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# An Introduction to the Learning with Errors Problem in 3 Hours

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# Peter W. Shor

## Polynomial-Time Algorithms for Prime Factorization and Discrete Logarithms on a Quantum Computer\*

Peter W. Shor<sup>†</sup>

### Abstract

A digital computer is generally believed to be an efficient universal computing device; that is, it is believed able to simulate any physical computing device with an increase in computation time by at most a polynomial factor. This may not be true when quantum mechanics is taken into consideration. This paper considers factoring integers and finding discrete logarithms, two problems which are generally thought to be hard on a classical computer and which have been used as the basis of several proposed cryptosystems. Efficient randomized algorithms are given for these two problems on a hypothetical quantum computer. These algorithms take a number of steps polynomial in the input size, e.g., the number of digits of the integer to be factored.

**Keywords:** algorithmic number theory, prime factorization, discrete logarithms, Church's thesis, quantum computers, foundations of quantum mechanics, spin systems, Fourier transforms

**AMS subject classifications:** 81P10, 11Y05, 68Q10, 03D10

There are polynomial-time quantum algorithms that can solve

- Factorization Problem
- Discrete Logarithm Problem (over  $\mathbb{Z}_p$ )

# About ECC

## Shor's discrete logarithm quantum algorithm for elliptic curves

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February 1, 2008

### Abstract

We show in some detail how to implement Shor's efficient quantum algorithm for discrete logarithms for the particular case of elliptic curve groups. It turns out that for this problem a smaller quantum computer can solve problems further beyond current computing than for integer factorisation. A 160 bit elliptic curve cryptographic key could be broken on a quantum computer using around 1000 qubits while factoring the security-wise equivalent 1024 bit RSA modulus would require about 2000 qubits. In this paper we only consider elliptic curves over  $\text{GF}(p)$  and not yet the equally important ones over  $\text{GF}(2^n)$  or other finite fields. The main technical difficulty is to implement Euclid's gcd algorithm to compute multiplicative inverses modulo  $p$ . As the runtime of Euclid's algorithm depends on the input, one difficulty encountered is the "quantum halting problem".

There is also a quantum algorithm that can solve

- Discrete Logarithm Problem over Elliptic Curves

:(

If there was a practical quantum computer, then it was able to break

- RSA encryption, signature scheme
- Diffie-Hellman Key Exchange, Elgamal, DSA
- Elliptic Curve Diffie-Hellman (ECDH), Elliptic Curve DSA (ECDSA)
- ...etc

## Transport Layer Security

### Key exchange or key agreement [\[edit\]](#)

Before a client and server can begin to exchange information protected by TLS, they must securely exchange or agree upon an encryption key and a cipher to use when encrypting data (see [Cipher](#)). Among the methods used for key exchange/agreement are: public and private keys generated with [RSA](#) (denoted TLS\_RSA in the TLS handshake protocol), [Diffie-Hellman](#) (TLS\_DH), ephemeral Diffie-Hellman (TLS\_DHE), [Elliptic Curve Diffie-Hellman](#) (TLS\_ECDH), ephemeral Elliptic Curve Diffie-Hellman (TLS\_ECDHE), anonymous Diffie-Hellman (TLS\_DH\_anon),<sup>[1]</sup> pre-shared key (TLS\_PSK)<sup>[29]</sup> and [Secure Remote Password](#) (TLS\_SRP).<sup>[30]</sup>

**No more forward secrecy.**

# Post-Quantum Cryptography

- Post-quantum cryptography is a branch of cryptography that considers cryptographic algorithms which is **still secure against quantum attack**.
- **Lattice-based cryptography**
- Multivariate cryptography
- Hash-based cryptography
- Code-based cryptography
- Supersingular elliptic curve isogeny cryptography

# Workshop on Cybersecurity in a Post-Quantum World



The advent of practical quantum computing will break all commonly used cryptographic algorithms. In response, NIST is researching cryptographic algorithms for key exchange, encryption, agreement and digital signatures that are not susceptible to cryptanalysis. NIST is holding this workshop to engage academic, industry, and government. The Quantum Workshop will be held on April 2-3, 2015, immediately following the [Conference on Practice and Theory of Public-Key Cryptography](#). NIST seeks input on post-quantum cryptography and its potential future standardization.



Horizon 2020

## PQCRYPTO Post-Quantum Cryptography for Long-Term Security

Project number: Horizon 2020 ICT-645622

Initial recommendations of long-term secure post-quantum systems

Due date of deliverable: none

Actual submission date: 7. September 2015

# LWE Problem

- The *learning with errors problem* (LWE) is included in the lattice-based cryptography.
- The LWE problem is versatile (it can be used to construct a variety of cryptographic algorithms). For example,

PKE CPA-secure [Reg05, PVW08, LP11]

PKE CCA-secure[PW08, Pei09, MP12]

Oblivious transfer[PVW08]

Identity-based encryption[GPV08, CHKP10, ABB10a, ABB10b]

Fully Homomorphic Encryption[BV11, BGV12]



# A Simple Introduction to the Lattice

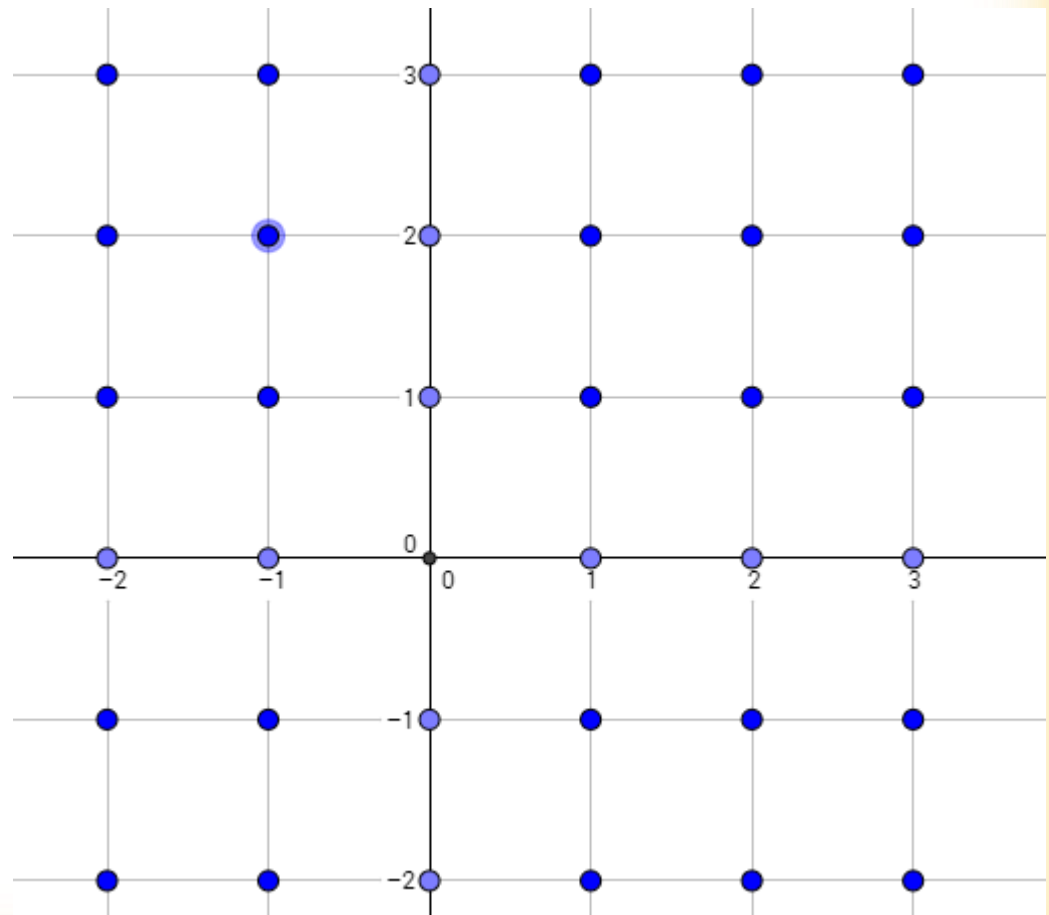
- This is a lattice in  $\mathbb{R}^2$ .

It can be written as...

- $\{(x, y) | x, y \in \mathbb{Z}\}$

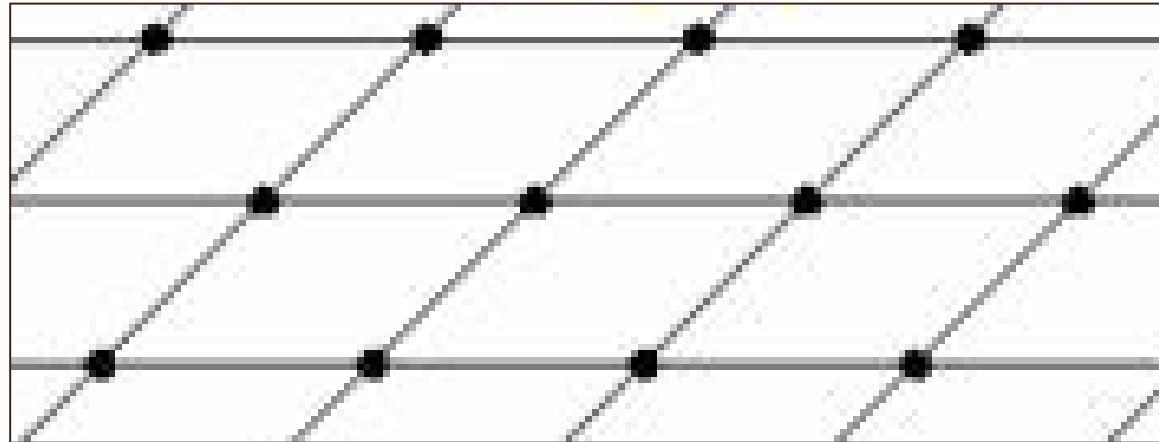
Also written as...

- $\begin{pmatrix} 1 \\ 0 \end{pmatrix} \mathbb{Z} + \begin{pmatrix} 0 \\ 1 \end{pmatrix} \mathbb{Z}$
- $\begin{pmatrix} 1 \\ 1 \end{pmatrix} \mathbb{Z} + \begin{pmatrix} 0 \\ 1 \end{pmatrix} \mathbb{Z}$
- $\begin{pmatrix} 1 \\ 0 \end{pmatrix} \mathbb{Z} + \begin{pmatrix} 1 \\ 1 \end{pmatrix} \mathbb{Z}$
- ...





## A Lattice



## A Simple Introduction to the Lattice

- **Definition 1.** For a linear independent set  $B = \{u_1, \dots, u_k\} \subset \mathbb{R}^n$ , a lattice  $L$  generated by  $B$  in  $\mathbb{R}^n$  is defined to be

$$L = \sum \mathbb{Z}u_i .$$

(If  $k = n$ , then the lattice is said to be a **full-rank** lattice.)

There is an equivalent definition for the lattice in  $\mathbb{R}^n$ --

# A Simple Introduction to the Lattice

- **Definition 2.** A set  $L \subset \mathbb{R}^n$  is said to be a *discrete additive subgroup* if it satisfies the following two conditions:

1. It is closed under addition and subtraction. (additive subgroup)
2. There is a constant  $\epsilon > 0$  such that for any  $v \in L$

$$L \cap \{w \in \mathbb{R}^n : \|v - w\| < \epsilon\} = \{v\}. \text{ (discrete)}$$

- **Theorem.** In  $\mathbb{R}^n$ , a subset of  $\mathbb{R}^n$  is a **lattice** if and only if it is a **discrete additive subgroup**.

(see p.25 in *Algebraic Number Theory* by Neukirch )

# Mythology in Lattice-Based Cryptography

- Given a linear independent generating set of a lattice  $L$  in  $\mathbb{R}^n$ .

- *Closest vector problem* (CVP):

Given: a vector  $w$  in  $\mathbb{R}^n$ .

Request: Find  $v \in L$  such that

$$\|w - v\| = \min_{u \in L} \|w - u\|$$

- *Shorest vector problem* (SVP):

Request: Find a nonzero vector  $v \in L$  such that

$$\|v\| = \min_{u \in L - \{0\}} \|u\|$$

## Hardness:

[Ajt98]

M. Ajtai. The shortest vector problem in  $L_2$  is NP-hard for randomized reductions (extended abstract). In *STOC*, pages 10–19. 1998.

## CVP $\geq$ SVP (sketch)

- Given a lattice  $L$  generated by a independent set  $\{b_1, \dots, b_n\}$ .
- Write the shortest nonzero lattice point  $v = \sum a_i b_i$ .

(Note that  $a_i \in \mathbb{Z}$  can not be all even.)

- For each  $i$ , feed the CVP oracle with  $(L'_i, b_i)$  where lattice  $L'_i$  is generated by  $\{b_1, \dots, b_{i-1}, 2b_i, b_{i+1}, b_n\}$
- And the output is  $v_i$
- Then  $v_j - b_j$  is the shortest nonzero vector for some  $j$ .

(Why?)

# Linear Equations

- There is a secret vector  $\mathbf{s} = (s_1, s_2, s_3, s_4)^T \in \mathbb{Z}_{13}^4$

- Given

$$\begin{aligned}1s_1 + 2s_2 + 5s_3 + 2s_4 &= 9 \pmod{13} \\12s_1 + 1s_2 + 1s_3 + 6s_4 &= 7 \pmod{13} \\6s_1 + 10s_2 + 3s_3 + 6s_4 &= 1 \pmod{13} \\10s_1 + 4s_2 + 12s_3 + 8s_4 &= 0 \pmod{13}.\end{aligned}$$

- Solve for  $\mathbf{s}$

## The Learning with Errors Problem (Search)

- There is a secret vector  $\mathbf{s} = (s_1, s_2, s_3, s_4)^T \in \mathbb{Z}_{13}^4$

- Given

$$\begin{aligned}5s_1 + 5s_2 + (-3)s_3 + 7s_4 &\approx 6 \pmod{13} \\-1s_1 + 1s_2 + 2s_3 + (-5)s_4 &\approx (-4) \pmod{13} \\(-3)s_1 + 3s_2 + 7s_3 + 4s_4 &\approx 2 \pmod{13} \\5s_1 + 4s_2 + (-1)s_3 + 2s_4 &\approx (-5) \pmod{13} \\(-4)s_1 + 6s_2 + 3s_3 + (-2)s_4 &\approx 5 \pmod{13} \\(-2)s_1 + 3s_2 + 1s_3 + 6s_4 &\approx (-3) \pmod{13}.\end{aligned}$$

- There is an odds of  $\frac{1}{2}$  for each equation that is added by 1.
- Solve for  $\mathbf{s}$



## LWE distribution

- (Definition)

1. For a secret vector  $\mathbf{s} \in \mathbb{Z}_q^n$  and distribution  $\chi$ , an LWE distribution  $\mathcal{A}_{\mathbf{s},n,q,\chi}$  generates a sample  $(\mathbf{a}, b) \in \mathbb{Z}_q^n \times \mathbb{Z}_q$  or  $(A, \mathbf{b}) \in \mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^m$  where  $\mathbf{a}$  sampled uniformly from  $\mathbb{Z}_q^n$  and  $b = \langle \mathbf{a}, \mathbf{s} \rangle + e$  where  $e \leftarrow \chi$ .

# LWE Problem (Search)

- (Definition)

$$\mathbf{b} = \begin{bmatrix} a_1 \\ a_2 \\ a_3 \\ \vdots \end{bmatrix} \times \mathbf{s} + \mathbf{e}$$

## 2. LWE problem (Search):

- Secret  $\mathbf{s} \in \mathbb{Z}_q^n$ . Given  $\text{poly}(n)$  LWE samples  $(A, \mathbf{b})$  from  $\mathcal{A}_{\mathbf{s}, n, q, \chi}$ .
- Find  $\mathbf{s}$ .

$$\begin{aligned} 5s_1 + 5s_2 + (-3)s_3 + 7s_4 &\approx 6 \pmod{13} \\ -1s_1 + 1s_2 + 2s_3 + (-5)s_4 &\approx (-4) \pmod{13} \\ (-3)s_1 + 3s_2 + 7s_3 + 4s_4 &\approx 2 \pmod{13} \\ 5s_1 + 4s_2 + (-1)s_3 + 2s_4 &\approx (-5) \pmod{13} \\ (-4)s_1 + 6s_2 + 3s_3 + (-2)s_4 &\approx 5 \pmod{13} \\ (-2)s_1 + 3s_2 + 1s_3 + 6s_4 &\approx (-3) \pmod{13}. \end{aligned}$$

# LWE Problem (Search)

Dimension  $n$

Modulus  $q$

Error distribution  $\chi$

Adversary



Output:  $\mathbf{s} \in \mathbb{Z}_q^n$

$(A, \mathbf{b})$



Challenger



Secret  $\mathbf{s} \in \mathbb{Z}_q^n$

Generate :  
 $\text{poly}(n)$  LWE samples  
 $(A, \mathbf{b})$  from  $\mathcal{A}_{\mathbf{s}, n, q, \chi}$

## LWE Problem (Decisional)

- (Definition)

### 3. Decisional LWE problem:

- $\mathbf{s} \xleftarrow{\$} \mathbb{Z}_q^n$ . Given  $\text{poly}(n)$  samples  $(A, \mathbf{b})$  which are either from  $\mathcal{A}_{\mathbf{s}, n, q, \chi}$  or generated uniformly over  $\mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^m$  (with fair probabilities)
- Determine which is the case in non-negligible advantage.

# LWE Problem (Decisional)

Dimension  $n$

Modulus  $q$

Error distribution  $\chi$

Adversary



Challenger



$(A, b)$



Output: {"LWE", "uniform at random"}

$$\mathbf{s} \xleftarrow{\$} \mathbb{Z}_q^n$$

Generate :  
 $\text{poly}(n)$  LWE samples  $(A, b)$   
either from  $\mathcal{A}_{\mathbf{s}, n, q, \chi}$  or  
uniformly at random over  
 $\mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^m$

# For example

- Dimension  $n = 4$
- Modulus  $q = 13$
- Error distribution  $\chi$ . ( $\pm 1 \leftarrow \chi$  with prob  $1/4$ ,  $0 \leftarrow \chi$  with  $1/2$  )

Given

$$\left( \begin{pmatrix} 0 & 6 & 0 & -2 & 5 \\ -6 & 6 & 0 & -2 & 4 \\ -5 & 6 & 6 & 3 & -3 \\ 4 & 3 & -2 & 1 & 1 \\ -5 & -3 & -5 & -4 & -1 \end{pmatrix}, \begin{pmatrix} 1 \\ 7 \\ 4 \\ 9 \\ 0 \end{pmatrix} \right)$$

Q: LWE distribution or uniform distribution?

## Remark.

- The distribution  $\chi$  is called the “error distribution”.  $\chi$  is typically chosen to be a discrete Gaussian (normal) distribution with small standard deviation.
- The hardness varies with the S.D. of  $\chi$
- Oded Regev shows that  $LWE \geq \text{approx-S}(I)VP$  & *approx-GapSVP with a quantum reduction.*
- Specifically, with dimension  $n$ , modulus  $q = \text{poly}(n)$ , and error distribution (discrete Gaussian distribution)  $\chi$  of standard deviation  $\alpha q$ ,

$$LWE_{n,q,\chi} \geq (n/\alpha)\text{-S}(I)VP.$$



## Little Knowledge:

How to check candidate  $\mathbf{s}$  ?

- Given  $\text{poly}(n)$  LWE samples  $(A, \mathbf{b} = A\mathbf{s} + \mathbf{e}) \in \mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^m$  for some fixed secret  $\mathbf{s} \in \mathbb{Z}_q^n$ .
- Assume you find an algorithm that can generate a small set of candidate answers. How can you check which one may be the correct one?

## A Little Question

For any  $c \in \mathbb{Z}_q$ .  $\chi$  is some distribution over  $\mathbb{Z}_q$ .

$\Pr_{\substack{b \leftarrow \mathbb{Z}_q \\ a \leftarrow \chi}} [a + b = c] = ?$  ( $a$  and  $b$  are generated independently)

If the modulus  $q$  is  $\text{poly}(n)$ -bounded,  
then DLWE=SLWE problem

" $\leq$ "

- Given  $\text{poly}(n)$  samples either from a LWE distribution  $\mathcal{A}_{s,n,q,\chi}$  for some unknown  $s \in \mathbb{Z}_q^n$  or generated uniformly over  $\mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^m$ .
- Take some of them to the oracle of SLWE problem to find  $s \in \mathbb{Z}_q^n$ .
- Then ?

" $\geq$ "

- Given  $\text{poly}(n)$  samples a LWE distribution  $\mathcal{A}_{s,n,q,\chi}$  for some unknown  $s \in \mathbb{Z}_q^n$ .  
Claim we can solve it with a DLWE oracle.

If the modulus  $q$  is  $\text{poly}(n)$ -bounded,  
then DLWE=SLWE problem

" $\geq$ "

- Given  $\text{poly}(n)$  samples  $(\mathbf{a}_i, b_i)$  from a LWE distribution  $\mathcal{A}_{\mathbf{s}, n, q, \chi}$  for some unknown  $\mathbf{s} = (s_1, \dots, s_n)^T \in \mathbb{Z}_q^n$ . Claim we can solve for  $s_1$  with a DLWE oracle.

1. Choose guessing  $k \in \mathbb{Z}_q$ .
2. Define a transformation  $\phi_k: \mathbb{Z}_q^n \times \mathbb{Z}_q \rightarrow \mathbb{Z}_q^n \times \mathbb{Z}_q$  by

$$(\mathbf{a} + r', b + k \cdot r) \leftarrow \phi_k(\mathbf{a}, b),$$

where  $r \in \mathbb{Z}_q$  is generated **uniformly at random** and  $r' = (r, 0, \dots, 0)^T \in \mathbb{Z}_q^n$ .

<Uniformly random>:

$\Rightarrow?$

If the modulus  $q$  is  $\text{poly}(n)$ -bounded,  
then DLWE=SLWE problem

" $\geq$ "

- Define a transformation  $\phi_k: \mathbb{Z}_q^n \times \mathbb{Z}_q \rightarrow \mathbb{Z}_q^n \times \mathbb{Z}_q$  by

$$(\mathbf{a} + \mathbf{r}', b + k \cdot r) \leftarrow \phi_k(\mathbf{a}, b),$$

where  $r \in \mathbb{Z}_q$  is generated **uniformly at random** and  $\mathbf{r}' = (r, 0, \dots, 0)^T \in \mathbb{Z}_q^n$ .

<LWE samples>:  $((\mathbf{a}_i, b_i) = (\mathbf{a}_i, \langle \mathbf{a}_i, \mathbf{s} \rangle + e_i))$

$$(\mathbf{a}_i + \mathbf{r}', b_i + k \cdot r) = (\mathbf{a}_i + \mathbf{r}', \langle \mathbf{a}_i + \mathbf{r}', \mathbf{s} \rangle + e_i + \underline{r \cdot (k - s_1)})$$

If  $k = s_1, \Rightarrow ?$

If  $k \neq s_1, \Rightarrow ?$

# Short Secret DLWE Problem:

Dimension  $n$

Modulus  $q$

Error distribution  $\chi$

Adversary



Output: {"LWE", "uniform at random"}

Challenger



$(A, b)$



$s \leftarrow \chi^n$

Generate :

$\text{poly}(n)$  LWE samples  $(A, b)$

either from  $\mathcal{A}_{s,n,q,\chi}$  or

uniformly at random over

$$\mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^m$$

## Lemma:

### Short Secret DLWE Problem $\geq$ DLWE problem

- Given access to the short secret LWE problem.
- Given LWE instances  $(A \in \mathbb{Z}_q^{n \times n}, \mathbf{b} = A^T s + \mathbf{e}_s)$  where  $A \stackrel{\$}{\leftarrow} \mathbb{Z}_q^{n \times n}$ , unknown  $s \stackrel{\$}{\leftarrow} \mathbb{Z}_q^n$  and  $\mathbf{e}_s$  generated from  $\chi$ . (DLWE problem setting)
- Say  $A$  is invertible.
- Consider a transformation  $\phi: \mathbb{Z}_q^n \times \mathbb{Z}_q \rightarrow \mathbb{Z}_q^n \times \mathbb{Z}_q$   
$$\phi(\mathbf{a}', b') = (-A^{-1}\mathbf{a}', b' + \langle -A^{-1}\mathbf{a}', \mathbf{b} \rangle)$$
- Given a LWE instance  $(\mathbf{a}' \in \mathbb{Z}_q^n, b' = \langle \mathbf{a}', s \rangle + e')$
- Compute:  $\phi(\mathbf{a}', b')$   
$$= (-A^{-1}\mathbf{a}', \langle -A^{-1}\mathbf{a}', \mathbf{e}_s \rangle + e')$$

$\Rightarrow ?$



# A Taste of Passive Security Proof with DLWE problem

Alice



$$\begin{aligned} A &\stackrel{\$}{\leftarrow} \mathbb{Z}_q^{n \times n} \\ \mathbf{s}_a &\leftarrow \chi^n; \mathbf{e}_a \leftarrow \chi^n \\ \mathbf{b} &= A\mathbf{s}_a + \mathbf{e}_a \in \mathbb{Z}_q^n \end{aligned}$$

$$(\mathbf{A}, \mathbf{b}) \in \mathbb{Z}_q^{n \times n} \times \mathbb{Z}_q^n$$



$$(\mathbf{b}', c) \in \mathbb{Z}_q^n \times \mathbb{Z}_q$$



Bob



$$m \in \{0,1\}$$

$$\mathbf{s}_B, \mathbf{e}_B \leftarrow \chi^n$$

$$\mathbf{e}_B' \leftarrow \chi$$

$$\mathbf{b}' = \mathbf{s}_B^T \mathbf{A} + \mathbf{e}_B^T \in \mathbb{Z}_q^n$$

$$c = \mathbf{s}_B^T \mathbf{b} + \mathbf{e}_B' + m \cdot \left\lfloor \frac{q}{2} \right\rfloor$$

Decrypt:

$$c - \mathbf{b}'^T \mathbf{s}_a = \mathbf{e}_B'^T \mathbf{s}_a \approx m \cdot \left\lfloor \frac{q}{2} \right\rfloor \pmod{q}$$

# Game0 (original protocol)

Alice



$$A \stackrel{\$}{\leftarrow} \mathbb{Z}_q^{n \times n}$$

$$\mathbf{s}_a \leftarrow \chi^n; \mathbf{e}_a \leftarrow \chi^n$$

$$\mathbf{b} = A\mathbf{s}_a + \mathbf{e}_a \in \mathbb{Z}_q^n$$

$(A, \mathbf{b})$



$(\mathbf{b}', c)$



Bob



$$m \in \{0,1\}$$

$$\mathbf{s}_B, \mathbf{e}_B \leftarrow \chi^n$$

$$\mathbf{e}_B' \leftarrow \chi$$

$$\mathbf{b}' = \mathbf{s}_B^T A + \mathbf{e}_B^T \in \mathbb{Z}_q^n$$

$$c = \mathbf{s}_B^T \mathbf{b} + \mathbf{e}_B' + m \cdot \left\lfloor \frac{q}{2} \right\rfloor$$

Decrypt:

$$c - \mathbf{b}' \mathbf{s}_a = \mathbf{e}_B' - \mathbf{e}_B^T \mathbf{s}_a$$

$$\approx m \cdot \left\lfloor \frac{q}{2} \right\rfloor \pmod{q}$$

$(A, \mathbf{b})$

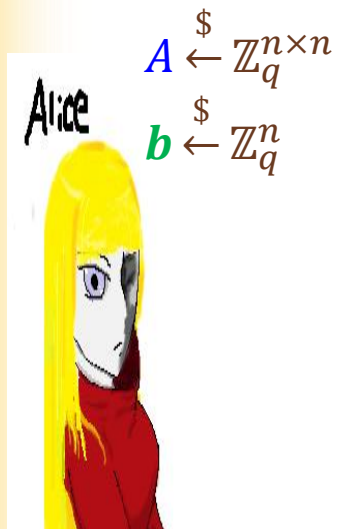
$(\mathbf{b}', c)$

$m$

EVE



# Game1



$$A \xleftarrow{\$} \mathbb{Z}_q^{n \times n}$$

$$\mathbf{b} \xleftarrow{\$} \mathbb{Z}_q^n$$

$(A, \mathbf{b})$

$(\mathbf{b}', c)$



$$m \in \{0, 1\}$$

$$\mathbf{s}_B, \mathbf{e}_B \leftarrow \chi^n$$

$$\mathbf{e}_B' \leftarrow \chi$$

$$\mathbf{b}' = \mathbf{s}_B^T A + \mathbf{e}_B^T \in \mathbb{Z}_q^n$$

$$c = \mathbf{s}_B^T \mathbf{b} + \mathbf{e}_B' + m \cdot \left\lfloor \frac{q}{2} \right\rfloor$$

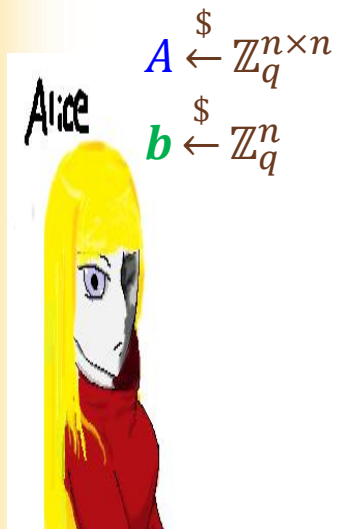
$(A, \mathbf{b})$

$(\mathbf{b}', c)$

$m$



# Game2



$$A \xleftarrow{\$} \mathbb{Z}_q^{n \times n}$$

$$b \xleftarrow{\$} \mathbb{Z}_q^n$$

$(A, b)$

$(b', c)$



$$m \in \{0, 1\}$$

$$s_B \leftarrow \chi^n$$

$$e_B' \leftarrow \chi$$

$$b' \xleftarrow{\$} \mathbb{Z}_q^n$$

$$c = s_B^T b + e_B' + m \cdot \left\lfloor \frac{q}{2} \right\rfloor$$

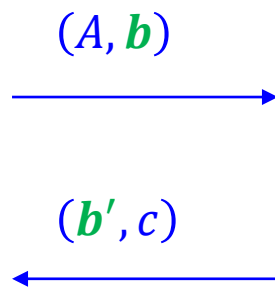
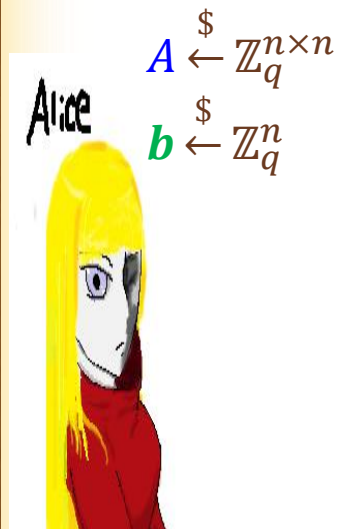
$(A, b)$

$(b', c)$

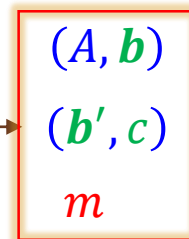
$m$



# Game3



$$m \xleftarrow{\$} \{0,1\}$$
$$b' \xleftarrow{\$} \mathbb{Z}_q^n$$
$$c \xleftarrow{\$} \mathbb{Z}_q$$



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# Public Key Cryptosystems Based on the LWE Problem or Related Problems

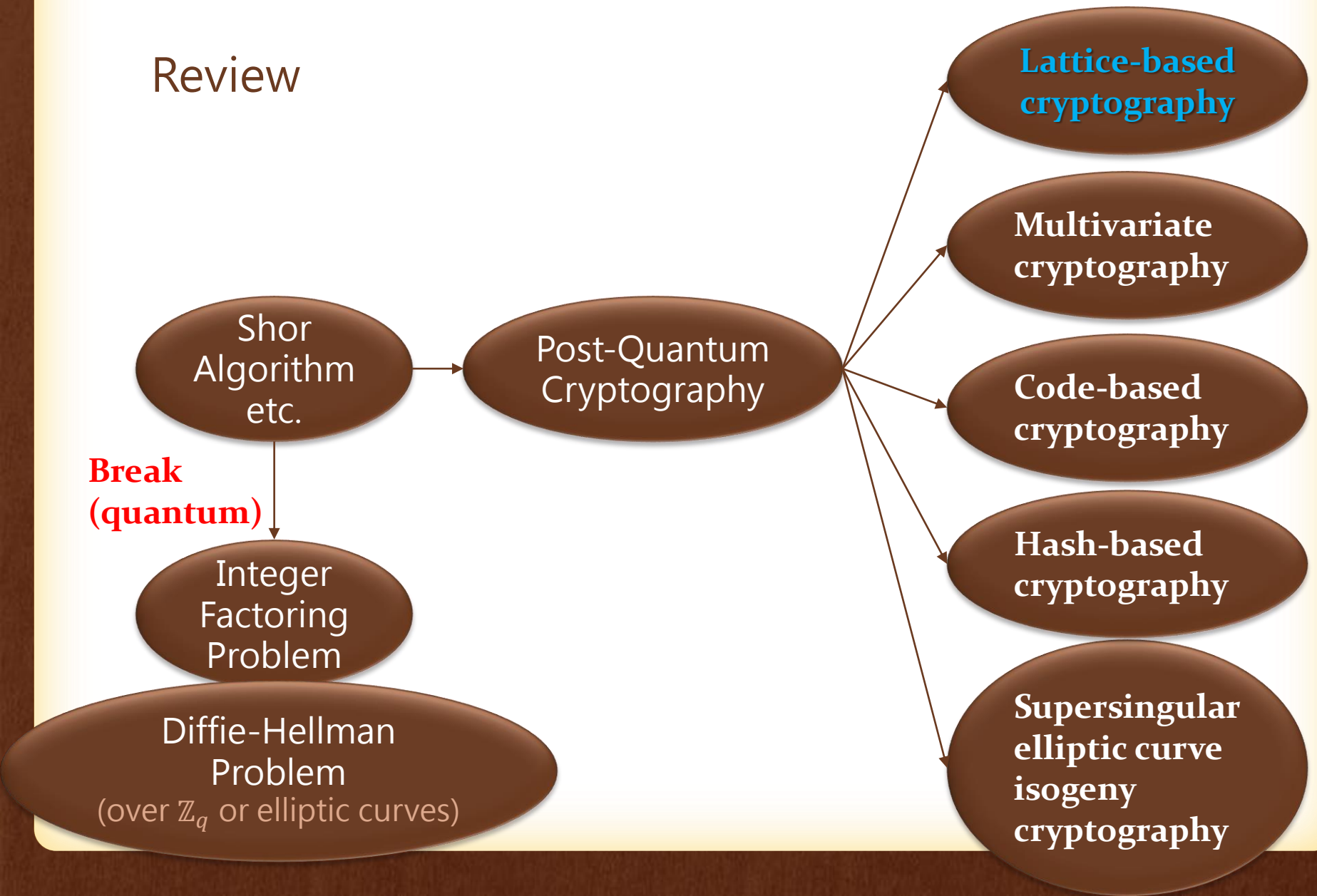
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# Contents

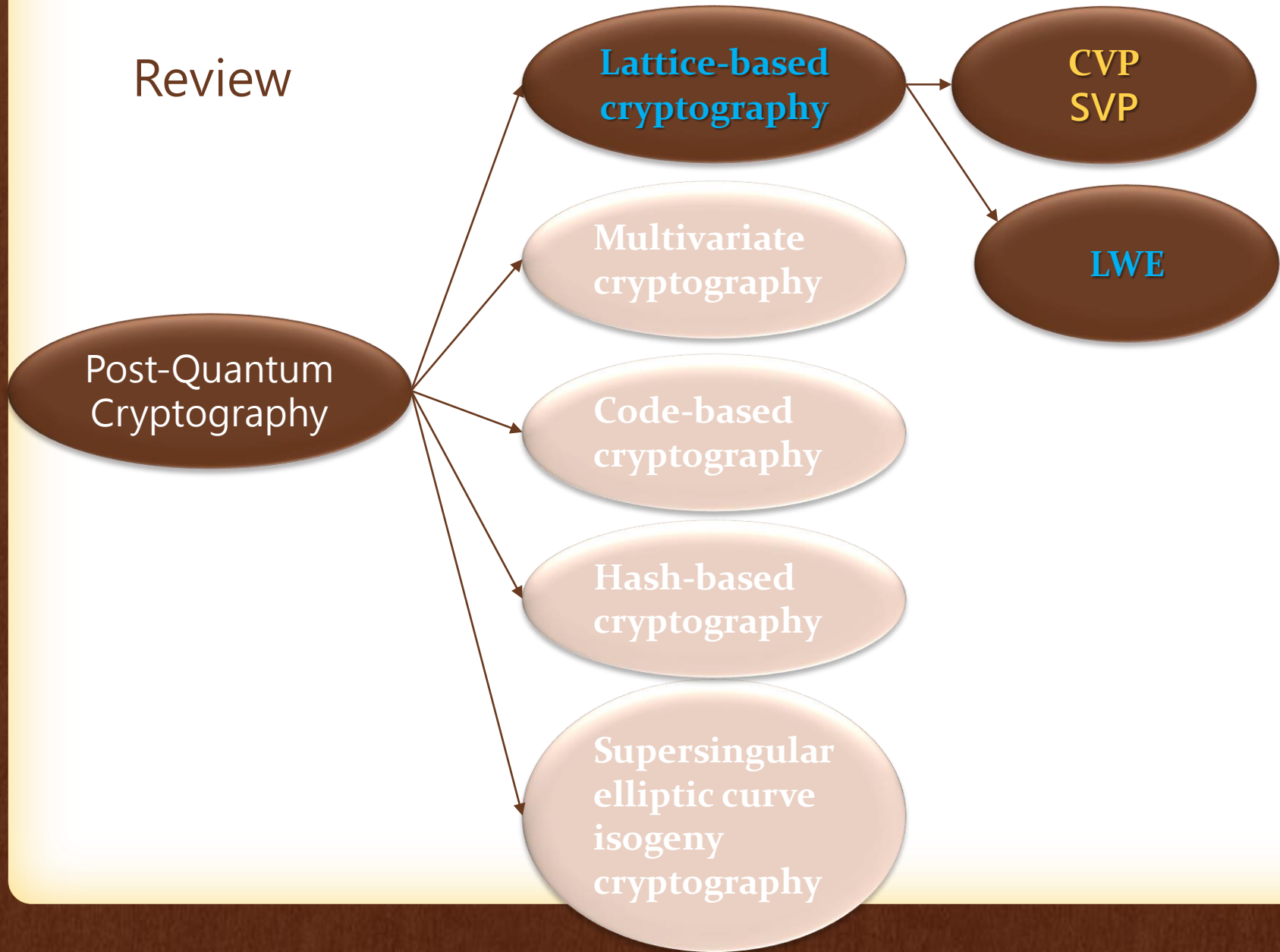
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- Diffie-Hellman Like Key Exchange
- Peikert's Method
- RLWE in Brief
- NewHope



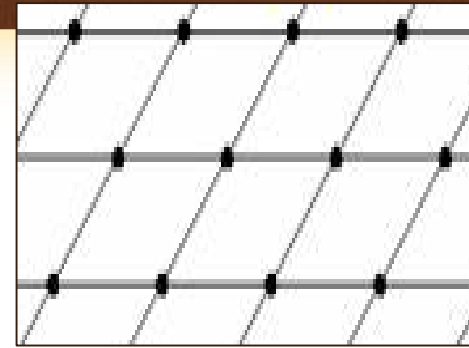
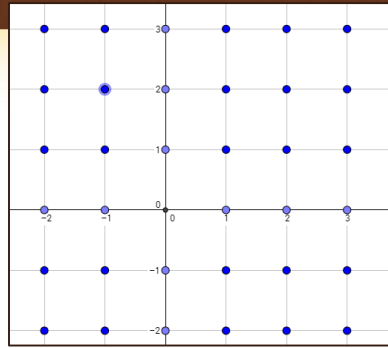
# Review



Review



# Lattices in Cryptography



- **Definition 1.** For a linear independent set  $B = \{u_1, \dots, u_k\} \subset \mathbb{R}^n$ , a lattice  $L$  generated by  $B$  in  $\mathbb{R}^n$  is defined to be

$$L = \sum \mathbb{Z}u_i.$$

- Given a generating set of a lattice  $L$  in  $\mathbb{R}^n$ .

- **Closest vector problem (CVP):**

Given: and a vector  $w$  in  $\mathbb{R}^n$

Request: Find  $v \in L$  such that

$$\|w - v\| = \min_{u \in L} \|w - u\|$$

- **Shorest vector problem (SVP):**

Request: Find a nonzero vector  $v \in L$  such that

$$\|v\| = \min_{u \in L - \{0\}} \|u\|$$

# Hardness

- Oded Regev shows that  $LWE \geq \text{approx-}S(I)VP$  &  $\text{approx-Gap}SVP$  with a quantum reduction. There is also a classical one in a bit more wider condition provided by Chris Peikert.
- Specifically, with *dimension*  $n$ , *modulus*  $q = \text{poly}(n)$ , and error distribution (discrete normal/Gaussian distribution)  $\chi$  of *standard deviation*  $\alpha q$ ,

$$LWE_{n,q,\chi} \geq (n/\alpha)\text{-}S(I)VP.$$

# Diffie-Hellman Like Structure [DXL12]

Alice



$$A \stackrel{\$}{\leftarrow} \mathbb{Z}_q^{n \times n}$$

$$\mathbf{s}_a \leftarrow \chi^n; \mathbf{e}_a \leftarrow \chi^n$$

$$\mathbf{b} = A\mathbf{s}_a + \mathbf{e}_a \in \mathbb{Z}_q^n$$

$$\mathbf{s}_B, \mathbf{e}_B \leftarrow \chi^n$$

$$\mathbf{b}' = A^T \mathbf{s}_B + \mathbf{e}_B \in \mathbb{Z}_q^n$$

Bob



$$(\mathbf{A}, \mathbf{b}) \in \mathbb{Z}_q^{n \times n} \times \mathbb{Z}_q^n$$



$$(\mathbf{b}') \in \mathbb{Z}_q^n$$



$$\mathbf{s}_a^T \mathbf{b}' = \boxed{\mathbf{s}_a^T A^T \mathbf{s}_B} + \mathbf{s}_a^T \mathbf{e}_B \pmod{q}$$

$$\mathbf{s}_B^T \mathbf{b} = \boxed{\mathbf{s}_B^T A \mathbf{s}_a} + \mathbf{s}_B^T \mathbf{e}_a \pmod{q}$$

# Example: Diffie-Hellman Like Structure

$$A = \begin{pmatrix} 2 & 42 & -31 \\ 45 & -26 & 21 \\ 14 & -5 & -23 \end{pmatrix}$$

$$\mathbf{s}_a = \begin{pmatrix} -1 \\ 2 \\ -2 \end{pmatrix}; \mathbf{e}_a = \begin{pmatrix} 1 \\ 0 \\ -3 \end{pmatrix}$$

$$\mathbf{b} = A\mathbf{s}_a + \mathbf{e}_a = \begin{pmatrix} 40 \\ -24 \\ -37 \end{pmatrix}$$

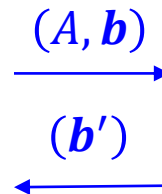
Alice



$$\mathbf{s}_B = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}; \mathbf{e}_B = \begin{pmatrix} 3 \\ -1 \\ 1 \end{pmatrix}$$

$$\mathbf{b}' = A^T \mathbf{s}_B + \mathbf{e}_B = \begin{pmatrix} -8 \\ -7 \\ 19 \end{pmatrix}$$

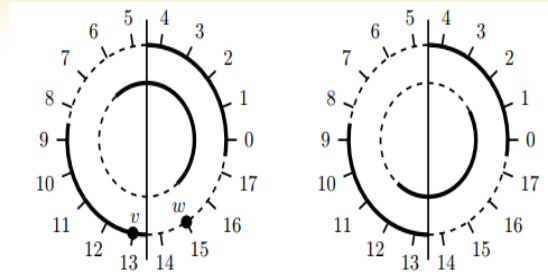
Bob



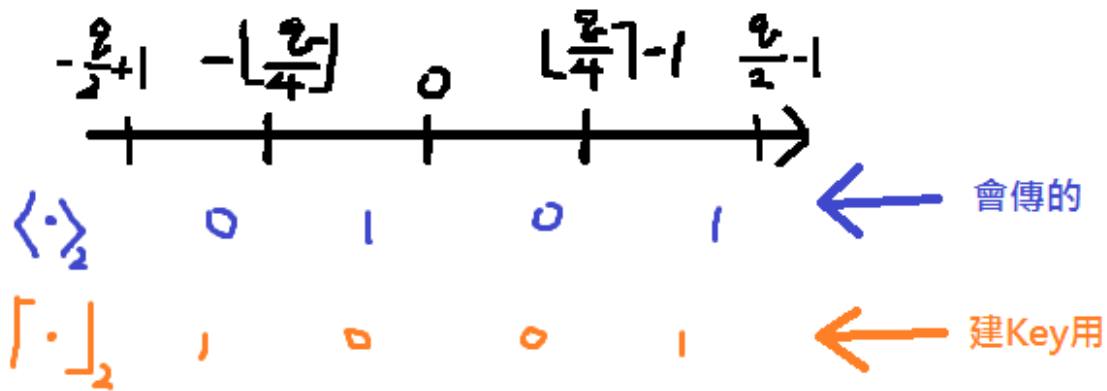
$$\mathbf{s}_a^T \mathbf{b}' = \mathbf{s}_a^T A^T \mathbf{s}_B + \mathbf{s}_a^T \mathbf{e}_B = 48 \pmod{q}$$

$$\mathbf{s}_B^T \mathbf{b} = \mathbf{s}_B^T A \mathbf{s}_a + \mathbf{s}_B^T \mathbf{e}_a = -47 \pmod{q}$$

# Peikert's Key Exchange (Sketch)



Chris Peikert



Error Tolerance:  $\|s_a e_b - s_b e_a\|_\infty \leq q/8$

# Example: Peikert's Method

$$A = \begin{pmatrix} 2 & 42 & -31 \\ 45 & -26 & 21 \\ 14 & -5 & -23 \end{pmatrix}$$

$$\mathbf{s}_a = \begin{pmatrix} -1 \\ 2 \\ -2 \end{pmatrix}; \mathbf{e}_a = \begin{pmatrix} 1 \\ 0 \\ -3 \end{pmatrix}$$

$$\mathbf{b} = A\mathbf{s}_a + \mathbf{e}_a = \begin{pmatrix} 40 \\ -24 \\ -37 \end{pmatrix}$$

$$\mathbf{s}_B = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}; \mathbf{e}_B = \begin{pmatrix} 3 \\ -1 \\ 1 \end{pmatrix}$$

$$\mathbf{b}' = A^T \mathbf{s}_B + \mathbf{e}_B = \begin{pmatrix} -8 \\ -7 \\ 19 \end{pmatrix}$$

Alice



$$\mathbf{s}_a^T \mathbf{b}' = 48 \pmod{q}$$

$$\text{key} = 1$$

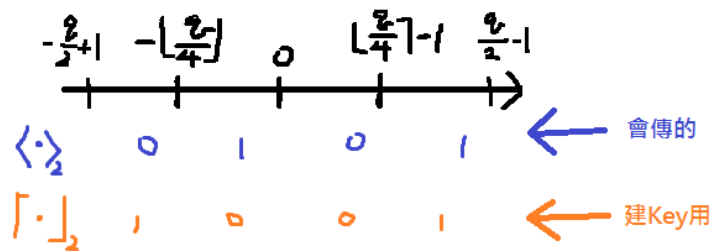
$$\langle \mathbf{s}_B^T \mathbf{b} \rangle_2 = 0$$



$$\mathbf{s}_B^T \mathbf{b} = -47 \pmod{q}$$

$$\text{key} = \lceil \mathbf{s}_B^T \mathbf{b} \rceil_2 = 1$$

Bob





## Remark.

- The previous scheme has been (over) simplified.
- Is the output, conditioned on the transmitting messages, unbiased?

**Drawback:**

1. Public key size (bit length)  $\approx n^2 \cdot \log_2 q$
2. Transmission bandwidth  $\approx 2 \cdot (n \cdot \log_2 q)$
3. Computation  $\approx 2n^2$  (modular multiplications)



## Intuition of Ring-LWE (on the public key).

- In LWE problem (or LWE-based cryptosystem), we need a matrix  $A \stackrel{\$}{\leftarrow} \mathbb{Z}_q^{n \times n}$  or  $A \stackrel{\$}{\leftarrow} \mathbb{Z}_q^{m \times n}$ .

$a_1$
$a_2$
$a_3$
$\vdots$

- What if we only generated **the first row** uniformly at random and generating an anti-cyclic matrix ?
- (The multiplication can be **sped up** in some specific condition.)
- Hardness Guarantee?

$(c_1, c_2, \dots, c_n)$
$(-c_n, c_1, \dots, c_{n-1})$
$(-c_{n-1}, -c_n, \dots, c_{n-2})$
$\vdots$
$(-c_{n-2}, -c_{n-1}, \dots, c_{n-3})$

# Hardness of Ring LWE

- Ring-LWE  $\geq$  approx-SIVP over ideal lattices
- Suspicion:
  1. **Gap-SVP is not hard on ideal lattices.**
  2. **SVP/SIVP is an NP-hard problem.**  
**SIVP over ideal lattices???**

## On Ideal Lattices and Learning with Errors Over Rings\*

Vadim Lyubashevsky<sup>†</sup>

Chris Peikert<sup>‡</sup>

Oded Regev<sup>§</sup>

April 24, 2012

### Abstract

Learning with errors" (LWE) problem is to distinguish random linear equations, which are perturbed by a small amount of noise, from truly uniform ones. The problem has been shown to be as hard as worst-case lattice problems, and in recent years it has served as the foundation for many cryptographic applications. Unfortunately, these applications are rather inefficient due to the overhead in the use of LWE. A main open question was whether LWE and its variants could be made truly efficient by exploiting extra algebraic structure, as was done for lattice problems and related primitives).

We solve this question in the affirmative by introducing an algebraic variant of LWE.

## Ring-LWE (RLWE)

- LWE is over  $\mathbb{Z}_q^{m \times n}$ .
- Ring-LWE is over a ring  $R$ .
- Consider an ideal lattice on it.
- Typically,  $R = \mathbb{Z}[x]/\langle x^n + 1 \rangle$  where  $n$  is power-of-2.

Omitting the tough version, we introduce a simple and widely used one.

## Contents

- Why Do We Need RLWE?
- Some Math (no proofs)
  - Algebraic Integers and Ring of Integers
  - Canonical Mapping and Norm
  - Dedekind Domain
  - Trace and Dual
  - Different and Codifferent
- Problems on Ideal Lattices

# Ring-DLWE Problem

- $R = \mathbb{Z}[x]/\langle x^n + 1 \rangle$ , where  $n$  is power-of-2
- $R_q = R/qR$ , where  $q \in \mathbb{N}$
- $\mathcal{X}$  : an error distribution over  $R$
- For  $\mathbf{s} \xleftarrow{\$} \mathcal{X}$ , the RLWE distribution  $A_{\mathbf{s}, \mathcal{X}, q, n}$  over  $R_q \times R_q$   
which is sampled by choosing  $a \in R_q$  uniformly at random and  $e \xleftarrow{\$} \mathcal{X}$ ,  
and output  $(a, b = (a \cdot \mathbf{s} + e \bmod q))$
- Given  $\text{poly}(n)$  samples from  $A_{\mathbf{s}, \mathcal{X}, q, n}$  or uniformly random in  $R_q \times R_q$ ,  
determine where the samples from.

# Diffie-Hellman Like Structure (Ring LWE form)

$$R = \mathbb{Z}[x]/\langle x^n + 1 \rangle$$

$$R_q = R/qR$$

$\mathcal{X}$  : an error distribution over  $R$



$$\begin{aligned} A &\stackrel{\$}{\leftarrow} R_q \\ s_a, e_a &\stackrel{\$}{\leftarrow} \mathcal{X} \\ u_a &= As_a + e_a \end{aligned}$$

$$(A, u_a = As_a + e_a)$$

$$u_b = As_b + e_b$$



$$\begin{aligned} s_b, e_b &\stackrel{\$}{\leftarrow} \mathcal{X} \\ u_b &= As_b + e_b \end{aligned}$$

$$\begin{aligned} v_a &= s_a u_b \\ &= s_a As_b + s_a e_b \end{aligned}$$

$$v_a - v_b = s_a e_b - s_b e_a$$

$$\begin{aligned} v_b &= s_b u_a \\ &= s_b As_a + s_b e_a \end{aligned}$$

If  $\mathcal{X}$  is  $\beta$ -bounded, then

$$\|v_a - v_b\|_{\infty} = \|s_a e_b - s_b e_a\|_{\infty} \leq 2n\beta^2$$

with overwhelming probability

# Google Security Blog

The latest news and insights from Google on security and safety on the Internet

Experiment  
July 7,  
Posted by  
We're indebted to Erdem Alkim, Léo Ducas, Thomas Pöppelmann and Peter Schwabe, the researchers who developed "[New Hope](#)", the post-quantum algorithm that we selected for this experiment. Their scheme looked to be the most promising post-

quantum key-exchange when we investigated in December 2015. Their work builds

upon [this](#). This experiment is currently enabled in [Chrome Canary](#) and you can tell whether it's being used by opening the recently introduced [Security Panel](#) and looking for "CECPQ1", for example on <https://play.google.com/store>. Not all Google domains will have it enabled and the experiment may appear and disappear a few times if any issues are found.



# 走在網路科技最前線

Google Chrome Canary 支援最新版 Chrome 的全新功能。

預先警告：這是專為開發人員和率先測試者設計的版本，有時可能會造成瀏覽器全面當機。

下載 Chrome Canary

## 膽小者請勿輕易嘗試

Canary 是專為開發人員和率先測試者設計的版本，可能會造成瀏覽器當機。

## 每晚更新

Canary 幾乎每天都會進行變更並安裝新功能。

## 並行安裝

為協助進行開發及測試，Canary 和 **Chrome 穩定版** 可同時執行。

# ImperialViolet

## CECPQ1 results (28 Nov 2016)

Here the results are more concrete: we did not find any unexpected impediment to deploying something like NewHope. There were no reported problems caused by enabling it.

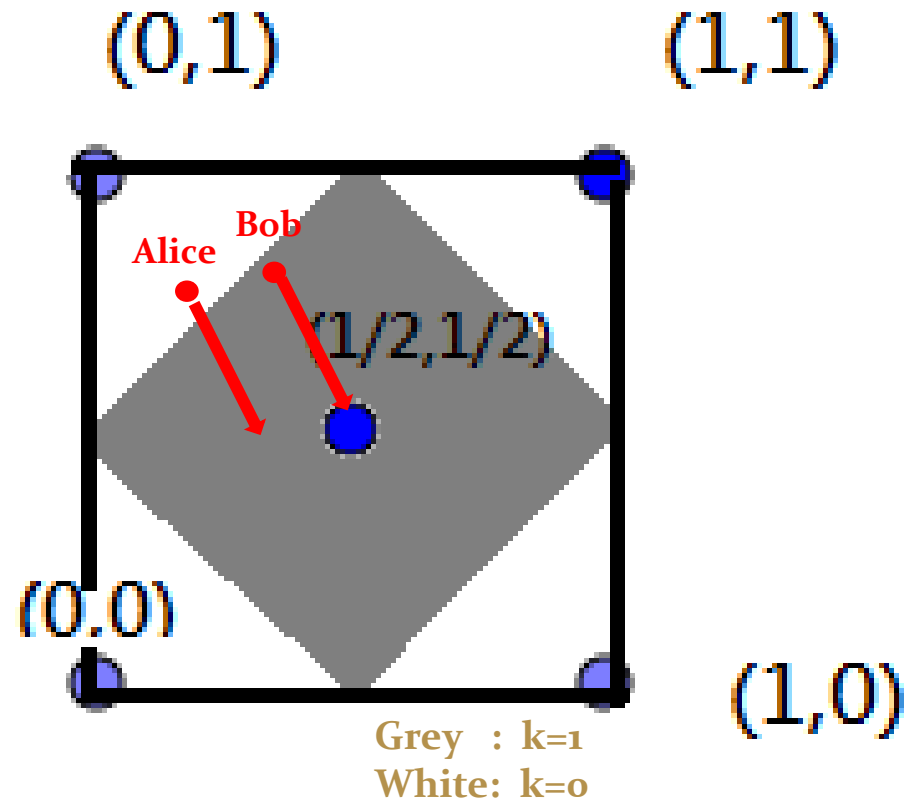
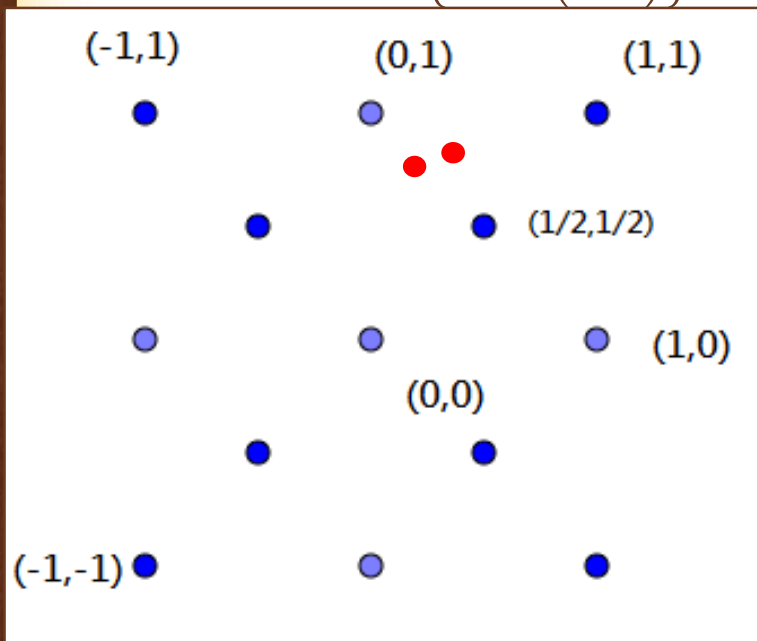
Although the median connection latency only increased by a millisecond, the latency for the slowest 5% increased by 20ms and, for the slowest 1%, by 150ms. Since NewHope is computationally inexpensive, we're assuming that this is caused entirely by the increased message sizes. Since connection latencies compound on the web (because subresource discovery is delayed), the data requirement of NewHope is moderately expensive for people on slower connections.

- The following is a “sketch” of the reconciliation mechanism.

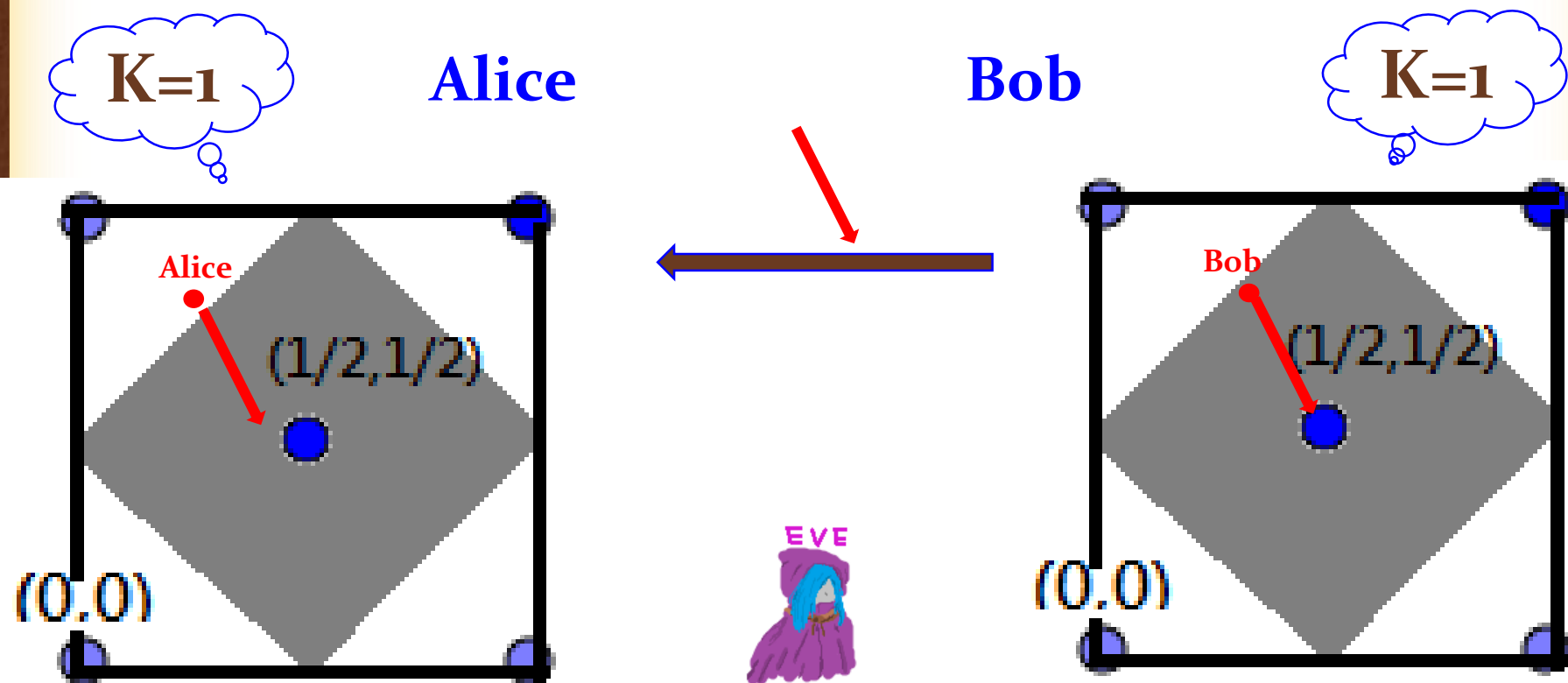
# Reconciliation in New Hope – Intuition1 (2 in 1 out)

- $(v_0, v_1) \in \mathbb{Z}_q^2$ , consider  $(v_0/q, v_1/q) \in \mathbb{R}^2$

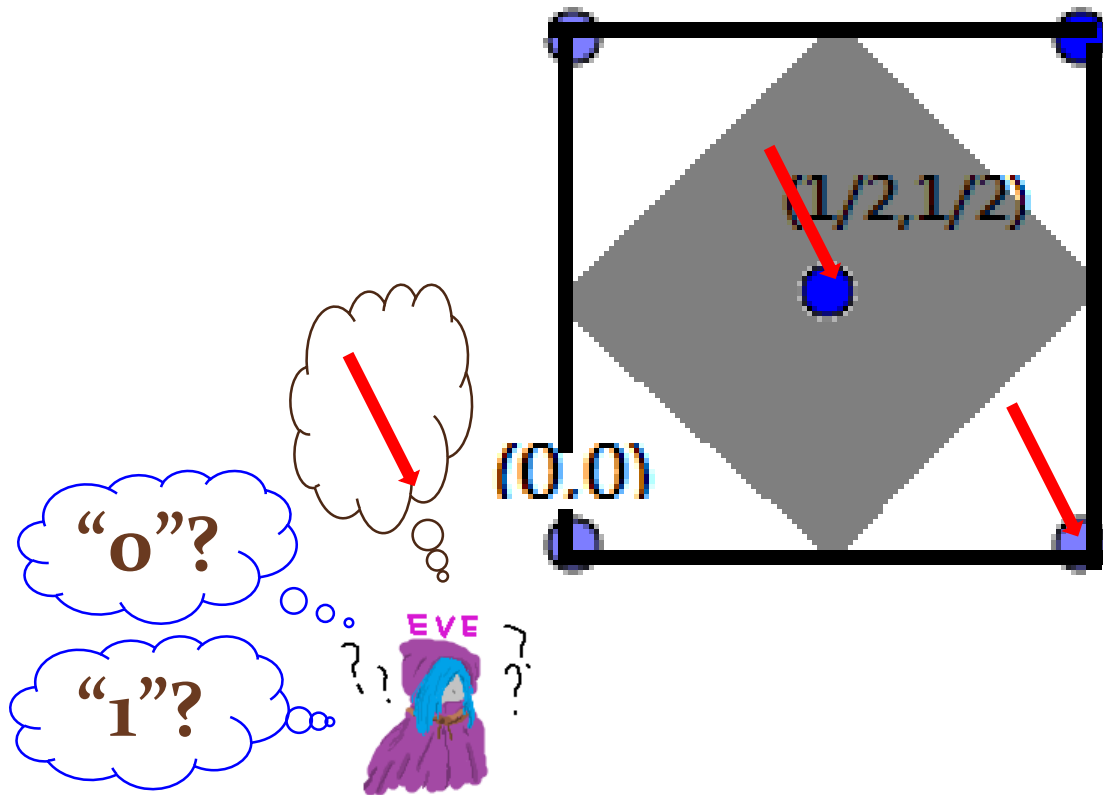
Lattice generated by  $\left\{ (1,0), \left( \frac{1}{2}, \frac{1}{2} \right) \right\}$



# Example

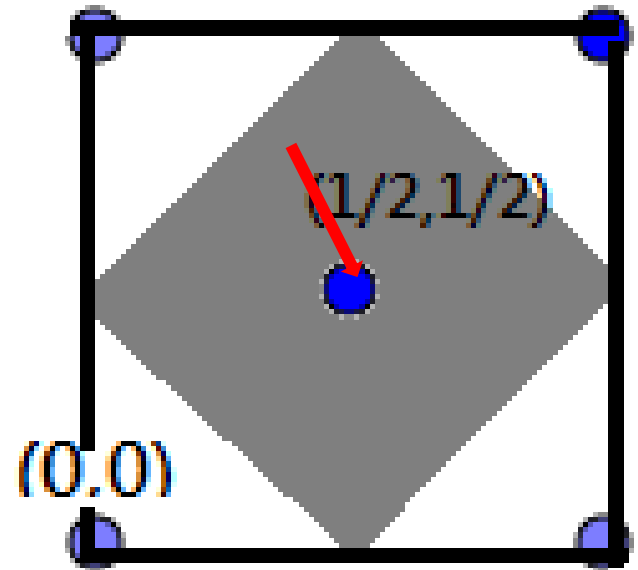


## Reconciliation in New Hope - Security

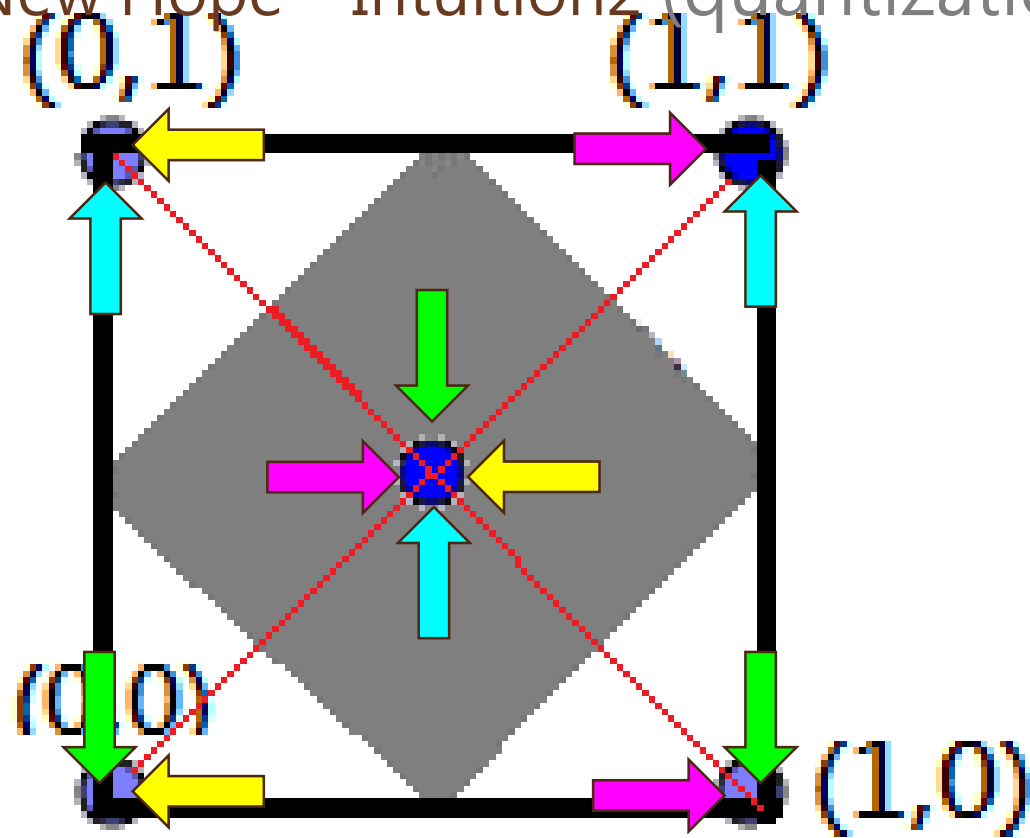


## Drawback

- Whole “difference vector” is too heavy



## Reconciliation in New Hope – Intuition2 (quantization)

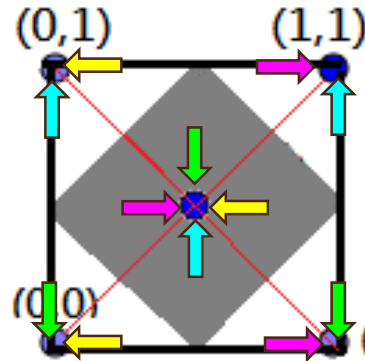




Example

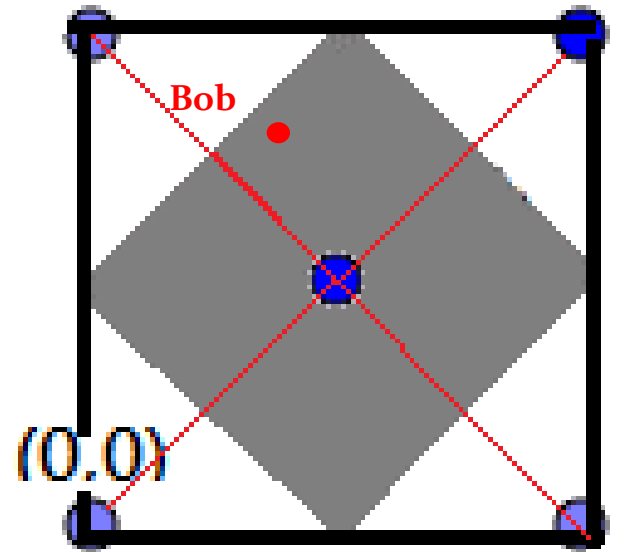
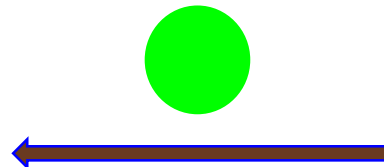
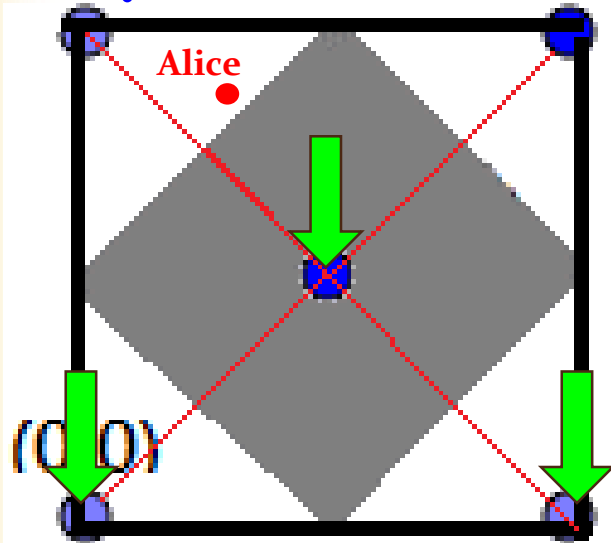
$K=1$

Alice



Bob

$K=1$



## Reconciliation in New Hope

- $\widetilde{D}_4 \subseteq \mathbb{Z}^4$  be a full lattice with basis  $\{u_1, u_2, u_3, g = (\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2})\}$ ,  
where  $\{u_i\}_{i=1}^4$  is the standard basis for  $\mathbb{Z}^4$
- $CVP_{\widetilde{D}_4}$  : an CVP algorithm from  $\mathbb{R}^4$  to  $\widetilde{D}_4$
- $HelpRec(x; b \stackrel{\$}{\leftarrow} \{0, 1\})$  : an alg. encoding the location information
- $Rec(x, r)$  : reconciliation with the information  $r$

Note: Including  $b$ , there are some technique in  $HelpRec$  to remove the bias.

# New Hope

Parameters:  $q = 12289 < 2^{14}$ ,  $n = 1024$

Error distribution:  $\psi_{16}$

**Alice (server)**

$seed \xleftarrow{\$} \{0, 1\}^{256}$

$\mathbf{a} \leftarrow \text{Parse}(\text{SHAKE-128}(seed))$

$\mathbf{s}, \mathbf{e} \xleftarrow{\$} \psi_{16}^n$

$\mathbf{b} \leftarrow \mathbf{a}\mathbf{s} + \mathbf{e}$

$\xrightarrow{(\mathbf{b}, seed)}$

**Bob (client)**

$\mathbf{s}', \mathbf{e}', \mathbf{e}'' \xleftarrow{\$} \psi_{16}^n$

$\mathbf{a} \leftarrow \text{Parse}(\text{SHAKE-128}(seed))$

$\mathbf{u} \leftarrow \mathbf{a}\mathbf{s}' + \mathbf{e}'$

$\mathbf{v} \leftarrow \mathbf{b}\mathbf{s}' + \mathbf{e}''$

$\xleftarrow{(\mathbf{u}, \mathbf{r})}$

$\mathbf{v}' \leftarrow \mathbf{u}\mathbf{s}$

$\mathbf{v} \leftarrow \text{Rec}(\mathbf{v}', \mathbf{r})$

$\mu \leftarrow \text{SHA3-256}(\mathbf{v})$

$\mathbf{r} \xleftarrow{\$} \text{HelpRec}(\mathbf{v})$

$\mathbf{v} \leftarrow \text{Rec}(\mathbf{v}, \mathbf{r})$

$\mu \leftarrow \text{SHA3-256}(\mathbf{v})$

From the paper

$\psi_{16}$

Parameters:  $q = 12289 < 2^{14}$ ,  $n = 1024$

Error distribution:  $\psi_{16}$

**Alice (server)**

$seed \xleftarrow{\$} \{0, 1\}^{256}$

$\mathbf{a} \leftarrow \text{Parse}(\text{SHAKE-128}(seed))$

$\mathbf{s}, \mathbf{e} \xleftarrow{\$} \psi_{16}^n$

$\mathbf{b} \leftarrow \mathbf{a}\mathbf{s} + \mathbf{e} \xrightarrow{(\mathbf{b}, seed)}$

$\mathbf{v}' \leftarrow \mathbf{u}\mathbf{s} \xleftarrow{(\mathbf{u}, \mathbf{r})}$

$\mathbf{v} \leftarrow \text{Rec}(\mathbf{v}', \mathbf{r})$

$\mu \leftarrow \text{SHA3-256}(\mathbf{v})$

**Bob (client)**

$\mathbf{s}', \mathbf{e}', \mathbf{e}'' \xleftarrow{\$} \psi_{16}^n$

$\mathbf{a} \leftarrow \text{Parse}(\text{SHAKE-128}(seed))$

$\mathbf{u} \leftarrow \mathbf{a}\mathbf{s}' + \mathbf{e}'$

$\mathbf{v} \leftarrow \mathbf{b}\mathbf{s}' + \mathbf{e}''$

$\mathbf{r} \xleftarrow{\$} \text{HelpRec}(\mathbf{v})$

$\mathbf{v} \leftarrow \text{Rec}(\mathbf{v}, \mathbf{r})$

$\mu \leftarrow \text{SHA3-256}(\mathbf{v})$

From the paper

$\psi_{16}$  :

## Replace Rounded Gaussian with binomial distribution

- High-precision Gaussian sampling is **expensive**
- $\psi_{16}$  : the centered binomial distribution of variance 8 is rather trivial in hardware and software **without decreasing too much security for the protocol**
- More precisely,

**Theorem 4.1** *Let  $\xi$  be the rounded Gaussian distribution of parameter  $\sigma = \sqrt{8}$ , that is, the distribution of  $\lfloor \sqrt{8} \cdot x \rfloor$  where  $x$  follows the standard normal distribution. Let  $\mathcal{P}$  be the idealized version of Protocol 2, where the distribution  $\psi_{16}$  is replaced by  $\xi$ . If an (unbounded) algorithm, given as input the transcript of an instance of Protocol 2 succeeds in recovering the pre-hash key  $v$  with probability  $p$ , then it would also succeed against  $\mathcal{P}$  with probability at least*

$$q \geq p^{9/8}/26.$$

*Fresh  $a$*

Parameters:  $q = 12289 < 2^{14}$ ,  $n = 1024$   
 Error distribution:  $\psi_{16}$

**Alice (server)**

$seed \xleftarrow{\$} \{0, 1\}^{256}$

$a \leftarrow \text{Parse}(\text{SHAKE-128}(seed))$

$s, e \xleftarrow{\$} \psi_{16}^n$

$b \leftarrow as + e$

$\xrightarrow{(b, seed)}$

$v' \leftarrow us$

$v \leftarrow \text{Rec}(v', r)$

$\mu \leftarrow \text{SHA3-256}(v)$

$\xleftarrow{(u, r)}$

Parameters:  $q, n, \chi$

KEM.Setup() :

$a \xleftarrow{\$} \mathcal{R}_q$

Alice (server)

KEM.Gen( $a$ ) :

$s, e \xleftarrow{\$} \chi$

$b \leftarrow as + e$

$\xrightarrow{b}$

Bob (client)

KEM.Encaps( $a, b$ ) :

$s', e', e'' \xleftarrow{\$} \chi$

$u \leftarrow as' + e'$

$v \leftarrow bs' + e''$

$\bar{v} \xleftarrow{\$} \text{dbl}(v)$

KEM.Decaps( $s, (u, v')$ ) :  $\xleftarrow{u, v'}$

$v' = \langle \bar{v} \rangle_2$

$\mu \leftarrow \text{rec}(2us, v')$

$\mu \leftarrow \lfloor \bar{v} \rfloor_2$

$s', e', e'' \xleftarrow{\$} \psi_{16}^n$

$a \leftarrow \text{Parse}(\text{SHAKE-128}(seed))$

$u \leftarrow as' + e'$

$v \leftarrow bs' + e''$

$r \xleftarrow{\$} \text{HelpRec}(v)$

$v \leftarrow \text{Rec}(v, r)$

$\mu \leftarrow \text{SHA3-256}(v)$

From the paper

*Fresh a:*

# Against the all-for-the-price-of-one attacks

## Imperfect Forward Secrecy: How Diffie-Hellman Fails in Practice

David Adrian<sup>\*</sup> Karthikeyan Bhargavan<sup>\*</sup> Zakir Durumeric<sup>\*</sup> Pierrick Gaudry<sup>†</sup> Matthew Green<sup>§</sup>  
J. Alex Halderman<sup>\*</sup> Nadia Heninger<sup>‡</sup> Drew Springall<sup>\*</sup> Emmanuel Thomé<sup>†</sup> Luke Valenta<sup>‡</sup>  
Benjamin VanderSloot<sup>\*</sup> Eric Wustrow<sup>\*</sup> Santiago Zanella-Béguelin<sup>||</sup> Paul Zimmermann<sup>†</sup>

<sup>\*</sup>INRIA Paris-Rocquencourt    <sup>†</sup>INRIA Nancy-Grand Est, CNRS, and Université de Lorraine

<sup>||</sup>Microsoft Research    <sup>‡</sup>University of Pennsylvania    <sup>§</sup>Johns Hopkins    <sup>¶</sup>University of Michigan

For additional materials and contact information, visit [WeakDH.org](http://WeakDH.org).

### ABSTRACT

We investigate the security of Diffie-Hellman key exchange as used in popular Internet protocols and find it to be less secure than widely believed. First, we present Logjam, a novel flaw in TLS that lets a man-in-the-middle downgrade connections to “export-grade” Diffie-Hellman. To carry out this attack, we implement the number field sieve discrete log algorithm. After a week-long precomputation for a specified 512-bit

coded, or widely shared Diffie-Hellman parameters has the effect of dramatically reducing the cost of large-scale attacks, bringing some within range of feasibility today.

The current best technique for attacking Diffie-Hellman relies on compromising one of the private exponents ( $a, b$ ) by computing the discrete log of the corresponding public value ( $g^a \bmod p, g^b \bmod p$ ). With state-of-the-art number field sieve algorithms, computing a single discrete log is more difficult than factoring an RSA modulus of the same size



## Unusually Large $n=1024$

Parameters:  $q = 12289 < 2^{14}$ ,  $n = 1024$

Error distribution:  $\psi_{16}$

**Alice (server)**

$seed \xleftarrow{\$} \{0,1\}^{256}$

$\mathbf{a} \leftarrow \text{Parse}(\text{SHAKE-128}(seed))$

$\mathbf{s}, \mathbf{e} \xleftarrow{\$} \psi_{16}^n$

$\mathbf{b} \leftarrow \mathbf{a}\mathbf{s} + \mathbf{e}$

$\xrightarrow{(\mathbf{b}, seed)}$

$\mathbf{v}' \leftarrow \mathbf{u}\mathbf{s}$

$\mathbf{v} \leftarrow \text{Rec}(\mathbf{v}', \mathbf{r})$

$\mu \leftarrow \text{SHA3-256}(\mathbf{v})$

**Bob (client)**

$\mathbf{s}', \mathbf{e}', \mathbf{e}'' \xleftarrow{\$} \psi_{16}^n$

$\mathbf{a} \leftarrow \text{Parse}(\text{SHAKE-128}(seed))$

$\mathbf{u} \leftarrow \mathbf{a}\mathbf{s}' + \mathbf{e}'$

$\mathbf{v} \leftarrow \mathbf{b}\mathbf{s}' + \mathbf{e}''$

$\xleftarrow{(\mathbf{u}, \mathbf{r})}$

$\mathbf{r} \xleftarrow{\$} \text{HelpRec}(\mathbf{v})$

$\mathbf{v} \leftarrow \text{Rec}(\mathbf{v}, \mathbf{r})$

$\mu \leftarrow \text{SHA3-256}(\mathbf{v})$



## Unusually Large $n=1024$

- In security of level 128, the previous schemes are built with  $n$  at most 512
- The security of LWE-based schemes has not been thoroughly studied
- In view of RSA, the standardization and deployment of a scheme awakens further cryptanalytic effort

$q=1 \bmod 2n$  and 14-bit Prime

Parameters: $q = 12289 < 2^{14}$ , $n = 1024$	
Error distribution: $\psi_{16}$	
Alice (server)	Bob (client)
$seed \xleftarrow{\$} \{0, 1\}^{256}$	
$\mathbf{a} \leftarrow \text{Parse}(\text{SHAKE-128}(seed))$	
$\mathbf{s}, \mathbf{e} \xleftarrow{\$} \psi_{16}^n$	$\mathbf{s}', \mathbf{e}', \mathbf{e}'' \xleftarrow{\$} \psi_{16}^n$
$\mathbf{b} \leftarrow \mathbf{a}\mathbf{s} + \mathbf{e}$	$\mathbf{a} \leftarrow \text{Parse}(\text{SHAKE-128}(seed))$
	$\mathbf{u} \leftarrow \mathbf{a}\mathbf{s}' + \mathbf{e}'$
	$\mathbf{v} \leftarrow \mathbf{b}\mathbf{s}' + \mathbf{e}''$
	$\mathbf{r} \xleftarrow{\$} \text{HelpRec}(\mathbf{v})$
$\mathbf{v}' \leftarrow \mathbf{u}\mathbf{s}$	$\mathbf{v} \leftarrow \text{Rec}(\mathbf{v}, \mathbf{r})$
$\mathbf{v} \leftarrow \text{Rec}(\mathbf{v}', \mathbf{r})$	$\mu \leftarrow \text{SHA3-256}(\mathbf{v})$
$\mu \leftarrow \text{SHA3-256}(\mathbf{v})$	

From the paper

# Number-Theoretic Transform (NTT)

- Algorithm speeding up modular polynomial multiplications
- $a, b \in \mathcal{R}$ . Compute  $ab = NTT^{-1}(NTT(a) \cdot NTT(b))$
- $q \equiv 1 \pmod{2n}$ : ensures the  $n^{th}$  root of unity  $\omega$  & its square root  $\gamma$  exist in  $\mathbb{Z}_q$ , auxiliary elements in the algorithm.

e.g: For  $q=12287$ ,  $n=1024$  here,  $\omega = 49$  and  $\gamma = 7$

with  $\omega^{1024} = 1 \pmod{q}$  and  $\omega = \gamma^2$

# NTT

$$\text{NTT}(\mathbf{g}) = \hat{\mathbf{g}} = \sum_{i=0}^{1023} \hat{g}_i X^i, \text{ with}$$
$$\hat{g}_i = \sum_{j=0}^{1023} \gamma^j g_j \omega^{ij},$$

$$\text{NTT}^{-1}(\hat{\mathbf{g}}) = \mathbf{g} = \sum_{i=0}^{1023} g_i X^i, \text{ with}$$
$$g_i = n^{-1} \gamma^{-i} \sum_{j=0}^{1023} \hat{g}_j \omega^{-ij}.$$

- With the Montgomery multiplication constant  $R = 2^{18} > q < 2^{14}$
- $2^{18}2^{14} \leq 2^{32}$  (within 32-bits) is nice for software implementation

# Parameter Considerations in Conclusion

## Speed/cost:

- $q = 1 \bmod 2n$  &  $q < 2^{14}$  (NTT)
- $\psi_{16}$  (cost down)
- Improved Rec/HelpRec (decreasing the modulus  $q$ )

## Security/failure:

- Fresh  $\alpha$  (Against the all-for-the-price-of-one attacks)
- Improved Rec/HelpRec
- $n = 1024$  (be wary)

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