

**Factorization over finite fields**

(Due Date: Sunday May 15th)

1. Suppose  $p$  is a prime,  $f \in \mathbb{F}_p[x]$  has degree 4, and

$$\gcd(x^p - x, f) = \gcd(x^{p^2} - x, f) = 1.$$

What can you say about the factorization of  $f$  in  $\mathbb{F}_p[x]$ ?

2. Trace the distinct-degree factorization algorithm on computing the decomposition of the squarefree polynomial

$$x^{17} + 2x^{15} + 4x^{13} + x^{12} + 2x^{11} + 2x^{10} + 3x^9 + 4x^8 + 4x^4 + 3x^3 + 2x^2 + 4x \in \mathbb{F}_5[x].$$

Tell from the output only how many irreducible factors of degree  $i$  the polynomial  $f$  has, for all  $i$ .

3. Let  $q \in \mathbb{N}$  be a prime power.

- Prove that if  $r$  is a prime number, then there are  $(q^r - q)/r$  distinct monic irreducible polynomials of degree  $r$  in  $\mathbb{F}_q[x]$ .
- Now suppose that  $r$  is a prime power. Find a simple formula for the number of monic irreducible polynomials of degree  $r$  over  $\mathbb{F}_q$ .

4. Let  $p \in \mathbb{N}$  be a prime and  $f \in \mathbb{Z}[x]$  monic of degree  $n$ . Prove that the congruence  $f(a) \equiv 0 \pmod{p}$  has  $n$  solutions  $a \in \mathbb{Z}_p$  if and only if  $f \pmod{p}$  is a factor of  $x^p - x$ .

5. Let  $q$  be a prime power and  $f \in \mathbb{F}_q[x]$  squarefree of degree  $n$ .

- Prove that for  $1 \leq a \leq b \leq n$ , the polynomial  $\gcd\left(\prod_{a \leq d < b} x^{q^d} - x, f\right)$  is the product of all monic irreducible factors of  $f$  whose degree divides some number in the interval  $\{a, a+1, \dots, b-1\}$ .
- Determine  $\gcd\left(\prod_{a \leq d < b} x^{q^b} - x^{q^{b-d}}, f\right)$ .
- Consider the following *blocking strategy* for distinct degree factorization. We partition the set  $\{1, \dots, n\}$  of possible degrees of irreducible factors of  $f$  into  $k$  intervals  $I_1 = \{c_0 = 1, 2, \dots, c_1 - 1\}$ ,  $I_2 = \{c_1, c_1 + 1, \dots, c_2 - 1\}$ ,  $\dots$ ,  $I_k = \{c_{k-1}, c_{k-1} + 1, \dots, c_k - 1 = n\}$ , with integers  $1 = c_0 < c_1 < \dots < c_k = n + 1$ . Describe an algorithm which, on input  $f$ , computes the polynomials  $g_1, \dots, g_k$  such that  $g_j$  is the product of all monic irreducible factors of  $f$  with degree in the interval  $I_j$  for  $1 \leq j \leq k$ .

6. We consider  $\mathbb{F}_{41}$ .

- (a) Draw the “squaring graph” in  $\mathbb{F}_{41}^\times$ , the directed graph on vertices  $1, \dots, 40$  with the edge  $(i, j)$  present if and only if  $i^2 \equiv j \pmod{41}$ , for  $1 \leq i, j \leq 40$ . Arrange your drawing so that the structure of the graphs is easy to see.
- (b) Draw the “cubing graph”  $i^3 \equiv j \pmod{41}$ .
- (c) Draw the “fifth power graph”  $i^5 \equiv j \pmod{41}$ .
- (d) Can you see the qualitative differences in the three graphs above? Can you explain them?
- (e) Let  $q$  be a prime larger than 1000000. What can you say about the “ $q$ th power graph” on  $\mathbb{F}_{41}^\times$ ?
- (f) How many elements of  $\mathbb{F}_{41}^\times$  are squares? How many are nonsquares? Same question for cubes and fifth powers.
7. Let  $\mathbb{F}_q$  be a finite field with  $q$  elements, and  $k \in \mathbb{N}$ .
- (a) For  $q = 13$  and  $q = 17$ , draw the graph of the cubing map  $a \mapsto a^3$  on  $\mathbb{F}_q$ , with the elements of  $\mathbb{F}_q$  as vertices and an edge  $a \rightarrow b$  present if and only if  $a^3 = b$ .
- (b) Show that  $\text{ord}(a^k) = \text{ord}(a) / \gcd(k, \text{ord}(a))$  for all  $a \in \mathbb{F}_q^\times$ .
- (c) Show that the  $k$ th power group homomorphism  $\sigma_k : \mathbb{F}_q^\times \rightarrow \mathbb{F}_q^\times$  is an automorphism if and only if  $\gcd(k, q-1) = 1$ .
- (d) Conclude that  $\text{Ker}(\sigma_k) = \text{Ker}(\sigma_\ell)$  and  $\text{Im}(\sigma_k) = \text{Im}(\sigma_\ell)$ , with  $\ell = \gcd(k, q-1)$ .
8. The squarefree polynomial
- $$f = x^{18} - 7x^{17} + 4x^{16} + 2x^{15} - x^{13} - 7x^{12} + 4x^{11} + 7x^{10} + 4x^9 - 3x^8 - 3x^7 + 7x^6 - 7x^5 + 7x^4 + 7x^3 - 3x^2 + 5x + 5 \in \mathbb{F}_{17}[x]$$
- splits into 3 irreducible factors of degree 6.
- (a) How would you check the above statement without factoring  $f$ , by computing at most three gcd's?
- (b) Trace the equal-degree factorization algorithm in order to compute these factors.
- (c) Design an efficient probabilistic algorithm that, given a prime  $p$  and an  $a \in \mathbb{Z}_p^\times$ , computes the square roots of  $a \pmod{p}$  provided they exist. Apply your algorithm to  $p = 2591$  and  $a = 1005$ .
9. Assume that  $q-1 = 2^k u$ , with  $k \geq 2$  and  $u \in \mathbb{N}$ .
- (a) Let  $S \subset \mathbb{F}_q^\times$  be the subgroup of  $2^k$ th roots of unity. What is the order of  $S$ , and how many primitive  $2^k$ th roots of unity are there?

- (b) Show that  $a^u \in S$  for all  $a \in \mathbb{F}_q^\times$ , and that  $b = a^u$  is a primitive  $2^k$ th root of unity with probability  $\frac{1}{2}$  if  $a$  is chosen uniformly at random.
- (c) Prove that  $b$  is a primitive  $2^k$ th root of unity if and only if  $b^{2^{k-1}} = -1$ .
- (d) Design a probabilistic algorithm for finding a primitive  $2^k$ th root of unity, and show that it uses an expected number of  $\mathcal{O}(\log q)$  operations in  $\mathbb{F}_q$ , or  $\mathcal{O}(\log q \cdot M(\log q))$  word operations. Use your algorithm to find a primitive  $2^{59}$ th root of unity for  $q = 27 \cdot 2^{59} + 1$ .
10. Show that recursively applying the equal-degree splitting algorithm to the smaller factor leads to an algorithm for finding *one* irreducible factor of the input polynomial with an expected running time of  $\mathcal{O}((d \log q + \log n)M(n))$ .
11. For  $m \in \mathbb{N}$ , we define the  $m$ th *trace polynomial* over  $\mathbb{F}_2$  by

$$T_m = x^{2^{m-1}} + x^{2^{m-2}} + \dots + x^4 + x^2 + x \in \mathbb{F}_2[x].$$

Let  $q = 2^k$  for some  $k \in \mathbb{Z}_{>0}$ ,  $f \in \mathbb{F}_q[x]$  squarefree of degree  $n$ , with  $r \geq 2$  irreducible factors  $f_1, \dots, f_r \in \mathbb{F}_q[x]$ ,  $R = \mathbb{F}_q[x]/\langle f \rangle$ ,  $R_i = \mathbb{F}_q[x]/\langle f_i \rangle$ , and  $\chi_i : R \rightarrow \mathbb{R}_i$  the projection.

- (a) Prove that  $x^{2^m} + x = T_m(T_m + 1)$ , and conclude that  $T_m(\alpha) \in \mathbb{F}_2$  for any  $\alpha \in \mathbb{F}_{2^m}$ , and that both  $T_m(\alpha) = 0$  and  $T_m(\alpha) = 1$  occur with probability  $\frac{1}{2}$  when  $\alpha$  is chosen uniformly at random.
- (b) Suppose that all irreducible factors of  $f$  have the same degree  $d$ . Show that  $\chi_i(T_{kd}(\alpha)) \in \mathbb{F}_2$  for all  $\alpha \in R$ , and conclude that for a uniformly random  $\alpha \in R$ , we have  $T_{kd}(\alpha) \in \mathbb{F}_2$  with probability  $2^{1-r} \leq \frac{1}{2}$ .
- (c) Modify the equal-degree splitting algorithm so as to work for  $q = 2^k$ , by computing  $b = T_{kd}(a) \bmod f$  in step 3. Prove that the modified algorithm fails with probability at most  $\frac{1}{2}$ , and that its running time is the same as that of the original algorithm.
12. Apply the complete polynomial factorization over finite fields algorithm to factor the polynomial  $x^6 + x^3 + x^2 + x + 1 \in \mathbb{F}_2[x]$  into irreducible factors. Show all your steps.
13. Let  $F$  be a field and  $f \in F[x]$  with  $f(0) \neq 0$ . Recall that  $\text{rev}(f) = f^* = x^{\deg(f)} f(1/x)$ , the reversal or reciprocal polynomial of  $f$ . We say that  $f$  is *self-reciprocal* if  $f = f^*$ .
- (a) Show that  $(f \cdot g)^* = f^* \cdot g^*$ .
- (b) Prove that  $f(\alpha^{-1}) = 0 \iff f^*(\alpha) = 0$ , for all  $\alpha \in F$ . Conclude that the set of zeroes of  $f$  is closed under inversion if  $f$  is self-reciprocal.
- (c) Show that every self-reciprocal polynomial  $f$  of odd degree satisfies  $f(-1) = 0$ .

- (d) Let  $f \in F[x]$  with  $f(0) \neq 0$  be self-reciprocal and  $g \in F[x]$  an irreducible factor of  $f$ . Show that  $g^*$  is also an irreducible factor of  $f$ .
- (e) The squarefree polynomial  $f = (x^{21} + 1)/(x + 1) \in \mathbb{F}_2[x]$  has -among others- the following irreducible factors:  $x^2 + x + 1$ ,  $x^3 + x + 1$ . and  $x^6 + x^4 + x^2 + x + 1$ . What are the others?
14. Prove or disprove:
- (a) The polynomial  $x^{1000} + 2 \in \mathbb{F}_5[x]$  is squarefree.
- (b) Let  $F$  be a field and  $f, g \in F[x]$ . Then the squarefree part of  $fg$  is the product of the squarefree parts of  $f$  and of  $g$ .
15. (a) Test the following polynomials for multiple factors in  $\mathbb{Q}[x]$  :
- i.  $x^3 - 3x^2 + 4$
  - ii.  $x^3 - 2x^2 - x + 2$
- (b) Compute the squarefree decomposition of the following polynomials in  $\mathbb{Q}[x]$  and in  $\mathbb{F}_3[x]$  :
- i.  $x^6 - x^5 - 4x^4 + 2x^3 + 5x^2 - x - 2$
  - ii.  $x^6 - 3x^5 + 6x^3 - 3x^2 - 3x + 2$
  - iii.  $x^5 - 2x^4 - 2x^3 + 4x^2 + x - 2$
  - iv.  $x^6 - 2x^5 - 4x^4 + 6x^3 + 7x^2 - 4x - 4$
  - v.  $x^6 - 6x^5 + 12x^4 - 6x^3 - 9x^2 + 12x - 4$
16. Let  $f \in \mathbb{Z}[x]$  be of degree  $n$ , and max-norm  $\|f\|_\infty = A$ ,  $f = (ux + v)g$ , with nonzero  $u, v \in \mathbb{Z}$  and  $g = \sum_{i=0}^{n-1} g_i x^i \in \mathbb{Z}[x]$ .
- (a) Prove that  $|g_i| < \frac{(i+1)A}{|v|}$  for  $0 \leq i < n - 1$  if  $|u| = |v|$ , and conclude then that  $\|g\|_\infty \leq nA$ .
- (b) Now assume that  $\alpha = |u/v| < 1$ . show that  $|g_i| \leq A(1 - \alpha^{i+1})/(1 - \alpha)|v|$  for  $0 \leq i < n - 1$ , and conclude that  $\|g\|_\infty \leq A$ . Prove that the latter also holds if  $|u/v| > 1$ .