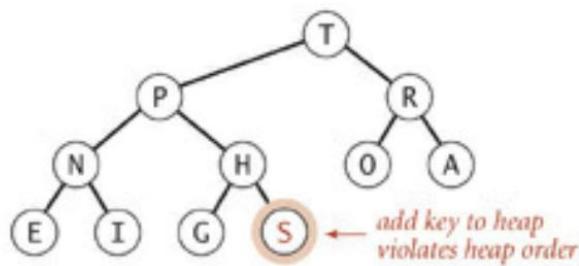
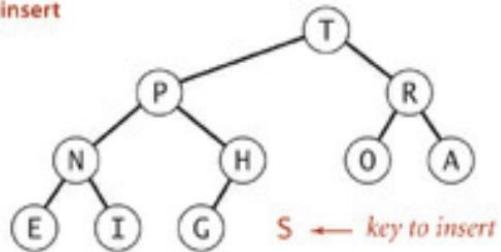
# Swimming up...



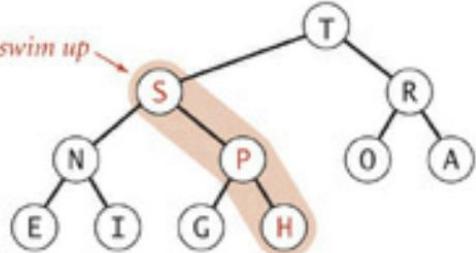
# Sinking down...



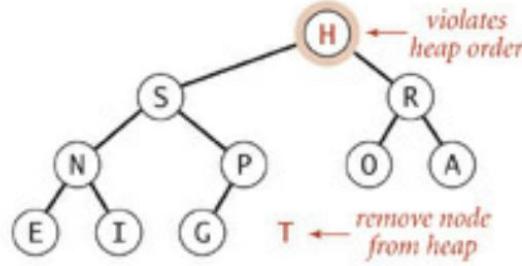
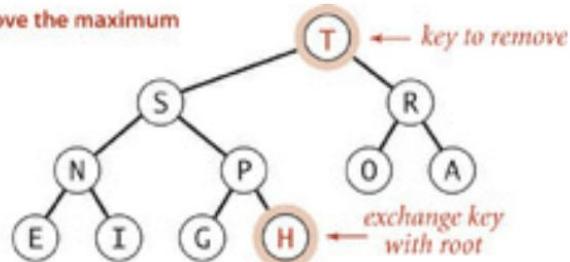
*insert*



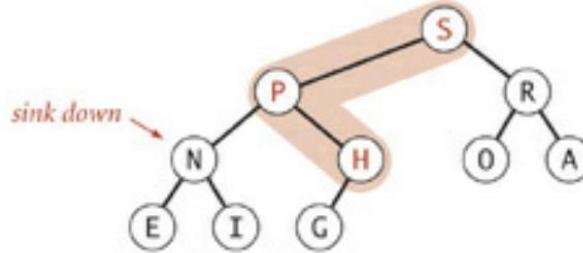
*swim up*



*remove the maximum*

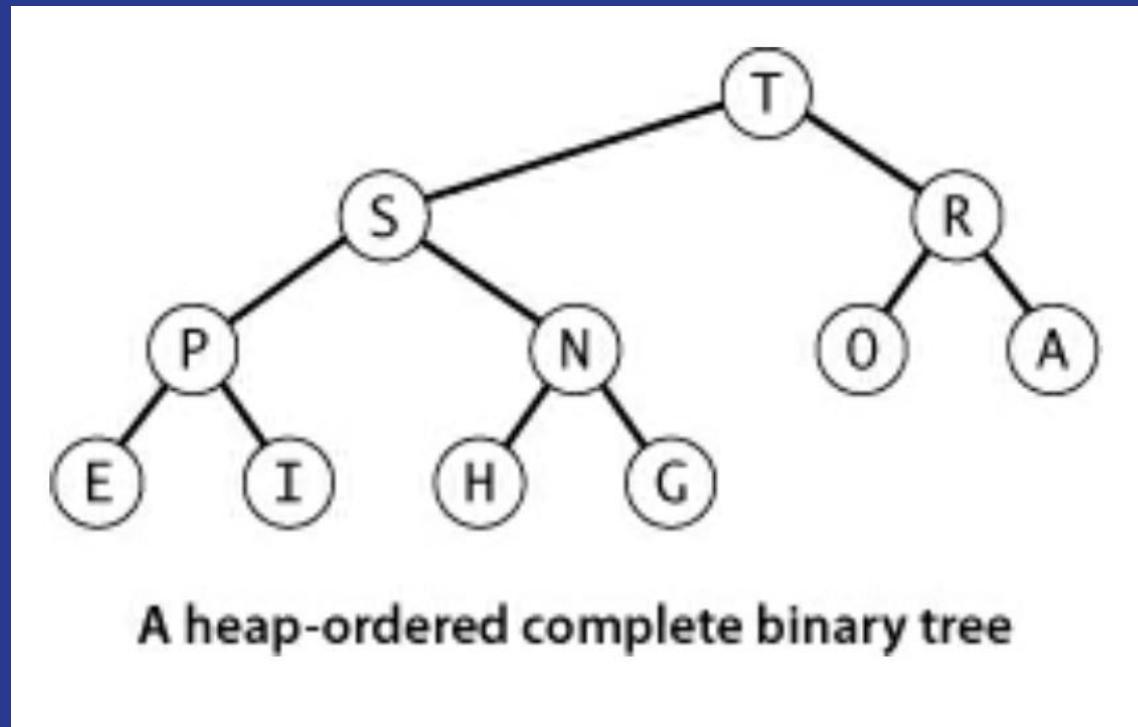


$T \leftarrow$  remove node from heap



Heap operations

# Why is it $O(\log N)$ for the operations?



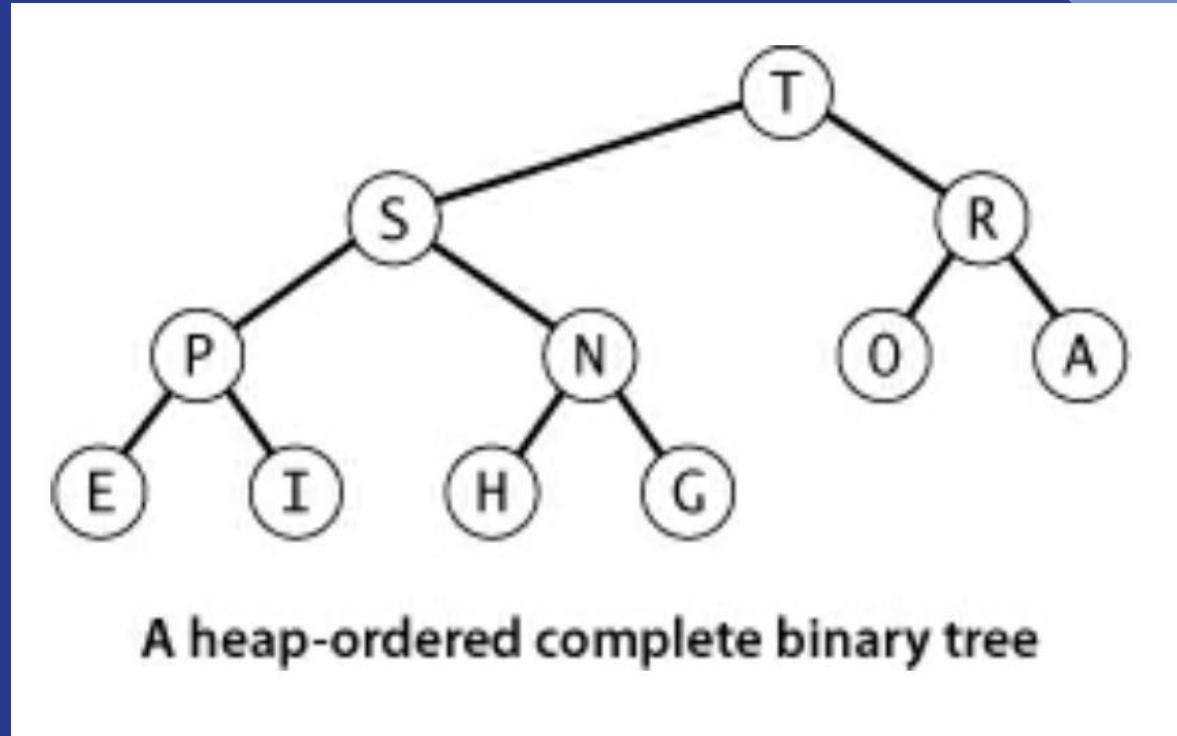
$O(\text{height of the tree})$

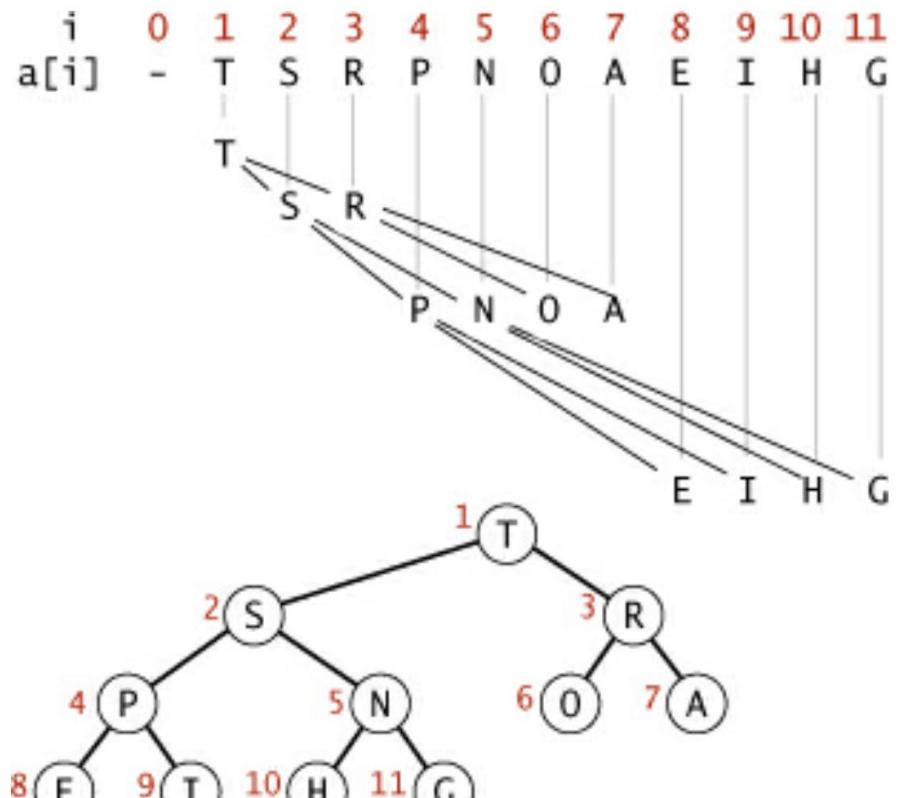
↓

$O(\log N)$  b/c

it is  
balanced

# How would you implement a binary heap?





Heap representations

# Array-based Binary Heap: Min PQ

insert 23, 10, 17, 28, 34, 89, 22, 10

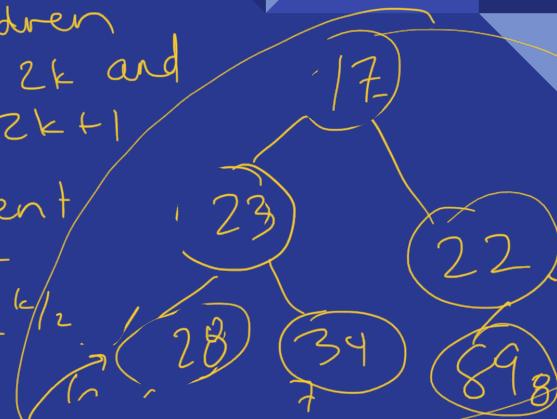
delMin, delMin

swim/bubble up

1 2 3 4

$k \rightarrow$  children  
at  $2k$  and  
 $2k+1$

$k \rightarrow$  parent  
is at  
 $\lfloor \frac{k}{2} \rfloor$



top

17 63 22 28 34 89

swim/bubble up

# Array-based Binary Heap: Max PQ

insert 23, 10, 17, 28, 34, 89, 22, 10

delMax, delMax

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# Summary and Clarifications

- A binary heap is not the same thing as a priority queue. A priority queue is an ADT that stores and processes data according to some kind of priority ranking. A binary heap (which can be max-ordered or min-ordered) is a common way of implementing a PQ because it is a structure that does *insert* and *delMax* (or *delMin*) efficiently.
- A binary heap, in turn, can be implemented with nodes and pointers or with an array.
- You can store anything in a PQ as long as you can assign some kind of ranking to it. Often, the “priority” is treated as the key in a key-value pair. e.g. (IQ, Employee), but the “key” and the “value” could also be the same.
- A max-ordered binary heap is built to do two things efficiently: insert & delMax.
- A min-ordered binary heap is built to do two things efficiently: insert & delMin.
- The binary heap structure is not related to the memory heap.

# References

- [1] *Algorithms, Fourth Edition*; Robert Sedgewick and Kevin Wayne (and associated slides)
- [2] Book slides from Goodrich and Tamassia