# Training and Distilling Seq2Seq Models

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(with Yoon Kim, Sam Wiseman, Yuntian Deng, Allen Schmaltz, Hendrik Strobelt)



at



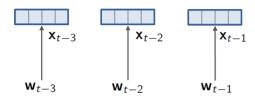
### Sequence-to-Sequence

- Machine Translation (Kalchbrenner and Blunsom, 2013; Sutskever et al., 2014; Cho et al., 2014; Bahdanau et al., 2014; Luong et al., 2015)
- Question Answering (Hermann et al., 2015)
- Conversation (Vinyals and Le, 2015)
- Parsing (Vinyals et al., 2014)
- Argument Generation (Wang and Yang, 2015)
- Sentence Compression (Filippova et al., 2015)
- Speech (Chorowski et al., 2015)
- Summarization (Rush et al., 2015)
- Caption Generation (Karpathy and Fei-Fei, 2015; Xu et al., 2015)
- Video-to-Text (Venugopalan et al., 2015)

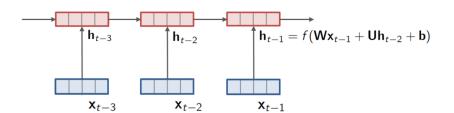
# Seq2Seq Neural Network Toolbox

Embeddings	sparse features	$\Rightarrow$	dense features
RNNs	feature sequences	$\Rightarrow$	dense features
Softmax	dense features	$\Rightarrow$	discrete predictions

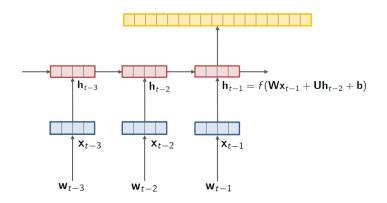
# Embeddings sparse features $\Rightarrow$ dense features



# RNNs/LSTMs feature sequences $\Rightarrow$ dense features

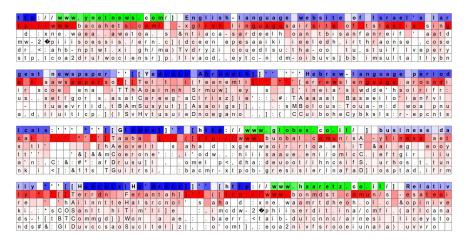


LM/Softmax dense features  $\Rightarrow$  discrete predictions



$$p(\mathbf{w}_t|\mathbf{w}_1,\dots,\mathbf{w}_{t-1};\theta) = \operatorname{softmax}(\mathbf{W}_{out}\mathbf{h}_{t-1} + \mathbf{b}_{out})$$

$$p(\mathbf{w}_{1:T}) = \prod_{t} p(\mathbf{w}_{t}|\mathbf{w}_{1}, \dots, \mathbf{w}_{t-1})$$



(Karpathy et al., 2015)

### LSTMVis (Strobelt et al., 2016)

Example 1: Synthetic (Finite-State) Language

```
alphabet: ( ) 0 1 2 3 4

corpus: ( 1 ( 2 ) () ) 0 ( ( ( 3 ) ) 1 )
```

- Numbers are randomly generated, must match nesting level.
- Train a predict-next-word language model (decoder-only).

$$p(\mathbf{w}_t|\mathbf{w}_1,\ldots,\mathbf{w}_{t-1})$$

[Parens Example] (Strobelt et al., 2016)

### LSTMVis (Strobelt et al., 2016)

### Example 2: Real Language

alphabet: all english words

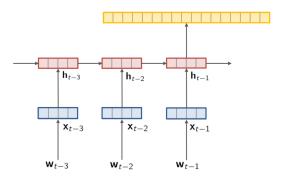
corpus: Project Gutenberg Children's books

• Train a predict-next-word language model (decoder-only).

$$p(\mathbf{w}_t|\mathbf{w}_1,\ldots,\mathbf{w}_{t-1})$$

[LM Example]

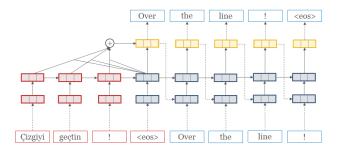
### Contextual Language Model / "seq2seq"



• Key idea, contextual language model based on encoder c:

$$p(\mathbf{w}_{1:T}|\mathbf{c}) = \prod_{t} p(\mathbf{w}_{t}|\mathbf{w}_{1}, \dots, \mathbf{w}_{t-1}, \mathbf{c})$$

### Actual Seq2Seq / Encoder-Decoder / Attention-Based Models



- Different encoders, attention mechanisms, input feeding, ...
- Almost all models use LSTMs or other gated RNNs
- Large multi-layer networks necessary for good performance.
  - 4 layer, 1000 hidden dims is common for MT

Seq2Seq Applications: Sentence Summarization (Rush et al., 2015)

#### Source

Russian Defense Minister Ivanov called Sunday for the creation of a joint front for combating global terrorism.

### **Target**

Russia calls for joint front against terrorism.

• Used by Washington Post to suggest headlines (Wang et al., 2016)

Seq2Seq Applications: Grammar Correction (Schmaltz et al., 2016)

#### Source

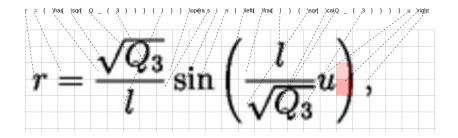
There is no a doubt, tracking systems has brought many benefits in this information age .

### **Target**

There is no doubt, tracking systems have brought many benefits in this information age .

 First-place on BEA 11 grammar correction shared task (Daudaravicius et al., 2016)

# Seq2Seq Applications: Im2Markup [In Submission]



[Latex Example]

#### This Talk

• How should we train these style of models?

Sequence-to-Sequence Learning as Beam-Search Optimization (Wiseman and Rush, 2016)

 How can we shrink these models for practical applications (Kim and Rush, 2016)?

#### Some More Seg2Seg Details

Training Objective: Local Multiclass NLL (for training targets  $y_{1:T}$ )

$$\mathsf{NLL}(\theta) = -\sum_{t} \log p(\mathbf{w}_t = y_t | \mathbf{w}_{1:t-1} = y_{1:t-1}, \mathbf{c}; \theta)$$

Test Objective: Structured prediction

$$\mathbf{w}_{1:T}^* = \operatorname*{arg\,max}_{\mathbf{w}_{1:T}} \sum_{t} \log p(\mathbf{w}_t | \mathbf{w}_{1:t-1}, \mathbf{c}; \theta)$$

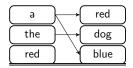


For timesteps t from 1 to T:

• Compute for all  $k, \mathbf{w}_t$ 

$$s(\mathbf{w}_t, \mathbf{w}_{1:t-1}^{(k)}) \leftarrow \log p(\mathbf{w}_t | \mathbf{w}_{1:t-1}^{(k)}, \mathbf{c}) + \log p(\mathbf{w}_{1:t-1}^{(k)} | \mathbf{c})$$

$$\mathbf{w}_{1:t}^{(1:K)} \leftarrow K \arg \max_{\mathbf{w}_{1:t}} s(\mathbf{w}_t, \mathbf{w}_{1:t-1}^{(k)})$$

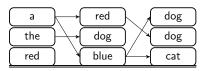


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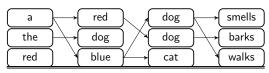


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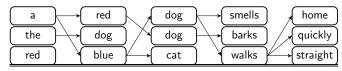


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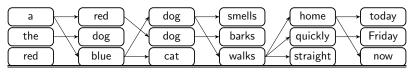


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$$\mathbf{w}_{1:t}^{(1:K)} \leftarrow K \arg\max_{\mathbf{w}_{1:t}} s(\mathbf{w}_t, \mathbf{w}_{1:t-1}^{(k)})$$

### Theoretical Issues with Standard Setup

- Exposure Bias
  - Training by conditioning on true  $y_{1:t-1}$ ,

$$p(\mathbf{w}_t = y_t | \mathbf{w}_{1:t-1} = y_{1:t-1}, \mathbf{c}; \theta)$$

- Train/Test Loss Mismatch
  - Training with local NLL, evaluate with hamming-style losses (BLEU)
- Label Bias (Lafferty et al., 2001)
  - Locally normalized models have known pathological issues

#### Related Work:

- Data as Demonstrator (Venkatraman et al., 2015)
- Scheduled Sampling (Bengio et al., 2015)

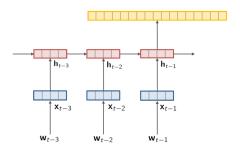
### **Explicit Reinforcement Learning**

- MIXER (Ranzato et al., 2016)
- Actor-Critic (Bahdanau et al., 2016)

# This Work: Seq2Seq Learning as Beam Search Optimization

- ullet (Idea 1) Replace local softmax with sequence score f
- (Idea 2) Run beam search during training time
- (Idea 3) Train with cost-sensitive margin

### (Idea 1) Replace local softmax with sequence scorer f



Normalized (Softmax) Unnormalized

$$\log p(\mathbf{w}_t|\mathbf{w}_{1:t-1}^{(k)},\mathbf{c};\theta) \quad \Rightarrow \quad f(\mathbf{w}_t,\mathbf{w}_{1:t-1}^{(k)},\mathbf{c};\theta)$$

Targets Label Bias

# (Idea 2) Run beam search during training

- For timesteps t from 1 to T:
  - Compute for all  $k, \mathbf{w}_t$

$$s(\mathbf{w}_t, \mathbf{w}_{1:t-1}^{(k)}) \leftarrow \log p(\mathbf{w}_t | \mathbf{w}_{1:t-1}^{(k)}, \mathbf{c}; \theta) + \log p(\mathbf{w}_{1:t-1}^{(k)} | \mathbf{c}; \theta)$$

**2** Replace the K highest scoring target sequences

$$\mathbf{w}_{1:t}^{(1:K)} \leftarrow K \arg \max_{\mathbf{w}_{1:t}} s(\mathbf{w}_t, \mathbf{w}_{1:t-1}^{(k)})$$

Targets Exposure Bias

# (Idea 2) Run beam search during training

- For timesteps t from 1 to T:
  - Compute for all  $k, \mathbf{w}_t$

$$s(\mathbf{w}_t, \mathbf{w}_{1:t-1}^{(k)}) \leftarrow f(\mathbf{w}_t, \mathbf{w}_{1:t-1}^{(k)}, \mathbf{c}; \theta)$$

 $oldsymbol{0}$  Replace the K highest scoring target sequences

$$\mathbf{w}_{1:t}^{(1:K)} \leftarrow K \arg \max_{\mathbf{w}_{1:t}} s(\mathbf{w}_t, \mathbf{w}_{1:t-1}^{(k)})$$

Targets Exposure Bias

### (Idea 3) Train with cost-sensitive margin

Objective: Margin between target seq y and last seq on beam  $\mathbf{w}^{(K)}$ 

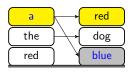
$$\mathcal{L}(\theta) = \sum_{t} \Delta(y_{1:t}, \mathbf{w}_{1:t}^{K}) \left[ 1 - f(y_{t}, y_{1:t-1}, \mathbf{c}) + f(\mathbf{w}_{t}^{(K)}, \mathbf{w}_{1:t-1}^{(K)}, \mathbf{c}) \right]$$

- Slack-rescaled, margin-based sequence criterion, at each time step.
- When violation occurs, target replaces current beam (learning as search optimization (Daumé III and Marcu, 2005))
- Cost-sensitivity targets Train/Test Mismatch



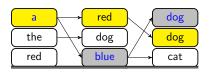
- Color Gold: target sequence y
- Color Gray: violating sequence  $\mathbf{w}^{(K)}$

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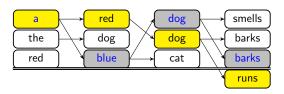
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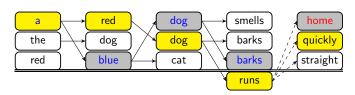
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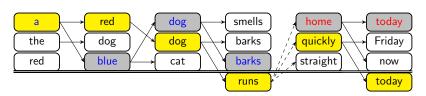
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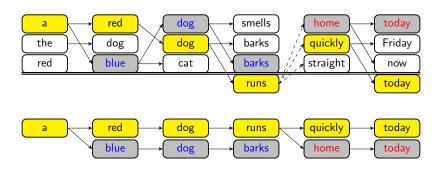
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#### Backpropagation over Structure



- Margin gradients are sparse, only violating sequences get updates.
- Backprop only requires 2x time as standard methods.

### Experiments

Experiments run on three small seq2seq baseline tasks

- Word Ordering (PTB, Liu et al, 15)
- Dependency Parsing (Stanford, setup as Chen and Manning, 14)
- Machine Translation (IWSLT 2014, DE-EN)

### Details:

- Utilize our *seq2seq-attn* strong attention-based system
- Pretrained with NLL.
- Trained with a curriculum to gradually increase beam size.
- Additionally include BSO-Con with training-time constraints.
- All models trained with K=6

	$K_e = 1$	$K_e = 5$	$K_e = 10$		
	Word Ordering (BLEU)				
seq2seq	25.2	29.8	31.0		
BSO	28.0	33.2	34.3		
BSO-Con	28.6	34.3	34.5		
	Dependency Parsing (UAS/LAS)				
seq2seq	87.33/82.26	88.53/84.16	88.66/84.33		
BSO	86.91/82.11	91.00/ <b>87.18</b>	91.17/ <b>87.41</b>		
BSO-Con	85.11/79.32	<b>91.25</b> /86.92	<b>91.57</b> /87.26		
	Machine Translation (BLEU)				
seq2seq	22.53	24.03	23.87		
BSO, SB- $\Delta$ , $K_t$ =6	23.83	26.36	25.48		
XENT	17.74	$\leq 20.5$	$\leq 20.5$		
DAD	20.12	$\leq 22.5$	$\leq 23.0$		
MIXER	20.73	-	$\leq 22.0$		

### This Talk

- How should we train these style of models? (Wiseman and Rush, 2016)
- How can we **shrink** these models for practical applications?

Sequence-Level Knowledge Distillation (Kim and Rush, 2016)

### Issues

- Seq2Seq Models are really big
- Beam search can be quite slow

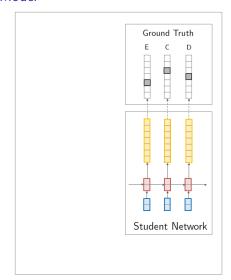
### Related Work: Compressing Deep Models

- Pruning: Prune weights based on importance criterion (LeCun et al., 1990; Han et al., 2016)
- Knowledge Distillation: Train a student model to learn from a teacher model (Bucila et al., 2006; Ba and Caruana, 2014; Hinton et al., 2015).
- Compressing NMT (See et al., 2016)

### Baseline Model

## Standard model minimize $NLL(\theta)$ :

$$-\sum_{t} \log p(\mathbf{w}_{t} = y_{t} \mid \mathbf{w}_{1:t-1}, \mathbf{c}; \theta)$$



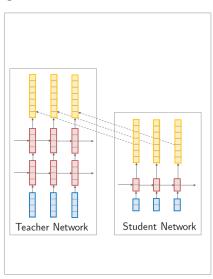
## (Word-Level) Knowledge Distillation

Teacher network:  $q(\mathbf{w}_t|\mathbf{w}_{1:t-1},\mathbf{c};\theta_T)$ 

Minimize cross-entropy with teacher

$$-\sum_{t}\sum_{v}q(\mathbf{w}_{t}=v\,|\,\mathbf{w}_{1:t-1},\mathbf{c};\theta_{T})\times$$

 $\log p(\mathbf{w}_t = v \mid \mathbf{w}_{1:t-1}, \mathbf{c}; \theta)$ 



### This Work: Sequence-Level Knowledge Distillation

Instead of word NLL,

$$-\sum_{t}\sum_{v}q(\mathbf{w}_{t}=v\mid\mathbf{w}_{1:t-1},\mathbf{c};\theta_{T})\times\log p(\mathbf{w}_{t}=v\mid\mathbf{w}_{1:t-1},\mathbf{c};\theta)$$

Minimize cross-entropy between  $\boldsymbol{q}$  and  $\boldsymbol{p}$  implied sequence-distributions

$$-\sum_{\mathbf{w}_{1:T}} q(\mathbf{w}_{1:T}|\mathbf{c};\theta_T) \times \log p(\mathbf{w}_{1:T}|\mathbf{c};\theta)$$

### A Simple Approximation

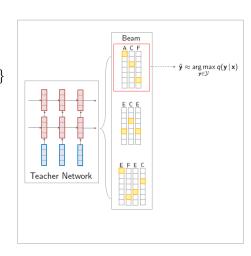
Approximate  $q(\mathbf{w}_{1:T} \,|\, \mathbf{c})$  with mode

$$q(\mathbf{w}_{1:T} \mid \mathbf{c}) \approx \mathbf{1}\{\arg \max_{\mathbf{w}} q(\mathbf{w}_{1:T} \mid \mathbf{c})\}$$

Roughly obtained wtih beam search

$$\mathbf{w}_{1:T}^* \approx \operatorname*{arg\,max}_{\mathbf{w}_{1:T}} q(\mathbf{w}_{1:T} \,|\, \mathbf{c})$$

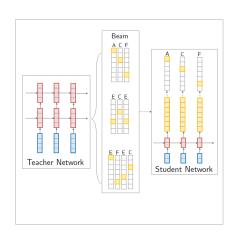
Empirically, point estimate captures significant mass



## Sequence-Level Knowledge Distillation

Simple Model: train student on  $\mathbf{w}^*$  with NLL

Local updating (Liang et al., 2006)



# Results: English $\rightarrow$ German

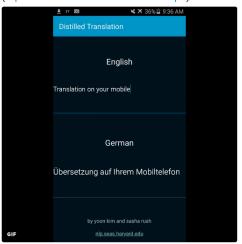
Model	$BLEU_{K=1}$	$\Delta_{K=1}$	$BLEU_{K=5}$	$\Delta_{K=5}$	PPL	$p(\mathbf{w}^*)$
$4 \times 1000$						
Teacher	17.7	_	19.5	_	6.7	1.3%
Seq-Inter	19.6	+1.9	19.8	+0.3	10.4	8.2%
$2 \times 500$						
Student	14.7	_	17.6	_	8.2	0.9%
Word-KD	15.4	+0.7	17.7	+0.1	8.0	1.0%
$Seq ext{-}KD$	18.9	+4.2	19.0	+1.4	22.7	16.9%
Seq-Inter	18.9	+4.2	19.3	+1.7	15.8	7.6%

# Combining Knowledge Distillation and Pruning (See et al., 2016)

Model	Prune $\%$	Params	BLEU	Ratio
	- ~4			
$4 \times 1000$	0%	221 m	19.5	$1\times$
$2 \times 500$	0%	$84\ \mathrm{m}$	19.3	$3 \times$
$2 \times 500$	50%	$42 \; m$	19.3	$5 \times$
$2 \times 500$	80%	$17~\mathrm{m}$	19.1	$13 \times$
$2 \times 500$	85%	$13\ \mathrm{m}$	18.8	$18 \times$
$2 \times 500$	90%	8 m	18.5	$26 \times$



# Seq KD (arxiv.org/abs/1606.07947): learn small LSTMs for fast translation. Runs on a phone (nlp.seas.harvard.edu/translation.apk)



### Thank You



#### **Graduate Students**



Sebastian Gehrmann



Yoon Kim



Victoria Krakovna



Allen Schmaltz



Sam Wiseman

### Undergraduate Researchers



Jeffrey Ling



Keyon Vafa



Alex Wang



Mike Zhai

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