Cycle Detection: Floyd's Algorithm

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Let f be a function from a finite set S to the same set. So, for any element e_0 of S, each of the elements obtained as $f(e_0), f(f(e_0)), f(f(f(e_0))), \ldots$ will also belong to S. For any $i \geq 0$, let e_i denote the element obtained after applying function f over e_0 i times. That is,

$$e_i = f(f(\dots \{i \text{ times}\} f(e_0) \dots)) = f^i(e_0)$$

Clearly, all e_i are in S. Since S is a finite set, not all e_i can be distinct. Say, $e_0, e_1, e_2, \ldots, e_u$ are all distinct for some u, and $e_{u+1} = e_l$ for some $l \leq u$. Due to this, $e_{u+2} = f(e_{u+1}) = f(e_l) = e_{l+1}$, similarly $e_{u+3} = e_{l+2}$, and likewise all subsequent e_i will belong to this "cycle": $e_l, e_{l+1}, \ldots, e_u$.

We will denote by n $(n \ge 1)$ the number of elements in this cycle: n = u - l + 1, and so u = l + n - 1. The following diagram depicts this situation; each arrow indicates application of function f:

$$e_0 \to e_1 \to e_2 \to \dots \to e_l \to e_{l+1} \to e_{l+2} \to \dots \to e_{l+n-1}$$

Since any e_i (including $i \ge l + n$) equals one of the above l + n distinct elements, it can be associated with one particular index among these l + n elements' indices. We will refer to it as the "index of e_i ". For i < l, the index of e_i is simply i, and for $i \ge l$, the index is:

$$l + (i - l) \bmod n \tag{1}$$

Given the initial element e_0 and function f, we need to find l and n. In this article, we discuss the Floyd's Cycle Detection Algorithm for this

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problem, named after R. W. Floyd ([1]: section 3.1, exercise 6). It is also called *Tortoise-Hare Algorithm* [2].

Many other situations can transform to this generic problem, including a well-known problem of how we can detect cycle in a linked-list (described later in section "Detecting Cycle in a Linked-List").

The Algorithm

To be able to find n and l, this algorithm first finds out some element which belongs to the cycle. To find such element, it observes that there must exist some integer $t \geq 1$ such that e_t and e_{2t} are equal, i.e. have the same index. For e_t and e_{2t} to be equal, they both must belong to the cycle. That is:

$$t \ge l \tag{2}$$

Let us try to find out more about such t. The index of e_t and e_{2t} are same iff (due to (1)):

$$l + (t - l) \mod n = l + (2t - l) \mod n$$

$$\Leftrightarrow \qquad t \mod n = 0$$

$$\Leftrightarrow \qquad t = \text{a multiple of } n \tag{3}$$

Due to (2), (3) and $t \ge 1$, t is a positive multiple of n which is at least l. There are infinitely many such t, but lets try to find out the smallest of them. Now onward, we will simply use 't' to denote this smallest t.

So we are looking for the smallest positive multiple of n, which is at least l. For the trivial case of l = 0, t is n. For l > 0 and $n \mid l$, t is l.

Now consider the case of $n \nmid l$ (which also implies l > 0). So, $0 < l \mod n < n$. Also, $l - l \mod n$ is a multiple of n. Hence the required t is: $(l - l \mod n) + n = l + n - l \mod n$.

For the case of l = 0 also, t = n can be written as $l + n - l \mod n$. This reduces the number of cases we have to deal with while working with t. We can summarize our findings as:

$$t = \begin{cases} l, & l > 0 \text{ and } n \mid l \pmod{a}, \\ l + n - l \mod n, & l = 0 \text{ or } n \nmid l \pmod{b}. \end{cases}$$
 (4)

Notice that $t \leq l + n$ always. We now understand how t relates to l and n, but these quantities are still unknown. To find t and locate e_t , the algorithm works as follows.

It iterates over the possible values of t = 1, 2, 3, ... while checking if the required t is reached, i.e. if $e_t = e_{2t}$. For that, it maintains two references (pointers) R_1 and R_2 at e_t and e_{2t} respectively, for the current t. When both R_1 and R_2 point to the same element $e_t = e_{2t}$, it knows that the required t is reached.

Below is an implementation of this algorithm in C. The above described part of this method will be referred as "Cycle-Searching" (the other two parts "Find n" and "Find l" will be discussed below). Whenever a reference R is updated to f(R), we will call it one "step" taken by R.

```
/* Parameter f is a function pointer.
   Output values of n and l are returned via pointers pn and pl.
   The elements e{i} are referred using type "void *". So,
   function f has input and output type as "void *". */
void floyd(void *e0, void* f(void*), int *pn, int *pl)
  void *R1, *R2, *R;
  int i, count, t, n, 1;
  /**** Cycle-Searching ****/
 R1 = f(e0);
 R2 = f(f(e0));
  /* loop-invariant: (R1 = e\{t\}) AND (R2 = e\{2t\}) */
 while(R1 != R2)
   R1 = f(R1);
   R2 = f(f(R2));
    t++;
  /* (R1 = R2), so (e{t} = e{2t}) holds */
```

```
/**** Find n ****/
R1 = f(R1);
count = 1;
while(R1 != R2)
 R1 = f(R1);
 count++;
/* (R1 = e{t}) holds again */
n = count;
/**** Find l ****/
R = e0;
i = 0;
while(i < t-n)
 R = f(R);
 i = i + 1;
/* (R = e{t-n}) holds */
count = 0;
/* (R1 = e\{t\}) AND (R = e\{t-n\}) holds */
while(R != R1)
 R = f(R);
 R1 = f(R1);
 count++;
}
/* (R = R1 = e\{1\}) holds */
1 = (t-n) + count;
*pn = n;
*pl = 1;
```

Finding n

When the cycle-searching loop terminates, both R_1 and R_2 are at element e_t (= e_{2t}). We know that e_t belongs to the cycle. Now, if R_1 is stepped n times, it must again meet R_2 . So, we can iteratively step R_1 till it meets R_2 , while counting the steps. This step count will be n.

The "Find n" part in method floyd() implements this approach.

Finding l

We have R_1 at e_t . After l steps, it will be at index (due to (1)):

$$l + (t + l - l) \bmod n = l + t \bmod n = l \quad \{\text{since } n \mid t\}$$

Also, say another reference R is initialized to point to e_0 . It will be at index l after l steps. So, to find l, we can iteratively step R_1 and R till they meet, while counting the steps. This step count will be l.

The above approach can be made more efficient. Due to (4), (t-n) can be expressed as:

$$t - n = \begin{cases} l - n, & l > 0 \text{ and } n \mid l \pmod{n} \\ l - l \mod n, & l = 0 \text{ or } n \nmid l \pmod{b} \end{cases}$$
 (5)

Clearly, $0 \le (t-n) \le l$. Now consider a reference R at element e_{t-n} and R_1 which is already at e_t . For case (a) and (b) above, R will require n and l mod n steps respectively to reach e_l . Now consider equation (4): adding n and l mod n to t for case (a) and (b) respectively will make it l+n, and e_{l+n} is simply e_l (due to (1)).

Thus, for case (a), both R and R_1 after taking n steps, must point to e_l . For case (b), they must point to e_l after taking l mod n steps. So we can find l as follows.

Initialize R at e_{t-n} . This can be done by stepping R from e_0 (t-n) times. R_1 is already at e_t . Now, iteratively step R and R_1 till they meet (they will meet at e_l), while counting the steps. Say, the step count comes out to be x. Adding x to (t-n) will give us the total steps taken by R to reach e_l from e_0 , which must be l.

The "Find l" part in method floyd() implements this approach.

The other approach mentioned in the beginning of this section steps both R and R_1 l times. This approach steps R l times, but steps R_1 only x times where x is n (case (a)) or l mod n (case (b)).

Detecting Cycle in a Linked-List

A well-known related problem is to find whether a given linked-list contains a cycle or not. The generic cycle detection problem easily transforms to this problem, where elements e_i are nodes of the linked-list, with e_0 as the head node, and function f maps a node to its next node or to NULL to indicate end of the linked-list (case of no cycle).

Since there may not be a cycle in this problem, we will need to additionally check for f returning NULL in the cycle-searching loop of method floyd(). Upon seeing NULL, we will terminate the loop and declare no cycle found.

Other Step-Counts for References

In the cycle-searching part of method floyd(), every time we progress R_1 and R_2 by 1 and 2 steps respectively. Suppose we instead progress them by p and q steps with p > q, and call the corresponding references R_p and R_q . Will these two references ever meet inside the cycle?

They will meet if there exists $s \ge 1$ such that e_{ps} and e_{qs} are equal and so belong to the cycle. That is, $ps \ge l$ and $qs \ge l$, which is equivalent to (since p > q) $qs \ge l$. So:

$$s \ge \frac{l}{a} \tag{6}$$

Due to (1), indices of e_{ps} and e_{qs} are same iff:

$$l + (ps - l) \mod n = l + (qs - l) \mod n$$

$$\Leftrightarrow ((p - q)s) \mod n = 0$$

$$\Leftrightarrow (p - q)s = \text{a multiple of } n$$
(7)

It is in fact possible to find $s \ge 1$ which satisfies both (6) and (7). An example is s = mn where m is a positive integer large enough such that $mn \ge l/q$. So we can conclude that R_p and R_q will always meet after some number of iterations, for any p and q with p > q.

Note that for p = 2 and q = 1, equations (6) and (7) are equivalent to (2) and (3) respectively.

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$\underline{\bf References}$

- [1] D. E. Knuth. The Art of Computer Programming, Vol 2, Third Edition. Addison-Wesley (1997).
- [2] Wikipedia. $Cycle\ Detection$. https://en.wikipedia.org/wiki/Cycle_detection.