Hao Zhao (for pronunciation: /how-jow/) in Linkedin I Google Scholar My main research interests include:

- Understanding and Improving LLM Alignment

- Robustness and Generalization of AI Models

Email: haozh510@gmail.com Mobile: +81 188 8892 0951 Github: MarcellusZhao

Site: marcelluszhao.github.io

EDUCATION

École Polytechnique Fédérale de Lausanne

MSc in Automatic Systems (Nomination for Outstanding Master's Thesis). GPA: 5.40/6.00

Lausanne, Switzerland

Sept. 2021 – Feb. 2024

Zhejiang University

BSc in Mechanical Engineering (with honors). GPA: 3.80/4.00

Hangzhou, China Sept. 2017 - July. 2021

Publication

(† stands for equal contribution.)

- *Hao Zhao, Maksym Andriushchenko, Francesco Croce, Nicolas Flammarion, "Is In-Context Learning Sufficient for Instruction Following in LLMs?". *Under review*, submitted to the 13th International Conference on Learning Representations (ICLR 2025). Decision date: Jan 22, 2025. A short version was abridged in NeurIPS 2024 Workshop on Adaptive Foundation Models. Featured by MIT Technology Review (China). [Paper] [Code]
- *<u>Hao Zhao</u>, Maksym Andriushchenko, Francesco Croce, Nicolas Flammarion, "Long Is More for Alignment: A Simple but Tough-to-Beat Baseline for Instruction Fine-Tuning". In *Proceedings of the 41th International Conference on Machine Learning* (ICML 2024). 60674-60703. International. Poster Presentation. A short version was abridged in ICLR 2024 Workshop on Data-centric Machine Learning Research. [Paper] [Code]
- Haobo Song[†], *Hao Zhao[†], Soumajit Majumder, Tao Lin, "Increasing Model Capacity for Free: A Simple Strategy for Parameter Efficient Fine-tuning". In *Proceedings of the 12th International Conference on Learning Representations* (ICLR 2024). International. Poster Presentation. [Paper] [Code]
- *Hao Zhao†, Yuejiang Liu†, Alexandre Alahi, Tao Lin, "On Pitfalls of Test-time Adaptation". In Proceedings of the 40th International Conference on Machine Learning (ICML 2023). 42058-42080. International. Poster Presentation. A short version was abridged in ICLR 2023 Workshop on Domain Generalization (Spotlight). [Paper] [Code]
- *<u>Hao Zhao</u>, Weifei Hu, Zhenyu Liu, Jianrong Tan, "A CapsNet-Based Fault Diagnosis Method for a Digital Twin of a Wind Turbine Gearbox". In *Proceedings of the ASME 2021 Power Conference*. Vol. 85109, p. V001T09A016. International. Oral Presentation. (**Best Paper Award** in Renewable Energy Systems track) [Paper]

Research Experience

• Aligning Base LLMs Using Many-shot In-Context Learning
Research Assistant, Theory of Machine Learning Lab, EPFL

Advisor: Prof. Nicolas Flammarion
April. 2024 - Present

- Uncover factors behind the empirical success of many-shot ICL: We discover the crucial role of decoding parameters and find that many-shot ICL can indeed be improved by adding high-quality data in context.
- Fair comparisons between many-shot ICL and IFT: We rigorously compare the performance of many-shot ICL to that of instruction fine-tuning (IFT) and give evidence showing that many-shot ICL underperforms IFT on the established benchmark MT-Bench, especially with more capable base LLMs, but ICL could be a viable alternative to IFT in the low data regime.
- Outcome: A first-author paper is under review, and a short version paper was accepted to NeurIPS 2024 Workshop on Adaptive Foundation Models. This project was featured by MIT Technology Review (China).

Efficient Data Selection for Instruction Fine-tuning LLMs

Master Thesis Student, Theory of Machine Learning Lab, EPFL

Advisor: Prof. Nicolas Flammarion Oct. 2023 - Feb. 2024

- Response length is a surprisingly good metric for efficient data selection: We found that using reply length as a heuristic can effectively select a few examples from large-scale IFT datasets such as Alpaca-52k, on which the fine-tuned LLM outperforms fine-tuning on full datasets.
- A lightweight LLM-based refining step further improves the abilities of fine-tuned LLMs: We propose a new instruction refinement method that prompts a powerful LLM to rewrite demonstrations via introspection.
- Outcome: A first-author paper was accepted to ICML 2024, and a short version paper was abridged in ICLR 2024 Workshop on Data-centric Machine Learning Research.

Parameter-Efficient Fine-tuning

Research Assistant (remote), Learning and INference Systems Lab, Westlake University

Advisor: Prof. Tao Lin

Mar. 2023 - Sep. 2023

- Boost model capacity without additional costs: We propose CapaBoost, a simple strategy that effectively increases the rank of injected weight matrices without adding new parameters, thereby enhancing the model capacity for free, by leveraging low-rank updates through interconnected parallel weight modules in target layers.
- Outcome: A co-first author paper was accepted to ICLR 2024.

On Pitfalls of Test-Time Adaptation

Semester Project Student, Visual Intelligence for Transportation Lab, EPFL

Advisor: Prof. Alexandre Alahi

Mar. 2022 - Febr. 2023

- The first TTA Benchmark: We build the first ever comprehensive TTA benchmark, TTAB, which encompasses over 10 state-of-the-art algorithms, a diverse array of distribution shifts, and two evaluation protocols.
- Towards understanding the empirical success of TTA: We identify 3 primary pitfalls in prior efforts, including hyperparameter sensitiveness, disparities among different base models trained using various strategies, and vulnerability to certain distribution shifts.
- Outcome: A co-first author paper was accepted to ICML 2023, and a short version paper was abridged in ICLR 2023 Workshop on Domain Generalization (Spotlight).

Wind Turbine Gearbox Fault Diagnosis

Research Assistant, Institute of Design Engineering, Zhejiang University

Advisor: Prof. Weifei Hu Sept. 2020 - Mar. 2021

- Build a new fault diagnosis pipeline: We proposed a novel method, based on signal analysis in the frequency domain, that enables to label training data without human supervision, followed by training a neural network classifier, achieving better fault recognition accuracy and earlier warning time than prior works.
- Outcome: A first-author paper was accepted to the ASME 2021 Power Conference, which received a Best Paper Award in Renewable Energy Systems track.

Industry Internship

Neural Radiance Fields for Thermal Novel View Synthesis

Machine Learning Engineer Intern, Schindler Elevator AG, Switzerland

Advisor: Dr. Malcolm Mielle
Mar. 2023 - Aug. 2023

• Multimodal scene reconstruction: I developed a novel multimodal approach based on Neural Radiance Fields, capable of rendering new RGB and thermal views of a scene jointly, and helped release a new RGB+thermal dataset for scene reconstruction.

Honors and Awards

• Nomination for EPFL Outstanding Master's Thesis (Institutional. Research. \$0)	2024
• Best Paper Award at ASME 2021 Power Conference (International. Research. \$0)	2021
o Outstanding Graduate of Zhejiang University (top 20%. Institutional. Academic. \$0)	2021
• Excellent Design Award for Undergraduate Thesis (top 10%. Institutional. Research. \$0)	2021

o Academic Scholarship of Zhejiang University (top 10%. Institutional. Academic. \$1000 each) 2018-2020

MISCELLANEA

- Reviewer for conference: ICLR 2025
- o Reviewer for workshop: FITML@NeurIPS'24, ICL@ICML'24, WANT@ICML'24, DMLR@ICLR'24
- Media coverage. My first-author paper, Is In-Context Learning Sufficient for Instruction Following in LLMs?, was featured by MIT Technology Review (China)
- English proficiency. TOEFL iBT: 108 (R30/W30/L25/S23); GRE: 325 (Q170/V155)
- Other interests. I enjoy strength training, swimming, and hiking.