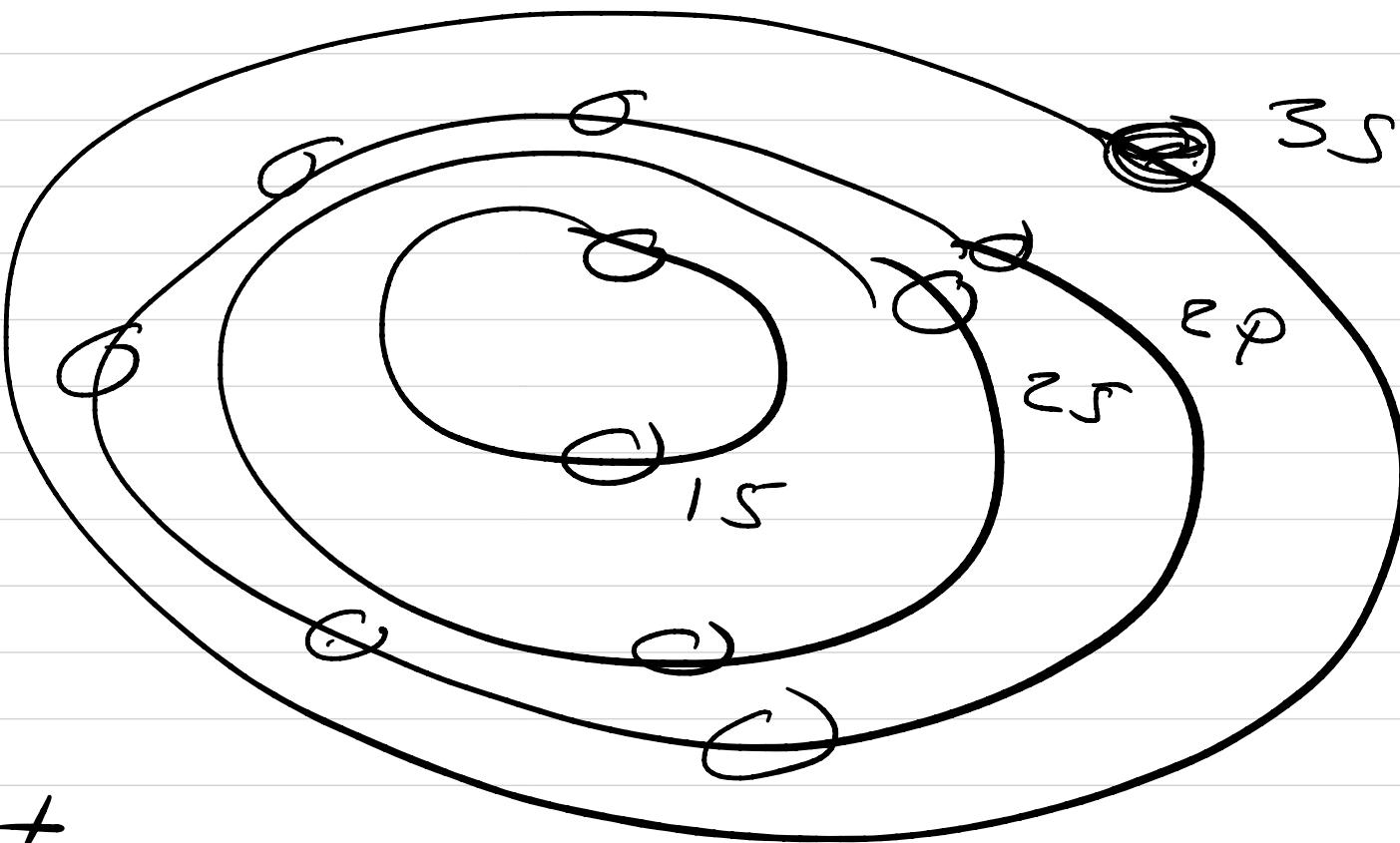


# Lecture Fys4480, October 12, 2023

# Koopmans' theorem

Na : 11 electron  
11 protons



$\text{Na}^+$  taken out 1s electron

Stability of HF

$$|\Phi_0^{HF}\rangle = |c\rangle = \prod_{i=1}^N c_i^\dagger |0\rangle$$

Thales' theorem

$$|c'\rangle = \exp \left\{ \sum_{a_i} c_i^\dagger a_i^\dagger + q_i^\dagger \right\} |c\rangle$$

assumption  $\langle c' | c \rangle \neq 0$

Taylor expand

$$|c'\rangle = |c\rangle + \underbrace{\sum_{a_i} c_i^\dagger a_i^\dagger +}_{+ \dots} + \frac{1}{2} \sum_{\substack{a_i \\ i,j}} c_i^\dagger c_j^\dagger (a_i^\dagger + q_i^\dagger)(a_j^\dagger + q_j^\dagger)$$

$$C_i^a \rightarrow S C_i^a$$

$$|c'\rangle \simeq |c\rangle + \sum_{q_i} S C_i^a q_a + \varepsilon_i |c\rangle$$

$|Sc\rangle$

$$\langle c | H | c \rangle = E_0^{HF} \quad \text{IPIN}$$

if  $E_0^{HF}$  is the "best" minimum

$$\frac{\langle c' | H | c' \rangle}{\langle c' | c' \rangle} \geq E_0^{HF} + \langle H | \phi_0^{HF} \rangle \neq E_0 / E_0^{HF}$$

$$\langle c' | H | c' \rangle = \langle c | H | c \rangle$$

$\hat{E}_0^{\text{HF}}$

$$+ \langle \delta c | H | c \rangle + \langle c | H | \delta c \rangle$$

$\stackrel{o''}{\circ} \quad \langle \text{op} h | H | \text{op} h \rangle = 0$

$$+ \langle \delta c | H | \delta c \rangle$$

$$+ \langle c | H | (\delta c)^2 \rangle + \langle (\delta c)^2 | H | c \rangle$$

$$\langle S_c | H | c \rangle =$$

$$\sum_{a_i} S_{C_i}^{*a} \langle c | q_i^+ q_a + h.c. \rangle = 0$$

$$(i) \quad \langle c | q_i^+ q_a q_p^+ q_q | c \rangle$$

$$\langle \alpha | \hat{g} | i \rangle$$

$$(ii) \quad \langle c | \underbrace{q_i^+ q_a}_{\text{contraction}} q_p^+ q_q^+ q_s q_r | c \rangle$$

+ other contractions = 0

$$\Rightarrow \langle \alpha | \hat{g} | i \rangle = 0$$

$$\langle \alpha | \hat{g}^{\dagger} | i \rangle = \langle \alpha | \hat{h}_0 | i \rangle + \sum_j \langle \alpha j' | v | i \rangle$$

$$\hat{g}^{\dagger} \rightarrow \hat{n}^{HF}$$

$$\hat{n}^{HF} | i \rangle = \varepsilon_i^{HF} | i \rangle$$

$$\hat{n}^{HF} | q \rangle = \varepsilon_q^{HF} | q \rangle$$

$$\langle \alpha | \hat{g}^{\dagger} | i \rangle = 0 = \sum_{q,i} \delta_{qi} \varepsilon_q^{HF} = 0$$

$$\langle \delta c | H | \delta c \rangle =$$

$$\sum_{ai} \sum_{bj} \delta c_i^a \delta c_j^b \langle c | a_i^+ a_a + \hat{f} | a_b^+ g | c \rangle$$

$$(i) \quad \langle c | \underbrace{a_i^+ a_a}_\alpha \underbrace{a_p^+ a_q}_\beta \underbrace{a_r^+ a_j}_\gamma | c \rangle$$

$$S_{ij} S_{ab} \langle a | \hat{p} | b \rangle$$

$$= S_{ij} S_{ab} \varepsilon_a^{HF}$$

$$(ii) \quad \langle c | \underbrace{a_i^+ a_a}_\alpha \underbrace{a_p^+ a_q}_\beta \underbrace{a_r^+ a_j}_\gamma | c \rangle$$

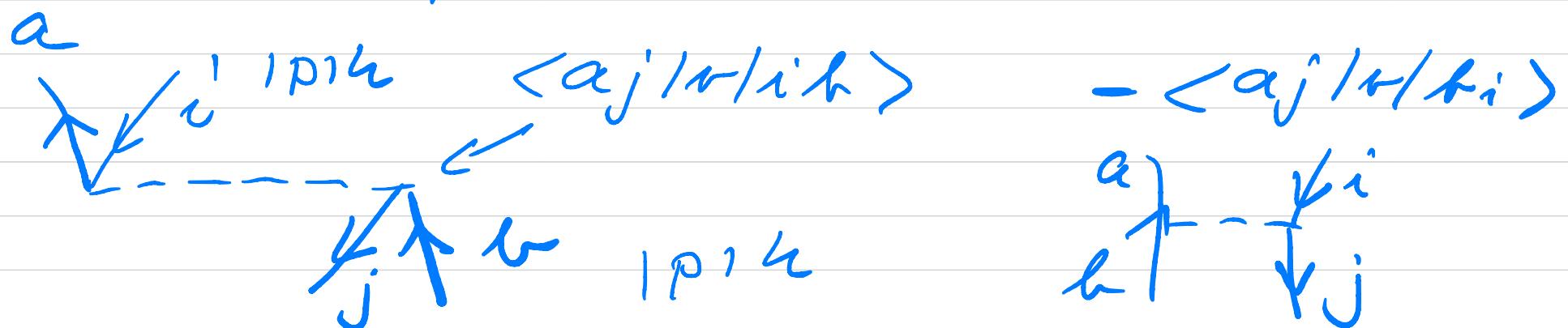
$$- S_{ij} S_{ab} \varepsilon_c^{HF}$$

$$(iii') \quad \langle c | \underbrace{a_i^+ q_a}_{\text{IP1h}} \underbrace{a_p^+ q_p}_{\text{IP2h}} \underbrace{q_g^+}_{\text{IP3h}} \underbrace{a_s^+}_{\text{IP4h}} \underbrace{a_r^+}_{\text{IP5h}} \underbrace{a_e^+}_{\text{IP6h}} \underbrace{a_g^+}_{\text{IP7h}} | c \rangle$$

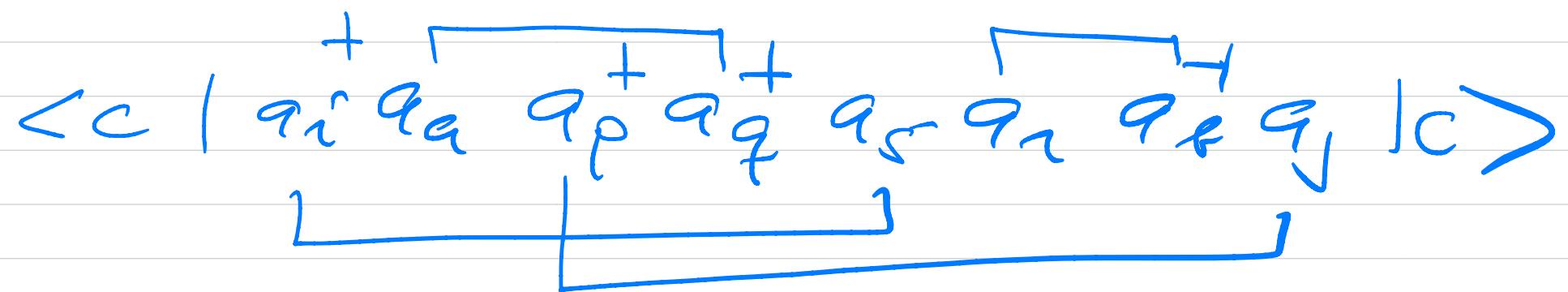
$$-\frac{1}{4} \delta_{ap} \delta_{si} \delta_{qj} \delta_{rb} \langle p q | v | w \rangle_{AS}$$

$$= - \frac{1}{4} \langle a_j | v | b_i \rangle_{AS}$$

$$= \frac{1}{4} \langle a_j | v | b_i \rangle_{AS}$$



+ 3 more similar contractions

$$\langle c | q_i q_a q_p q_q q_s q_r q_t q_j | c \rangle$$


$$+ \frac{1}{4!} \langle j a | v | b i \rangle_{AS^-}$$

$$= \frac{1}{4} \langle q_j | v | i b \rangle_{AS^-}$$

$$\langle S_c | H | S_c \rangle$$

$$= \sum_{\substack{q_i \\ b j}} S_{ci}^* S_{cj} \left\{ \left[ \sum_a^{HF} S_{ab} S_{ji} - \sum_i^{HF} S_{ab} S_{ij} \right] + \right.$$

$$+ \langle a_j | v | i_b \rangle_{A5}$$

$$I = (\text{ph-config}) \{a^i\}$$

$$J = \{b_j\}$$

$$\Delta \Sigma_{IJ} = (\varepsilon_a^{HF} - \varepsilon_l^{HF}) \delta_{ab} \delta_{ij'}$$

$\Sigma_C$  contains all  $\Sigma_a^a$

$$A_{ai \leftarrow j} = A_{IJ} = \langle a_j | v | i_b \rangle$$

$$\langle a_i^{-1} | v | f'f \rangle J \xrightarrow{\text{IPIN}} J = J$$

$$\langle \delta c | H | \delta c \rangle$$

$$= \sum_{IJ} S_{CI}^* S_{CJ} [\Delta \varepsilon_{IJ} \delta_{IJ} + A_{IJ}]$$

$$[x^T A x = \sum_{ij} x_i q_{ij} x_j]$$

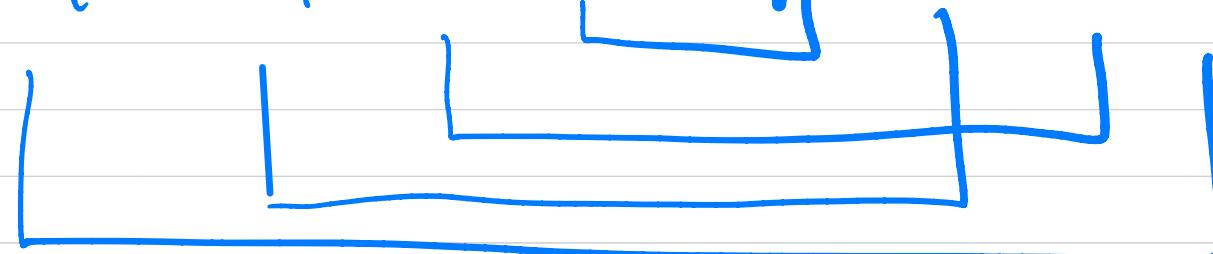
$$S_C^* \begin{bmatrix} \Delta \varepsilon_{11} + A_{11} & A_{12} & \dots & A_{1P_H} \\ A_{21} & \Delta \varepsilon_{22} + A_{22} & & \\ & & \ddots & \\ & & & \Delta \varepsilon_{P_H P_H} + A_{P_H P_H} \end{bmatrix} S_C$$

$$\langle c | H | (\delta c)^2 \rangle$$

$$= \langle c | + a_a^+ q_i^+ q_e^+ q_j | c \rangle$$

(i)  $\langle c | \{a_p^+ q_q\} \{q_a^+ q_i\} \{q_b^+ q_j\} | c \rangle$   
 $\langle 1 p_1 h_1 z p_2 h_2 \rangle = 0$

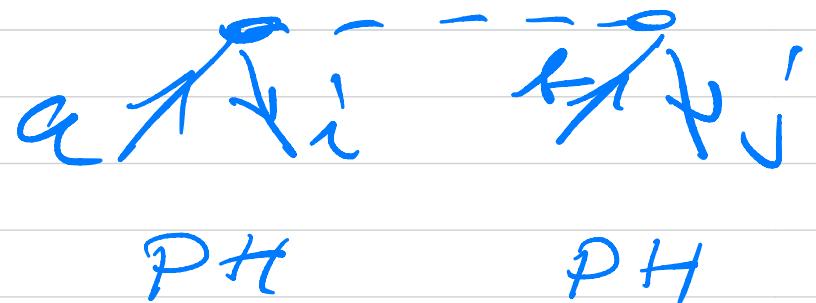
(ii)  $\langle c | a_p^+ a_q^+ a_s q_i^+ q_a^+ q_i^+ q_e^+ q_j | c \rangle$



$$-\frac{1}{4} \langle j_i | \omega | a_s \rangle_{AS} = \frac{1}{9} \langle ij | \omega a_s \rangle$$

+ 3 more

$$\Rightarrow \langle ij | v | ab \rangle_{AS}$$



$$\langle (\delta c)^2 | H | c \rangle = \langle abc | v | i j \rangle_{AS}$$

$$B_{ai}v_{kj} = B_{IJ} = \langle ij | v | ab \rangle$$

$$\frac{\langle c^\dagger | H | c^\dagger \rangle}{\langle c^\dagger | c^\dagger \rangle} = E_0^{HF} + \frac{\Delta E}{1 + \sum_{q_i} |\delta c_i^q|^2}$$

$$\Delta E = \frac{1}{2} \langle X | M | X \rangle$$

$$X = \begin{bmatrix} S_C \\ S_C^* \end{bmatrix}$$

$$M = \begin{bmatrix} -\Delta\varepsilon + A & B \\ B^* & -\Delta\varepsilon + A^* \end{bmatrix}$$

$$\begin{bmatrix} \delta c^+ & \delta c^{*^T} \end{bmatrix} \begin{bmatrix} \delta \epsilon + A & B \\ B^* & \delta \epsilon + A^* \end{bmatrix}$$

$$x \begin{bmatrix} \delta c \\ \delta c^* \end{bmatrix}$$

$$= \frac{1}{2} \left\{ \begin{bmatrix} \delta c^+ (\delta \epsilon + A) \delta c \\ \delta c^{*^T} B^* \delta c \end{bmatrix} + \begin{bmatrix} \delta c^+ B \delta c^* \\ \delta c^{*^T} (\delta \epsilon + A)^* \delta c^* \end{bmatrix} \right\} \frac{1}{2}$$

$$\frac{1}{2} \sum_{ij} \delta c_i \delta \epsilon_{ij} \delta c_j^* + \sum_j \delta c_i A_{ij} \delta c_j^*$$

$A_{ij}^* = A_{ji}$

$$\Delta E \geq 0 \Rightarrow$$

M has to be semi-positive definite; eigenvalues of M are  $\geq 0$

A necessary condition  
diagonal elements  $\geq 0$

$$\sum_{\alpha}^{HF} - \sum_{\nu}^{HF} + \langle \alpha j | v_i | i \rangle \geq 0$$