## Homework 11

**Problem 1.** Prove that the function family

$$\mathcal{H} = \left\{ h_{a,b} \mid h_{a,b}(x) = a \cdot x + b, a \in \{0, 1\}^k, b \in \{0, 1\} \right\}$$

is a pairwise independent hash function family for range  $R = \{0, 1\}$  and domain  $U = \{0, 1\}^k$ .

## Solution.

$$\Pr[h_{a,b}(x_1) = y_1 \land h_{a,b}(x_2) = y_2] = \Pr_{a,b}[a \cdot x_1 + b = y_1 \land a \cdot x_2 + b = y_2]$$
$$= \Pr_{a,b}[a \cdot (x_1 \oplus x_2) = y_1 \oplus y_2 \land b = y_1 - a \cdot x_1]$$

Since for any fixed  $a, \Pr[b = y_1 - a \cdot x_1] = 1/2$ , thus  $\Pr[b = y_1 - a \cdot x_1 \mid a \cdot (x_1 \oplus x_2) = y_1 \oplus y_2] = 1/2$ . For any  $x_1 \neq x_2$ , they must be different on at least one bit, say the *j*th bit. By the following decomposition,

$$a \cdot (x_1 \oplus x_2) = a^{(j)}(x_1^{(j)} \oplus x_2^{(j)}) + \sum_{i \neq j} a^{(i)}(x_1^{(i)} \oplus x_2^{(i)})$$

. Since for fixed any fixed  $a^{(i)}, (i \neq j)$ ,  $\Pr[a^{(j)}(x_1^{(j)} \oplus x_2^{(j)}) + \sum_{i \neq j} a^{(i)}(x_1^{(i)} \oplus x_2^{(i)}) = 0] = 1/2$ , thus we have that  $\Pr_a[a \cdot (x_1 \oplus x_2) = y_1 \oplus y_2] = 1/2$ , obtaining

$$\Pr_{a,b}[a \cdot (x_1 \oplus x_2) = y_1 \oplus y_2 \wedge b = y_1 - a \cdot x_1] 
= \Pr[b = y_1 - a \cdot x_1 \mid a \cdot (x_1 \oplus x_2) = y_1 \oplus y_2] \Pr_a[a \cdot (x_1 \oplus x_2) = y_1 \oplus y_2] 
= \frac{1}{4} = \frac{1}{|R|^2}.$$

## Problem 2.

(a) Consider a random walk  $X_0, X_1, X_2, ...$  on a chain of n + 1 vertices 0, 1, ..., n with the following transition probabilities

$$\Pr(X_t = k | X_{t-1} = j) = \begin{cases} \frac{1}{2} & \text{if } j \in [1, n-1] \text{ and } k = j \pm 1, \\ 1 & \text{if } j = 0, k = 1 \text{ or } j = n, k = n, \\ 0 & \text{otherwise.} \end{cases}$$

Let  $T_i$  be the expected number of steps the walk takes to arrive at the end vertex n starting with  $X_0 = i$ . Prove that  $T_i \le n^2$  for all  $i \in [0, n]$ .

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(b) Consider the following randomized algorithm for 2-SAT problems of n variables.

1: Choose an arbitrary initial assignment.

2: **for**  $t = 1, 2, \dots, 2n^2$  **do** 

3: **if** the current assignment is satisfying **then** 

4: Accept immediately.

5: **else** 

6: Choose an arbitrary clause not satisfied.

7: Sample one of the two literals uniformly at random.

8: Flip the value of the variable in the sampled literal.

9: end if

10: end for

11: Reject if haven't accepted.

Use Markov inequality to show that the algorithm will find a satisfying solution with probability at least  $\frac{1}{2}$  given a yes-instance as input.

**Solution.** (a) We have the following recursion formula for  $T_i$ :

$$\begin{cases}
T_0 = T_1 + 1, \\
T_i = \frac{1}{2}T_{i-1} + \frac{1}{2}T_{i+1} + 1, i \in [1, n-1], \\
T_n = 0.
\end{cases}$$

Solving the recursion, we can obtain that  $T_i = n^2 - i^2 \le n^2$ . (Observe that  $T_i - T_{i-1} = T_{i+1} - T_i + 2$ ).

(b) If the 2SAT formula is satisfiable, it has at least one satisfying assignment w. We denote  $Y_t = k$  for the assignment w' at time t have exactly k terms that are the same with w. Note that for one unsatisfying clause in the 2SAT formula, it has two possible cases: two terms are contradictory with w, or one term is contradictory with w. The first case flipping either term will set  $Y_{t+1} = k+1$ , and for the second case it will set  $Y_{t+1} = k+1$  or  $Y_{t-1} = k-1$  with the same probability 1/2. Thus in general, we have that  $\Pr[Y_{t+1} = k+1 \mid Y_t = k] \geq 1/2$ . Intuitively, this means our walk is biased towards the vertex n, thus the expected time  $\tilde{T}_i$  of the walk to n satisfies  $\tilde{T}_i \leq T_i \leq n^2$ , Thus applying markov inequality

$$\Pr[\text{walk takes more than } 2n^2 \text{ steps}] \leq \frac{\tilde{T}_i}{2n^2} \leq 1/2,$$

implying our result.

You are not required to master the following proof. Now we formally prove that  $\tilde{T}_i \leq T_i$ . The major difficulty is that at each step, the coin toss

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of the walk might not be independent. Instead of tossing a coin, we view the process as uniformly sampling a random number  $s_t$  in [0,1], and we set a threshold  $p_t$  for each step of the walk ( $p_t$  can be a function of the walk history, initial state...). If  $s_t \leq p_t$ , we set  $Y_{t+1} = Y_t + 1$ , else we set  $Y_{t+1} = Y_t - 1$ . Note that for  $X_t$ , we set  $p_t = 1/2$ , while for  $Y_t$ , we set  $p_t \geq 1/2$  in general. Thus it is easy to see that for the same random string  $(s_1, \ldots, s_t)$ , we will obtain  $X_t \leq Y_t$ . By this observation we can see that  $\tilde{T}_i \leq T_i$ , since if at time t, we have  $X_t = n$ , then for the same random string, we will also have  $Y_t = n$ .