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Work Package 4: "Validation & Verification Strategy"

# Final Report on Validation and Verification Report of Implementation/Code

Jens Gerlach and Izaskun de la Torre

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# Final Report on Validation and Verification Report of Implementation/Code

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Intermediate report

Prepared for openETCS@ITEA2 Project

**Abstract:** This work package will comprise the activities concerned with verification and validation within openETCS. This includes verification & validation of development artifacts, that is, showing that models and code produced correctly express or implement what they are supposed to. And also, methods and tools to perform such tasks will be evaluated with the goal of assembling a suitable method and tool chain to support a full development.

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Fatal: add table from chapter 7	17
Fatal: Make sure that there is an explanation of UpperBitsNotSet in Chapter 4	18
Fatal: Make sure that there is an explanation of EqualBits in Chapter 4	18
Fatal: Refer to a more accurate label instead of cha:bitstream	19
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### 1 Introduction

In this intermediate report we describe the activities to formally verify the correctness of parts of the software developed in the OpenETCS project.

While major parts of the functionality of Subset 026 are modelled in higher-level languages, there is also a substantial part of *supporting* software that is developed in the C programming language.

In this document we report about results on the verification of that C code. In particular, we report on the use of static analysis methods (including formal methods) on C code that has been developed by the project partner Siemens.

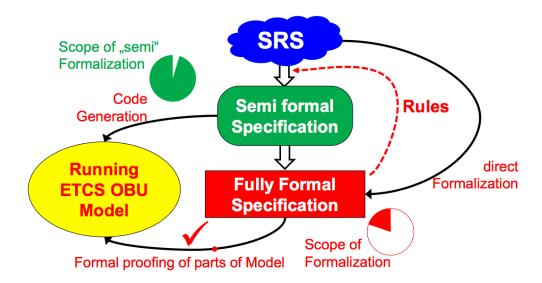
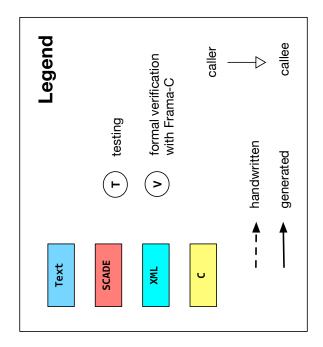


Figure 1.1. Scope of formal methods with in OpenETCS

Figure 1.1 outlines the roles of formal methods within the OpenETCS project. What this figure shall convey is that even a subsystem such as described by *Subset 026* of the ETCS specification is usually too complex to be completely formally specified. Therefore, *semi-formal modelling techniques* and *tests* and *simulations* play a crucial role to verify that the implementation satisfies its specification. However, for clearly defined modules and select system properties, formal methods can well be applied to establish the correctness of an implementation.

Figure 1.2 shows slightly more detailed the OpenETCS software. The report at hand is limited to the parts encapsulated by C software encapsulated in a  $\boxed{\text{dashed box}}$ .



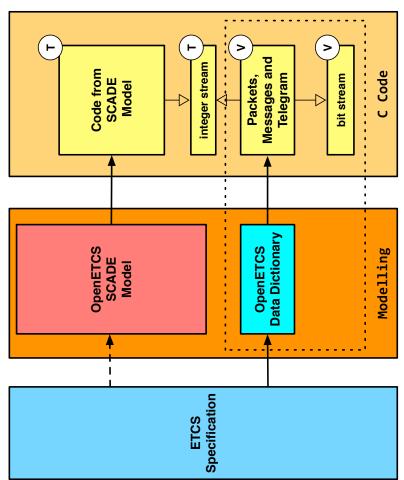


Figure 1.2. Scope of code verification

### 1.1 Software layers

Figure 1.3 shows the layer structure of the OpenETCS C code.

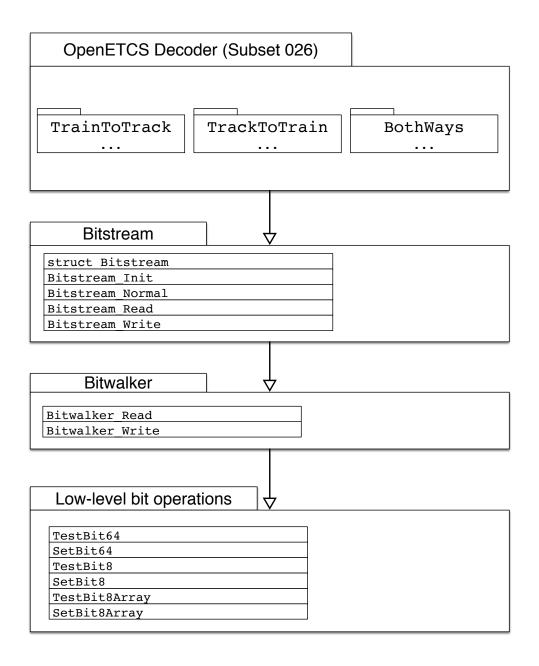


Figure 1.3. Software layers of the OpenETCS C code

The OpenETCS decoder is a large collection of functions dedicated to the reading of ETCS messages. In order to fulfill their task these function rely on the relatively small software package <code>Bitwalker</code>. The <code>Bitwalker</code> software, as seen by the OpenETCS decoder, is best understood as a "class" with a handful of methods. Note that this class is implemented in C as a <code>struct</code> where the methods are implemented as functions. The core functionality of this class, which consists in converting bit sequences to integers and vice versa, depends on two more basic function, namely <code>Bitwalker\_Peek</code> and <code>Bitwalker\_Poke</code>.

This software has been analyzed by the OpenETCS project partners SQS (Spain) and Fraunhofer FOKUS (Germany). SQS used several static analysis tools to identify defects and to derive useful metrics. Fraunhofer FOKUS, on the other hand, used the Frama-C tool set, which is developed by the French project partner CEA LIST, in order to *formally verify* various properties of the Bitwalker.

These analyses contribute to the ultimate verification goals, which are the following:

- 1. provide evidence that the Bitwalker software satisfies accepted quality standards
- 2. develop a formal specification for the Bitwalker software
- 3. verify that the Bitwalker software satisfies its formal specification
- 4. show that the Bitwalker software does not raise runtime errors
- 5. verify that OpenETCS decoder calls the Bitwalker software only according to its specification

We are confident that all these verification goals can be reached. For this preliminary verification report, we provide partial answers to the first four topics. In order to achieve the last goal, more development and verification work is currently conducted by Fraunhofer ESK and Fraunhofer FOKUS.

### 1.2 Structure of this document

Chapter 2 gives a short overview on the Frama-C/WP tool that plays a central role in the verification of the Bitwalker functions. Here we also try to rectify some misunderstandings about formal verification that we have encountered in our work.

In Chapter 5 we analyze the functions <code>Bitwalker\_Peek</code> and <code>Bitwalker\_Poke</code> from the Bitwalker core and

- 1. formally specify the expected functional behavior in the ACSL specification language of Frama-C and
- 2. report on the formal proof that these C functions do not raise runtime errors when called according to their formal specification, established using the Frama-C verification platform.

So far only a part of Siemens' Bitwalker has been formalized and verified. In the process of this work several enhancements for the Frama-C verification platform have been identified and reported to the developers at CEA LIST.

In Chapter 6, we report about the results of SQS' application of a broad range of static analysis tools on the <code>Bitwalker</code>. In contrast to Frama-C, these tools cannot exhaustively detect all potential defects of a given kind. Nevertheless, these they are very useful at finding well-known quality deficiencies that might occur in C or C++ software.

In Chapter 7, we draw conclusions from this preliminary work and outline the next steps in our verification efforts.



# 2 An introduction to formal verification with Frama-C/WP

Frama-C is a platform dedicated to source-code analysis of C software. It has a plug-in architecture and can thus be easily extended to different kinds of analyses. The WP plugin of Frama-C allows one to formally verify that a piece of C code satisfies its specification. This implies, of course, that the user provides a *formal specification* of what the implementation is supposed to do. Frama-C comes with its own specification language ACSL which stands for *ANSI/ISO C Specification Language*. In order to help potential users to master ACSL we discuss in this chapter a very simple C function <code>abs\_int</code> that implements the computation of the absolute value for objects of type <code>int</code>.

- In Section 2.1 we will present a straightforward specification of abs\_int. We discuss the reasons why Frama-C/WP is not able to verify that our implementation satisfies this specification in Section 2.2.
- In Section 2.3 we provide a more precise specification that can be verified by Frama-C/WP. In Section 2.4 we explain how Frama-C supports—by allowing the separation of the specification from the implementation—good software engineering practices.
- Sections 2.5 and 2.6 discuss, respectively, how Frama-C/WP supports *modular verification* and the formal treatment of *side effects*.

### 2.1 First steps

We will consider the function that computes the absolute value |x| of an integer x. In order to avoid name clashes with the function abs in C standard library we use the name abs\_int.

The mathematical definition of absolute value is very simple

$$|x| = \begin{cases} x & \text{if } x \ge 0 \\ -x & \text{if } x < 0 \end{cases}$$
 (1)

A straightforward implementation of abs\_int is shown in Listing 2.1.

```
int abs_int(int x)
{
   return (x >= 0) ? x : -x;
}
```

Listing 2.1. An implementation of the absolute value function

In order to demonstrate that this implementation is correct we have to provide a formal specification. Listing 2.2 shows our first attempt for an ACSL specification of abs\_int that is based on the mathematical definition of the function  $x \mapsto |x|$  in Equation 1.

```
/*@
    ensures 0 <= x ==> \result == x;
    ensures 0 > x ==> \result == -x;
*/
int abs_int(int x)
{
    return (x >= 0) ? x : -x;
}
```

Listing 2.2. A first attempt to formally specify abs\_int

The first thing to note is that ACSL specifications—or *contracts*—are placed in special C comments (they start with /\*@). Thus, they do not interfere with the executable code. The **ensures** clause in the specification expresses *postconditions*, that is, properties that should be guaranteed *after* the execution of abs\_int. The ACSL reserved word \result is used to refer to the return value of a C function. Note that we use the usual C operators == and <= to express equalities and inequalities in the specification. There is also an additional operator ==> which expresses logical implication.

### 2.2 Why can Frama-C/WP not verify such a simple function?

Although the specification and implementation in Listing 2.2 look perfectly right, Frama-C/WP cannot verify that the implementation actually satisfies its specification.

The reason becomes clear if we look at some actual return values of abs\_int. Listing 2.3 shows our test code whose output is listed in Table 2.1.

```
#include <stdio.h>
#include <limits.h>
extern int abs_int(int);
void print_abs(int x)
 printf("%12d\t\t%12d\n", x, abs_int(x));
int main()
 printf("\n");
 print_abs(0);
  printf("\n");
  print_abs(1);
  print_abs(10);
  print_abs(INT_MAX);
  printf("\n");
  print_abs(-1);
  print_abs(-10);
  print_abs(INT_MIN);
```

Listing 2.3. Some simple test cases for abs\_int

Х	abs_int(x)	Remark
0	0	✓
1	1	✓
10	10	✓
2147483647	2147483647	✓
-1	1	✓
-10	10	✓
-2147483648	-2147483648	4

Table 2.1. Test results for abs\_int

The offending value is in the last line of Table 2.1 which basically states that  $abs\_int(INT\_MIN)$  equals INT\_MIN whereas it should equal  $-INT\_MIN$ . The problem is that the type int only present a finite subset of the (mathematical) integers. Many computers use a two's-complement representation of integers which covers the range  $[-2^{31} \dots 2^{31} - 1]$  on a 32-bit machine. On such a machine  $-INT\_MIN$  cannot be represented by a value of the type int.

In a specification, Frama-C/WP interprets integers as mathematical entities. Consequently, there is no such thing as an *arithmetic overflow* when adding or multiplying them. In other words, Frama-C/WP is perfectly right not being able to verify that <code>abs\_int</code> satisfies the contract in Listing 2.2. Indeed, the implementation does not respect the given specification.

### 2.3 Sharpening the contract of abs\_int

It is of course well known that the operation -x can overflow and it is the fact that Frama-C/WP can detect such overflows that helps to prevent incorrect verification results.

The GNU Standard C Library clearly states that the absolute value of INT\_MIN is undefined. Under OSX, the manual page of abs mentions under the field of "Bugs":

```
The absolute value of the most negative integer remains negative.
```

Thus, our formal specification should exclude the value INT\_MIN from the set of admissible value to which abs\_int can be applied. In ACSL, we can use the requires clause to express preconditions of a function. Listing 2.4 shows an extended contract of abs\_int that takes the limitations of the type int into account.

```
#include <limits.h>

/*@
    requires x > INT_MIN;

    ensures 0 <= x ==> \result == x;
    ensures 0 > x ==> \result == -x;

*/
int abs_int(int x)
{
    return (x >= 0) ? x : -x;
}
```

Listing 2.4. Taking integer overflows into account

Frama-C/WP is now capable to verify that the implementation of abs\_int satisfies the specification of Listing 2.4.

There is an important lesson that can be learned here:

Sometimes developers provide source code and imagine that a tool like Frama-C/WP can verify the correctness of their implementation. In order to fulfill its task, however, Frama-C/WP needs an ACSL specification. Such a specification—which must be based on a reasonably precise description of the admissible inputs and expected behavior—has to come from the *requirements* of the software and is not magically discovered from the source code by Frama-C/WP. The code does what it does. In order to verify that the code does what someone expects, these expectations must be clearly expressed, that is, they must be formally specified.

Of course, it might not always be the goal to verify the complete functionality of a piece of software. Sometimes, it is enough to ensure that individual software components cause no runtime errors, that is, arithmetic overflows or invalid pointer accesses. Frama-C/WP can also be used in this situation. Under the terms of the following minimal specification in Listing 2.5, Frama-C/WP can verify that no runtime error will occur.

<sup>&</sup>lt;sup>1</sup>See http://www.gnu.org/software/libc/manual/html\_node/Absolute-Value.html

```
#include <limits.h>

/*@
    requires x != INT_MIN;

*/
int abs_int(int x)
{
    return (x >= 0) ? x : -x;
}
```

Listing 2.5. Minimal contract to ensure the absence of runtime errors in abs\_int

### 2.4 Separating specification and implementation

Before we continue exploring more advanced specification and verification capabilities of Frama-C/WP we turn to a simple software engineering question.

It is common practice to put function prototypes into ".h" files and keep the implementation in files ending in ".e". Frama-C/WP supports this separation of specification and implementation. Listing 2.6 shows the file abs2.h which contains a declaration of abs\_int together with an attached ACSL specification.

```
#include <limits.h>

/*@
    requires x > INT_MIN;

    ensures 0 <= x ==> \result == x;
    ensures 0 > x ==> \result == -x;

*/
int abs_int(int x);
```

Listing 2.6. Specifying a function prototype in a header file

Listing 2.7 shows the specification of abs\_int in a .c file. Note that the file abs2.h with the specification is included by this file. Frama-C/WP can verify that this implementation satisfies the contract in Listing 2.6.

```
#include "abs2.h"
int abs_int(int x)
{
  return (x >= 0) ? x : -x;
}
```

Listing 2.7. Implementation at a different location than the specification

We remark, that the definition of a very small function like abs\_int would normally be placed in a header file so that a compiler can inline the function definition at the call site.

### 2.5 Modular verification

We now look at a simple example in which our function abs\_int is used. More precisely, we include in Listing 2.8 the header file from Listing 2.6 which contains an ACSL specification of abs\_int.

```
#include "abs2.h"

void use_1()
{
  int a = abs_int(3);
  int b = abs_int(-1);
  int c = abs_int(INT_MAX);
  int d = abs_int(INT_MIN);

// ...
}
```

Listing 2.8. A simple example of modular verification

When Frama-C/WP tries to verify the code in Listing 2.8, then it actually tries to establish whether at each program location where it is called the *preconditions* of abs\_int are satisfied. Based on the specification of abs\_int, Frama-C/WP can indeed verify that for the first three calls the preconditions are fulfilled. For the last call this verification fails because the value INT\_MIN is explicitly excluded by the specification in Listing 2.6.

Note that the *implementation* of abs\_int does not play any role in determining whether it is safe to call the function in a particular context. This is what we call *modular verification*: a function can be verified in isolation whereas code that calls the function only uses the function contract.

This also means that in a situation as in Listing 2.9, where nothing is known about the argument of  $abs_{int}$ , Frama-C/WP cannot establish that the precondition of  $abs_{int}$  is satisfied or, in other words, that  $x > INT_MIN$  holds.

```
#include "abs2.h"

void use_2(int x)
{
  int a = abs_int(x);

  // ...
}
```

Listing 2.9. Another example of modular verification

If, on the other hand, we have precise information on the arguments at call site, then Frama-C/WP can exploit the specification of abs\_int in order derive some interesting properties. As an example, we consider the code fragment in Listing 2.10. Here, Frama-C/WP can verify that the assertion after the call of abs\_int is correct.

Note that this assertion is a *static* one, that is, it is an ACSL annotation that resides inside a comment and does not affect the execution of the code in Listing 2.10. Also note that unlike to C code, *relation chains* can be used both in function contracts and assertions.

```
#include "abs2.h"

/*@
    requires (10 <= x < 100) || (-200 < x < -50);

*/
void use_3(int x)
{
    int a = abs_int(x);
    //@ assert 10 <= a < 200;

// ...
}</pre>
```

Listing 2.10. A more complex example of modular verification

### 2.6 Dealing with side effects

Listing 2.11 shows an implementation of abs\_int that writes as a side effect the argument  $\times$  to a global variable a. A natural question is to ask whether this implementation with a side effect also satisfies the specification.

```
#include <limits.h>
extern int a;

/*@
    requires x > INT_MIN;

    ensures 0 <= x ==> \result == x;
    ensures 0 > x ==> \result == -x;

*/
int abs_int(int x)
{
    a = x; // Is this side effect covered by the specification?
    return (x >= 0) ? x : -x;
}
```

Listing 2.11. An implementation with side effects

Before we answer this question we consider various uses for side effects. There are of course legitimate uses for side effects. The assignment to a memory location outside the scope of the function might be meaningful because an error condition is reported or because some data are logged as in Listing 2.12.

If Frama-C/WP attempts to verify the code in Listing 2.12, then it issues the following warning:

```
Neither code nor specification for function logging, generating default assigns from the prototype
```

Thus, it points out that the called function logging should have a proper specification that clearly indicates its side effects.

There are, on the other hand, also good reasons to minimize or even forbid side effects:

```
#include <limits.h>
extern void logging(int);

/*@
    requires x > INT_MIN;

    ensures 0 <= x ==> \result == x;
    ensures 0 > x ==> \result == -x;

*/
int abs_int(int x)
{
    logging(x);
    return (x >= 0) ? x : -x;
}
```

Listing 2.12. Calling a logging function from abs\_int

- Imagine a malicious password checking function that writes the password to a global variable.
- Another reason is that side effects can make it harder to understand what the real consequences
  of a function call are. In particular, one must be concerned about unintended consequences
  that are caused by side effects The norm IEC 61508 therefore requests in the context of
  software module testing and integration testing:

To show that all software modules, elements and subsystems interact correctly to perform their intended function and do not perform unintended functions (see also. [1, §7.4.7.2,§7.7.2.9])

Of course, it is quite difficult to ensure by testing alone that something does *not* happen.

To come back to our question about Listing 2.11 it is important to understand that Frama-C/WP verifies that the implementation shown there satisfies the specification.

If one wishes to forbid that a function changes global variables one can use an assigns \nothing clause as shown in Listing 2.13. Frama-C/WP will then point out that this implementation prevents the verification of the assigns clause.

```
#include <limits.h>
extern int a;

/*@
    requires x > INT_MIN;

    assigns \nothing; // forbid any side effects

    ensures 0 <= x ==> \result == x;
    ensures 0 > x ==> \result == -x;

*/
int abs_int(int x)
{
    a = x; // now illegal
    return (x >= 0) ? x : -x;
}
```

Listing 2.13. Specifying the absence of side effects

Of course, an all-or-nothing-approach to side effects is not very helpful for the verification of real-life software. Listing 2.14 shows how the assigns clause of a specification can name the exact memory location that the function is allowed to modify.

```
// Side effects can be controlled on an individual basis.
#include <limits.h>
extern int a;

/*@
    requires x > INT_MIN;

    assigns a; // allow assignment to a (but only to a).

    ensures 0 <= x ==> \result == x;
    ensures 0 > x ==> \result == -x;

*/
int abs_int(int x)
{
    a = x;
    return (x >= 0) ? x : -x;
}
```

Listing 2.14. Finer control of side effects

Note however that assigns a does not imply that a write to a necessarily occurs during the execution of abs. On the other hand, any other memory location must stay unchanged between the initial state and the final state of abs.



### 3 ETCS data packets

### 3.1 Formal specification of AdhesionFactor

#### 3.1.1 AdhesionFactor in ETCS

FiXme Fatal: add table from chapter 7

### 3.1.2 The type AdhesionFactor

Listing 3.1 shows the definition of type AdhesionFactor as it is generated from the ETCS specification shown in Section 3.1.1.

```
struct AdhesionFactor
   PacketHeader header;
    // TransmissionMedia=Any
    // This packet is used when the trackside requests a change of
    // the adhesion factor to be used in the brake model.
    // Packet Number = 71
                                // # 2
   uint64_t Q_DIR;
                                // # 13
   uint64_t L_PACKET;
   uint64_t Q_SCALE;
                                // # 2
                                // # 15
   uint64_t D_ADHESION;
                                // # 15
   uint64_t L_ADHESION;
   uint64_t M_ADHESION;
};
typedef struct AdhesionFactor AdhesionFactor;
```

Listing 3.1. Defintion of the type AdhesionFactor

### 3.1.3 ACSL predicates AdhesionFactor

Listing 3.2 shows the definition of the logic functions <code>BitSize</code> and <code>MaxBitSize</code> for <code>AdhesionFactor</code>. The former function uses a macro that contains the size of <code>AdhesionFactor</code> in bits. The functions are used in Listing 3.8 and Listing 3.9 where the overloading of the logic predicates allows for a more generic ACSL contract for the <code>EncodeBit</code> and <code>DecodeBit</code> functions.

```
/*@
   logic integer BitSize{L} (AdhesionFactor* p) = ADHESIONFACTOR_BITSIZE;

logic integer MaxBitSize{L} (AdhesionFactor* p) = BitSize(p);
*/
```

Listing 3.2. Definition of the BitSize predicates for AdhesionFactor

Listing 3.3 shows the definition of the Invariant predicate for AdhesionFactor. The predicate is the conjunction of the (trivial) Invariant (uint64\_t) predicates of all members of on object of type AdhesionFactor.

Listing 3.3. Definition of the Invariant predicate for AdhesionFactor

### FiXme Fatal: Make sure that there is an explanation of UpperBitsNotSet in Chapter 4

Listing 3.4 shows the definition of the <code>UpperBitsNotSet</code> predicate for <code>AdhesionFactor</code>. The predicate <code>UpperBitsNotSet</code> (<code>AdhesionFactor\*</code>) evaluates to true if and only if the values of all members of <code>AdhesionFactor</code> fit into their assigned numbers of bits. This functionality is ensured by the conjunction of the <code>UpperBitsNotSet</code> (<code>uint64\_t</code>, <code>uint32\_t</code>) predicate, which is explained in Chapter 4, for all members of <code>AdhesionFactor</code>.

Listing 3.4. Definition of the UpperBitsNotSet predicate for AdhesionFactor

Listing 3.5 shows the definition of predicate Separated for AdhesionFactor. The predicate Separated (stream, p) is true if and only if the two objects \*stream and \*p do not overlap in memory. Thus, writing into the stream will not change \*p and vice versa.

```
/*@
    predicate Separated(Bitstream* stream, AdhesionFactor* p) =
        \separated(stream, p) &&
        \separated(stream->addr + (0..stream->size-1), p);
*/
```

Listing 3.5. Definition of the Separated predicate for AdhesionFactor

### FiXme Fatal: Make sure that there is an explanation of EqualBits in Chapter 4

Listing 3.6 shows the definition of the EqualBits predicate for AdhesionFactor. Based on the ETCS specification, this predicate describes a relationship between the bits of the individual members of an object of type AdhesionFactor and those of a bit stream. This predicate will be used to formally describe the transfer of bits from a bit stream to an object of type AdhesionFactor and vice versa. The definition of the predicate EqualBits (AdhesionFactor\*) uses the predicate EqualBits (uint64\_t), which is explained in Chapter 4.

```
/*@
   predicate EqualBits(Bitstream* stream, integer pos, AdhesionFactor* p)
     EqualBits(stream, pos,
                                   pos + 2,
                                              p->Q DIR)
                                                                     & &
     EqualBits(stream, pos + 2,
                                   pos + 15,
                                              p->L_PACKET)
                                                                     & &
                                   pos + 17,
     EqualBits(stream, pos + 15,
                                              p->Q_SCALE)
                                                                     8 8
                                   pos + 32,
                                              p->D_ADHESION)
     EqualBits(stream, pos + 17,
                                                                     & &
     EqualBits(stream, pos + 32, pos + 47, p->L_ADHESION)
                                                                     83
     EqualBits(stream, pos + 47, pos + 48, p->M_ADHESION);
```

Listing 3.6. Definition of the EqualBits predicate for AdhesionFactor

### 3.1.4 Formal specification of AdhesionFactor\_UpperBitsNotSet

Listing 3.7 shows the contract of the UpperBitsNotSet function for AdhesionFactor. The function contract includes the requires clauses, labeled valid and invariant. These limit the significance of the ensures and assigns clauses to the AdhesionFactor objects that also satisfy the requires clauses. The valid clause only evaluates to true if the \*p is a valid pointer. The invariant clause requires Invariant (p) to evaluate to true. The Invariant (AdhesionFactor\*) predicate is explained in Section 3.1.3. The contract also includes a statement on the return value of the function, labeled result. This clause ensures that the function's return value for AdhesionFactor\* p matches the evaluation of the predicate UpperBitsNotSet (p) from Section 3.1.3. With the assigns \nothing clause the contract furthermore specifies that this function has no side effects.

Listing 3.7. Contract for UpperBitsNotSet function of AdhesionFactor

#### FiXme Fatal: Refer to a more accurate label instead of cha:bitstream

### 3.1.5 Formal specification of AdhesionFactor\_DecodeBit

Listing 3.8 shows the contract for the DecodeBit function for AdhesionFactor. The behavior of the function is specified using the two disjoint behaviors normal\_case and error\_case. The requirements valid\_stream, stream\_invariant, valid\_package and separation apply to both behaviors and limit the set of combinations of input arguments for which the ensures and assigns clauses describe the behavior of the function.

The assigns clauses in the contract's body describe the side effects of the function. If the function contract is split into multiple behaviors, like here, common assigns clauses, which contain the union of the behavior's assigns clauses, are needed outside of the behaviors. Their meaning will become clear when discussing the individual behaviors.

For both behaviors the unchanged clause states that none of the bits in the bit stream are written by the function.

• valid\_stream is only met if Readable(stream) applies. The Readable(Bitstream\*) predicate is described in Chapter 4.

- stream\_invariant is only met if \*stream is long enough to read an object of the length of AdhesionFactor from. The Invariant (Bitstream\*, integer) predicate is introduced in Chapter 4.
- valid\_package is only met if p is a valid pointer for read and write operations.
- separation requires that \*stream and \*p do not overlap in the memory. The Separated (Bitstream\*, Adhesion predicate is introduced in Section 3.1.3.

FiXme Fatal: fix linebreak in itemize

Fixme Fatal: make sure that Invariant (Bitstream\*, integer) is described in Chapter 4

FiXme Fatal: make sure that Readable (Bitstream\*) is described in Chapter 4

normal\_case describes the function's behavior if \*stream contains enough unread bits to fill all members of \*p. In this case an object of type AdhesionFactor is decoded from the stream and thus \*p is written. The latter is stated by the first assigns clause. In this context the ensures clauses equal and upper describe the relationship of the bits in the bit stream and the bits of the members of \*p. Furthermore stream->bitpos will be updated. The effects of this operation are described by the second assigns and the increment clauses.

error\_case describes the function's behavior in the opposite case i.e. if \*stream is exhausted before all members of \*p are read. In this case the function has no side effects and especially does not write \*p or stream->bitpos. The ensures clause result states, that the return value of the function equals 0. In normal\_case this value is specified to equal 1.

The distinguishing predicate for the two behaviors is Normal (Bitstream\*, integer), which appears in the assumes clauses within both behaviors and is explained in Chapter 4.

FiXme Fatal: make sure that Normal (Bitstream\*, integer) is described in Chapter 4

```
/ * @
   requires valid_stream:
                               Readable(stream);
   requires stream_invariant: Invariant(stream, MaxBitSize(p));
   requires valid_package:
                                \valid(p);
   requires separation:
                                Separated(stream, p);
   assigns stream->bitpos;
   assigns *p;
   ensures unchanged:
                              Unchanged{Here,Old}(stream, 0, 8*stream->
       size);
   behavior normal_case:
     assumes Normal{Pre}(stream, MaxBitSize(p));
     assigns stream->bitpos;
     assigns *p;
     ensures invariant: Invariant(p);
     ensures result:
                         \ \ \ == 1;
     ensures increment: stream->bitpos == \old(stream->bitpos) + BitSize(
         p);
                         EqualBits(stream, \old(stream->bitpos), p);
     ensures equal:
     ensures upper:
                         UpperBitsNotSet(p);
   behavior error_case:
     assumes !Normal{Pre}(stream, MaxBitSize(p));
     assigns \nothing;
     ensures result: \result == 0;
   complete behaviors;
   disjoint behaviors;
int AdhesionFactor_EncodeBit(const AdhesionFactor* p, Bitstream* stream);
```

Listing 3.8. Contract for DecodeBit function of AdhesionFactor

### 3.1.6 Formal specification of AdhesionFactor\_EncodeBit

```
/ * @
   requires valid_stream:
                             Writeable(stream);
   requires stream_invariant: Invariant(stream, MaxBitSize(p));
   requires valid_package:
                                \valid_read(p);
    requires invariant:
                                Invariant(p);
    requires separation:
                               Separated(stream, p);
   assigns stream->bitpos;
   assigns stream->addr[0..(stream->size-1)];
   behavior normal case:
     assumes Normal{Pre}(stream, MaxBitSize(p)) && UpperBitsNotSet{Pre}(p)
     assigns stream->bitpos;
     assigns stream->addr[0..(stream->size-1)];
                         \ \ \ == 1;
     ensures result:
     ensures increment: stream->bitpos == \old(stream->bitpos) + BitSize(
         p);
     ensures left:
                         Unchanged{Here,Old} (stream, 0, \old(stream->
         bitpos));
     ensures middle:
                         EqualBits(stream, \old(stream->bitpos), p);
      ensures right:
                          Unchanged{Here,Old} (stream, stream->bitpos, 8 *
         stream->size);
   behavior values_too_big:
     assumes Normal{Pre}(stream, MaxBitSize(p)) && !UpperBitsNotSet{Pre}(p
         );
     assigns \nothing;
     ensures result:
                             \result == -2;
   behavior invalid_bit_sequence:
     assumes !Normal{Pre}(stream, MaxBitSize(p));
     assigns \nothing;
                          \result == -1;
     ensures result:
    complete behaviors;
   disjoint behaviors;
int AdhesionFactor_DecodeBit(AdhesionFactor* p, Bitstream* stream);
```

Listing 3.9. Contract for EncodeBit function of AdhesionFactor

### 3.1.7 Formal verification of AdhesionFactor

### 3.2 Formal specification of other packets

### 4 The Bit Stream Layer

### 4.1 The Bitstream abstraction

As mentioned in Section 3, the operations on packet data structures were implemented by operations that on a **struct** bitstream\* argument. The latter are described in this section.

The operation <code>Bitstream\_Read(stream, length)</code> reads the next <code>length</code> bits from the bitstream stream, and returns them as a <code>uint64\_t</code> value. Its formal ACSL specification is shown in Listing 4.1. It requires <code>stream</code>

- to point to a valid memory area (requirement "valid"),
- to adhere to its data type invariant ("invariant"), and
- not to be exhausted ("normal").

It is allowed to — and usually in fact will — modify the current bit position within stream, but it has to leave all other memory unchanged (expressed by the "assigns" clause). After completion of the operation,

- the current bit position has been increased accordingly ("ensures pos"),
- the return value equals, bit by bit, the stream between the current bit position on entry and that on exit ("changed"),
- in particular, all but the length least significant bits of the return value are zero ("upper"),
- stream's total size remains unaffected ("size"), and
- so do all of its content bits ("unchanged").

```
requires valid: Readable(stream);
requires invariant: Invariant(stream, length);
requires normal: Normal(stream, length);

assigns stream->bitpos;

ensures pos: stream->bitpos == \old(stream->bitpos) + length;
ensures changed: EqualBits(stream, \old(stream->bitpos), stream->
    bitpos, \result);
ensures upper: UpperBitsNotSet(\result, length);
ensures size: stream->size == \old(stream->size);
ensures unchanged: Unchanged{Here,Old}(stream, 0, 8 * stream->size);
*/
uint64_t Bitstream_Read(Bitstream* stream, uint32_t length);
```

Listing 4.1. Reading from a bitstream

— Insert drawing —

Figure 4.1. Bit coincidences required by EqualBits

The formal definitions of the ACSL predicates used in <code>Bitstream\_Read</code>'s contract are given in Listing 4.3; they build upon the internal details of the <code>Bitstream</code> data structure shown in Listing 4.2. Predicate <code>Invariant</code> requires that a <code>struct</code> <code>Bitstream</code>'s data area doesn't overlap with the <code>struct</code> itself, and that some further, lower-level invariant holds (see Section 4.2 below). In a similar way, predicates <code>Normal</code> and <code>EqualBits</code> is reduced to a lower-level predicate of the same name, respectively. A clause <code>Normal</code> (<code>size,bitpos,length</code>) requires <code>bitpos</code> to be such that at least <code>length</code> more bits are available beyond it in a stream of byte-size <code>size</code>. A clause <code>EqualBits(addr,first,last,value)</code> requires bits <code>[first...last)</code> in the byte array at addr to coincide with the corresponding least significant bits of <code>value</code>, cf. Figure 4.1.

Listing 4.2. Details for the bitstream data structure

As a kind of constructor for Bitstream, we provide the operation Bitstream\_Init, shown with its contract in Listing 4.4. Moreover, we provide a test for exhaustion of a Bitstream, shown in Listing 4.5.

Listing 4.6 shows contract of Bitstream\_Write, and moreover exemplfies its implementation.

Most parts of the contract are quite similar to that of Bitstream\_Read in Listing 4.1. Differences are the following:

- We require that the value to be written fits into the specified length, i.e. its unused most significant bits are zero (requirement "upper").
- The operation is allowed to change the contents of the bitstream (first assigns clause) in addition to the streams current bit position (second assigns clause), but no other memory locations.
- Since we couldn't specify in the assigns clauses which bits exactly are allowed to be modified, we give the details in two ensures clauses named "unchanged": All bits before the stream's bitpos on operation entry, and after its bitpos on exit, must remain unchanged.

<sup>&</sup>lt;sup>2</sup>Frama-C allows for predicate overloading.

<sup>&</sup>lt;sup>3</sup> We tacitly assume that each stream has a multiple of 8 bits available. **FiXme Fatal: unrealistic!?** 

```
/ * @
  predicate
    Readable{L} (Bitstream* stream) = \valid(stream) &&
      \valid_read(stream->addr + (0..stream->size-1));
  predicate
    Writeable{L}(Bitstream* stream) = \valid(stream) &&
      \valid(stream->addr + (0..stream->size-1));
  predicate
    Invariant{L} (Bitstream* stream, integer length) =
      \separated(stream, stream->addr + (0..stream->size-1)) &&
      Invariant(stream->size, stream->bitpos, length);
  predicate
    Normal{L}(Bitstream* stream, integer length) =
      Normal(stream->size, stream->bitpos, length);
  predicate
    Unchanged(A,B)(Bitstream* stream, integer first, integer last) =
      \forall integer i; first <= i < last ==>
        (\at(Bit8Array(stream->addr, i),A) <==>
         \at(Bit8Array(stream->addr, i),B));
  predicate
    EqualBits{A} (Bitstream* stream, integer first, integer last, uint64_t
       value) =
      EqualBits{A}(stream->addr, first, last, value);
               Listing 4.3. ACSL predicates used in bitstream layer contracts
/ * @
  requires valid:
                      Writeable (stream);
  requires bit_size: 8 * size <= UINT32_MAX;</pre>
  requires valid_pos: bitpos <= 8 * size;</pre>
  requires separated: \separated(addr + (0..size-1), stream);
  assigns stream->addr, stream->size, stream->bitpos;
  ensures addr:
                      stream->addr == addr;
  ensures
           size:
                      stream->size == size;
           bitpos:
                      stream->bitpos == bitpos;
  ensures invariant: Invariant(stream, 0);
void Bitstream_Init(Bitstream* stream, uint8_t* addr, uint32_t size,
   uint32_t bitpos);
```

Listing 4.4. Setting-up a bitstream

The implementation just employs the lower-level operation <code>Bitwalker\_Write</code> to write the bits, and appropriately updates the <code>stream</code>'s <code>bitpos</code>. Two assertions were needed to help the provers establishing that <code>value</code>'s bits are actually written to <code>stream</code>'s data array by <code>Bitwalker\_Write</code>, and that they aren't destoyed during <code>bitpos</code> update.

```
/ * @
 requires valid:
                    Readable (stream);
 requires invariant: Invariant(stream, length);
 assigns \nothing;
 ensures result:
                     \result <==> Normal(stream, length);
int Bitstream_Normal(const Bitstream* stream, uint32_t length);
                     Listing 4.5. Testing a bitstream for exhaustion
/ * @
 requires valid: Writeable(stream);
 requires invariant: Invariant(stream, length);
 requires normal: Normal(stream, length);
 requires upper:
                     UpperBitsNotSet(value, length);
 assigns stream->addr[0..stream->size - 1];
 assigns stream->bitpos;
                       stream->bitpos == \old(stream->bitpos) + length;
 ensures pos:
 ensures changed:
                      EqualBits(stream, \old(stream->bitpos), stream->
     bitpos, value);
 ensures unchanged: Unchanged{Here,Old}(stream, 0, \old(stream->bitpos))
 ensures unchanged: Unchanged{Here,Old}(stream, stream->bitpos, 8 *
     stream->size);
 ensures size:
                      stream->size == \old(stream->size);
void Bitstream_Write(Bitstream* stream, uint32_t length, uint64_t value)
   Bitwalker_Write(stream->addr, stream->size, stream->bitpos, length,
       value);
    //@ assert EqualBits(stream, stream->bitpos, stream->bitpos + length,
       value);
    stream->bitpos += length;
    //@ assert EqualBits(stream, \at(stream->bitpos, Pre), stream->bitpos,
       value);
```

Listing 4.6. Writing to a bitstream

### 4.2 Reading and writing bit sequences

In this section, we describe the operations that handle plain bit sequences. They are used to implement the **struct** bitstream\* operations for Section 4.1.

The operation Bitwalker\_Read(addr, size, bitpos, length) reads length bits starting at bitpos from the array addr of byte-size size, and returns them as a uint64\_t value. Its ACSL contract is shown in Listing 4.7. It requires

- all bytes of the addr array to be accessible for read (requirement "valid"),
- some data type invariants to hold ("invariant"), viz.
  - the total number of array bits to fit into a uint32\_t,
  - the result value to fit into a uint 64\_t,

the end bit position — and hence also the start bit position bitpos and length — to fit into a uint32\_t,

and

• the bit range [bitpos...bitpos+length) to fit into the array at addr ("normal").

The operation is not allowed to modify any (non-local) memory (expressed by the "assigns" clause). After completion of the operation,

- the return value shall coincide bit by bit with the specified range of the addr array ("ensures equal"), and
- in particular, all but the least significant length bits of the return value shall be zero ("upper").

Listing 4.7. Reading a bit sequence

The formal definitions of the used ACSL predicates are given in Listing 4.8. Again, the tacit assumption that the array contains sensible data upto its very last bit is used in predicate Normal.

Listing 4.8. ACSL predicates used in bitsequence layer contracts

Listing 4.9 shows the contract, and the implementation, of <code>Bitwalker\_Write</code>. The following peculiarities are observed when the former is compared to <code>Bitwalker\_Read</code>'s contract:

• We require that the value to be written fits into the specifiec length, i.e. all but its length least significant bits are zero (requirement "upper").

- The operation may modify the data array at addr, but nothing else.
- Again, we give the details of which data bits exactly are allowed to be changed in two ensures clauses, named "left" and "right", and requiring all bits before bitpos and after bitpos+length to remain unchanged, respectively.

In the implementation, which is shown here as an example, we used the straight-forward algorithm that takes a bit from value and places it into the addr array, bit by bit. In order for the provers to establish that algorithm's correctness, we had to provide a total of six ACSL clauses about the loop:

- The loop variable, i, always ranges in the interval [bitpos...bitpos+length] loop invariant "bound". Note that the highest value is actually taken, viz. on exit of the loop body in the last iteration, subsequently causing the loop to terminate.
- The bits before bitpos, and after bitpos+length remain as they were on operation entry—invariant "left" and "right", respectively.
- In the ith iteration, the bits [bitpos...bitpos+i) agree with the least significant i bits of value invariant "middle".
- The loop code is allowed to modify the variable i, and the whole array at addr, but nothing else loop assigns clause.
- The value of the integer expression bitpos+length-i is non-negative thoughout the whole loop execution, but is decreased in every iteration loop variant clause. Therefore, the loop is guaranteed to terminate eventually.

The implementation of the bit sequence operations is based on operations to write and to read a single bit. The details of the latter, as well as of the predicates used in their contracts, are given in Appendix A.

```
/ * @
 requires valid:
                      Writeable (addr, size);
 requires invariant: Invariant(size, bitpos, length);
                       Normal(size, bitpos, length);
 requires normal:
 requires upper:
                       UpperBitsNotSet(value, length);
 assigns addr[0..size-1];
 ensures left:
                       Unchanged{Here,Old}(addr, 0, bitpos);
 ensures middle:
                       EqualBits(addr, bitpos, bitpos + length, value);
                       Unchanged{Here,Old} (addr, bitpos + length, 8 * size)
 ensures right:
void Bitwalker_Write(uint8_t* addr, uint32_t size, uint32_t bitpos,
   uint32_t length, uint64_t value);
    / * @
      loop invariant bound:
                             bitpos <= i <= bitpos + length;
      loop invariant left:
                             Unchanged{Here, Pre} (addr, 0, bitpos);
      loop invariant middle: EqualBits(addr, bitpos, i, value, length);
      loop invariant right: Unchanged{Here,Pre}(addr, i, 8 * size);
      loop assigns i, addr[0..size-1];
      loop variant bitpos + length - i;
    for (uint32_t i = bitpos; i < bitpos + length; ++i)</pre>
        int flag = TestBit64(value, (64 - length) + (i - bitpos));
        SetBit8Array(addr, size, i, flag);
}
```

Listing 4.9. Writing a bit sequence



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# 6 Static analysis



# 7 Conclusion



## Appendix A: Low-level bitstream operations

In this appendix, we describe the implementation of the low-level bitstream operations. They were used to implement the bit sequence abstraction level, cf. Section 4.2. Since a write operation moves bits from a uint64\_t value into an array of uint8\_t values, and a read operations moved them the other way round, we need bit operations on both data types. They are given in Subsection A.1.1 (array of uint8\_t), A.1.2 (single uint8\_t), and A.1.3 (single uint64\_t) below.

## A.1 Reading and writing individual bits

## A.1.1 8 bit arrays

#### FiXme Fatal: TODO

```
/*@
    requires valid: \valid_read(addr + (0..size-1));
    requires size: 8 * size <= UINT32_MAX;
    requires pos: pos < 8 * size;

    assigns \nothing;

    ensures result: \result != 0 <==> Bit8Array(addr, pos);

*/
static inline int TestBit8Array(uint8_t* addr, uint32_t size, uint32_t pos
    )
{
    return TestBit8(addr[pos / 8], pos % 8);
}
```

Listing A.1. caption

```
/*@
   requires valid: \valid(addr + (0..size-1));
   requires size: 8 * size <= UINT32_MAX;
   requires pos:
                    pos < 8 * size;
    assigns addr[0..size-1];
   ensures left:
                   Unchanged{Here,Old} (addr, 0, pos);
   ensures middle: Bit8Array(addr, pos) <==> (flag != 0);
   ensures right: Unchanged{Here,Old} (addr, pos + 1, 8 * size);
static inline void SetBit8Array(uint8_t* addr, uint32_t size, uint32_t pos,
    int flag)
{
   uint32_t i = pos / 8u;
   uint32_t k = pos % 8u;
    addr[i] = SetBit8(addr[i], k, flag);
    // The following assertion claims that in byte with index "pos/8"
    // the bits with indices different from "k" do not change
    / * @
     assert bits_in_byte:
        \forall integer j; (0 <= j < 8 && j != k) ==>
        (Bit8(addr[pos/8], j) <==> \at(Bit8(addr[pos/8], j), Pre));
    // The following assertion claims that in every byte
    // with an index that is different from "pos/8" no bit is changed.
    / * @
        assert other bytes:
        \forall integer 1, j; (0 <= 1 < size && 1 != pos/8 && 0 <= j <
          (Bit8(addr[1], j) <==> \at(Bit8(addr[1], j), Pre));
}
```

Listing A.2. caption

#### A.1.2 8 bits

The operation <code>TestBit8(value,pos)</code> returns the <code>posth</code> bit of <code>value</code>. Its contract is shown in Listing A.3. <code>pos</code> must not exceed 7 (requirement "pre"), no memory may be modified (<code>assigns</code>), and the result is non-zero if, and only if, the specified bit is set. The shown implementation additionally guarantees that the result is zero or one, which is not specified in the contract since this property isn't needed. Returning just <code>flag</code> rather than <code>flag!=0u</code> would satisfy the contract also, and would be slightly faster.

The definition of predicate Bit8 is shown in Listing A.4. It relies on the Frama-C library predicate BitTest, performing a coordinate transformation to fits Frama-C's notion of bit positions with the OpenETCS project's notion, cf. Figure A1. In this report, we preferably use the terms "least" and "most significant bit(s)" to designate a (range of) bit position(s) independent of the coordinate system.

Dual to TestBit8, the operation SetBit8 (value, pos, flag) returns value, with the pos<sup>th</sup> bit set to flag. Its contract is shown in Listing A.5. Again, pos mustn't exceed 7 (requirement

```
/*@
    requires pre: pos < 8;
    assigns \nothing;
    ensures pos: \result != 0 <==> Bit8(value, pos);
*/
static inline int TestBit8(uint8_t value, uint32_t pos)
{
    uint8_t mask = ((uint8_t) 1) << (7u - pos);
    uint8_t flag = value & mask;

    return flag != 0;
}

    Listing A.3. Reading a bit of uint8_t

/*@
    predicate Bit8{A}(uint8_t v, integer n) = BitTest(v, 7 - n);

    predicate Bit64{A}(uint64_t v, integer n) = BitTest(v, 63 - n);

    predicate Bit8Array{A}(uint8_t* a, integer n) = Bit8(a[n / 8],n % 8);
*/</pre>
```

Listing A.4. Definition of bit test predicates

"pre"), no memory may be modified (assigns clause), the return value coincides with value, except possibly at pos (ensures "left" and "right"), and flag is written to the approriate bit of value ("pos"). The implementation branches on the value of flag, and clears or sets the appropriate bit in the usual way. Note that both our contract and our implementation enable us to set a bit by supplying a flag value of e.g. 2, whereas the code mask=flag<<(7-pos); return (value&~mask) |mask; does not.

- Insert drawing -

Figure A1. Bit coordinates in Frama-C and in the OpenETCS project

```
requires pre: pos < 8;
assigns \nothing;
ensures left: EqualBits8(\result, value, 0, pos);
ensures pos: Bit8(\result, pos) <==> (flag != 0);
ensures right: EqualBits8(\result, value, pos + 1, 8);
*/
static inline uint8_t SetBit8(uint8_t value, uint32_t pos, int flag)
{
    uint8_t mask = ((uint8_t) 1) << (7u - pos);
    return (flag == 0) ? (value & ~mask) : (value | mask);
}</pre>
```

Listing A.5. Writing a bit of uint8\_t

#### A.1.3 64 bits

The operations to read and write a bit of a uint64\_t are closely similar to those working on a uint8\_t. They are shown in Listing A.6 and A.7 without repeating the comments given in Appendix A.1.2 for the 8 bit version; see in particular Listing A.4 for the employed ACSL predicates.

```
/*@
    requires pre: pos < 64;

    assigns \nothing;

    ensures set_bit: \result != 0 <==> Bit64(value, pos);

*/
int TestBit64(uint64_t value, uint32_t pos)
{
    uint64_t mask = ((uint64_t) 1) << (63u - pos);
    uint64_t flag = value & mask;

    return flag != 0u;
}</pre>
```

Listing A.6. Reading a bit of uint64\_t

As a redundant postcondition of the SetBit64 operation in Listing A.7, "upper" guarantees that the leading zeros in value are kept in the result, up to, but excluding position pos. It was needed to enable the provers to verify code that uses SetBit64.

The operation UpperBitsNotSet64 (value, length) succeeds, i.e. returns a non-zero value, if, and only if, all bits of value except the least significant length ones are zero. It is used for **FiXme Fatal: ja, wofuer denn eigentlich?** 

```
/ * @
    requires pre: pos < 64;
    assigns \nothing;
                      EqualBits64(\result, value, 0, pos);
    ensures left:
    ensures set_bit: flag != 0 <==> Bit64(\result, pos);
                      EqualBits64(\result, value, pos + 1, 64);
    ensures right:
                      \forall integer i; i >= 64 - pos ==>
    ensures upper:
                          (UpperBitsNotSet(value, i) ==> UpperBitsNotSet(\
                             result, i));
uint64_t SetBit64(uint64_t value, uint32_t pos, int flag)
   uint64_t mask = ((uint64_t) 1u) << (63 - pos);</pre>
   return (flag == 0) ? (value & ~mask) : (value | mask);
}
                        Listing A.7. Writing a bit of uint 64_t
/ * @
    requires pre: length <= 64;
    assigns \nothing;
    ensures not_set: \result <==> UpperBitsNotSet(value, length);
*/
int UpperBitsNotSet64(uint64_t value, uint32_t length);
```

Listing A.8. Test that upper bits are not set

## A.2 Formalization of bit operations in Frama-C

### FiXme Fatal: TODO

```
describe BitTest
predicate    BitTest(integer v, integer n);
predicate Bit8{A} (uint8_t v, integer n) = BitTest(v, 7 - n);
predicate Bit64{A} (uint64_t v, integer n) = BitTest(v, 63 - n);
predicate Bit8Array{A} (uint8_t* a, integer n) = Bit8(a[n / 8],n % 8);
predicate
    UpperBitsNotSet{A} (integer value, integer length) =
    \forall integer i; length <= i => !BitTest(value, i);
```

Listing A.9. caption



## Appendix: References

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