

Please submit a PDF of your notebook with all the outputs, and separately the source code on Gradescope. Your grade will be primarily based on the outputs in the PDF submission. Please refer to the introductory part of any previous problem set in this class regarding general homework standards and procedures.

```
#importing libraries
import tensorflow as tf
from tensorflow import keras
from tensorflow.keras import layers, losses, optimizers
from tensorflow.keras.models import Model, load_model
from tensorflow.keras.utils import plot_model, to_categorical
from tensorflow.keras.callbacks import ModelCheckpoint
from sklearn.metrics import confusion_matrix, classification_report
import seaborn as sn
import pandas as pd
import numpy as np
import matplotlib.pyplot as plt
```

```
from google.colab import drive
drive.mount('/content/drive')
```

↳ Mounted at /content/drive

```
import sys
sys.path.append('/content/drive/My Drive/Colab Notebooks/mad_class/hw5/')
```

```
!!ls
```

```
drive sample_data
```

```
def plot_history(history, filename, model_name):
    best_epoch = history.history['val_loss'].index(min(history.history['val_loss']))
    fig, ax1 = plt.subplots(figsize=(12,8))
    plt.title(' '.join([model_name, 'model learning curve - Max accuracy on test is %1.4
    ax1.set_xlabel('Epochs')
    ax1.set_xticks(range(Epochs))
    ax1.set_ylabel('Loss')
    ax1.plot(range(Epochs), history.history['loss'], 'r', label='Train Loss')
    ax1.plot(range(Epochs), history.history['val_loss'], 'orange', label='Test Loss')
    ax1.axvline(best_epoch, color='m', lw=4, alpha=0.5, label='Best epoch')
    ax1.legend()

    ax2 = ax1.twinx()
    ax2.set_ylabel('Accuracy')
    ax2.plot(range(Epochs), history.history['accuracy'], 'g', label='Train Accuracy')
    ax2.plot(range(Epochs), history.history['val_accuracy'], 'b', label='Test Accuracy')
    ax2.legend()

    plt.savefig(filename)
```

```

plt.show()

def plot_first25labels(test_x, test_y, test_y_hat):
    #plotting first 25 samples with labels
    plt.figure(figsize=(12,12))
    plt.suptitle('First 25 samples of MNIST test dataset and their estimated labels\nActual')
    for i in range(25):
        plt.subplot(5,5,i+1)
        plt.title('%d -> %d' % (test_y[i], test_y_hat[i]))
        plt.imshow(test_x[i, :, :], cmap='gray')
        plt.axis('off')

def plot_mislabeled(test_x, test_y, test_y_hat):
    #plotting first 25 samples with mislabeled
    rows = np.where(test_y_hat != test_y)[0]

    if len(rows) < 25:
        raise Exception('Mislabeled samples are less than 25 (%d). Perfect model!' % len(rows))

    plt.figure(figsize=(12,12))
    plt.suptitle('First 25 samples of MNIST test dataset that the model mislabeled\nActual')
    for i in range(25):
        index = rows[i]
        plt.subplot(5,5,i+1)
        plt.title('%d -> %d' % (test_y[index], test_y_hat[index]))
        plt.imshow(test_x[index, :, :], cmap='gray')
        plt.axis('off')

def plot_confusion(test_y, test_y_hat):
    # Show the confusion matrix
    cm = confusion_matrix(test_y, test_y_hat, normalize='true')

    df_cm = pd.DataFrame(cm, index = [i for i in range(10)],
                        columns = [i for i in range(10)])

    plt.figure(figsize = (10,7))
    plt.title(' '.join(['Confusion matrix of', model_name]))
    sn.heatmap(df_cm, annot=True, fmt='.2%')
    plt.xlabel('Predicted label')
    plt.ylabel('True label')
    plt.show()

#loading data
mnist = tf.keras.datasets.mnist

(train_x, train_y), (test_x, test_y) = mnist.load_data()

print('Shape of train_x is : %s (min= %1.2f, max= %1.2f)' % (str(train_x.shape), train_x.min(), train_x.max()))
print('Shape of train_y is : %s (min= %d, max= %d)' % (str(train_y.shape), train_y.min(), train_y.max()))

Downloading data from https://storage.googleapis.com/tensorflow/tf-keras-datasets/mnist.npz
11490434/11490434 [=====] - 0s 0us/step
Shape of train_x is : (60000, 28, 28) (min= 0.00, max= 255.00)
Shape of train_y is : (60000,) (min= 0, max= 9)

```

```

#preprocessing input
train_x = train_x.astype('float') / 255.0
test_x = test_x.astype('float') / 255.0

train_x = np.expand_dims(train_x, -1)
test_x = np.expand_dims(test_x, -1)

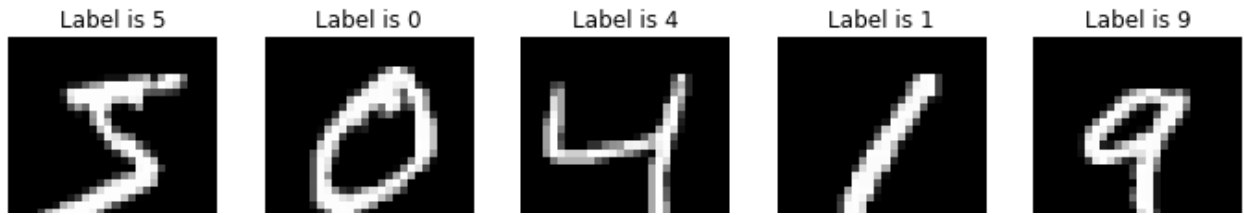
print('Shape of train_x is : %s (min= %1.2f, max= %1.2f)' % (str(train_x.shape), train_
print('Shape of train_y is : %s (min= %d, max= %d)' % (str(train_y.shape), train_y.min(

    Shape of train_x is : (60000, 28, 28, 1) (min= 0.00, max= 1.00)
    Shape of train_y is : (60000,) (min= 0, max= 9)

#plotting first 25 samples
plt.figure(figsize=(12,12))
plt.suptitle('First 25 samples of MNIST train dataset')
for i in range(25):
    plt.subplot(5,5,i+1)
    plt.title('Label is %d' % train_y[i])
    plt.imshow(train_x[i, :, :, 0], cmap='gray')
    plt.axis('off')

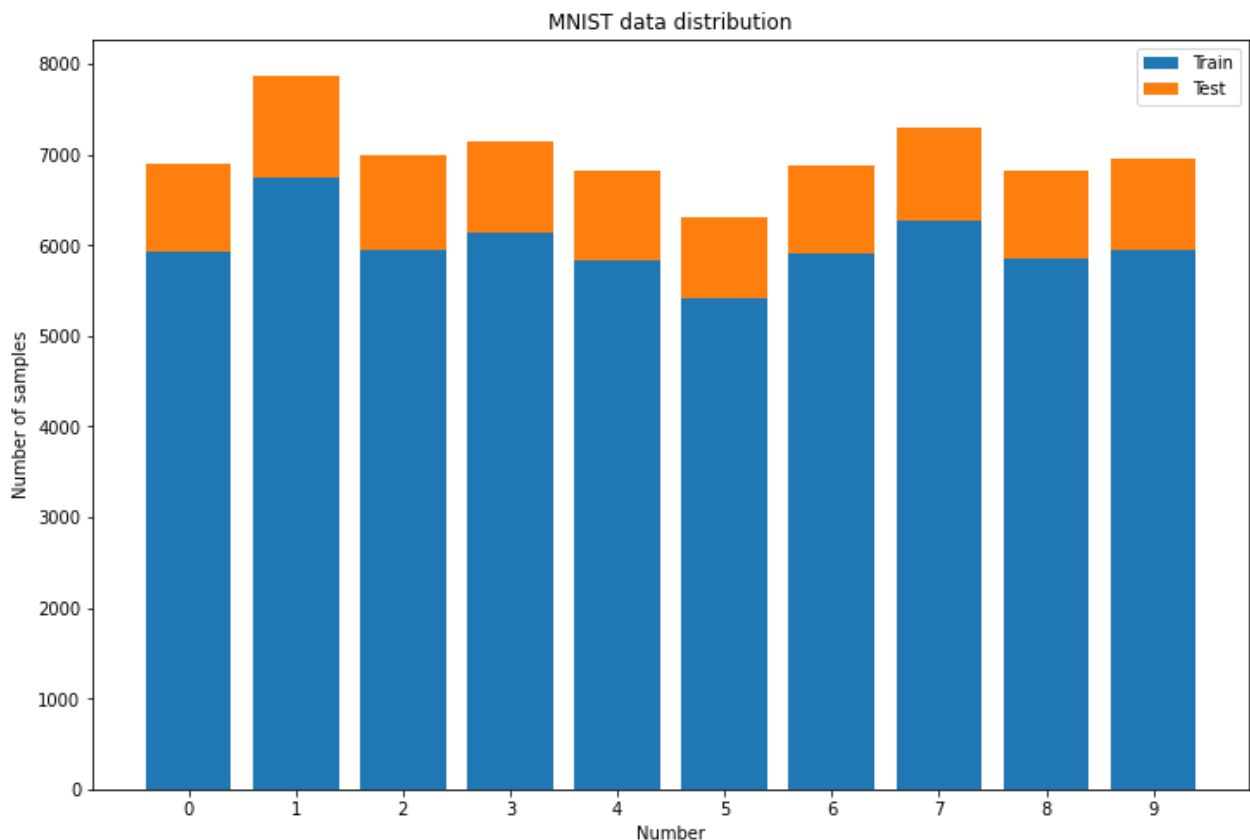
```

First 25 samples of MNIST train dataset



```
#counting number of samples for each class and plotting them
(, train_count) = np.unique(train_y, return_counts=True)
(, test_count) = np.unique(test_y, return_counts=True)
```

```
plt.figure(figsize=(12,8))
plt.title('MNIST data distribution')
plt.xticks(range(10), labels=range(10))
plt.xlabel('Number')
plt.ylabel('Number of samples')
plt.bar(range(10), train_count, label='Train')
plt.bar(range(10), test_count, label='Test', bottom=train_count)
plt.legend()
plt.savefig('DataDistribution.png')
plt.show()
```



```
Epochs = 10 #hyperparameter
BatchSize = 32 #hyperparameter
```

```
#one hot encoding to match loss function expectation
one_hot_train_y = to_categorical(train_y, num_classes=10)
one_hot_test_y = to_categorical(test_y, num_classes=10)
print('Shape of one_hot_train_y is : ' + str(one_hot_train_y.shape))
print('Shape of one_hot_test_y is : ' + str(one_hot_test_y.shape))
```

```
Shape of one_hot_train_y is : (60000, 10)
Shape of one_hot_test_y is : (10000, 10)
```

(a) Using the model framework below in Question (b), define and train multinomial logistic regression, i.e., you will only have an input layer, a flattening layer, and an outer layer with softmax activation). You should get accuracy comparable to that of the model in Question (b) (~90%)

```
inp = layers.Input(shape=(28, 28, 1), name='InputLayer')
x = layers.Flatten(name='FlattenLayer')(inp)
outp = layers.Dense(10, activation='softmax', name='OutputLayer')(x)

model = Model(inp, outp, name='Model')
model.compile(loss=losses.CategoricalCrossentropy(), optimizer=optimizers.SGD(), metrics=['accuracy'])

model.summary()
plot_model(model, show_shapes=True, to_file='Model.png')
```

Model: "Model"

Layer (type)	Output Shape	Param #
=====		

```
!mkdir LinearModelCheckPoints
```

```
FlattenLayer (Flatten) (None, 784) 0
```

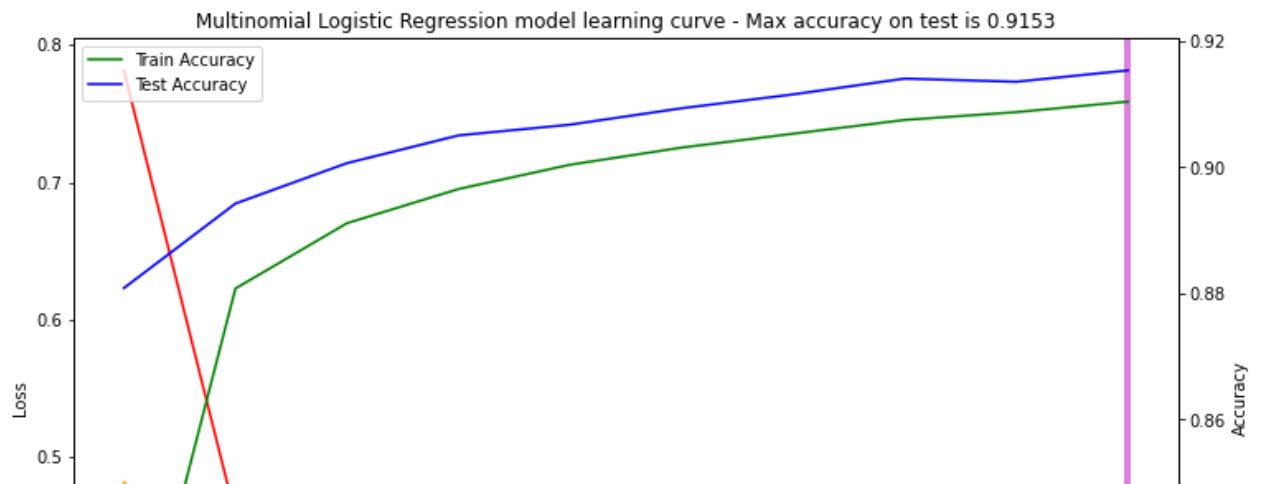
```
#training model
```

```
history = model.fit(train_x, one_hot_train_y,
                    validation_data=(test_x, one_hot_test_y),
                    epochs=Epochs,
                    batch_size=BatchSize,
                    callbacks=[
                        ModelCheckpoint(filepath='LinearModelCheckPoints/be
                        ModelCheckpoint(filepath='LinearModelCheckPoints/de
```

```
Epoch 1/10
1875/1875 [=====] - 3s 2ms/step - loss: 0.7814 - accuracy: 0.8120 -
Epoch 2/10
1875/1875 [=====] - 3s 1ms/step - loss: 0.4574 - accuracy: 0.8807 -
Epoch 3/10
1875/1875 [=====] - 3s 2ms/step - loss: 0.4041 - accuracy: 0.8911 -
Epoch 4/10
1875/1875 [=====] - 3s 2ms/step - loss: 0.3773 - accuracy: 0.8965 -
Epoch 5/10
1875/1875 [=====] - 3s 1ms/step - loss: 0.3605 - accuracy: 0.9003 -
Epoch 6/10
1875/1875 [=====] - 3s 1ms/step - loss: 0.3486 - accuracy: 0.9031 -
Epoch 7/10
1875/1875 [=====] - 3s 2ms/step - loss: 0.3394 - accuracy: 0.9053 -
Epoch 8/10
1875/1875 [=====] - 4s 2ms/step - loss: 0.3323 - accuracy: 0.9075 -
Epoch 9/10
1875/1875 [=====] - 3s 1ms/step - loss: 0.3263 - accuracy: 0.9087 -
Epoch 10/10
1875/1875 [=====] - 3s 1ms/step - loss: 0.3214 - accuracy: 0.9104 -
```



```
filename = 'LinearModelLearningCurve.png'
model_name = 'Multinomial Logistic Regression'
plot_history(history, filename, model_name)
```



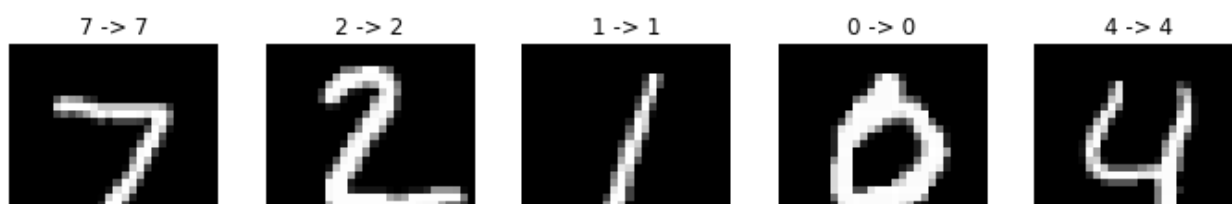
```
#loading best model (least validation loss)
model = load_model('LinearModelCheckPoints/best_dense_model.h5')
#evaluating model on test set
test_y_hat = model.predict(test_x)
print('test_y_hat shape is : ' + str(test_y_hat.shape))
#finding max and min of predictions
test_y_hat = np.argmax(test_y_hat, axis=1)
print('Now test_y_hat shape is : %s (min = %d, max = %d)' % (str(test_y_hat.shape), test_y_hat.min(), test_y_hat.max()))
plot_first25labels(test_x, test_y, test_y_hat)
```

313/313 [=====] - 0s 1ms/step

test_y_hat shape is : (10000, 10)

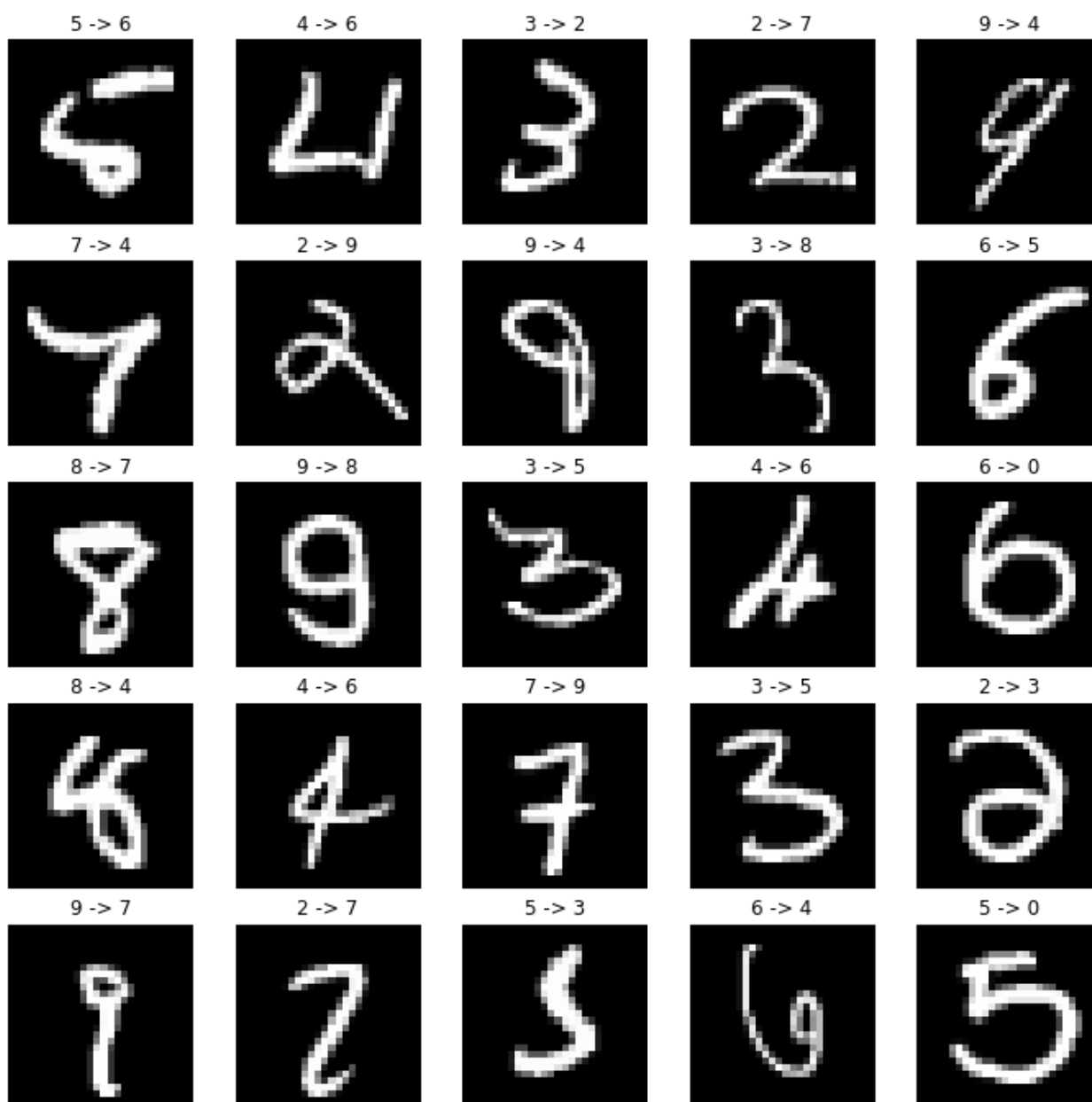
Now test_y_hat shape is : (10000,) (min = 0, max = 9)

First 25 samples of MNIST test dataset and their estimated labels
Actual label -> Estimated label

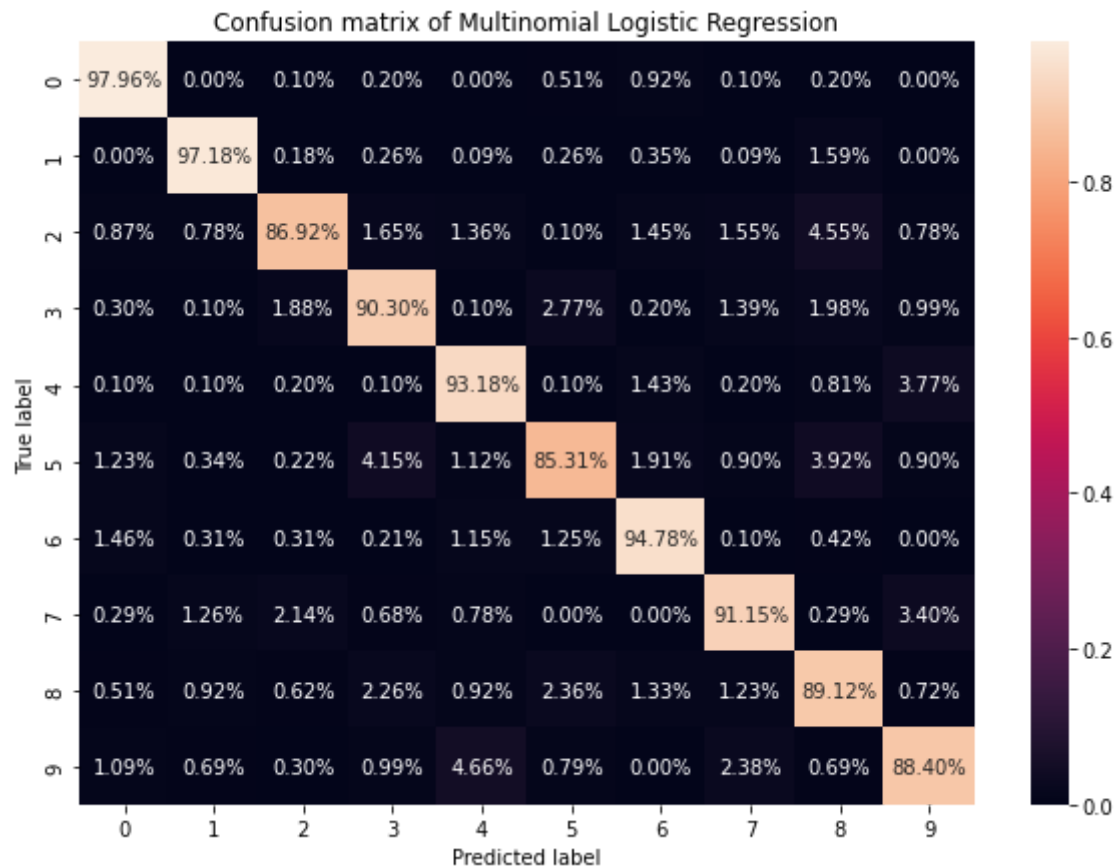


plot_mislabeled(test_x, test_y, test_y_hat)

First 25 samples of MNIST test dataset that the model mislabeled
Actual label -> Estimated label




```
plot_confusion(test_y, test_y_hat)
```



```
#printing classification report
print(classification_report(test_y, test_y_hat, labels=[i for i in range(10)]))
```

	precision	recall	f1-score	support
0	0.94	0.98	0.96	980
1	0.96	0.97	0.97	1135
2	0.94	0.87	0.90	1032
3	0.90	0.90	0.90	1010
4	0.90	0.93	0.92	982
5	0.90	0.85	0.88	892
6	0.92	0.95	0.94	958
7	0.92	0.91	0.92	1028
8	0.86	0.89	0.87	974
9	0.89	0.88	0.89	1009
accuracy			0.92	10000
macro avg	0.91	0.91	0.91	10000
weighted avg	0.92	0.92	0.92	10000

Question (b)

(i) Experiment with the architecture of the following model to improve its accuracy (it can be increased to as high as ~95%). You can use the relu activation function in the internal layers and

reduce the size of those layers. Please include the outputs of the original and modified models in your submission.

```
...
inp = layers.Input(shape=(28, 28, 1), name='InputLayer')
x = layers.Flatten(name='FlattenLayer')(inp)
x = layers.Dense(128, activation='relu', name='DenseLayer1')(x)
x = layers.Dense(64, activation='relu', name='DenseLayer2')(x)
outp = layers.Dense(10, activation='softmax', name='OutputLayer')(x)

model = Model(inp, outp, name='DenseModel')
model.compile(loss=losses.CategoricalCrossentropy(), optimizer=optimizers.SGD(), metrics=['accura

model.summary()
plot_model(model, show_shapes=True, to_file='DenseModel.png')
```

Model: "DenseModel"

Layer (type)	Output Shape	Param #
InputLayer (InputLayer)	[(None, 28, 28, 1)]	0
FlattenLayer (Flatten)	(None, 784)	0

```
!mkdir DenseModelCheckPoints
```

```
#training model
```

```
history = model.fit(train_x, one_hot_train_y,  
                    validation_data=(test_x, one_hot_test_y),  
                    epochs=Epochs,  
                    batch_size=BatchSize,  
                    callbacks=[  
                        ModelCheckpoint(filepath='DenseModelCheckPoints/bes  
                        ModelCheckpoint(filepath='DenseModelCheckPoints/den
```

```
Epoch 1/10  
1875/1875 [=====] - 5s 3ms/step - loss: 0.6221 - accuracy: 0.8314 -  
Epoch 2/10  
1875/1875 [=====] - 5s 2ms/step - loss: 0.2941 - accuracy: 0.9155 -  
Epoch 3/10  
1875/1875 [=====] - 4s 2ms/step - loss: 0.2402 - accuracy: 0.9312 -  
Epoch 4/10  
1875/1875 [=====] - 4s 2ms/step - loss: 0.2054 - accuracy: 0.9414 -  
Epoch 5/10  
1875/1875 [=====] - 4s 2ms/step - loss: 0.1807 - accuracy: 0.9480 -  
Epoch 6/10  
1875/1875 [=====] - 5s 3ms/step - loss: 0.1608 - accuracy: 0.9539 -  
Epoch 7/10  
1875/1875 [=====] - 5s 2ms/step - loss: 0.1458 - accuracy: 0.9589 -  
Epoch 8/10  
1875/1875 [=====] - 5s 2ms/step - loss: 0.1334 - accuracy: 0.9624 -  
Epoch 9/10  
1875/1875 [=====] - 4s 2ms/step - loss: 0.1224 - accuracy: 0.9654 -  
Epoch 10/10  
1875/1875 [=====] - 4s 2ms/step - loss: 0.1130 - accuracy: 0.9678 -
```

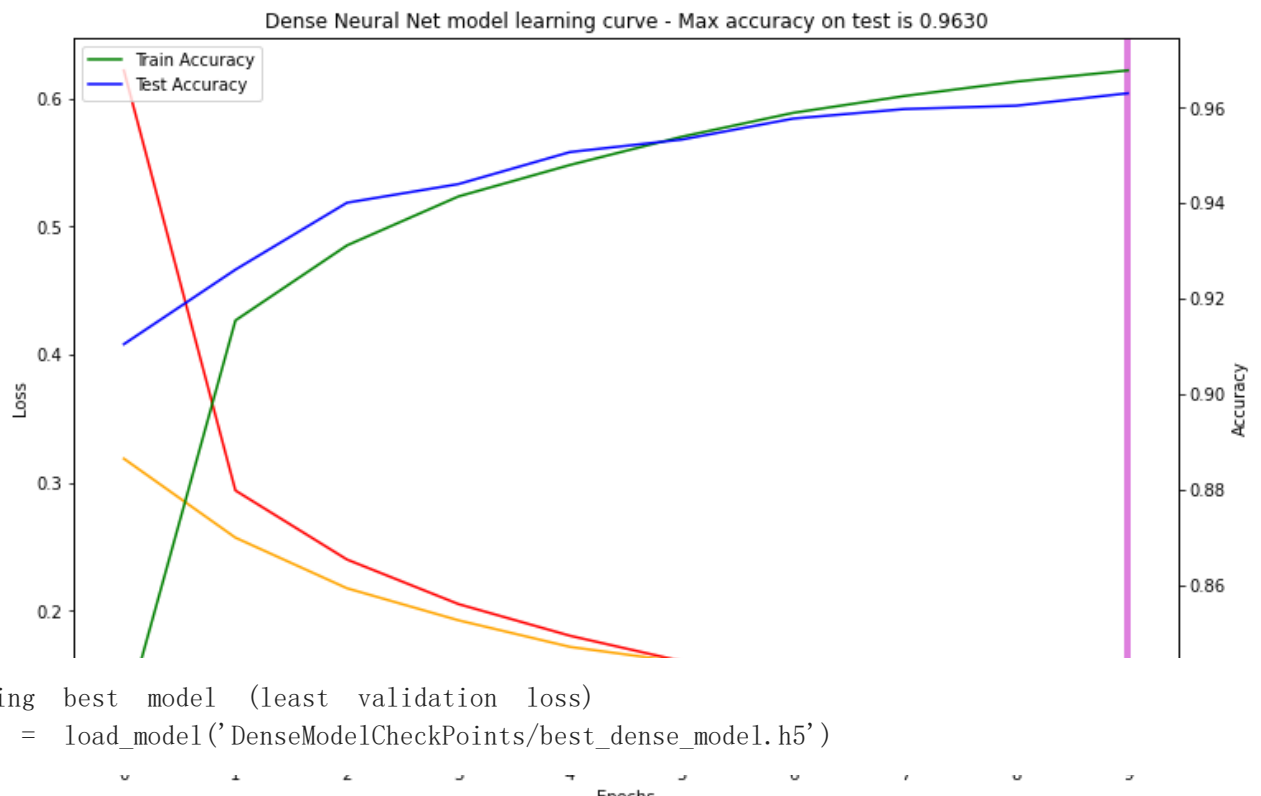


```
#plotting learning curves and labelling them
```

```
filename='DenseModelLearningCurve.png'
```

```
model_name = 'Dense Neural Net'
```

```
plot_history(history, filename, model_name)
```



```
#loading best model (least validation loss)
model = load_model('DenseModelCheckPoints/best_dense_model.h5')

#evaluating model on test set
test_y_hat = model.predict(test_x)
print('test_y_hat shape is : ' + str(test_y_hat.shape))

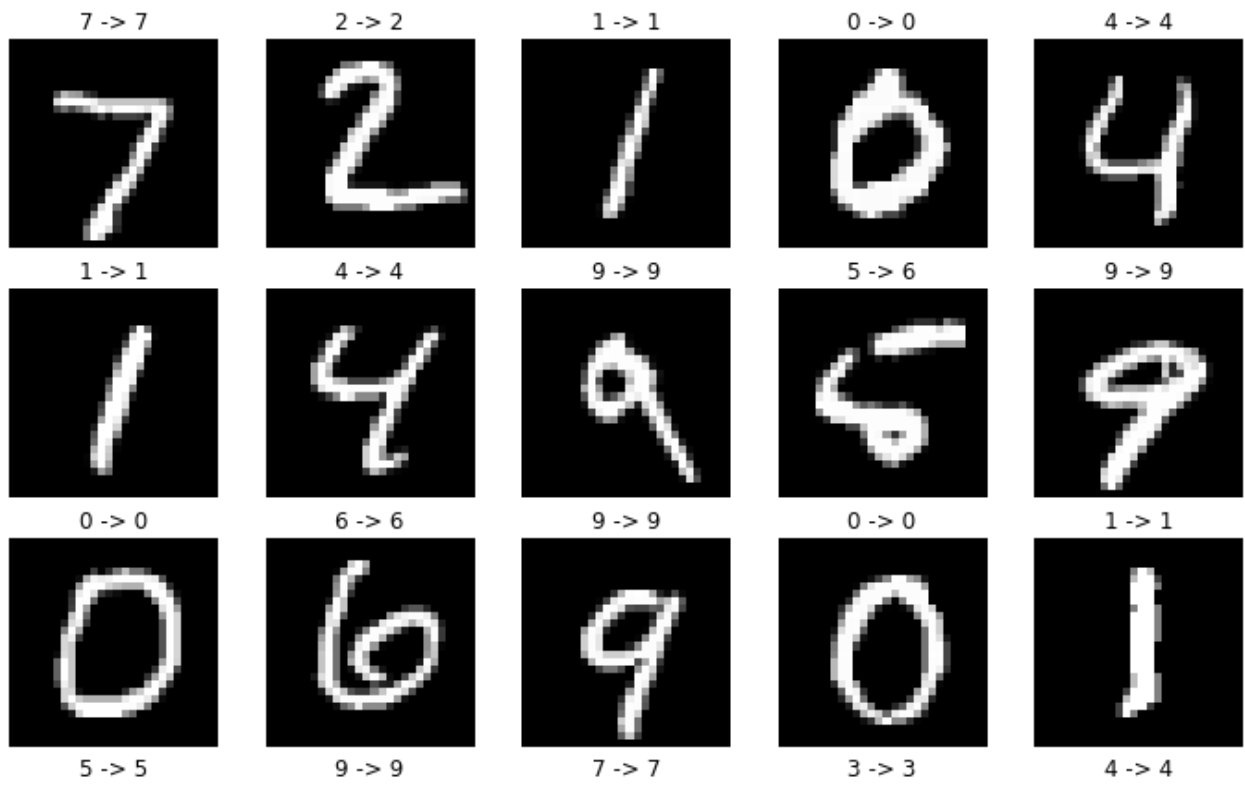
313/313 [=====] - 0s 1ms/step
test_y_hat shape is : (10000, 10)

#finding max and min of predictions
test_y_hat = np.argmax(test_y_hat, axis=1)
print('Now test_y_hat shape is : %s (min = %d, max = %d)' % (str(test_y_hat.shape), test_y_hat.min(), test_y_hat.max()))

Now test_y_hat shape is : (10000,) (min = 0, max = 9)

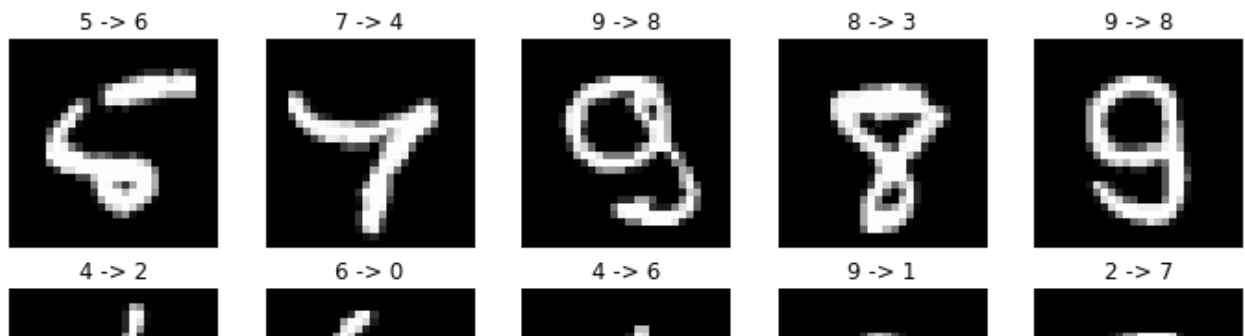
#plotting first 25 samples with labels
plot_first25labels(test_x, test_y, test_y_hat)
```

First 25 samples of MNIST test dataset and their estimated labels
Actual lable -> Estimated label

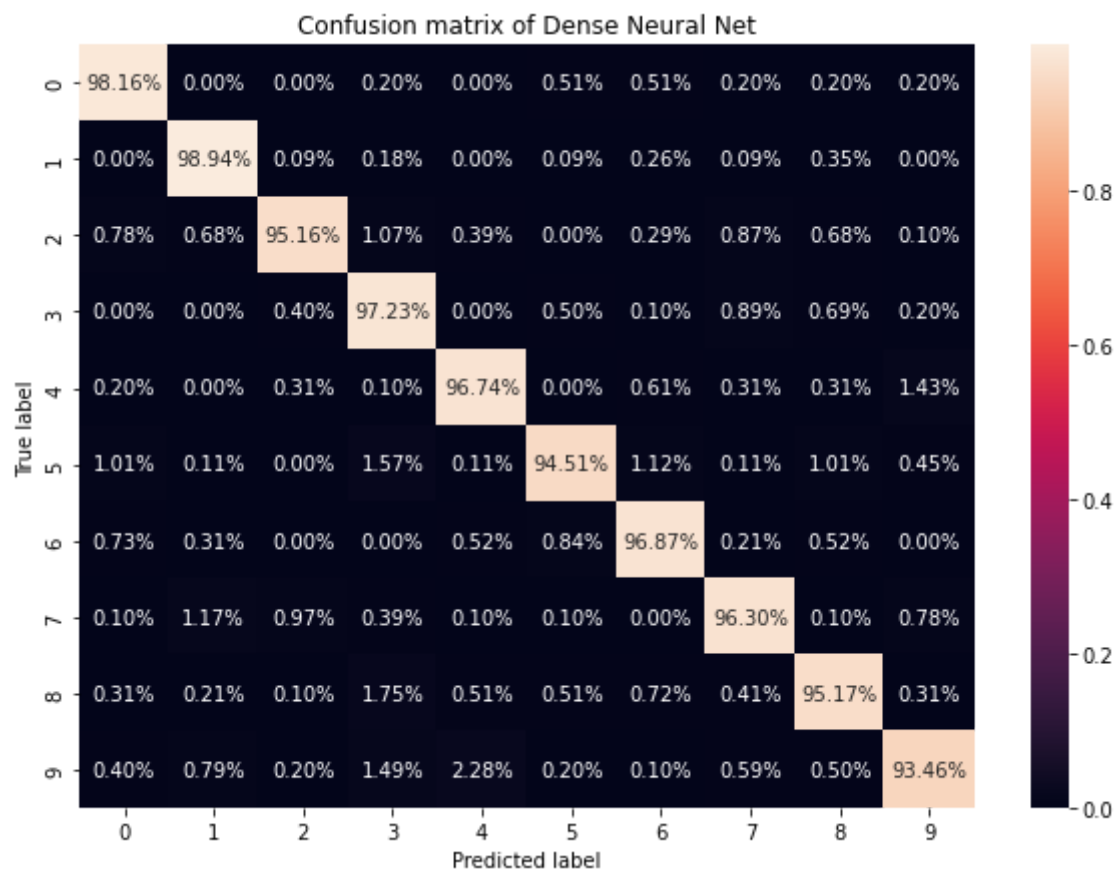


```
plot_mislabeled(test_x, test_y, test_y_hat)
```

First 25 samples of MNIST test dataset that the model mislabeled
Actual label -> Estimated label



```
# Show the confusion matrix
plot_confusion(test_y, test_y_hat)
```



```
#printing classification report
print(classification_report(test_y, test_y_hat, labels=[i for i in range(10)]))
```

	precision	recall	f1-score	support
0	0.97	0.98	0.97	980
1	0.97	0.99	0.98	1135
2	0.98	0.95	0.97	1032
3	0.94	0.97	0.95	1010
4	0.96	0.97	0.96	982
5	0.97	0.95	0.96	892
6	0.96	0.97	0.97	958
7	0.96	0.96	0.96	1028
8	0.96	0.95	0.95	974

	9	0.97	0.93	0.95	1009
accuracy				0.96	10000
macro avg	0.96	0.96	0.96	0.96	10000
weighted avg	0.96	0.96	0.96	0.96	10000

Question (c)

Develop and train a CNN model for the above classification task. You can use the architecture below in the comments with Relu activation between internal layers and softmax in the output layer. You can get ~98% accuracy. Use/review the keras documentation for, e.g., the following functions

```
layers.Conv2D(filters=32, kernel_size=(3,3), activation='relu', name='Conv1')(inp)
```

```
layers.MaxPool2D(pool_size=(2,2), strides=(2,2), name='MaxPooling1')(x)
```

```
#Model: "ConvModel"
#
# Layer (type) Output Shape Param #
#-----
# InputLayer (InputLayer) [(None, 28, 28, 1)] 0
#
# Conv1 (Conv2D) (None, 26, 26, 32) 320
# i.e., filters=32, kernel_size=(3,3)
#
# MaxPooling1 (MaxPooling2D) (None, 13, 13, 32) 0
# i.e., pool_size=(2,2), strides=(2,2)
#
# Conv2 (Conv2D) (None, 11, 11, 64) 18496
#
# MaxPooling2 (MaxPooling2D) (None, 3, 3, 64) 0
# i.e., filters=64, kernel_size=(3,3)
#
# FlattenLayer (Flatten) (None, 576) 0
#
# DenseLayer1 (Dense) (None, 128) 73856
#
# OutputLayer (Dense) (None, 10) 1290
inp = layers.Input(shape=(28,28,1), name='InputLayer')
x = layers.Conv2D(filters = 32, kernel_size = (3,3), activation = 'relu', name='Conv1')(
inp)
x = layers.MaxPool2D(pool_size = (2,2), strides = (2,2), name = 'MaxPooling1')(x)
x = layers.Conv2D(filters = 64, kernel_size = (3,3), activation = 'relu', name='Conv2')(
x)
x = layers.MaxPool2D(pool_size = (3,3), name = 'MaxPooling2')(x)
x = layers.Flatten(name='FlattenLayer')(x)
x = layers.Dense(128, activation = 'relu', name = 'DenseLayer1')(x)
outp = layers.Dense(10, activation='softmax', name='OutputLayer')(x)
model = Model(inp, outp, name='ConvModel')
model.compile(loss = losses.CategoricalCrossentropy(), optimizer = optimizers.SGD(), metrics=
model.summary())
```

```
plot_model(model, show_shapes=True, to_file = 'ConvModel.png')
```


Model: "ConvModel"

Layer (type)	Output Shape	Param #
InputLayer (InputLayer)	[(None, 28, 28, 1)]	0
Conv1 (Conv2D)	(None, 26, 26, 32)	320
MaxPooling1 (MaxPooling2D)	(None, 13, 13, 32)	0
Conv2 (Conv2D)	(None, 11, 11, 64)	18496
MaxPooling2 (MaxPooling2D)	(None, 3, 3, 64)	0
FlattenLayer (Flatten)	(None, 576)	0
outputLayer (dense)	(None, 10)	1290

#training the model

```
history = model.fit(train_x, one_hot_train_y,
                    validation_data=(test_x, one_hot_test_y),
                    epochs=Epochs,
                    batch_size=BatchSize,
                    callbacks=[
                        ModelCheckpoint(filepath='ConvModelCheckPoints/best',
                        ModelCheckpoint(filepath='ConvModelCheckPoints/conv
```

```
Epoch 1/10
1875/1875 [=====] - 45s 24ms/step - loss: 0.6970 - accuracy: 0.8035
Epoch 2/10
1875/1875 [=====] - 49s 26ms/step - loss: 0.1488 - accuracy: 0.9539
Epoch 3/10
1875/1875 [=====] - 45s 24ms/step - loss: 0.1120 - accuracy: 0.9662
Epoch 4/10
1875/1875 [=====] - 43s 23ms/step - loss: 0.0946 - accuracy: 0.9709
Epoch 5/10
1875/1875 [=====] - 42s 23ms/step - loss: 0.0830 - accuracy: 0.9749
Epoch 6/10
1875/1875 [=====] - 44s 24ms/step - loss: 0.0756 - accuracy: 0.9766
Epoch 7/10
1875/1875 [=====] - 44s 24ms/step - loss: 0.0679 - accuracy: 0.9789
Epoch 8/10
1875/1875 [=====] - 43s 23ms/step - loss: 0.0629 - accuracy: 0.9807
Epoch 9/10
1875/1875 [=====] - 43s 23ms/step - loss: 0.0585 - accuracy: 0.9816
Epoch 10/10
1875/1875 [=====] - 43s 23ms/step - loss: 0.0544 - accuracy: 0.9831
```

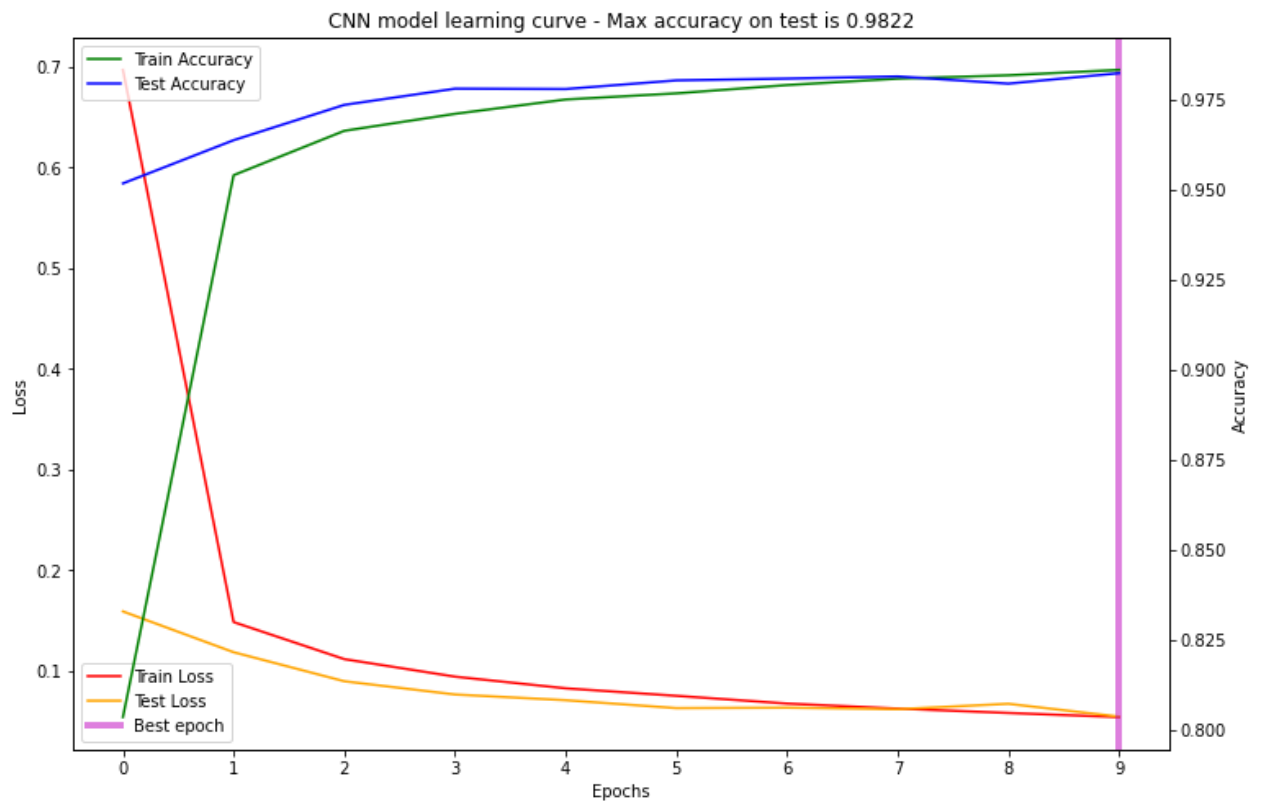


#plotting learning curves and labelling them

```
filename='ConvModelLearningCurve.png'
```

```
model_name = 'CNN'
```

```
plot_history(history, filename, model_name)
```



```
#loading best model
model = load_model('ConvModelCheckPoints/best_conv_model.h5')

#finding shape of prediction
test_y_hat = model.predict(test_x)
print('test_y_hat shape is : ' + str(test_y_hat.shape))

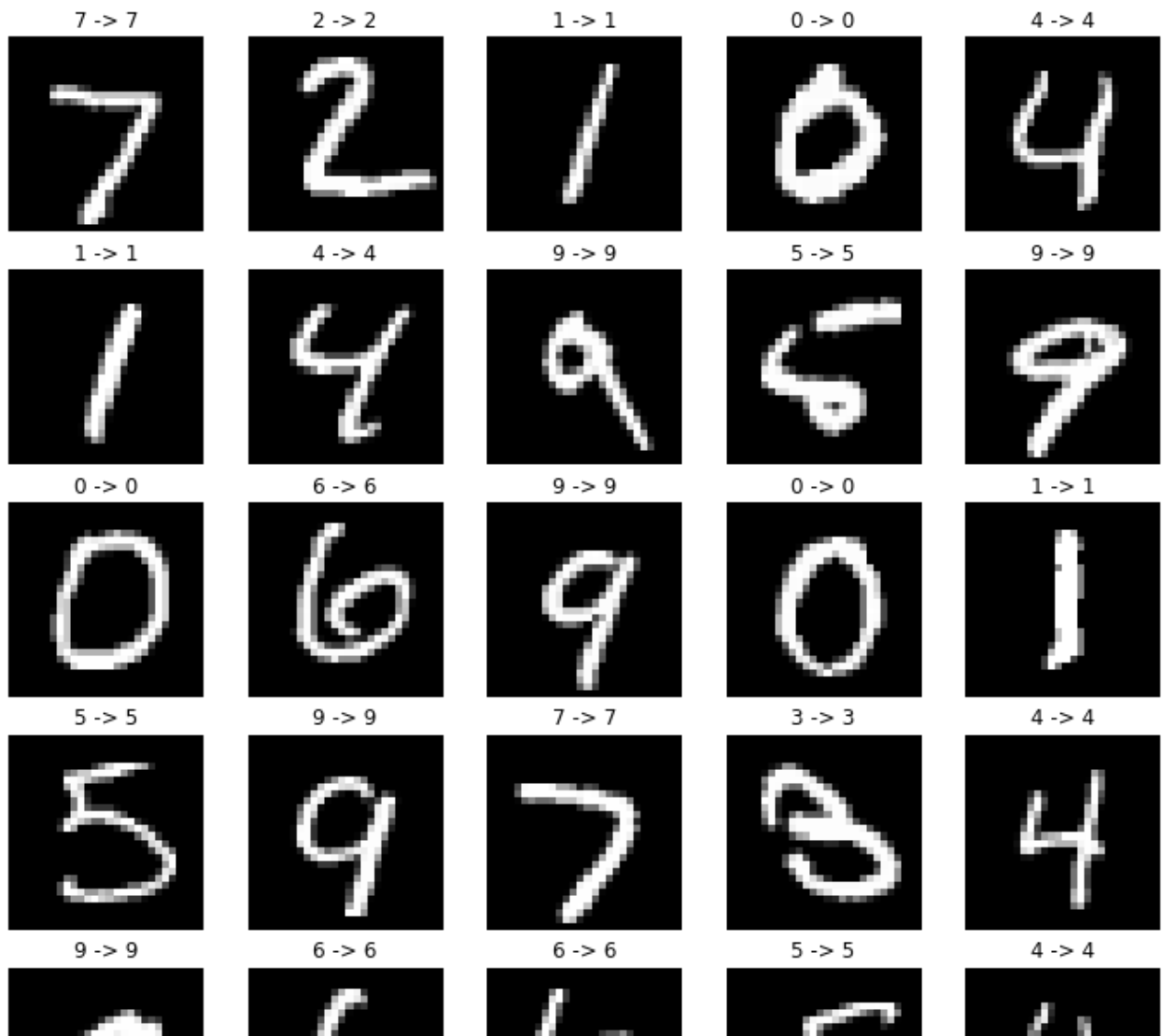
313/313 [=====] - 3s 8ms/step
test_y_hat shape is : (10000, 10)

#finding minimum and maximum prediction values
test_y_hat = np.argmax(test_y_hat, axis=1)
print('Now test_y_hat shape is : %s (min = %d, max = %d)' % (str(test_y_hat.shape), t

Now test_y_hat shape is : (10000,) (min = 0, max = 9)

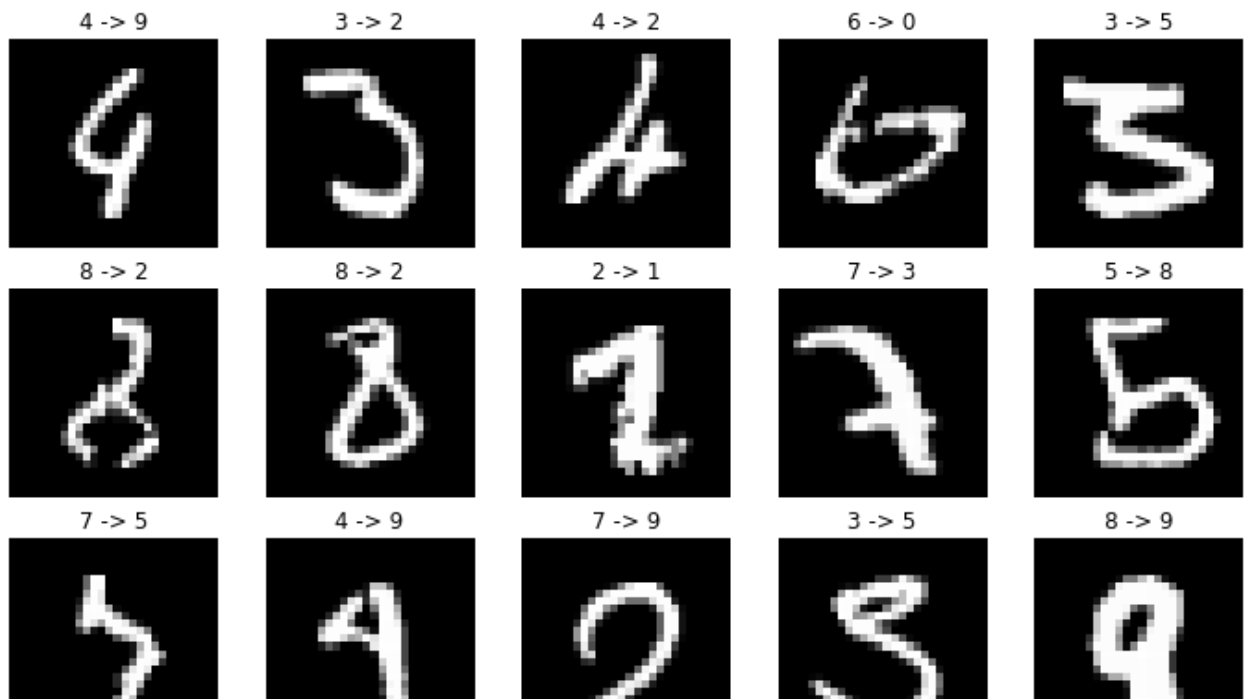
#plotting first 25 samples
plot_first25labels(test_x, test_y, test_y_hat)
```

First 25 samples of MNIST test dataset and their estimated labels
Actual label -> Estimated label

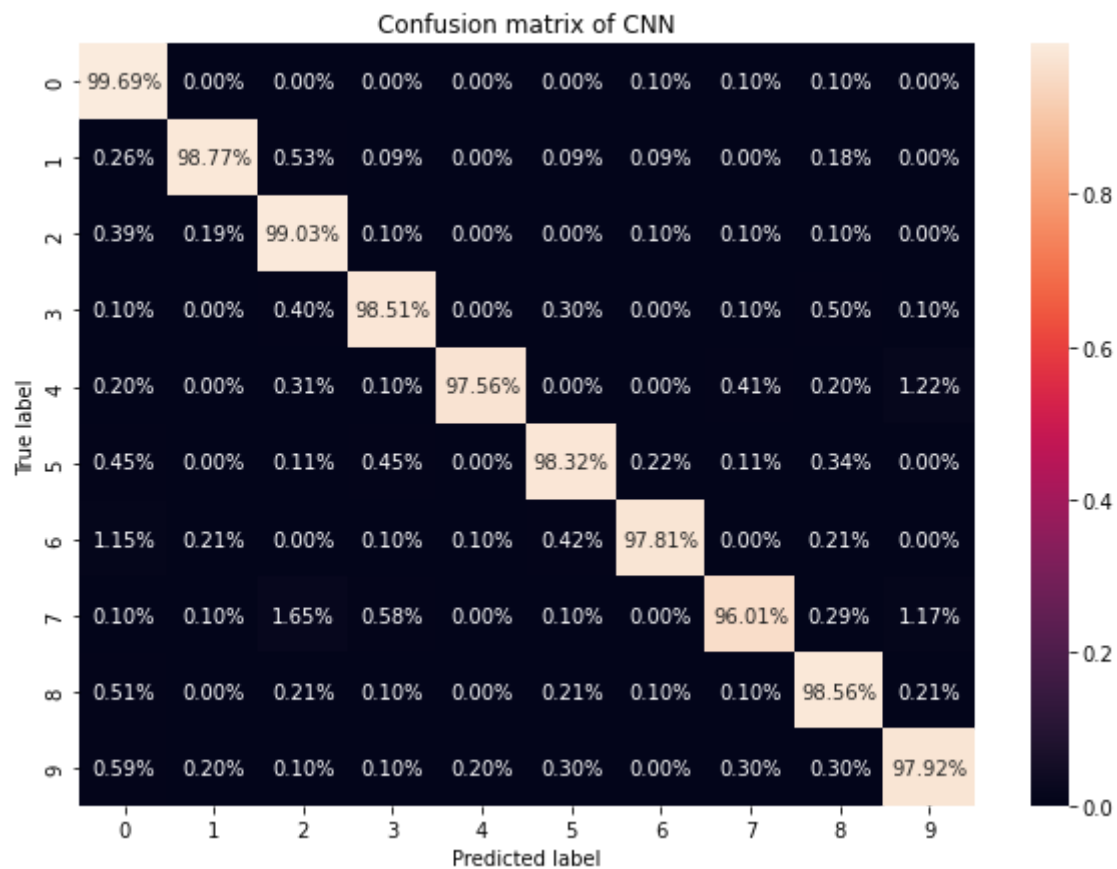


```
#plotting first 25 mislabeled images
plot_mislabeled(test_x, test_y, test_y_hat)
```

First 25 samples of MNIST test dataset that the model mislabeled
Actual label -> Estimated label



```
#plotting confusion matrix
plot_confusion(test_y, test_y_hat)
```



```
#plotting performance
print(classification_report(test_y, test_y_hat, labels=[i for i in range(10)]))
```

precision recall f1-score support

0	0.96	1.00	0.98	980
1	0.99	0.99	0.99	1135
2	0.97	0.99	0.98	1032
3	0.98	0.99	0.98	1010
4	1.00	0.98	0.99	982
5	0.98	0.98	0.98	892
6	0.99	0.98	0.99	958
7	0.99	0.96	0.97	1028
8	0.98	0.99	0.98	974
9	0.97	0.98	0.98	1009
accuracy			0.98	10000
macro avg	0.98	0.98	0.98	10000
weighted avg	0.98	0.98	0.98	10000

Double-click (or enter) to edit

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