

**Fast Multiplication**

(Due date: Sunday May 1th)

1. Let  $R$  be a commutative ring, with  $1 \in R$ , and  $f, g \in R[x, y]$ . Assume that  $f$  and  $g$  have degrees bounded by  $m$  in  $y$  and  $n$  in  $x$ . Let  $h = f \cdot g$ .

- (a) Using classical univariate polynomial multiplication, and viewing  $R[x, y]$  as  $R[y][x]$ , bound the number of arithmetic operations in  $R$  to compute  $h$ .
- (b) Using Karatsuba's algorithm bound the number of operations in  $R$  to compute  $h$ .
- (c) Generalize parts (a) and (b) to polynomials in an arbitrary number of variables.

2. Karatsuba's method for polynomial multiplication can be generalized as follows. Let  $F$  be a field,  $m, n \in \mathbb{Z}_{>0}$ , and  $f = \sum_{i=0}^n f_i x^i$ ,  $g = \sum_{i=0}^m g_i x^i$  in  $F[x]$ . To multiply  $f$  and  $g$ , we divide each of them into  $m \geq 2$  blocks of size  $k = \lceil (n+1)/m \rceil$  :

$$f = \sum_{i=0}^m F_i x^{ki}, \quad g = \sum_{i=0}^m G_i x^{ki},$$

with all  $F_i, G_i \in F[x]$  of degree less than  $k$ . Then  $fg = \sum_{i=0}^{2m-1} H_i x^{ki}$ , where  $H_i = \sum_{j=0}^i F_j G_{i-j}$  for  $0 \leq i < 2m-1$ , and we assume that  $F_j, G_j = 0$  if  $j \geq m$ .

- (a) Find a way to compute  $H_0, H_1, H_2, H_3$  and  $H_0$  when  $m = 3$  using at most 6 multiplications of polynomials of degree less than  $k$ . Use this method to construct a recursive algorithm à la Karatsuba and analyze its cost when  $n$  is a power of 3 (count only the number of multiplications of polynomials which you have to perform with this algorithm).
  - (b) Suppose that you have found a scheme to compute  $H_0, \dots, H_{2m-2}$  using  $d$  multiplications of polynomials of degree less than  $k$ , and made this scheme into a recursive algorithm as in (a). How large may  $d$  be at most such that your algorithm is asymptotically faster than Karatsuba's? Compare with your result from (a).
3. Let  $F = \mathbb{F}_{17}$  and  $f = 5x^3 + 3x^2 - 4x + 3$ ,  $g = 2x^3 - 5x^2 + 7x - 2$  in  $F[x]$ .
- (a) Show that  $\omega = 2$  is a primitive 8th root of unity in  $F$ , and compute the inverse  $2^{-1}$  modulo 17 of  $\omega$  in  $\mathbb{F}$ .
  - (b) Compute  $h = f \cdot g \in F[x]$ .
  - (c) For  $0 \leq j < 8$ , compute  $\alpha_j = f(\omega^j)$ ,  $\beta_j = g(\omega^j)$ , and  $\gamma_j = \alpha_j \cdot \beta_j$ . Compare  $\gamma_j$  with  $h(\omega^j)$ .

- (d) Show the two matrices  $V_1 = V_\omega$  and  $V_2 = 8^{-1}V_{\omega^{-1}}$ , and compute their product. Compute the matrix-vector products  $V_2\alpha$ ,  $V_2\beta$ , and  $V_2\gamma$ , with  $\alpha = (\alpha_0, \dots, \alpha_7)$ ,  $\beta = (\beta_0, \dots, \beta_7)$ ,  $\gamma = (\gamma_0, \dots, \gamma_7)$ .
- (e) Trace the FFT multiplication algorithm to multiply  $f$  and  $g$ , with  $\omega$  as above.
4. Let  $F = \mathbb{F}_{41}$ .
- Prove that  $\omega = 14 \in F$  is a primitive 8th root of unity. Compute all powers of  $\omega$ , and mark the ones that are primitive 8th roots of unity.
  - Let  $\eta = \omega^2$ , and  $f = x^7 + 2x^6 + 3x^4 + 2x + 6 \in F[x]$ . Give an explicit calculation of  $\alpha = DFT_\omega(f)$ , using the FFT. You only have to do one recursive step, and then can use direct evaluation at powers of  $\eta$ .
  - Let  $g = x^7 + 12x^5 + 35x^3 + 1 \in F[x]$ . Compute  $\beta = DFT_\omega(g)$ ,  $\gamma = \alpha \cdot \beta$  with coordinate-wise product, and  $h = DFT_{\omega^{-1}}(\gamma)$ .
  - Compute  $f \cdot g$  in  $F[x]$ , and  $f *_8 g$ . Compare with your result from (c).
5. Let  $R$  be a ring,  $n \in \mathbb{Z}_{>0}$  and  $\omega \in R$  be a primitive  $n$ th root of unity.
- Show that  $\omega^{-1}$  is also a primitive  $n$ th root of unity.
  - If  $n$  is even, then show that  $\omega^2$  is a primitive  $n/2$ th root of unity. If  $n$  is odd, then show that  $\omega^2$  is also a primitive  $n$ th root of unity.
  - Let  $k \in \mathbb{Z}$  and  $d = n/\gcd(n, k)$ . Show that  $\omega^k$  is a primitive  $d$ th root of unity.
6. Let  $n \in \mathbb{Z}_{>0}$  and  $R$  be an integral domain of characteristic coprime with  $n$ .
- Show that the set  $R_n$  of all  $n$ th roots of unity is a subgroup of the multiplicative group  $R^\times$ .
  - Prove that the following are equivalent for an  $n$ th root of unity  $\omega \in R$ :
    - $\omega$  is a primitive  $n$ th root of unity,
    - $\omega^\ell \neq 1$  for  $0 < \ell < n$ ,
    - $\omega^\ell \neq 1$  for all  $\ell|n$ ,  $0 < \ell < n$ ,
    - $\omega^{n/p} \neq 1$  for all prime divisors  $p$  of  $n$ .
- We now assume that  $R$  contains a primitive  $n$ th root of unity  $\omega$ .
- Show that  $R_n$  is cyclic and isomorphic to the additive group  $\mathbb{Z}_n$  of integers modulo  $n$ .
  - Prove that there are precisely  $\varphi(n)$  primitive  $n$ th roots of unity, where  $\varphi$  is the Euler's function.

7. Let  $q$  be a prime power,  $\mathbb{F}_q$  a finite field with  $q$  elements, and  $n \in \mathbb{N}$  a divisor of  $q - 1$  with prime factorization  $n = p_1^{e_1} \dots p_r^{e_r}$ . For  $a \in \mathbb{F}_q^\times$ , we denote by  $\text{ord}(a)$  the order of  $a$  in the multiplicative group  $\mathbb{F}_q^\times$ , and want to show that  $\text{ord}(a) = q - 1$  for some  $a \in \mathbb{F}_q^\times$ . Prove
- (a)  $\text{ord}(a) = n$  if and only if  $a^n = 1$  and  $a^{n/p_j} \neq 1$  for  $1 \leq j \leq r$ .
  - (b)  $\mathbb{F}_q^\times$  contains an element  $b_j$  of order  $p_j^{e_j}$ , for  $1 \leq j \leq r$ .
  - (c) If  $a, b \in \mathbb{F}_q^\times$  are elements of coprime order, then  $\text{ord}(ab) = \text{ord}(a) \cdot \text{ord}(b)$ .
  - (d)  $\mathbb{F}_q^\times$  contains an element of order  $n$ .
  - (e)  $\mathbb{F}_q^\times$  is cyclic.
8. Prove that for a prime power  $q$  and  $n \in \mathbb{N}$ , a finite field  $\mathbb{F}_q$  contains a primitive  $n$ th root of unity if and only if  $n$  divides  $q - 1$ .
9. Let  $F$  be a field supporting the FFT, and  $a, b, q, r \in F[x]$  such that  $a = qb + r$  and  $\deg r < \deg b \leq \deg a < n$  for a power  $n$  of 2. We assume that  $b$  is coprime to  $x^n - 1$ . Give an algorithm which on input  $a, b$  decides whether  $r = 0$ , and if so, computes the quotient  $q$  using essentially three  $n$ -point FFTs.
10. A different approach to  $DFT$  is to split  $f$  into its odd and even parts, that is, to write  $f = f_0(x^2) + xf_1(x)^2$ , with  $f_0, f_1 \in R[x]$  of degree less than  $n/2$ , and then to compute  $DFT_{\omega^2}(f_0)$  and  $DFT_{\omega^2}(f_1)$  recursively. Work out the details and prove that your algorithm uses  $cn \log n$  operations in  $R$  for some positive constant  $c \in \mathbb{Q}$  when  $n$  is a power of 2. Modify, if necessary, your algorithm so that  $c = 3/2$ .
11. Let  $R$  be a ring (commutative, with 1) containing a primitive  $3^k$ th root of unity for any  $k \in \mathbb{N}$ .
- (a) Design a 3-adic FFT algorithm, taking as input  $k \in \mathbb{N}$ , a polynomial  $f \in R[x]$  of degree less than  $n = 3^k$ , and a list of powers  $1, \omega, \omega^2, \dots, \omega^{n-1}$  of a primitive  $n$ th root of unity  $\omega \in R$ , and returning  $f(1), f(\omega), \dots, f(\omega^{n-1})$ . Prove the correctness of your algorithm.
  - (b) Let  $T(n)$  denote the cost of your algorithm in operations in  $R$  when  $n = 3^k$  for some  $k \in \mathbb{N}$ . Set up a recursion for  $T(n)$  and solve it.
  - (c) Assuming that  $R$  contains primitive  $n$ th root of unity for any  $n \in \mathbb{N}$ , generalize the above to an  $m$ -adic FFT algorithm for arbitrary  $m \in \mathbb{Z}_{\geq 2}$ .
12. Let  $F$  be a field containing a primitive  $2^k$ th root of unity for all  $k \in \mathbb{N}$ . Let  $f, g \in F[x]$  and  $m \in \mathbb{N}$  be a power of 2 such that  $m/2 < 2n \leq m$ , and set  $a = f(x^{m-n} + 1)$  and  $b = g$ . Show how to obtain the coefficients of  $f *_n g$  from those of  $a *_m b$ .