

## 1 Lemma 3

Let  $N = (V, E, s, t, c)$  be a network and  $f$  an  $st$ -preflow in  $N$ . Assume that an  $n \in \mathbb{N}$  and an augmenting path  $p = (v_1 w_1, \dots, v_n w_n)$  of  $f$  exists.

**Show that if  $f$  is a (pre-)flow than  $f'$  is also a (pre-)flow**

First we show

$$\forall v \in V \setminus \{s, t\} : \varphi'_v = \varphi_v \quad (1)$$

We know by the definition of  $f'$  that for all  $e = uv \in E$  for which neither  $uv$  nor  $wu$  are included in the augmenting path  $p$

$$f'(e) = f(e)$$

and therefore  $\varphi_v^{+'} = \varphi_v^+$ ,  $\varphi_v^{-'} = \varphi_v^-$  and also  $\varphi'_v = \varphi_v^{+'} - \varphi_v^{-'} = \varphi_v^+ - \varphi_v^- = \varphi_v$  for all  $v \in V$  that are neither  $s$  or  $t$  nor included in  $p$ .

Let  $v \in V$  be a node from the augmenting path  $p$  but not  $s$  or  $t$ . Since the path starts in  $s$  and ends in  $t$ ,  $v$  must be an intermediate node, i.e. the path is of the form  $\dots, uv, vw, \dots$  for some  $u$  and  $w$ . Now we distinguish 4 cases:

1.  $uv \in E$  and  $vw \in E$

Then by definition of  $f'$  we have  $f'(uv) = f(uv) + \delta$  and  $f'(vw) = f(vw) + \delta$ . For all other edges including  $v$ , the flow remains the same, since  $p$  is a simple path. Thus

$$\varphi'_v = (\varphi_v^+ + \delta) - (\varphi_v^- + \delta) = \varphi_v$$

2.  $uv \in E$  and  $wv \in E$

Then by definition of  $f'$  we have  $f'(uv) = f(uv) + \delta$  and  $f'(wv) = f(wv) - \delta$ . For all other edges including  $v$ , the flow remains the same, since  $p$  is a simple path. Thus

$$\varphi'_v = \varphi_v^+ - (\varphi_v^- + \delta - \delta) = \varphi_v$$

3.  $vu \in E$  and  $vw \in E$

Then by definition of  $f'$  we have  $f'(vu) = f(vu) - \delta$  and  $f'(vw) = f(vw) + \delta$ . For all other edges including  $v$ , the flow remains the same, since  $p$  is a simple path. Thus

$$\varphi'_v = (\varphi_v^+ + \delta - \delta) - \varphi_v^- = \varphi_v$$

4.  $vu \in E$  and  $wv \in E$

Then by definition of  $f'$  we have  $f'(vu) = f(vu) - \delta$  and  $f'(wv) = f(wv) - \delta$ . For all other edges including  $v$ , the flow remains the same, since  $p$  is a simple path. Thus

$$\varphi'_v = (\varphi_v^+ - \delta) - (\varphi_v^- - \delta) = \varphi_v$$

Thus, equation 1 holds. Now consider the last edge  $v_n w_n$  in the path  $p$ . It must hold that  $v_n w_n = v_n t$  since  $p$  ends in  $t$ . Also since  $p$  is a simple path,  $t$  does not appear in any other edge in  $p$ . By definition of  $f'$  the flow remains the same for all other edges including  $t$  except  $v_n t$  (or  $t v_n$  if  $v_n t \in E^-$ ). Now distinguish 2 cases:

1.  $v_n t \in E$

Then by definition of  $f'$  we have  $f'(v_n t) = f(v_n t) + \delta$ . Therefore  $\varphi'_t = \varphi_t - \delta$

2.  $t v_n \in E$

Then by definition of  $f'$  we have  $f'(t v_n) = f(t v_n) - \delta$ . Therefore  $\varphi'_t = \varphi_t - \delta$

Thus it holds in general that

$$\varphi'_t = \varphi_t - \delta \quad (2)$$

Hence from 1 and 2 it also holds that

$$f \text{ is st-preflow} \Rightarrow \forall v \in V \setminus \{s\}. \quad \varphi'_v = \begin{cases} \varphi_v - \delta \leq 0, & \text{if } v = t \\ \varphi_v \leq 0, & \text{else} \end{cases} \quad (3)$$

$$f \text{ is st-flow} \Rightarrow \forall v \in V \setminus \{s, t\}. \quad \varphi'_v = \varphi_v = 0 \quad (4)$$

As mentioned before  $f'(e) = f(e)$  for all  $e = uw \in E$  such that neither  $uw$  nor  $wu$  are included in the path by the definition of  $f'$ . For all other edges consider the following two cases:

1.  $uw \in E$

Then  $f'(uw) = f(uw) + \delta$ . We know that  $f(uw) \geq 0$  and  $\delta \in \mathbb{N}$ . Thus the sum is greater equal 0 too. Also  $f(uw) + \delta \leq f(uw) + c'_{uw} = f(uw) + c_{uw} - f(uw) = c_{uw}$  by the definition of  $\delta$ . Together we have  $0 \leq f'(uw) \leq c_{uw}$ .

2.  $wu \in E$

Then  $f'(uw) = f(uw) - \delta$ . We know that  $f(uw) \leq c_{uw}$  and  $\delta \in \mathbb{N}$ . Thus the difference is smaller equal  $c_{uw}$  too. Also  $f(uw) - \delta \geq f(uw) - c'_{uw} = f(uw) - f(uw) = 0$  by the definition of  $\delta$ . Together we have  $0 \leq f'(uw) \leq c_{uw}$ .

Thus it generally holds that

$$\forall e \in E : 0 \leq f'_e \leq c_e \quad (5)$$

From 3 and 5 we can now conclude that

$$f \text{ is st-preflow} \Rightarrow f' \text{ is st-preflow}$$

$$f \text{ is st-flow} \Rightarrow f' \text{ is st-flow}$$

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**Show that**  $\varphi'_s = \varphi_s + \delta$

Similar to the proof of 2, we know that  $v_1 w_1 = s w_1$ . Again, since  $p$  is a simple path,  $s$  does not appear in any other edge in  $p$ . Hence,  $f'$  remains the same for all edges involving  $s$  except  $s w_1$  (or  $w_1 s$  if  $s w_1 \in E^-$ ) by definition. We consider 2 cases:

1.  $sw_1 \in E$   
By definition of  $f'$ , we have  $f'(sw_1) = f(sw_1) + \delta$ . Therefore  $\varphi'_s = \varphi_s + \delta$ .
2.  $w_1s \in E$   
By definition of  $f'$ , we have  $f'(w_1s) = f(w_1s) - \delta$ . Therefore  $\varphi'_s = \varphi_s + \delta$ .

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## 2 Lemma 5

$$\begin{aligned}
\varphi_s &= \varphi_s + \varphi_{X \setminus \{s\}} && \text{using } \varphi_{X \setminus \{s\}} = 0 \text{ because } f \text{ is st-flow and } t \notin X \\
&= \varphi_X && \text{by definition of } \varphi_X \\
&= \varphi_X^+ - \varphi_X^- && \text{by definition of flux} \\
&\leq \varphi_X^+ && \text{using } \varphi_X^- \geq 0 \text{ because } \forall e \in E. f_e \geq 0 \\
&= \sum_{vw \in XX^c} f_{vw} && \text{by definition of } \varphi_X^+ \\
&\leq \sum_{vw \in XX^c} c_{vw} && \text{because } \forall e \in E. f_e \leq c_e
\end{aligned}$$

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## 3 Discussion of Lemma 5

If  $f$  is just an st-preflow, the lemma does not hold. That is the case because nodes in  $X$  between  $s$  and  $t$  can "absorb" flow (flux can be  $\leq 0$ ). Counter-example:

Consider the following network:  $s \xrightarrow{10} v \xrightarrow{1} t$  where the numbers at the edges are the capacities. A valid st-preflow is specified by  $f(sv) = 10, f(vt) = 1$  with  $\varphi_v = -9 \leq 0$ . Choose as cutset  $X = \{s, v\}$ . Then  $\sum_{vw \in XX^c} c_{vw} = 1 < 10 = \varphi_s$ .