



## Design And Analysis Of Algorithm notes part 1

Design And Analysis Of Algorithm (Islamic University of Science and Technology)



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ALGORITHM:

Algorithm is a step by step method to solve a problem.

characteristics of algorithm:

1. Input : Algorithm must contain '0' or more input.
2. Output : Algorithm must contain '1' or more output.
3. Finiteness : Algorithm must complete after finite no. of steps.
4. Definiteness : The step of the algorithm must be defined precisely or clearly <sup>unambiguous</sup>
5. Effectiveness : The step of an algorithm must be effective (can be done successfully)

Analysis of Algorithm :

Analysis of algorithm depend upon the time & memory taken by the algorithm.

Time Complexity :

The amount of time required by the algorithm is called time complexity.

Space Complexity :

The amount of space / memory required by the algorithm is called as Space complexity.

Growth functions :

	$2^n$	$n!$
$n=1$	2	1
$n=2$	4	2
$n=3$	8	6

$$n! > 2^n \forall n \geq 4$$

$n!$  has higher growth rate than  $2^n$

Q: Arrange the following according to the increasing order of growth rate.

$$n, 2^n, n \log n, n!, n^3, n^5, n^2, 1$$

$$Ans: 1, n, n \log n, n^2, n^3, n^5, 2^n, n!$$

constant  $\leq$  Logarithm  $\leq$  polynomial  $\leq$   
 $\leq$  exponential  $\leq$  factorial

$\Rightarrow$  There are 3 notations for time complexity Date-13/1/18

1. Big oh (O) notation:

Let  $f(n)$  &  $g(n)$  are two functions

$f(n) = O(g(n))$  [read as  $f(n)$  is big oh of  $g(n)$ ]

when  $f(n) \leq c \cdot g(n)$ . Hence  $c = \text{constant}$

Example:

We say that it requires  $\text{Max}^m$  <sup>from</sup> 1hr 1 Cuttack to Bhubaneswar.

2. Omega ( $\Omega$ ) notation

Let  $f(n)$  &  $g(n)$  are two functions

$f(n) = \Omega(g(n))$  [read as  $f(n)$  is Omega of  $g(n)$ ]

when  $f(n) \geq c \cdot g(n)$

where,  $c$  is constant.

Example:

We say that it require  $\text{Min}^m$  30 mins from Cuttack to Bhubaneswar.

### 3. Theta ( $\Theta$ ) notation:

Let  $f(n)$  &  $g(n)$  are two functions.

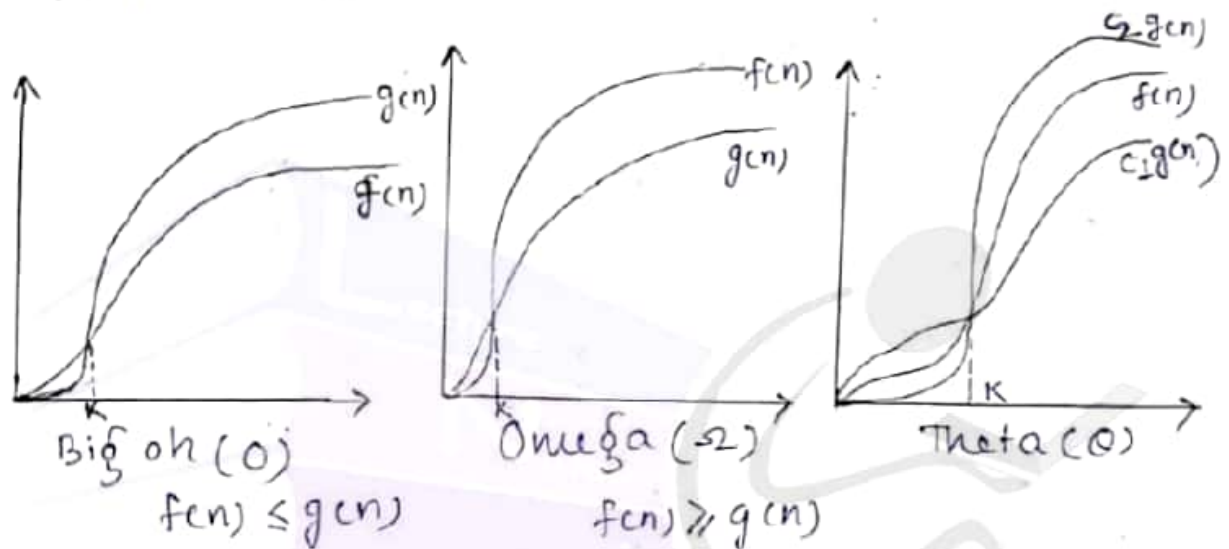
$f(n) = \Theta(g(n))$  [read as  $f(n)$  is theta of  $g(n)$ ]

$$c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$$

Here  $c_1, c_2$  are constants.

Examples:

We say that it requires approximately 40 mins from Cuttack to Bhubaneswar.



Example:

$$f(n) = n + 5$$

$$f(n) = 2n + 3$$

$$f(n) = n^2 + 6$$

$$f(n) = n^3 + 2n^2 + 3$$

$$f(n) = n + 6n + 3$$

Big Oh  $\rightarrow$  Low upper bound  
Max<sup>m</sup>

Omega  $\rightarrow$  High lower bound  
Min<sup>m</sup>

Ex:

$$2n + 3 = O(n)$$

$$= O(n^2)$$

$$= O(n^3)$$

Q Prove that  $5n+3 = O(n)$

Ans:  $f(n) = 5n+3$   
 $5n+3 \leq 6 \cdot n$   
 $= O(n)$   
 $\therefore f(n) \leq c \cdot g(n)$  (constant)

Q Prove that  $6n^2+2n+3 = O(n^2)$

Ans:  $6n^2+2n+3 \leq 7 \cdot n^2$   
 $= O(n^2)$

Q Prove that  $5n+3 = \Omega(n)$

Ans:  $5n+3 \geq 4 \cdot n$  here  $c=4$   
 $= \Omega(n)$

Q Prove that  $6n^2+2n+3 = \Omega(n^2)$

Ans:  $6n^2+2n+3 \geq 5 \cdot n^2$  here  $c=5$   
 $= \Omega(n^2)$

'c' is decided by user. Means user have to put a value for 'c'.

Q Prove that  $5n+3 = \Theta(n)$

Ans:  $4 \cdot n \leq 5n+3 \leq 6 \cdot n$   
 $\downarrow \quad \quad \quad \downarrow$   
 $c_1 \quad \quad \quad c_2$   
 $= \Theta(n)$

Recurrence :

→ The word recurrence is derived from "recursion" or "repetition".

→ Recursion is a technique which call the same function repeatedly.

Example: factorial problem can be solved using recursion.



because factorial  $(n) = n \times \text{factorial}(n-1)$

$$5! = 5 \cdot 4!$$

$$\parallel$$

$$4 \cdot 3!$$

$$\parallel$$

$$3 \cdot 2!$$

$$\parallel$$

$$2 \cdot 1!$$

$$\parallel$$

$$1! = 1$$

→ Algorithm which use recursion is called recursive algorithm.

→ Time Complexity of recursive algorithm is given by a formula or equation called 'recurrence'.

→ Hence the time complexity is denoted by  $T(n)$

$$T(n) = T\left(\frac{n}{2}\right) + O(n)$$

There are 3 method to solve the recurrence, 01:-17/01/18

1. Master Method
2. Substitution Method
3. Recursion Tree Method

1) Master Method:

$$T(n) = a T(n/b) + O(n^k [\log n]^p)$$

Case-1: If  $a > b^k$  then  $T(n) = O(n^{\log_b a})$

Case-2: If  $a = b^k$  then,

$$(a) P > -1 \Rightarrow T(n) = O(n^{\log_b a} \log n^{P+1})$$

$$(b) P = -1 \Rightarrow T(n) = O(n^{\log_b a} \log(\log n))$$

$$(c) P < -1 \Rightarrow T(n) = O(n^{\log_b a})$$

Case-3: If  $a < b^k$  then,

$$(a) P \geq 0 \Rightarrow T(n) = O(n^k \log n^P)$$

$$(b) P < 0 \Rightarrow T(n) = O(n^k)$$

Example-1:

$$T(n) = 4T(n/2) + \log n$$

Solve the above recurrence using master method.

Ans: We know that,  $T(n) = aT(n/b) + O(n^k \log n^p)$

Here,  $a=4$

$$b=2$$

$$k=0$$

$$p=1$$

$$\therefore n^{k=0} \log n^{p=1} = \log n$$

Find  $a, b^k$ ,

$$a=4, \quad b^k = 2^0 = 1$$

$a > b^k \Rightarrow$  It is case 1

$$T(n) = O(n \log b^a)$$

$$= O(n \log 2^4)$$

$$= O(n \log 2^2)$$

$$= O(n^2 \log 2) = O(n^2) \quad \underline{\text{Ans}}$$

Example-2:

$$T(n) = 3T\left(\frac{n}{3}\right) + \frac{n}{\log n^2}$$

Solve the above recurrence using Master Method.

Ans: We know that

$$T(n) = aT(n/b) + O(n^k \log n^p)$$

Here,  $a=3$

$$b=3$$

$$k=1$$

$$p=-2$$

$$a=3, \quad b^k = 3^1 = 3$$

$a = b^k \Rightarrow$  It is case 2

$p = -2 \Rightarrow$  It is case 2 (c)

Here  $p < -1$  so case 2(c),

$$\begin{aligned} T(n) &= O(n^{\log_b a}) \\ &= O(n^{\log_3 3^3}) \\ &= O(n) \quad [\because \log_3 3^3 = 1] \quad \underline{\text{Ans}} \end{aligned}$$

Example-3 :

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$$T(n) = 2T(n/2) + n$$

Solve the above recurrence by Master Method.

Ans: we know that,

$$T(n) = aT(n/b) + O(n^k \log n^p)$$

Here,

$$a = 2$$

$$b = 2$$

$$k = 1$$

$$p = 0$$

$$\therefore n^k \log n^p = n$$

$$a = 2, b^k = 2^1 = 2$$

$a = b^k \Rightarrow$  It is case 2

$p = 0$ , Here  $p > -1 \Rightarrow$  case 2(a),

$$\begin{aligned} T(n) &= O(n^{\log_b a} \log n^{p+1}) \\ &= O(n^{\log_2 2^2} \log n^{0+1}) \\ &= O(n \cdot \log n) \quad \underline{\text{Ans}} \end{aligned}$$

2. Substitution Method:

Substitution method has two steps,

1. Assume that solution is correct.
2. Prove this assumption by substitution method.



Example - 1

$$T(n) = \begin{cases} 2T(n/2) & \text{for } n > 0 \\ 0 & \text{for } n = 0 \end{cases}$$

Prove that  $T(n) = O(n \log n)$  by Substitution method.

Ans:

Assume that  $T(n) = O(n \log n)$

$$\Rightarrow T(n) \leq c \cdot n \log n \quad [\text{By defn } f(n) = O(g(n))]$$

$$\Rightarrow T(n/2) \leq c \frac{n}{2} \log \frac{n}{2} \quad \text{--- (1)} \quad \Rightarrow f(n) \leq c \cdot g(n)$$

Given that,

$$T(n) = 2T(n/2)$$

$$\Rightarrow T(n) \leq 2 \cdot c \frac{n}{2} \log \frac{n}{2} \quad [\text{Putting the value of } T(n/2) \text{ from eqn (1)}]$$

$$\Rightarrow T(n) \leq cn \log n/2$$

$$\Rightarrow T(n) \leq cn (\log n - \log 2) \quad [\log \frac{a}{b} = \log a - \log b]$$

$$\Rightarrow T(n) \leq cn (\log n - 1)$$

$$\Rightarrow T(n) \leq cn \log n - cn$$

$$\Rightarrow T(n) \leq cn \log n \quad [cn \text{ is neglected for small value}]$$

$$\Rightarrow T(n) = O(n \log n)$$

(Proved)

Example - 2 :

$$T(n) = 2T(n-1) + 1$$

Prove that  $T(n) = O(2^n)$  by substitution method.

Ans: Assume that  $T(n) = O(2^n)$

$$\Rightarrow T(n) \leq c \cdot 2^n \quad [\text{By defn}]$$

$$\Rightarrow T(n-1) \leq c \cdot 2^{n-1} \quad \text{--- (1)}$$

Given that,

$$T(n) = 2T(n-1) + 1$$

$$\Rightarrow T(n) \leq 2 \cdot c 2^{n-1} + 1$$

$$\leq c \cdot 2^n + 1$$

$$\leq c \cdot 2^n \quad [1 \text{ is neglected since it is a small value}]$$

$$\Rightarrow T(n) = O(2^n) \quad (\text{Proved})$$

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Recursion Tree method:

This method is used to guess the solution.

→ Recursion tree gives a graphical view of recurrence.

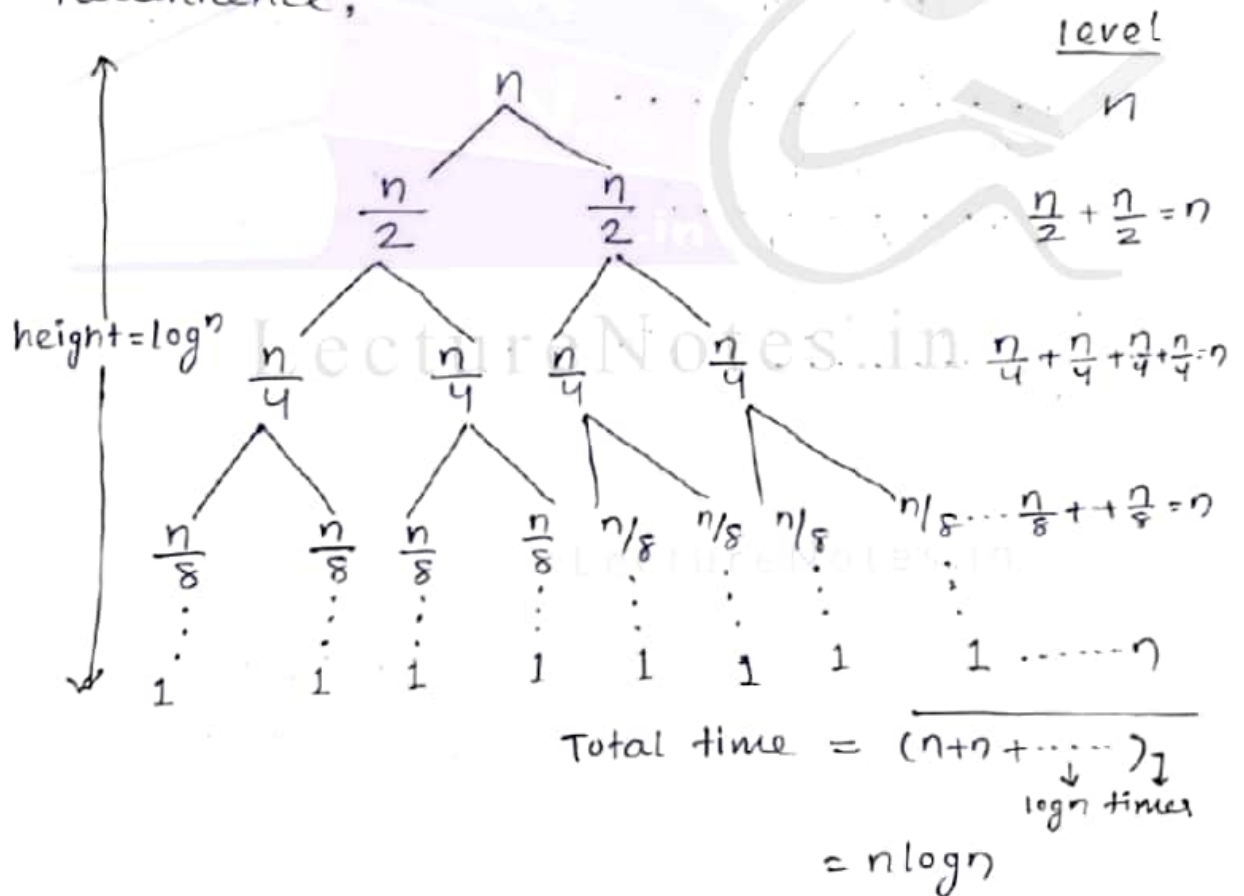
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Consider the following recurrence;

$$T(n) = 2T(n/2) + O(n)$$

$$\Rightarrow T(n) = T(n/2) + T(n/2) + O(n)$$

The recursion tree for the above recurrence,



cost of level = addition of nodes present in the level.

total cost = addition of the all level.

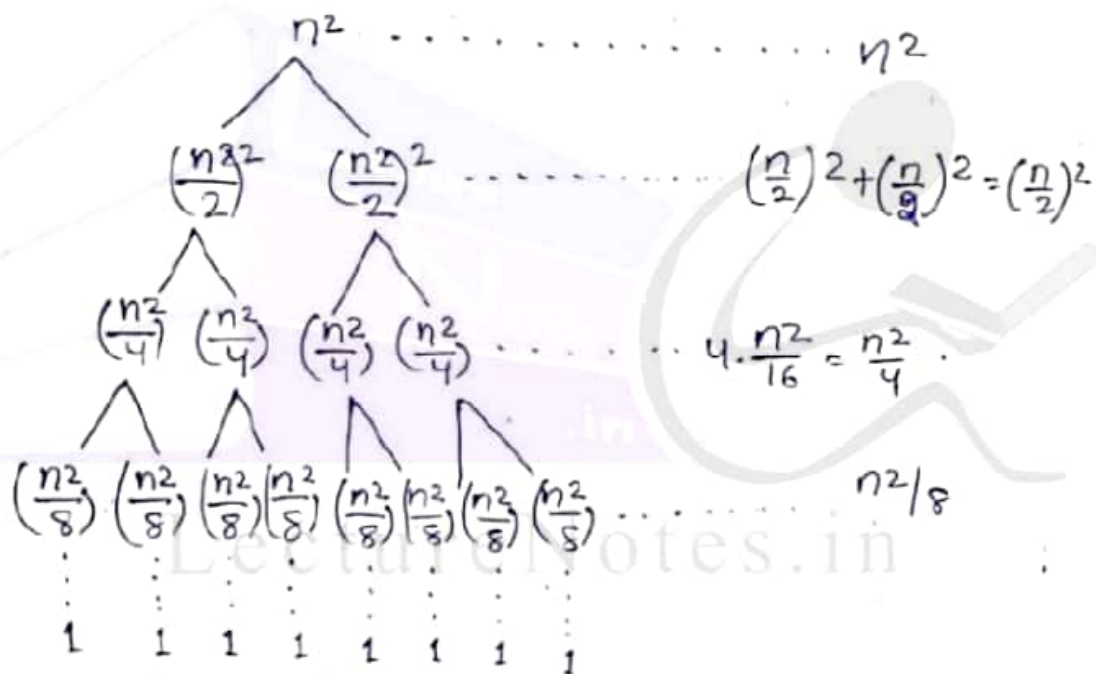
[ $\because$  cost indicates time]

Example:

Consider the following recurrence

$$T(n) = 2T(n/2) + O(n^2)$$

Design the recursion tree. find total time complexity.



$$\text{Total time} = n^2 + \frac{n^2}{2} + \frac{n^2}{4} + \frac{n^2}{8} + \dots$$

$$= n^2 (1 + 1/2 + 1/4 + 1/8 + \dots)$$

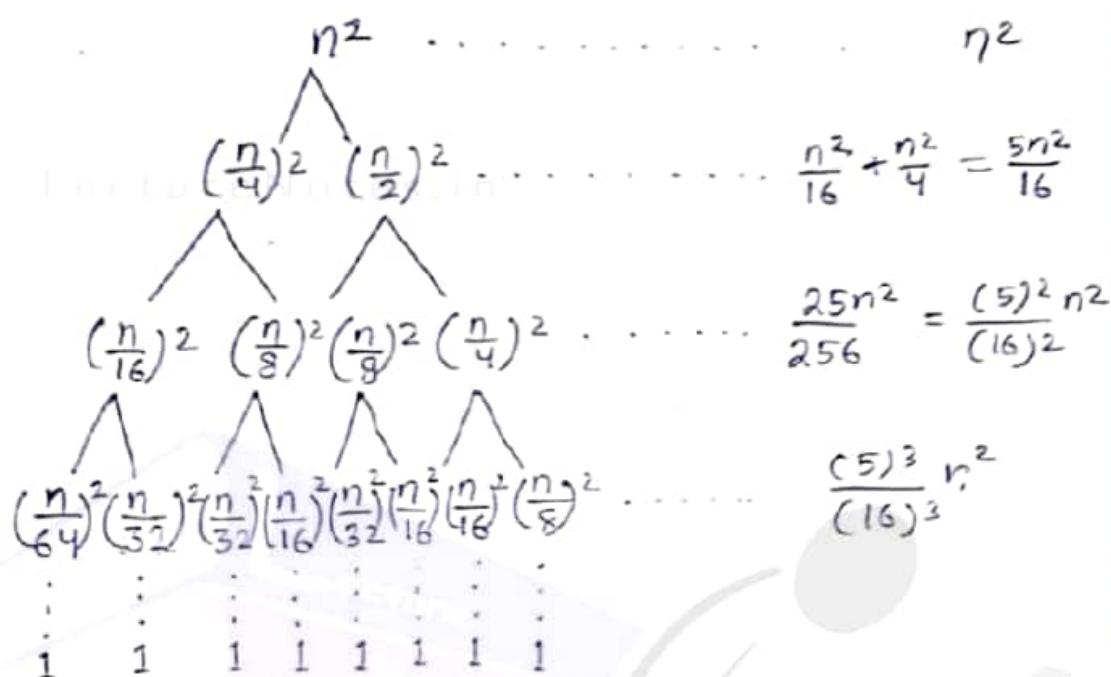
$$= n^2 (\text{constant})$$

$$= O(n^2)$$

Example :

Draw recursion tree for following

$$T(n) = T(n/4) + T(n/2) + n^2$$



$$\begin{aligned}
 \text{Total time} &= n^2 (1 + 5/16 + 5^2/16^2 \dots) \\
 &= n^2 (\text{constant}) \\
 &= O(n^2)
 \end{aligned}$$

Little oh (o) Notation : dt - 29/01/18

Let  $f(n)$  &  $g(n)$  are two functions

$f(n) = o(g(n))$  [read : as  $f(n)$  is Little oh of  $g(n)$ ]

when  $f(n) < c \cdot g(n)$  Here  $c = \text{constant}$

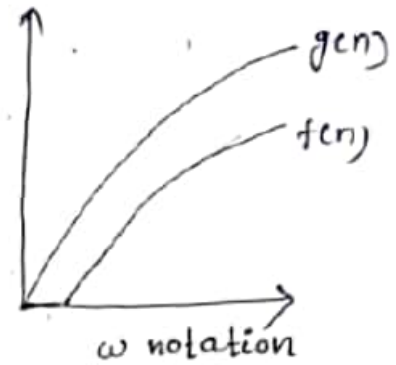
Little omega ( $\omega$ ) Notation :

Let  $f(n)$  &  $g(n)$  are two functions

$f(n) = \omega(g(n))$  [read as  $f(n)$  is omega of  $g(n)$ ]

when  $f(n) > c \cdot g(n)$

where,  $c$  is constant.



$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty \Rightarrow f(n) = \omega(g(n))$$

$$\lim_{n \rightarrow \infty} \frac{g(n)}{f(n)} = \infty \Rightarrow f(n) = o(g(n))$$

Divide and conquer method:

- Divide and conquer is also called DANDC
- It is one of the algorithm design method
- DANDC has 3 parts

1. Divide the problem into number of subproblems.
2. Conquer means solve the subproblems recursively
3. Combine the solution of subproblems

Example:

Binary search, quick sort, merge sort.

Note:

DANDC is normally recursive



## Binary Search :

It searches for an element from given state set of elements.

### Condition :

The set of elements must be sorted

↓  
[increasing or decreasing order]

### Steps :

step-1 : Enter the no. of elements in increasing or decreasing order.

step-2 : Enter the searching element.

step-3 : Find the middle element.

step-4 : Compare searching element with middle element according to following case,

i) Searching element is less than middle element. (search in left side of middle element.)

ii) Searching element is greater than middle element (search in right side of middle ele)

iii) Searching element is equal to middle element (element found)

step-5 : Repeat step-3 & step-4 until searching is successful

### Example :

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0	1	2	3	4	5	6
12	14	17	19	25	28	35

↑  
mid

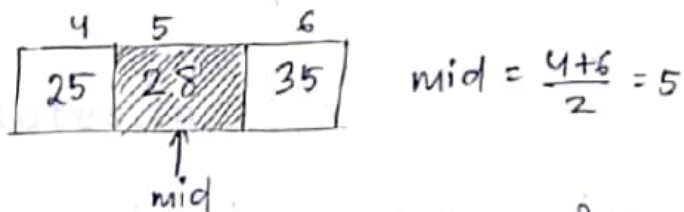
let searching element = 25  
Searching element is in the right side of middle element.

Ans: low = 0  
high = 6

$$\text{mid} = \frac{\text{low} + \text{high}}{2} = \frac{0 + 6}{2} = 3 (19)$$

Let searching element = 25

Searching element is in the right side of middle element.



Searching element is in the left side of the middle element



Q Explain Binary search for following elements

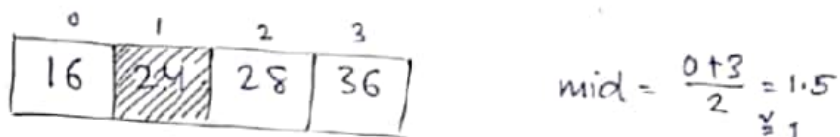
0	1	2	3	4	5	6	7	8	9
16	24	28	36	44	48	52	56	58	64

Searching element is 24.

Ans: low = 0  
high = 9

$$\text{mid} = \frac{\text{low} + \text{high}}{2} = \frac{0 + 9}{2} = 4.5 \approx 4$$

Searching element is in the left side of middle element.



→ Searching element is 59.

$$\text{mid} = \frac{0 + 9}{2} = 4.5 = 4 (44)$$

Searching element is in the right side of middle element.

5	6	7	8	9
48	52	56	58	64

$$\text{mid} = \frac{5+9}{2} = 15$$

$$= 14/2 = 7$$

Searching element is in the right side of the middle element.

8	9
58	64

$$\text{mid} = \frac{8+9}{2} = \frac{17}{2} = 8.5$$

So searching element present in right side of middle element.

9
64

$$\text{mid} = \frac{9+9}{2} = \frac{18}{2} = 9$$

Searching element is in left side of middle element.

But no element available  $\Rightarrow$  element not found.

Q: Write an algorithm for binary search.

Ans: Algorithm Binary Search (A, ele)

{

// A is an array of 'n' elements

// ele is the searching element

low = 0 // low is the index of 1st element

high = n-1 // high is the index of last element

while (low  $\leq$  high)

{

if ele  $<$  A[mid] ← Searching element

high = mid - 1 ← middle element

elseif ele  $>$  A[mid]

low = mid + 1

else

Print "element found"

}

Print "element not found"

}

Q: Write the recurrence eq<sup>n</sup> of binary search?

Ans:

$$T(n) = T\left(\frac{n}{2}\right) + O(1)$$

Time to  
Search n elements

Time to  
Search  $\frac{n}{2}$  element

1 comparison with middle

Time complexity of algorithm is analyzed under 3 cases,

1. Best case : This case take minimum time
2. Worst case : This case take maximum time
3. Average case : This case take average time.

Analysis of Binary Search :

1. Best case : In this case searching element is in the middle position. So, time complexity =  $O(1)$   
1 comparison
2. Worst case : In this case searching element is in the first or last position. So, time complexity =  $O(\log n)$
3. Average case : In this case searching element is not in first or last position.  
So time complexity =  $O(\log n)$

Q what is the time complexity of Binary Search  
Ans:  $O(\log n)$

Q what is the time complexity of Linear Search  
Ans:  $O(n)$

Q which is better : Linear Search or Binary Search.

Ans: Binary Search is better then Linear Search. Because it takes less time then Linear Search.

$$O(\log n) < O(n)$$

Time for binary Search      Time for Linear Search



## Merge Sort :

- Merge means combine
- Sorting means arranging elements in increasing or decreasing order.
- Merge sort has two operations,

1. Divide
2. Merge

Consider an array of  $n$  elements,

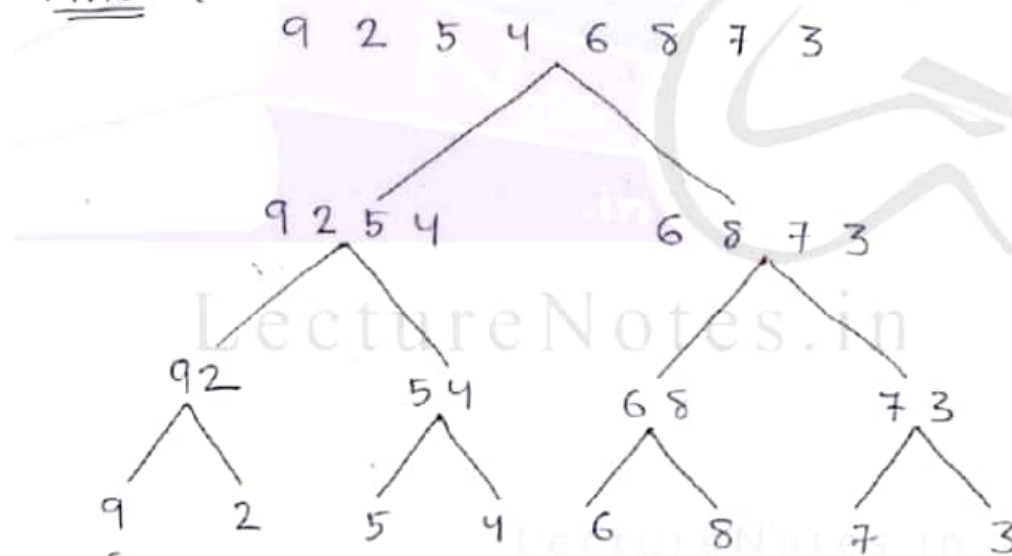
1. Divide the array into sub array recursively
2. Merge the subarray recursively.

Merging operation creates sorted elements.  
Hence, the name is merge sort

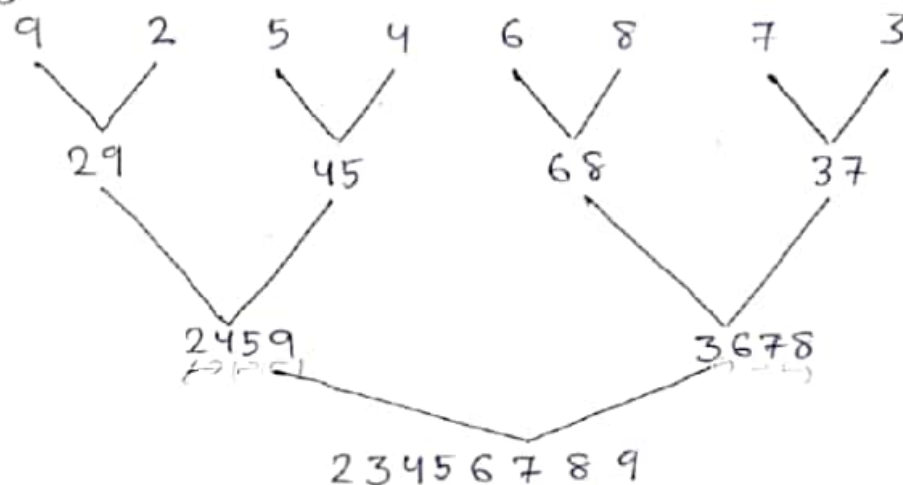
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Example : 9 2 5 4 6 8 7 3

Divide :



Merge :





## Divide Operation :

1. Divide the array into two sub array at mid position.

Subarray 1 is from low to mid.

Subarray 2 is from mid+1 to high.

2. Divide the subarray recursively untill more than one element is present.  
(low < high)

## Merge Operation :

//  $A[i]$  = element of subarray 1

//  $A[j]$  = element of subarray 2

Compare  $A[i]$  and  $A[j]$  as following

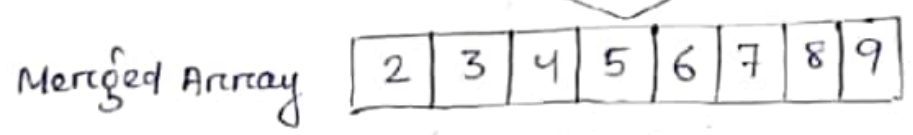
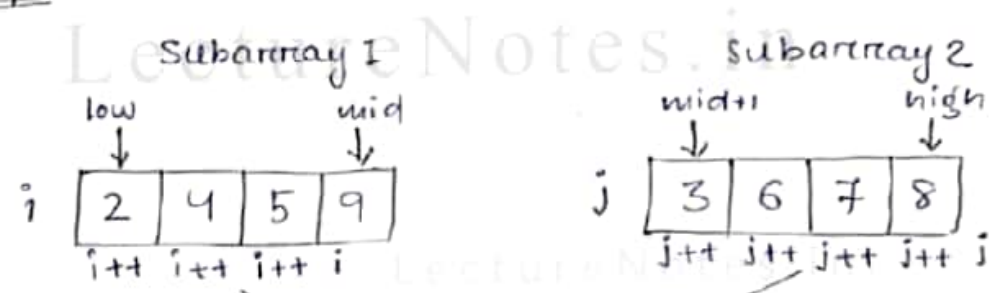
if  $A[i] < A[j]$

store  $A[i]$  in merged array

Else

store  $A[j]$  in merged array

## Example :



Compare two elements at position  $i$  and  $j$ .

The smaller element is stored at position  $k$ .

Increment the value of  $i, j, k$  accordingly.

19  
Q. Write a procedure (Algorithm or pseudocode) for divide and merge operation.

Algorithm divide (low, high)

{

// low = index of 1st element of array

// high = index of last element of array

low = 0

high = n-1

→ divide until more than one element

while (low < high)

{ // divide the array of mid position.

divide (low, mid)

// Subarray 1 is from low to mid

divide (mid+1, high)

// Subarray 2 is from mid+1 to high.

merge (low, mid, high)

}

}

Algorithm merge (low, mid, high)

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{

// A is an array

// B is an array to store result (merged array)

while (i <= mid and j <= high)

{

if (A[i] < A[j])

{

B[k] = A[i]

k++

i++

}

else

{

B[k] = A[j]

k++

j++

}

}

}

}

### Analysis of Merge sort:

Merge sort apply a common technique in all cases.

Hence, Merge sort has one case for all set of problems.

$\Rightarrow$  Merge sort does not have best, worst or average case.

The recurrence, equation of merge sort is given by.

$$T(n) = 2T\left(\frac{n}{2}\right) + O(n)$$

$\downarrow$                        $\downarrow$                        $\downarrow$   
 Time to sort      time to sort      merge the 2  
 n elements      2 subarray of      subarray  
                          size  $n/2$

Solve the above recurrence by master method

$$T(n) = O(n \log n)$$

Q: What is the time complexity of merge sort.

Ans: The time complexity of merge sort is  $O(n \log n)$ .

### Quick Sort:

Quick sort is an "Divide and Conquer" algorithm

Steps:

1. Select a pivot element. Pivot means target.  
Any element can be taken as pivot.

2. Partition Operation: Partition means divide.

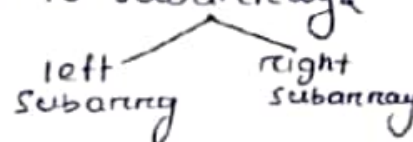
$\rightarrow$  Partition operation divide the array into 2 subarray. [Left & right subarray]

$\rightarrow$  Partition operation places the point element at proper position.

that means, all element before pivot is smaller.

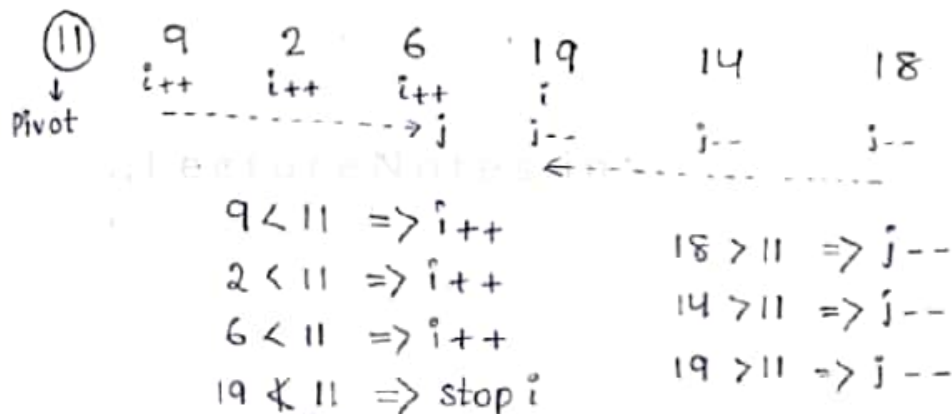
and all element after pivot is greater.

3. Recursively, apply quicksort to subarrays



### Example 1

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Now  $i$  and  $j$  cross each other  $\Rightarrow$  stop

Swap 11 and 6 [i.e. swap pivot and element at  $j$ ]

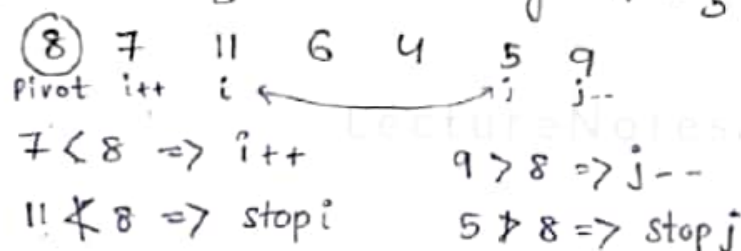
Elements after swapping are shown below.



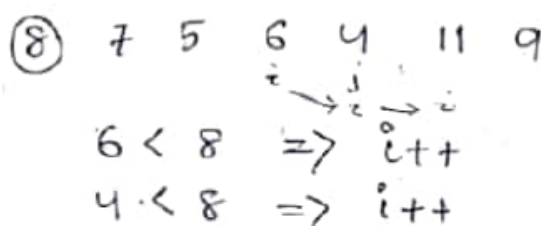
Now, pivot is placed in proper position

### Example - 2

Arrange following elements by applying quicksort.



Swap the element at  $i$  and  $j$ . elements after swapping are shown below.



Now,  $i$  and  $j$  cross each other  $\Rightarrow$  stop.



Swap 8 and 4 [i.e swap pivot and element at  $j$ ]  
 Elements after swapping are shown below,

$\underbrace{4 \quad 7 \quad 5 \quad 6}_{\text{left Subarray}} \quad \textcircled{8} \quad \underbrace{11 \quad 9}_{\text{Right Subarray}}$   
 left Subarray      Pivot      Right Subarray

Write an algorithm for quick sort.

Ans:    // A is an array of  $n$  elements  
           // Low = index of 1st element of array  
           // high = index of last element of array

step 1 : Low = 0

high =  $n-1$

step 2 : Consider 1st element is pivot

step 3 :  $i = 1$   
            $j = \text{high}$

step 4 : Continue  $i++$  while  $(A[i] < \text{pivot})$  element at  $i$   
           Continue  $j--$  while  $(A[j] > \text{pivot})$  element at  $j$   
           [  $i$  moves in forward direction  
            $j$  moves in backward direction ]

step 5 : Swap  $A[i]$  and  $A[j]$   
           make  $i++$  and  $j--$

step 6 :

Repeat step 4 and step 5 until  $i$  and  $j$   
 Cross each other.

step 7 :

Swap pivot and  $A[j]$

Now, pivot is placed in proper position.

step 8 :

Divide the array into 2 subarray.  
 left subarray = Elements present in the left side  
 of pivot.

Right Subarray = Elements present in the right side  
 of pivot.



Step 9: Apply Quick sort to both subarray recursively.

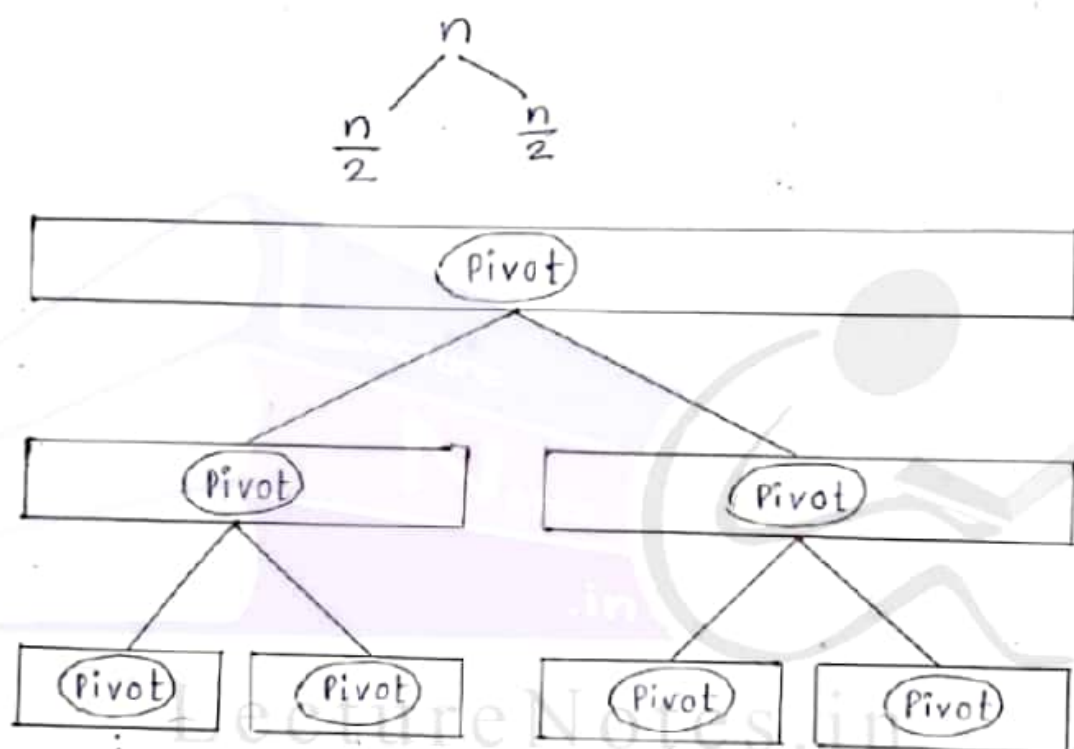
### Analysis of Quick sort:

Time complexity depends on the position of pivot element.

#### 1) Best Case:

→ Pivot is placed in the middle position.

→ 'n' elements are divided into  $n/2$  and  $n/2$



The recurrence eqn is

$$T(n) = T(n/2) + T(n/2) + O(n)$$

Solving this recurrence we get,

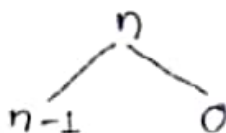
$$T(n) = O(n \log n)$$

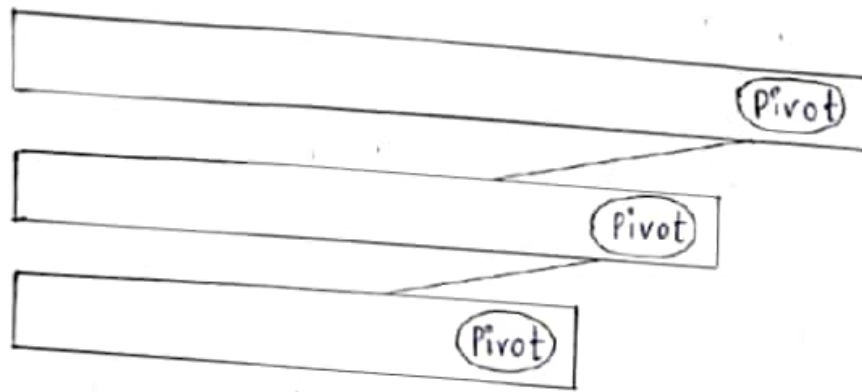
∴ Time complexity =  $O(n \log n)$

#### 2) Worst Case:

→ Pivot is placed in the first or last position

→ 'n' elements are divided into  $(n-1)$  and  $0$





Recurrence eqn is  $T(n) = T(n-1) + O(n)$

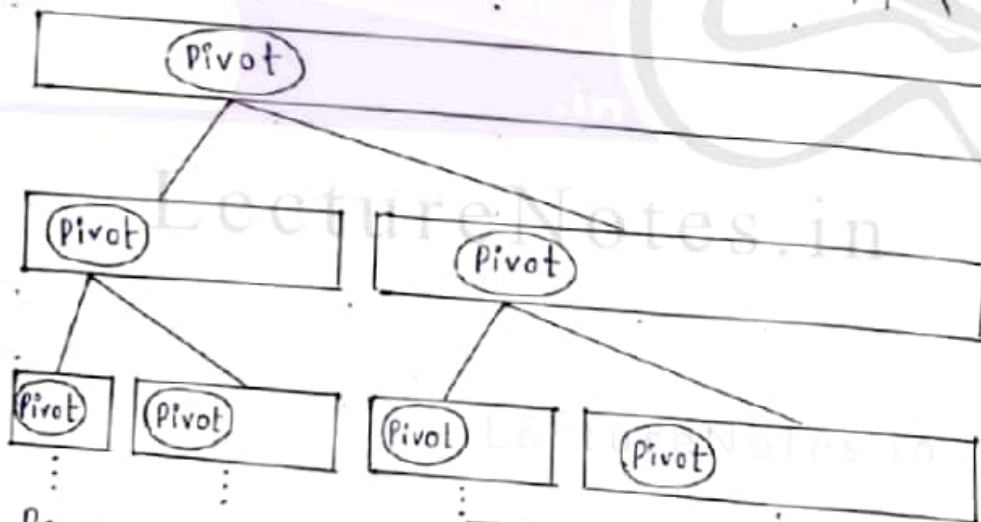
Solving this recurrence we get,

$$T(n) = O(n^2)$$

$\therefore$  Time complexity =  $O(n^2)$

### 3. Average Case:

Pivot is not in middle, first or last position  
let,  $n$  elements are divided into  $n/4$  &  $3n/4$



Recurrence eqn is  $T(n) = T(n/4) + T(3n/4) + O(n)$

Solving this recurrence we get,

$$T(n) = O(n \log n)$$

$\therefore$  Time complexity =  $O(n \log n)$