

Study of monolithic CMOS pixel sensors in the Belle II experiment upgrade

November 4, 2023

Contents

Introduction	6
1 Belle II and SuperKEKB (SKB) accelerator	9
1.1 Physics program of the B-factories	9
1.1.1 Open questions in SM	10
1.1.2 Peculiarity of asymmetric B factories	10
1.2 SuperKEKB accelerator	12
1.2.1 The facility	12
1.2.2 "Nano-beam" scheme	13
1.3 Belle II detector	14
1.3.1 Vertex Detector (VXD)	14
1.3.2 Central Drift Chamber (CDC)	16
1.3.3 Particle identification system (TOP e ARICH)	16
1.3.4 Electromagnetic calorimeter (ECL)	18
1.3.5 K_L muon detector (KLM)	18
1.3.6 Trigger system	18
1.4 Current state of data taking	19
2 Belle II Upgrade	21
2.1 Background sources and limitations in Belle II	21
2.1.1 Major background sources	21
2.1.2 Current background status and future predictions	22

2.2	Purposes of the upgrade	24
2.3	Summary of possible VXD upgrade	25
2.3.1	Depleted Field Effect Transistor (DEPFET)	25
2.3.2	Thin and Fine-Pitch SVD	26
2.3.3	Silicon On Insulator (SOI)	26
2.3.4	CMOS Monolithic Active Pixels Sensor	28
3	VTX detector	31
3.1	VTX Layout and mechanical structure	31
3.1.1	iVTX	32
3.1.2	oVTX	34
3.1.3	Thermomechanics and data transmission	36
3.2	Performance simulation	37
3.2.1	Potential VTX geometries	37
3.2.2	Tracking efficiency at low momentum and impact parameter resolution	37
3.2.3	Vertexing resolution	38
3.3	OBELIX chip design	39
3.3.1	Sensor specification	40
3.3.2	Sensor implementation	42
4	CMOS MAPS sensors	46
4.1	Semiconductor detectors	46
4.1.1	Movement of charge carriers and signal formation in semiconductors	47
4.1.2	The pn junctions as detector	48
4.1.3	Complementary Metal-Oxide-Semiconductor (CMOS)	49
4.2	Hybrid and monolithic pixel sensors	50
4.2.1	Hybrid	50
4.2.2	Pixel	50
4.3	CMOS Monolithic Active Pixel Sensors technology	50

4.4	History of Monopix developments	50
5	TJ-Monopix 2	51
5.1	Matrix and flavors	51
5.1.1	Flavors	52
5.1.2	Pixel design	53
5.1.2.1	Improved front-end circuit design	54
5.2	Threshold and noise	55
5.2.1	S-Curve method	55
5.2.1.1	Normal FE	56
5.2.1.2	Cascode FE	58
5.2.1.3	HV-Cascode FE	58
5.2.1.4	HV-Normal FE	60
5.2.1.5	Summary Table	61
5.2.2	Threshold dispersion and tuning	62
5.2.2.1	First results from fine tuning	63
5.3	ToT calibration with internal injection	64
5.3.1	Injection circuit issues	65
5.3.2	Time Over Threshold (TOT) curves and fit [CHECK]	65
5.4	Response to radioactive source and absolute calibration	66
5.4.1	^{55}Fe	67
5.4.2	^{241}Am	69
5.4.3	^{109}Cd	69
5.4.4	Injection capacitance calibration	69
5.4.5	Check on linearity of tot fit	73
5.5	Operation with low threshold	75
5.5.1	Register optimization	75
5.5.2	Comparison between data and simulation	76
5.5.2.1	some nice picture of the optimized thr and tuning	77
5.6	Cross talk issue and mitigation	78

5.6.1	Hot pixel issue	78
5.6.2	Hot pixel strategy (study)	80
5.6.3	Cross-talk (Results)	82
5.6.4	Mitigation	84
5.6.4.1	Final results?	86
5.7	Test Beam results	87
5.7.1	Experimental apparatus and DUTs	87
5.7.2	Hit detection efficiency measurements	88
6	Conclusions	91
	Bibliography	92

Introduction

Belle II is a particle physics experiment located at KEK laboratory in Tsukuba (Japan). The detector is a general-purpose spectrometer to study electron-positron collisions produced by the SuperKEKB accelerator, a second generation flavor-factory which operates at the luminosity frontier, holding the world record of instantaneous luminosity with $L_{ist} = 4.7 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$.

SuperKEKB is the upgrade of the preceding facility KEKB (operational from 1998 to 2016) and it consists in a 3 km-circumference asymmetric accelerator which collides electrons and positrons beams at a center-of-mass energy near the $\Upsilon(4S)$ resonance ($\sqrt{s} = 10.58 \text{ GeV}$). It started its data taking in March 2019.

In the next decade, the collider aims to collect an unrivaled dataset of 50 ab^{-1} (x50 Belle dataset, x100 BaBar dataset) and to reach a new peak of instantaneous luminosity. This will allow to study the charge-parity violation in B mesons system with more precision and to search for new hints of physics beyond the Standard Model.

To achieve these challenging targets, it would be necessary a significant upgrade of the accelerator and its main components (like the injection system and the equipment nearby the interaction region) and it could be an opportunity to install also a new detector. As a matter of fact, to the increase in luminosity corresponds not only large data collected and greater possibility to study rare processes, but also higher doses of radiation and greater backgrounds, which could undermine the integrity and the operation of the Belle II detector. In particular the subdetectors which are closest to the beam pipe are those more exposed to severe conditions, like the vertex detector (VXD), composed by the inner pixel detector (PXD, made by layers of pixels) and the outermost silicon vertex detector (SVD, made by layers of strips). They deal with the reconstruction of charged particle tracks and of decay vertices with high performance. Recent studies have shown that the current detector could operate efficiently up to a luminosity of $L_{ist} = 2 \times 10^{35} \text{ cm}^{-2}\text{s}^{-1}$, but safety margins are not so large. Consequently, in this context, different upgrade projects have been proposed, which intend to design a new vertex detector, making it more resistant even in harsher working conditions, while the luminosity will be gradually increased.

This work focuses especially on the VerTeX Detector (VTX) proposal (the one chosen for the final upgrade), whose program provides for replacing the whole VXD with fully-pixelated five layers at different distances from the beam pipe, equipped with the same type of sensor technology, which is the CMOS Depleted Monolithic Active Pixel Sensors (DMAPS).

The good results achieved by the ALICE experiment (LHC, CERN), which employed the same technology, have addressed to this solution which has proven

to be reliable and promising in maintaining low occupancy, despite the worse expected background environment, and good radiation hardness even after irradiation.

In order to fulfil the physics requirements of Belle II experiment, a new silicon sensor is being designed, called OBELIX, exploiting the 180 nm TowerJazz Semiconductor process. Developments will ensure a faster, lighter and highly granular chip, reducing the material budget and improving tracks and vertices reconstruction.

OBELIX planning is based on studies done on previous prototypes, among which TJ-Monopix 2, whose characterization is the main topic of this thesis. Continuous laboratory experiments and beam tests have been conducted and are still in progress, in order to study the efficiency of the chip before and after irradiation, its power consumption and also to fully characterize its electrical features. In particular, we have completely characterized the response of the pixel matrix, returning important results that have allowed to interpret data taken during the Test Beam at Desy (July 2022), and that are being used in the design of the OBELIX chip. In more details, the threshold distributions for all the different types of front-end circuits implemented in the matrix have been studied, together with their dispersion and noise distributions. The calibration of the Time Over Threshold curves (which is a time width signal processing method used in this prototype) have been done by internal injection tests. The absolute calibration of the whole matrix have been achieved, employing a ^{55}Fe radioactive source. Other radioactive sources have been used too, in order to check the trend of the ToT curves for charge values not accessible by internal injection. Additionally, different register settings have been examined in the interest of operating the matrix at low threshold, that is crucial to keep high efficiency even after irradiation. For this reason, several tests have been conducted to tune the threshold, in order to reduce the dispersion and make the threshold on the matrix as uniform as possible. During this investigation, a cross-talk issue has been discovered and therefore studied to understand its causes and possible solutions to mitigate this effect.

Chapter 1 briefly introduces some of the open questions in the Standard Model, in order to depict the background of the Belle II physics program. Then a short description of the SuperKEKB accelerator and Belle II detector is given, too.

Chapter 2 presents the fundamental reasons behind the choice of an upgrade. The primary sources of the experiment background are described in a few words, to understand the limitation of the detector and the accelerator, for increasingly higher luminosity values. Eventually a summary of the four main upgrade proposals for the vertex detector is presented, which are distinguished by the different type of sensors employed: Depleted Field Effect Transistor (DEPFET), Thin and Fine-Pitch SVD, Silicon On Insulator (SOI) and CMOS Monolithic Active Pixels Sensors.

Chapter 3 examines in depth the VerTeX detector (VTX) upgrade program, which involves the CMOS Monolithic Active Pixel Sensors as fundamental components of the five layers of the final vertex detector. Continuous studies and simulations are ongoing to test the performance, and some of them are shown here. Moreover the specifications and the implementation of the new chip (OBELIX) thought for this proposal are described. The innovative sensor has to fulfill the requirements of Belle II experiment, even in extreme environment

due to higher doses of radiation and backgrounds.

Chapter 4 describes the principles underlying the operation of semiconductor detectors and some different type of sensors which use this technology, like the hybrid and monolithic pixel sensors. In particular the CMOS Monolithic Active Pixel Sensors technology is presented, on which the entire developments of the OBELIX chip is based. In the end, the history of the developments that led to the TJ-Monopix chip series is retraced, in order to better understand the main features of the last one, TJ-Monopix 2, which represents the starting point for OBELIX design, and whose characterization is the work of this thesis.

Chapter 5 lastly shows the results obtained from laboratory measurements and tests conducted on the TJ-Monopix 2 chip. The response of the matrix has been studied in different working conditions, in order to analyze the behaviour at high and low threshold. The absolute calibration of the all front-end circuits implemented in the chip, has been done too. Moreover a cross-talk issue has been discovered and analyzed, in order to understand its causes and a possible mitigation of this effect since it prevented from using the matrix at low threshold.