



UPPSALA  
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- Previous assignments
- Word embeddings
- Recurrent Neural Networks
  - LSTM
- Attention and Transformers
  - Attention
  - Multi-Head Attention
  - Positional encoding
  - Add and Normalize
- BERT
  - Training BERT
  - Using BERT

# Machine learning – Block 5

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Department of Statistics, Uppsala University

Autumn 2022



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# This week's lectures

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- Word embedding basics
- Recurrent Neural Networks
- Attention and Transformers
- BERT



# Practicalities

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- remember the project proposition deadline the 15th of December
- One lecture later this week on State of the Art in word embeddings and transformers (Väinö Yrjänäinen and Anders Östling)
- An additional guest lecture in january on fairness in AI and law (Holli Sargeant, Cambridge University)





# Assignment 3

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    - Training BERT
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- remember the use of validation/test/train
  - dont retrain your best model on test data
  - clarify instructions: micro or macro precision and recall





## Assignment 4: Evaluation

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- Some further clarifications are needed
  - It takes long time to run the models
  - Better help in how to think when constructing networks

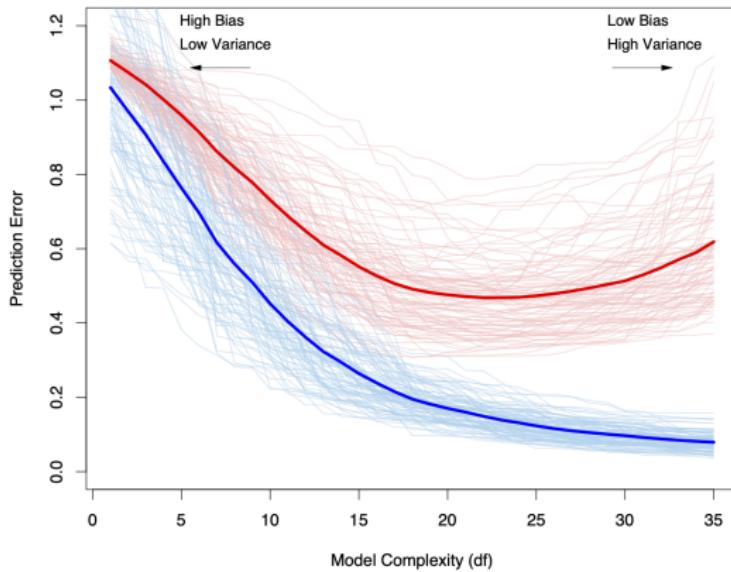




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# General principle

Figure: Test, training, and model complexity (Hastie et al, 2009, Figure 7)





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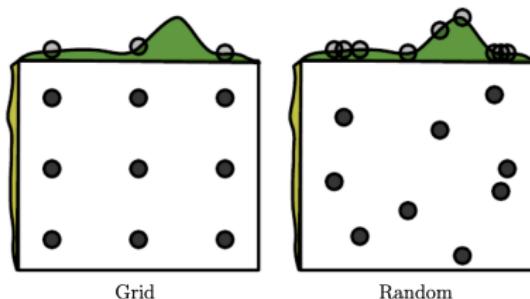


Figure: Grid search and random search (Goodfellow et al, 2017, Fig. 11.2)



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# How do we represent words?

---

- One-hot encoding
  - A vector of length  $V$  (vocabulary size)

$$\text{Uppsala} = [0, \dots, 1, \dots, 0] = \mathbf{1}_i$$



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# How do we represent words?

- One-hot encoding

- A vector of length  $V$  (vocabulary size)

$$\text{Uppsala} = [0, \dots, 1, \dots, 0] = \mathbf{1}_i$$

- Word embeddings

- A vector of length  $D$  (embedding dimension)

$$\text{Uppsala} = [-0.1231, \dots, 1.9001, \dots, 0.012]$$

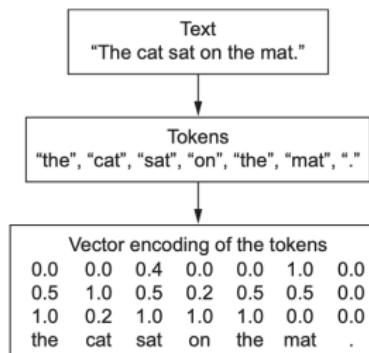


Figure: Representing words as word emnbeddings (Chollet and Allair, 2018, Fig. 6.1)



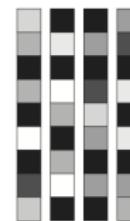
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# Word embeddings vs. One-Hot



One-hot word vectors:

- Sparse
- High-dimensional
- Hardcoded



Word embeddings:

- Dense
- Lower-dimensional
- Learned from data

Figure: One-Hot vs. Word embeddings (Chollet and Allair, 2018, Fig. 6.2)



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# Word embeddings

---

*The quick brown fox jumps over the lazy dog.*

- A word type represent meaning in a low-dimensional semantic space
- The distributional hypothesis:
  - Harris (1954) and Firth (1957):  
“A word is characterized by the company it keeps”
  - Semantics (broadly defined) is captured by context
- Lots of different embeddings:  
word2vec, GloVe, Probabilistic Embeddings



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# Word embeddings

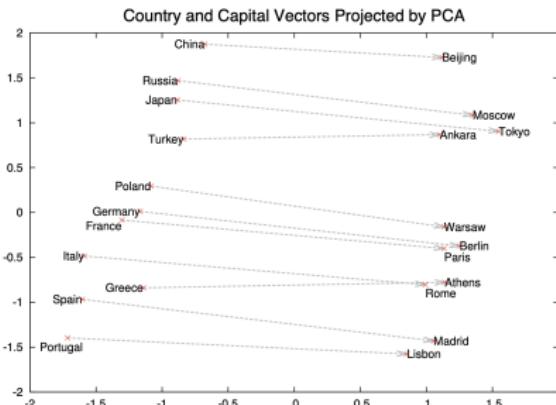


Figure: Word embedding properties (Mikolov et al, 2013)

king - man + woman  $\approx$  queen



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# Context Matters!

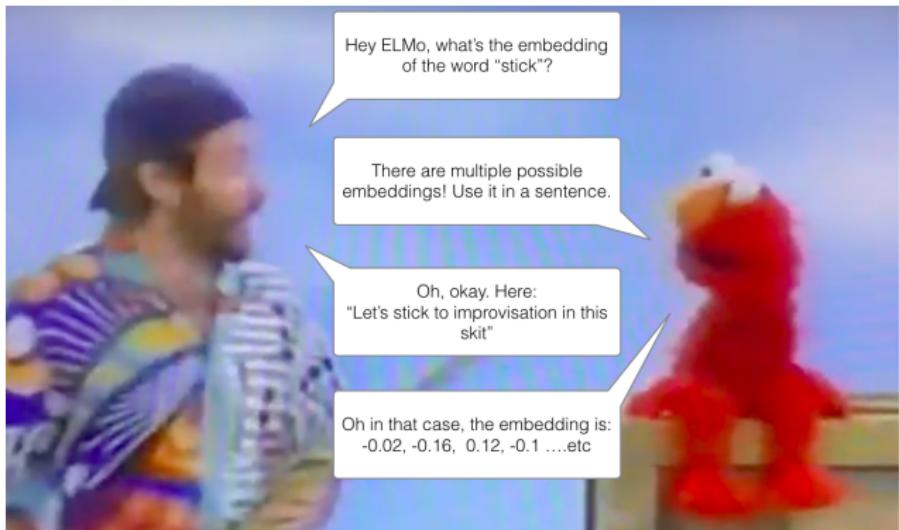


Figure: Context matters (Alammar, 2020)



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  - Using BERT
- Recurrent Neural Networks, Recurrent Nets, RNN, ...
- Modeling of **temporal data structures**, such as
  - Time series data
  - Sequences of words (language models)





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# Recurrent Neural Networks

---

- Recurrent Neural Networks, Recurrent Nets, RNN, ...
- Modeling of **temporal data structures**, such as
  - Time series data
  - Sequences of words (language models)
- Examples of applications:
  - Text classification
  - Sequence / word classification
  - Time series predictions



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# Recurrent Neural Networks

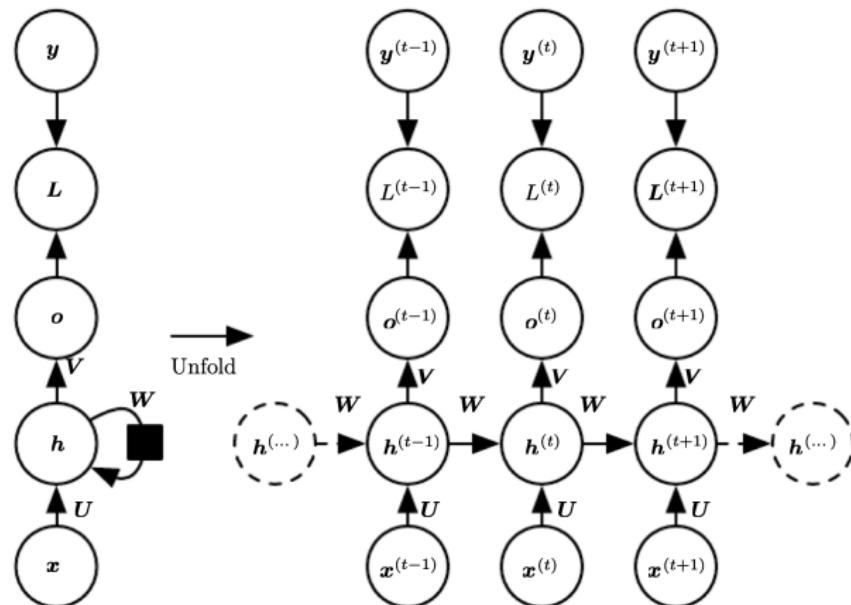


Figure: Recurrent Neural Network (Goodfellow et al, 2017, Fig. 10.3)



# Recurrent Neural Networks

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$$a_t = b + Wh_{t-1} + Ux_t$$

$$h_t = \sigma_1(a_t)$$

$$o_t = c + Vh_t$$

$$\hat{y}_t = \sigma_{\text{output}}(o_t) = \text{softmax}(o_t)$$

Think of  $h_t$  as the "state" at timepoint  $t$



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## Recurrent network with one output

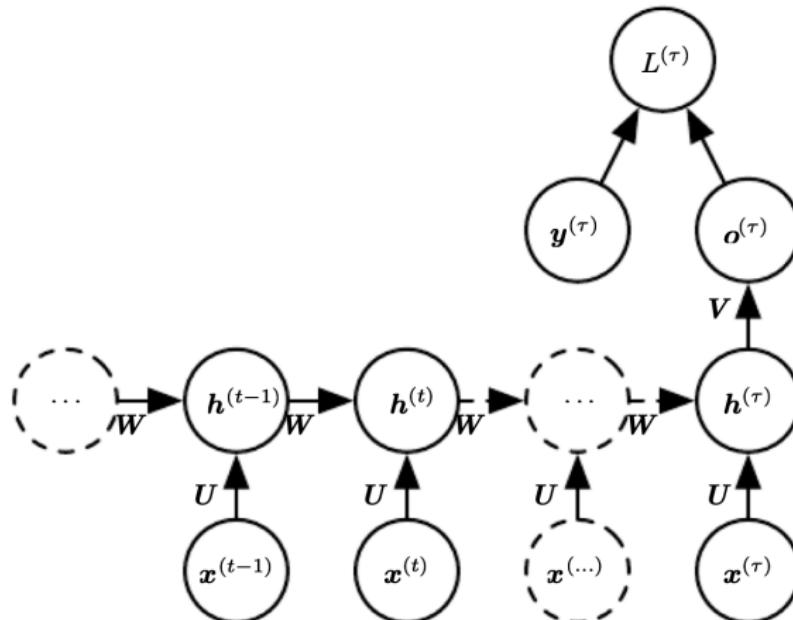
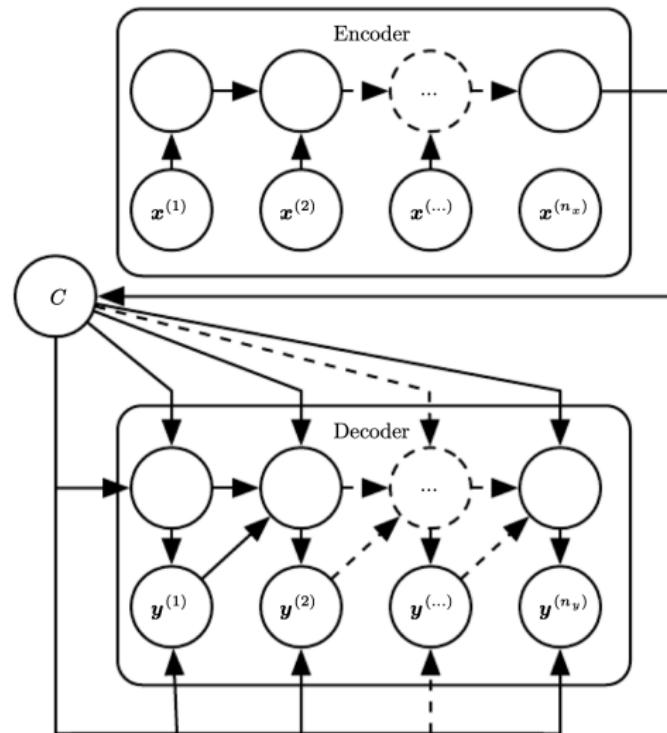


Figure: Recurrent Neural Network with one output (Goodfellow et al., 2017, Fig. 10.5)



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## Sequence to Sequence: Encoder-Decoder



**Figure:** Encoder-Decoder Recurrent Networks (Goodfellow et al, 2017, Fig. 10.12)



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- Predicting sequences of different lengths
  - Exploding and vanishing gradients
  - Long-term dependencies



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# Bi-Directional RNN

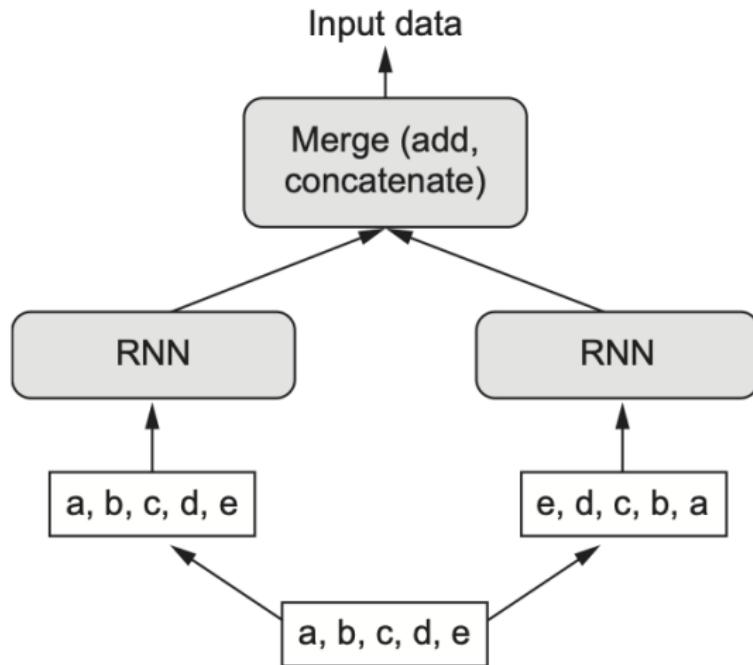


Figure: Bi-Directional RNN (Chollet and Allaire, 2018, Fig. 6.21)



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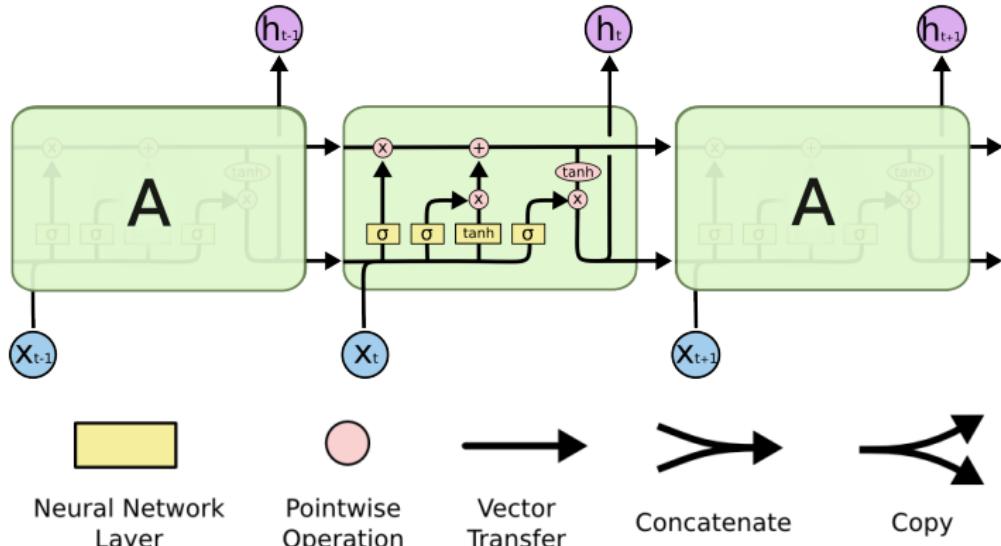


Figure: The LSTM (Olah, 2015)



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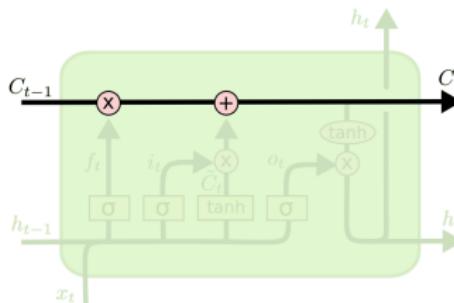


Figure: LSTM cell state, i.e. "carrybelt" (Olah, 2015)



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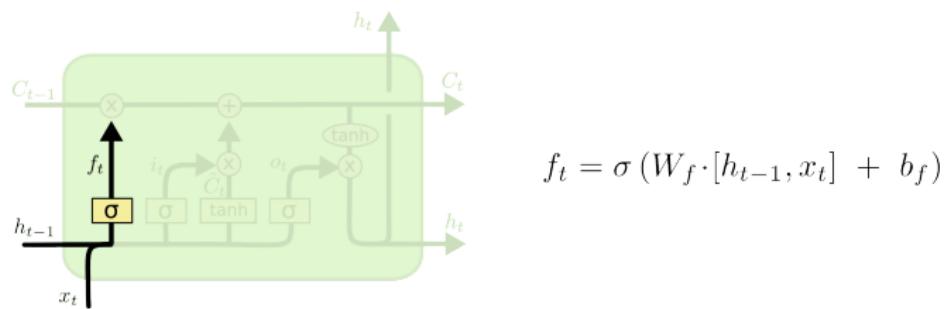


Figure: LSTM forget gate (Olah, 2015)



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## LSTM input gate

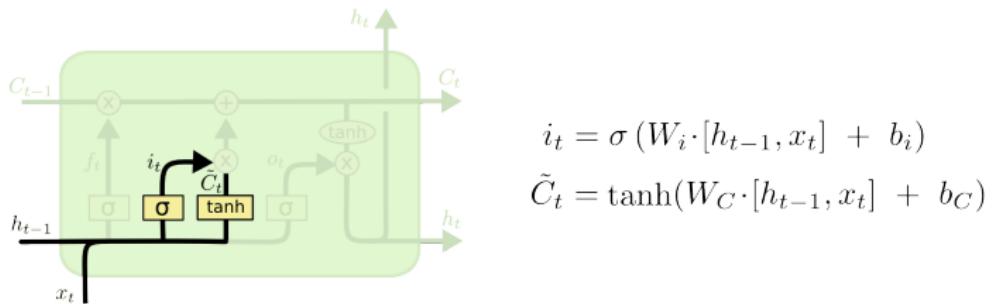


Figure: LSTM input gate (Olah, 2015)



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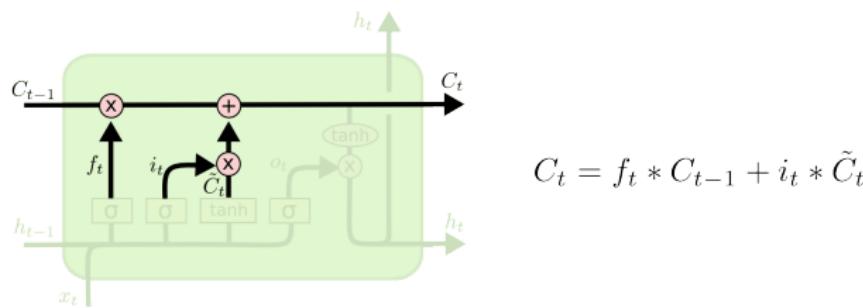
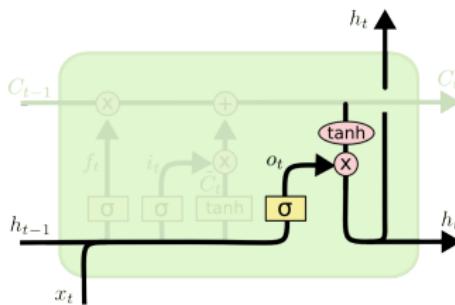


Figure: Update cell state (Olah, 2015)



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## LSTM output gate



$$o_t = \sigma (W_o [ h_{t-1}, x_t ] + b_o)$$

$$h_t = o_t * \tanh (C_t)$$

Figure: LSTM output gate (Olah, 2015)



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- 
- Still a **recurrent structure**,  
(vanishing and exploding gradients)
  - Long-term dependencies still difficult
  - Hard to do **transfer learning**



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# Transformer

---

- Introduced in 2017 in Vaswani et al. (2017)
- Behind the recent progress in NLP: BERT, GPT-2, GPT-3, etc.



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- Introduced in 2017 in Vaswani et al. (2017)
  - Behind the recent progress in NLP: BERT, GPT-2, GPT-3, etc.
  - Becoming de-facto standard in industry and academia





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  - Brings **transfer learning** to NLP



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- 
- Introduced in 2017 in Vaswani et al. (2017)
  - Behind the recent progress in NLP: BERT, GPT-2, GPT-3, etc.
  - Becoming de-facto standard in industry and academia
  - Brings **transfer learning** to NLP
  - Two large benefits:
    - Enables more **parallelism**
    - Better handling of **long-range dependencies**



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Figure: Attention (Vaswani et al., 2017)



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# Stacked Encoder-Decoder Structure

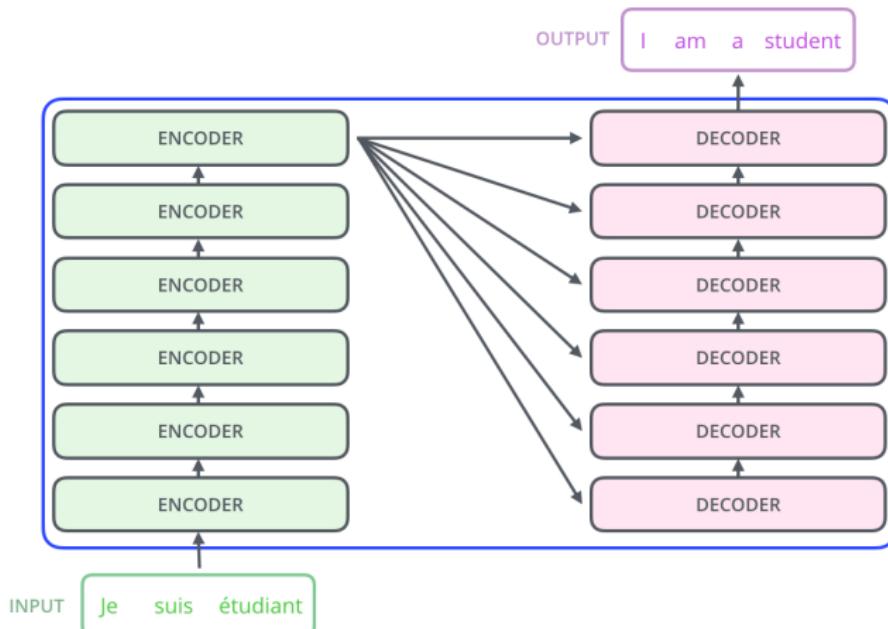


Figure: Attention (Vaswani et al., 2017)



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# Transformer

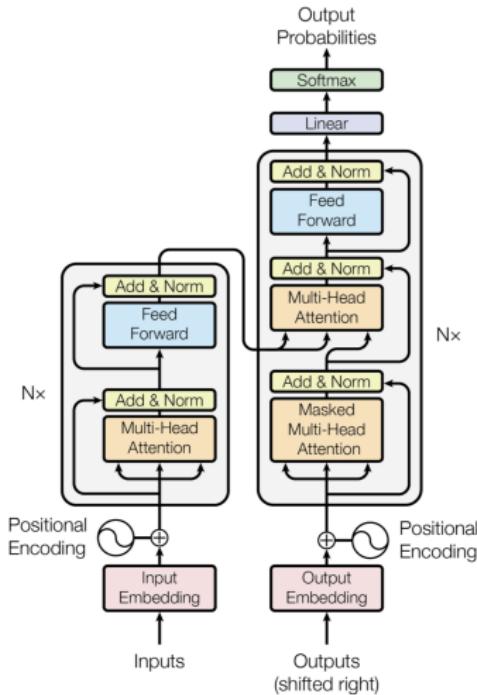


Figure: The Transformer Architecture (Vaswani et al., 2017)



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- Encoder:
    - Input: words (embeddings)
    - Output: contextualized embeddings
  - Decoder:
    - Input: contextualized embeddings **and** previous words (embeddings)
    - Output: words (embeddings)



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# The Encoder Layer

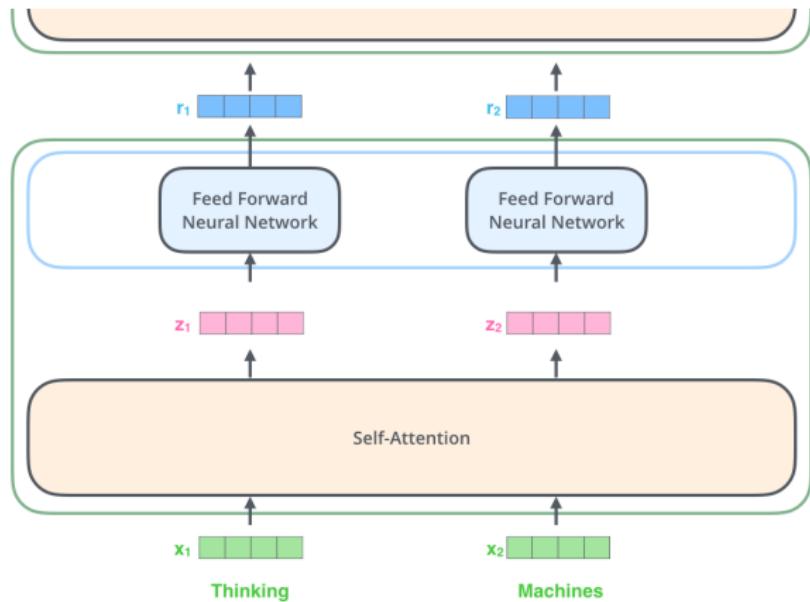


Figure: The Encoder Layer (Alammar, 2018)



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# Scaled Dot-Product Attention

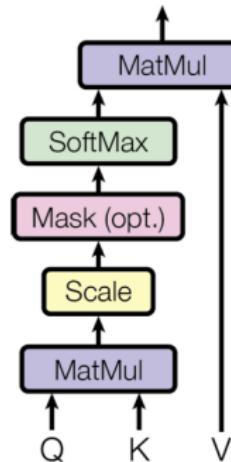


Figure: Scaled Dot-Product Attention (Vaswani et al., 2017)



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## The encoder vs. the decoder

---

- (Q)uery: Word  $i$  query other words
- (K)ey: The other words return their key to  $i$
- (V)alue: The value of the other words to  $i$



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# Computing Q, V and K

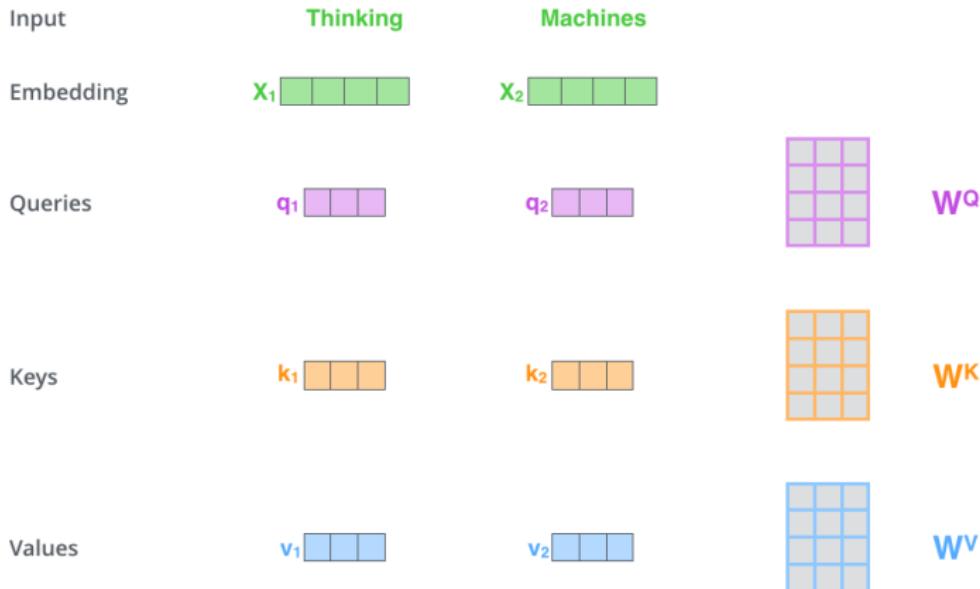


Figure: Attention heads (Alammar, 2018)



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# Computing Self-Attention

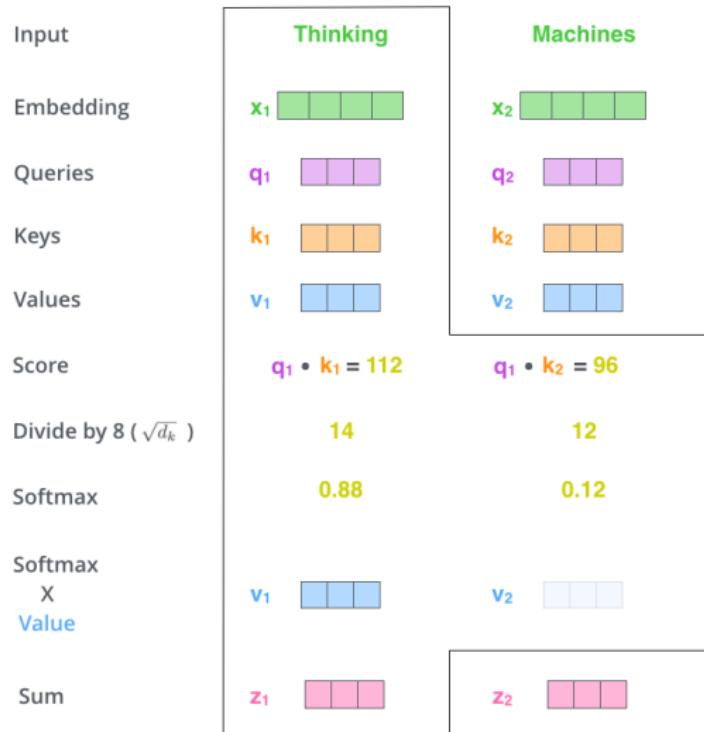


Figure: Attention (Alammar, 2018)



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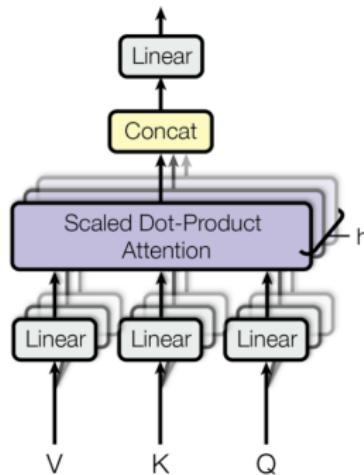


Figure: Scaled Dot-Product Attention (Vaswani et al., 2017)



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# Attentions Heads

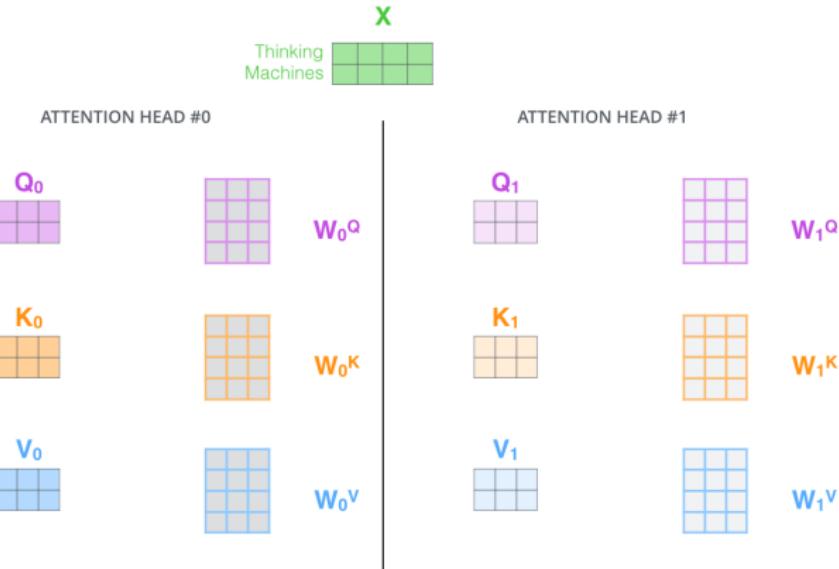


Figure: Attention heads (Alammar, 2018)



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# Multi-head attention

- 1) This is our input sentence\*
- 2) We embed each word\*
- 3) Split into 8 heads. We multiply  $X$  or  $R$  with weight matrices
- 4) Calculate attention using the resulting  $Q/K/V$  matrices
- 5) Concatenate the resulting  $Z$  matrices, then multiply with weight matrix  $W^O$  to produce the output of the layer

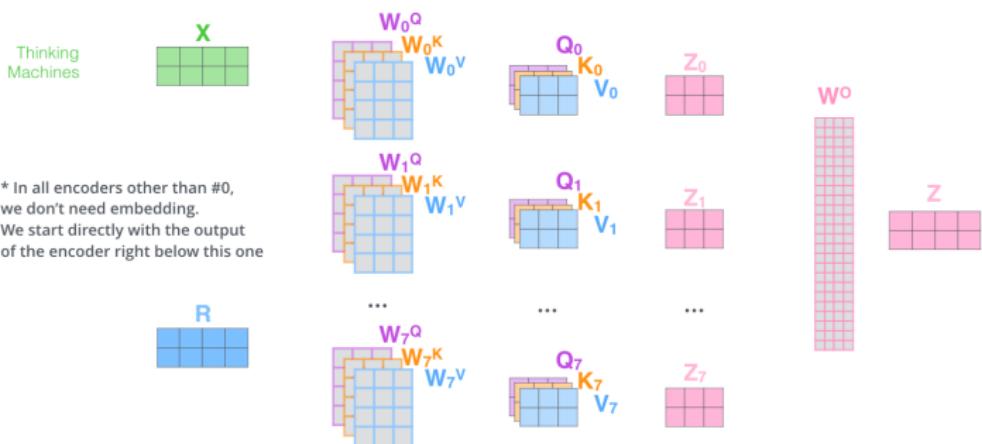


Figure: Attention heads (Alammar, 2018)



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# Multi-Head Attention example

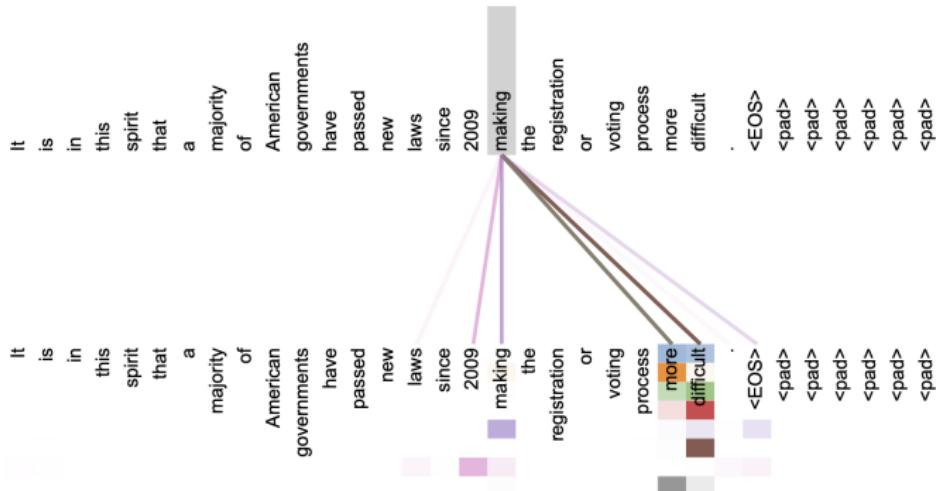


Figure 3: An example of the attention mechanism following long-distance dependencies in the encoder self-attention in layer 5 of 6. Many of the attention heads attend to a distant dependency of the verb ‘making’, completing the phrase ‘making...more difficult’. Attentions here shown only for the word ‘making’. Different colors represent different heads. Best viewed in color.

**Figure:** Attention (Vaswani et al., 2017)



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  - Using BERT

# Positional Encoding

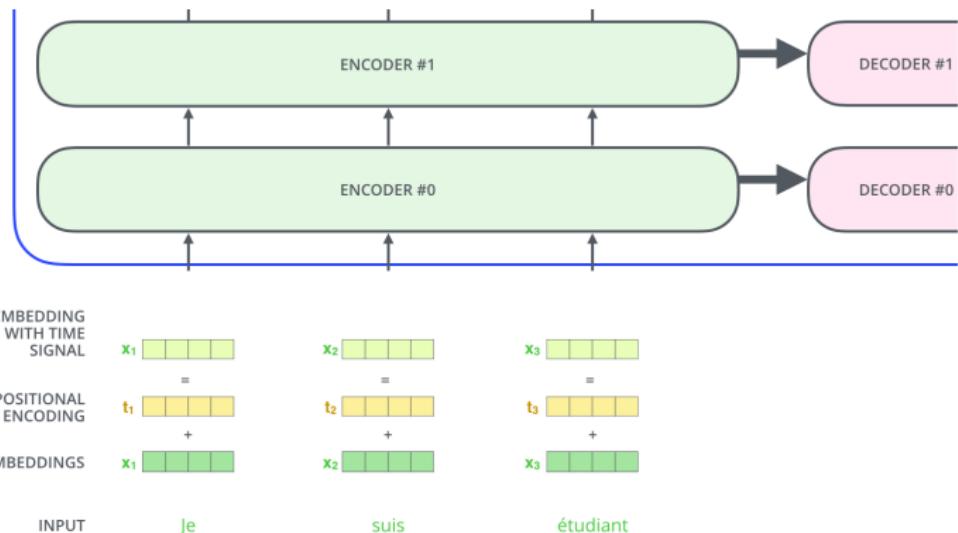


Figure: Attention heads (Alammar, 2018)



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# Positional Encoding



Figure: Adding positional encodings to embeddings (Alammar, 2018)



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# Add and Normalize

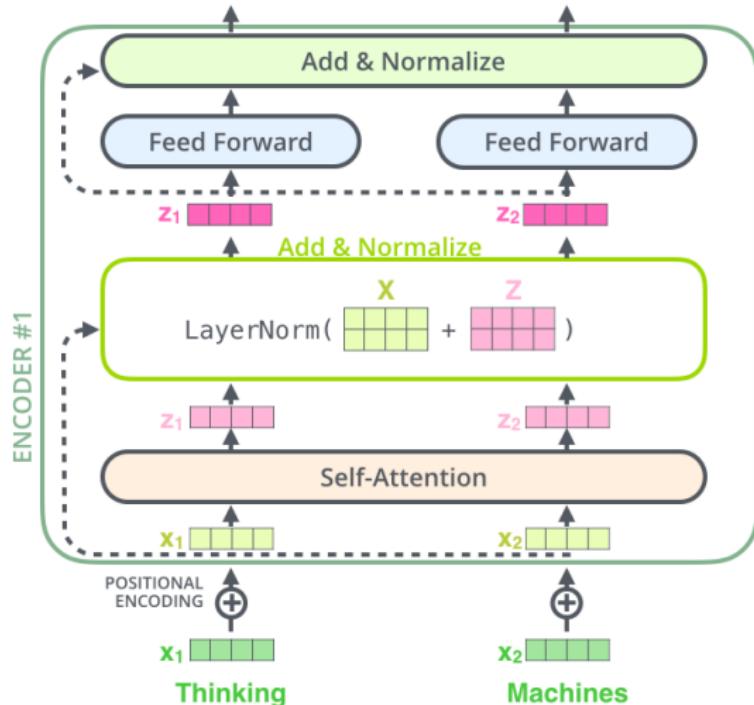


Figure: Add and Normalize (Alammar, 2018)



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# Transformer

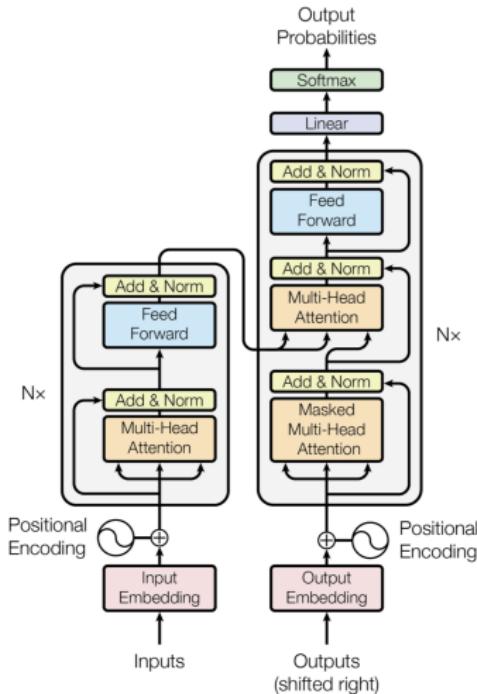


Figure: The Transformer Architecture (Vaswani et al., 2017)



# BERT

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- Bidirectional Encoder Representations from Transformers
- Introduced in 2018/2019 in Devlin et al. (2017)



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  - Question-Answering
  - Named-Entity Recognition
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- **Pre-trained** on a large number of books
- Available both in English and Swedish (The National Library)



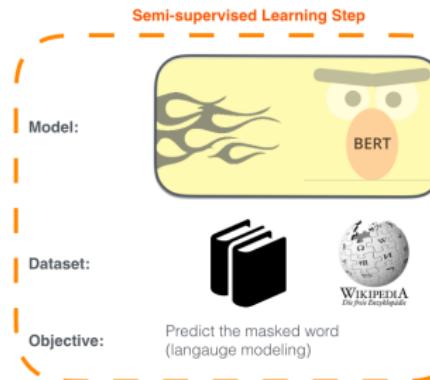


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# BERT and transfer learning

## 1 - Semi-supervised training on large amounts of text (books, wikipedia..etc).

The model is trained on a certain task that enables it to grasp patterns in language. By the end of the training process, BERT has language-processing abilities capable of empowering many models we later need to build and train in a supervised way.



## 2 - Supervised training on a specific task with a labeled dataset.

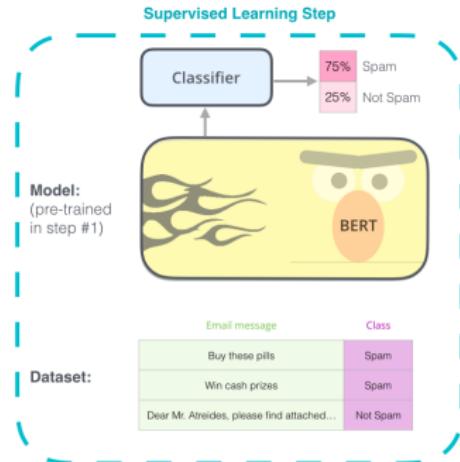


Figure: Using BERT for Transfer Learning (Alammar, 2019)



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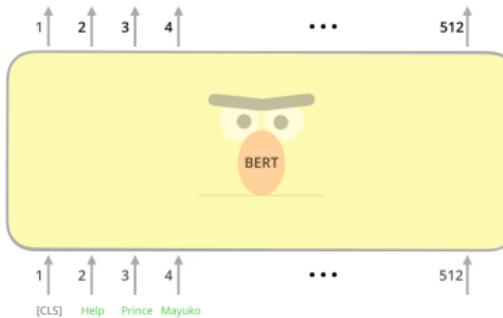


Figure: The BERT model (Alammar, 2019)



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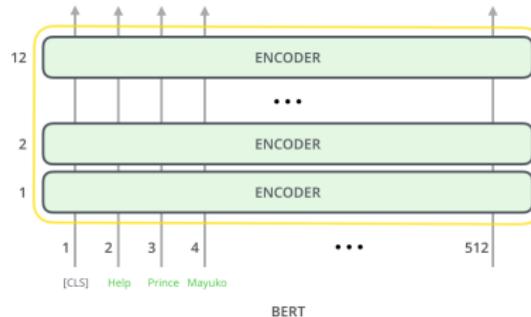


Figure: Opening up BERT (Vaswani et al., 2017)

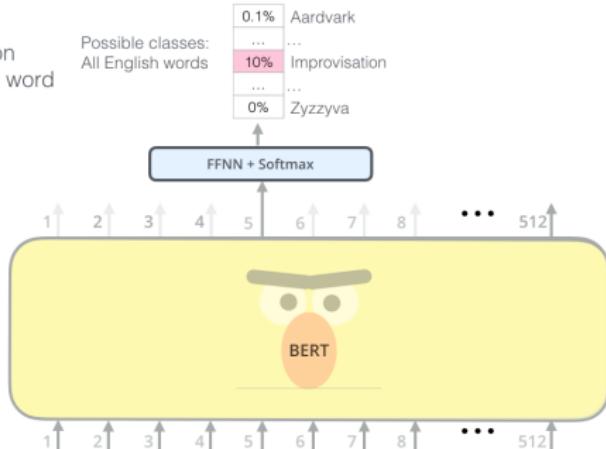


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# Task 1: Masked Language Model

Use the output of the masked word's position to predict the masked word

Possible classes:  
All English words



Randomly mask 15% of tokens

Input

[CLS] ↑ Let's ↑ stick ↑ to ↑ [MASK] ↑ in ↑ this ↑ skit ↑

Figure: Masked Language Modeling (Alammar, 2019)



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# Next Sentence Prediction

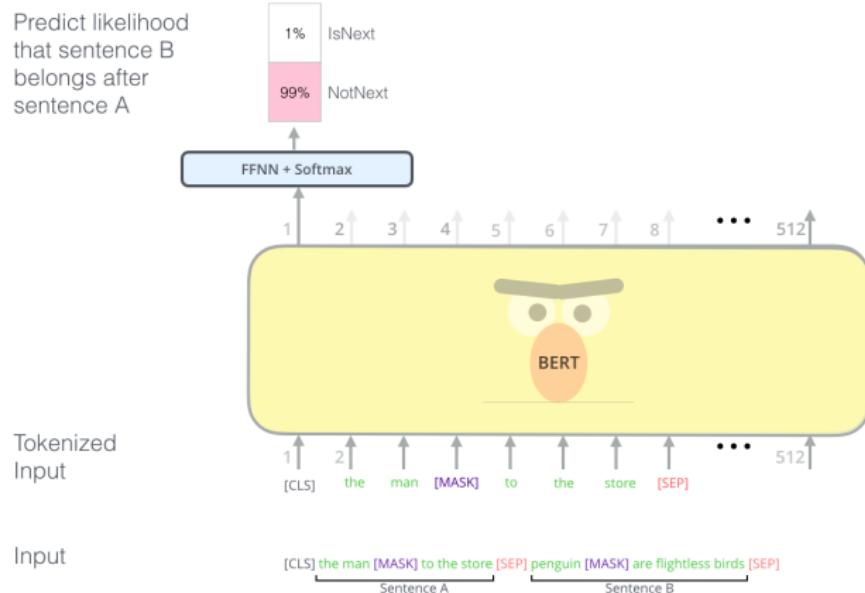


Figure: Next Sentence Prediction (Alammar, 2019)



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# Using BERT for Classification

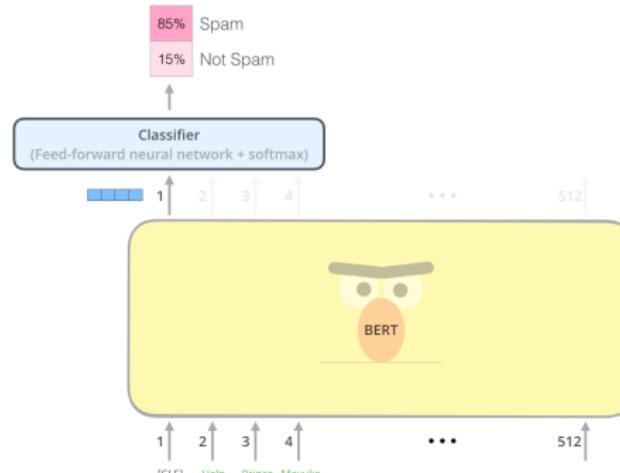


Figure: Using BERT for classification (Alammar, 2019)



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# BERT and Contextualized embeddings

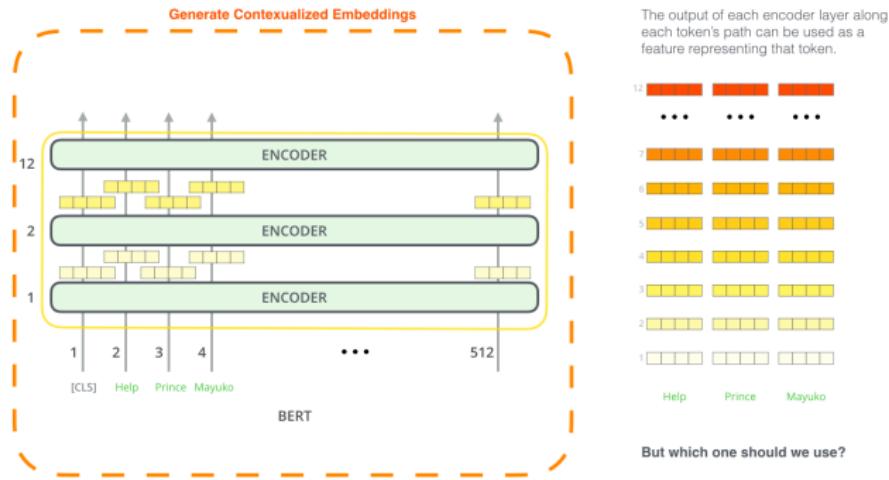


Figure: Contextualized Embeddings (Alammar, 2019)



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# Using Contextualized Embeddings

What is the best contextualized embedding for "Help" in that context?  
For named-entity recognition task CoNLL-2003 NER

		Dev F1 Score
12		91.0
...		
7		94.9
6		
5		
4		
3		
2		
1		
First Layer	Embedding	91.0
Last Hidden Layer		94.9
Sum All 12 Layers		95.5
Second-to-Last Hidden Layer		95.6
Sum Last Four Hidden		95.9
Concat Last Four Hidden	9 10 11 12	96.1

Help

Figure: Using Contextualized Embeddings (Alammar, 2019)