

# Relational Algebra

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# Relational Algebra



- Procedural language
- Six basic operators
  - select:  $\sigma$
  - project:  $\Pi$
  - union:  $\cup$
  - set difference:  $-$
  - Cartesian product:  $\times$
  - rename:  $\rho$
- The operators take one or two relations as inputs and produce a new relation as the result

# Composition of Operations

- Building expressions using multiple operations
- Example:  $\sigma_{A=C}(r \times s)$

A	B
$\alpha$	1
$\beta$	2

*r*

C	D	E
$\alpha$	10	a
$\beta$	10	a
$\beta$	20	b
$\gamma$	10	b

*s*

A	B	C	D	E
$\alpha$	1	$\alpha$	10	a
$\alpha$	1	$\beta$	10	a
$\alpha$	1	$\beta$	20	b
$\alpha$	1	$\gamma$	10	b
$\beta$	2	$\alpha$	10	a
$\beta$	2	$\beta$	10	a
$\beta$	2	$\beta$	20	b
$\beta$	2	$\gamma$	10	b

A	B	C	D	E
$\alpha$	1	$\alpha$	10	a
$\beta$	2	$\beta$	10	a
$\beta$	2	$\beta$	20	b

# Rename Operation

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- Name, and therefore to refer to, the results of relational-algebra expressions
  - Refer to a relation by more than one name
- Example:  $\rho_X(E)$  returns the expression  $E$  under the name  $X$
- If a relational-algebra expression  $E$  has arity  $n$ , then  $\rho_{X(A_1, A_2, \dots, A_n)}(E)$  returns the result of expression  $E$  under the name  $X$ , and with the attributes renamed to  $A_1, A_2, \dots, A_n$

# Banking Example

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- branch (branch\_name, branch\_city, assets)
- customer (customer\_name, customer\_street, customer\_city)
- account (account\_number, branch\_name, balance)
- loan (loan\_number, branch\_name, amount)
- depositor (customer\_name, account\_number)
- borrower (customer\_name, loan\_number)

# Example Queries

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- Find all loans of over \$1200

$$\sigma_{amount > 1200} (loan)$$

- Find the loan number for each loan of an amount greater than \$1200

$$\Pi_{loan\_number} (\sigma_{amount > 1200} (loan))$$

loan (loan\_number, branch\_name, amount)

# Example Queries

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- Find the names of all customers who have a loan, an account, or both, from the bank

$$\Pi_{customer\_name}(borrower) \cup \Pi_{customer\_name}(depositor)$$

- Find the names of all customers who have a loan and an account at the bank

$$\Pi_{customer\_name}(borrower) \cap \Pi_{customer\_name}(depositor)$$

depositor (customer\_name, account\_number)

borrower (customer\_name, loan\_number)

# Example Queries

- Find the names of all customers who have a loan at the Perryridge branch

$$\Pi_{customer\_name}(\sigma_{branch\_name="Perryridge"}(\sigma_{borrower.loan\_number=loan.loan\_number}(borrower \times loan)))$$

- Find the names of all customers who have a loan at the Perryridge branch but do not have an account at any branch of the bank

$$\Pi_{customer\_name}(\sigma_{branch\_name="Perryridge"}(\sigma_{borrower.loan\_number=loan.loan\_number}(borrower \times loan))) - \Pi_{customer\_name}(\text{depositor})$$



# Example Queries

- Find the names of all customers who have a loan at the Perryridge branch

– Answer 1

$$\Pi_{\text{customer\_name}}(\sigma_{\text{branch\_name} = \text{"Perryridge"}} (\sigma_{\text{borrower.loan\_number} = \text{loan.loan\_number}} (\text{borrower} \times \text{loan})))$$

– Answer 2

$$\Pi_{\text{customer\_name}}(\sigma_{\text{loan.loan\_number} = \text{borrower.loan\_number}} (\sigma_{\text{branch\_name} = \text{"Perryridge"}} (\text{loan})) \times \text{borrower}))$$

# Example Queries

- Find the largest account balance
  - Aggregate max is not directly supported in relational algebra
  - Find those balances that are not the largest
    - Rename account relation as d so that we can compare each account balance with all the others
  - Use set difference to find the max balance accounts

$\Pi_{balance}(account) - \Pi_{account.balance}$

$(\sigma_{account.balance < d.balance} (account \times \rho_d (account)))$

account (account\_number, branch\_name, balance)

# Formal Definition



- A basic expression in the relational algebra consists of either one of the following:
  - A relation in the database
  - A constant relation
- Let  $E_1$  and  $E_2$  be relational-algebra expressions; the following are all relational-algebra expressions:
  - $E_1 \cup E_2$
  - $E_1 - E_2$
  - $E_1 \times E_2$
  - $\sigma_p(E_1)$ ,  $P$  is a predicate on attributes in  $E_1$
  - $\Pi_s(E_1)$ ,  $S$  is a list consisting of some of the attributes in  $E_1$
  - $\rho_x(E_1)$ ,  $x$  is the new name for the result of  $E_1$

# Additional Operations

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- The additional operations do not add any power to the relational algebra, but can simplify writing common queries
  - Set intersection
  - Natural join
  - Division
  - Assignment

# Set-Intersection Operation – Example

A	B
$\alpha$	1
$\alpha$	2
$\beta$	1

$r$

A	B
$\alpha$	2
$\beta$	3

$s$

A	B
$\alpha$	2

$r \cap s$

# Set-Intersection Operation

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- $r \cap s = \{ t \mid t \in r \text{ and } t \in s \}$ 
  - In basic operators, we only have set difference but no intersection
- Assume:
  - $r, s$  have the same arity
  - attributes of  $r$  and  $s$  are compatible
- $r \cap s = r - (r - s)$

# Natural Join Operation – Example

<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>
$\alpha$	1	$\alpha$	a
$\beta$	2	$\gamma$	a
$\gamma$	4	$\beta$	b
$\alpha$	1	$\gamma$	a
$\delta$	2	$\beta$	b

*r*

<i>B</i>	<i>D</i>	<i>E</i>
1	a	$\alpha$
3	a	$\beta$
1	a	$\gamma$
2	b	$\delta$
3	b	$\epsilon$

*s*

<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>	<i>E</i>
$\alpha$	1	$\alpha$	a	$\alpha$
$\alpha$	1	$\alpha$	a	$\gamma$
$\alpha$	1	$\gamma$	a	$\alpha$
$\alpha$	1	$\gamma$	a	$\gamma$
$\delta$	2	$\beta$	b	$\delta$

$r \bowtie s$

# Natural-Join Operation

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- Let  $r$  and  $s$  be relations on schemas  $R$  and  $S$  respectively.  $r \bowtie s$  is a relation on schema  $R \cup S$  obtained as follows:
  - Consider each pair of tuples  $t_r$  from  $r$  and  $t_s$  from  $s$
  - If  $t_r$  and  $t_s$  have the same value on each of the attributes in  $R \cap S$ , add a tuple  $t$  to the result, where
    - $t$  has the same value as  $t_r$  on  $r$
    - $t$  has the same value as  $t_s$  on  $s$



# Example

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- $R = (A, B, C, D)$
- $S = (E, B, D)$
- Result schema =  $(A, B, C, D, E)$
- $r \bowtie s$  is defined as

$$\Pi_{r.A, r.B, r.C, r.D, s.E} (\sigma_{r.B = s.B \wedge r.D = s.D} (r \times s))$$

# Division Operation – Example

<i>A</i>	<i>B</i>
$\alpha$	1
$\alpha$	2
$\alpha$	3
$\beta$	1
$\gamma$	1
$\delta$	1
$\delta$	3
$\delta$	4
$\epsilon$	6
$\epsilon$	1
$\beta$	2

*r*

<i>B</i>
1
2

*s*

<i>A</i>
$\alpha$
$\beta$

$r \div s$

# Division Operation

- Let  $r$  and  $s$  be relations on schemas  $R$  and  $S$  respectively where  $R = (A_1, \dots, A_m, B_1, \dots, B_n)$  and  $S = (B_1, \dots, B_n)$ 
  - The result of  $r \div s$  is a relation on schema  $R - S = (A_1, \dots, A_m)$
  - $r \div s = \{ t \mid t \in \Pi_{R-S}(r) \wedge \forall u \in s (tu \in r) \}$ ,  
where  $tu$  means the concatenation of tuples  $t$  and  $u$  to produce a single tuple
- Suited to queries that include the phrase “for all”

# Another Division Example

A	B	C	D	E
$\alpha$	a	$\alpha$	a	1
$\alpha$	a	$\gamma$	a	1
$\alpha$	a	$\gamma$	b	1
$\beta$	a	$\gamma$	a	1
$\beta$	a	$\gamma$	b	3
$\gamma$	a	$\gamma$	a	1
$\gamma$	a	$\gamma$	b	1
$\gamma$	a	$\beta$	b	1

$r$

D	E
a	1
b	1

$s$

A	B	C
$\alpha$	a	$\gamma$
$\gamma$	a	$\gamma$

$r \div s$

# Properties of Division Operation

- Let  $q = r \div s$ ,  $q$  is the largest relation satisfying  $q \times s \subseteq r$
- Let  $r(R)$  and  $s(S)$  be relations, and let  $S \subseteq R$ ,  $r \div s = \Pi_{R-S}(r) - \Pi_{R-S}((\Pi_{R-S}(r) \times s) - \Pi_{R-S,S}(r))$ 
  - $\Pi_{R-S,S}(r)$  simply reorders attributes of  $r$
  - $\Pi_{R-S}(\Pi_{R-S}(r) \times s) - \Pi_{R-S,S}(r)$  gives those tuples  $t$  in  $\Pi_{R-S}(r)$  such that for some tuple  $u \in s$ ,  $tu \notin r$

# Assignment Operation

- The assignment operation ( $\leftarrow$ ) provides a convenient way to express complex queries
  - Write query as a sequential program consisting of a series of assignments followed by an expression whose value is displayed as a result of the query
  - Assignment must always be made to a temporary relation variable
- Example: compute  $r \div s$ 
  - $\text{temp}_1 \leftarrow \Pi_{R-S}(r)$ ,  $\text{temp}_2 \leftarrow \Pi_{R-S}((\text{temp}_1 \times s) - \Pi_{R-S,S}(r))$   
result =  $\text{temp}_1 - \text{temp}_2$
- The result to the right of the  $\leftarrow$  is assigned to the relation variable on the left of the  $\leftarrow$ 
  - May use variable in subsequent expressions

# Bank Example Queries

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- Find the names of all customers who have a loan and an account at bank

$$\Pi_{customer\_name}(borrower) \cap \Pi_{customer\_name}(depositor)$$

- Find the name of all customers who have a loan at the bank and the loan amount

$$\Pi_{customer-name, loan-number, amount}(borrower \bowtie loan)$$

# Bank Example Queries

- Find all customers who have an account from at least the “Downtown” and the “Uptown” branches
  - Answer 1

$$\Pi_{customer\_name} (\sigma_{branch\_name = \text{“Downtown”}} (depositor \bowtie account)) \cap \\ \Pi_{customer\_name} (\sigma_{branch\_name = \text{“Uptown”}} (depositor \bowtie account))$$

- Answer 2: using a constant relation

$$\Pi_{customer\_name, branch\_name} (depositor \bowtie account) \\ \div \rho_{temp(branch\_name)} (\{(\text{“Downtown”}), (\text{“Uptown”})\})$$



# Example Queries

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- Find all customers who have an account at all branches located in Brooklyn city

$$\Pi_{customer\_name, branch\_name} (depositor \bowtie account) \\ \div \Pi_{branch\_name} (\sigma_{branch\_city = \text{"Brooklyn"}} (branch))$$

# Summary

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- Examples of relational algebra expressions
- Additional operators
  - Do not add any power to the relational algebra, but can simplify writing common queries
  - Set intersection
  - Natural join
  - Division
  - Assignment

# To-Do List

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- Translate the relational algebra expression examples into SQL
- What can you observe?