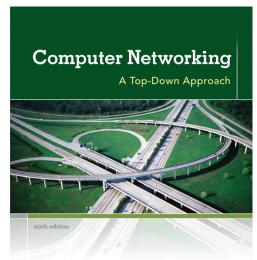
Chapter 2 Application Layer



KUROSE ROSS

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Networking: A Top
Down Approach
6th edition
Jim Kurose, Keith Ross
Addison-Wesley
March 2012

Chapter 2: outline

- 2.1 principles of network applications
- 2.6 P2P applications

- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 electronic mail
 - SMTP, POP3, IMAP
- 2.5 DNS

Some network apps

- e-mail
- * web
- text messaging
- remote login
- P2P file sharing
- multi-user network games
- streaming stored video (YouTube, Hulu, Netflix)

- voice over IP (e.g., Skype)
- real-time video conferencing
- social networking
- search
- **...**
- ***** ...

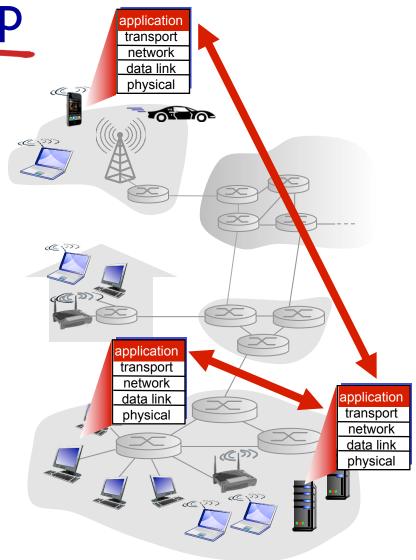
Creating a network app

write programs that:

- run on (different) end systems
- communicate over network
- e.g., web server software communicates with browser software

no need to write software for network-core devices

- network-core devices do not run user applications
- applications on end systems allows for rapid app development, propagation

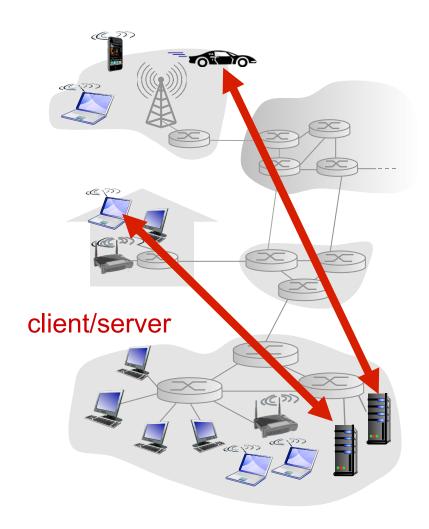


Application architectures

possible structure of applications:

- client-server
- peer-to-peer (P2P)

Client-server architecture



server:

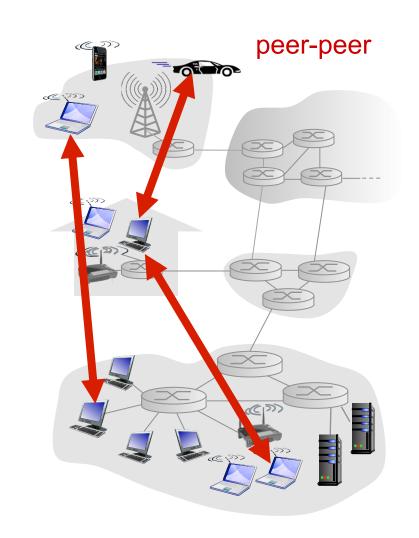
- always-on host
- permanent IP address
- data centers for scaling

clients:

- communicate with server
- may be intermittently connected
- may have dynamic IP addresses
- do not communicate directly with each other

P2P architecture

- no always-on server
- arbitrary end systems directly communicate
- peers request service from other peers, provide service in return to other peers
 - self scalability new peers bring new service capacity, as well as new service demands
- peers are intermittently connected and change IP addresses
 - complex management



Processes communicating

process: program running within a host

- within same host, two processes communicate using inter-process communication (defined by OS)
- processes in different hosts communicate by exchanging messages

clients, servers

client process: process that initiates communication

server process: process that waits to be contacted

 aside: applications with P2P architectures have client processes & server processes

App-layer protocol defines

- types of messages exchanged,
 - e.g., request, response
- message syntax:
 - what fields in messages & how fields are delineated
- message semantics
 - meaning of information in fields
- rules for when and how processes send & respond to messages

open protocols:

- defined in RFCs
- allows for interoperability
- e.g., HTTP, SMTP

proprietary protocols:

e.g., Skype

What transport service does an app need?

data integrity

- some apps (e.g., file transfer, web transactions) require
 100% reliable data transfer
- other apps (e.g., audio) can tolerate some loss

timing

 some apps (e.g., Internet telephony, interactive games) require low delay to be "effective"

throughput

- some apps (e.g., multimedia) require minimum amount of throughput to be "effective"
- other apps ("elastic apps")
 make use of whatever
 throughput they get

security

encryption, data integrity,

Transport service requirements: common apps

application	data loss	throughput	time sensitive
file transfer	no loss	elastic	no
e-mail	no loss	elastic	no
Web documents	no loss	elastic	no
real-time audio/video	loss-tolerant	audio: 5kbps-1Mbps video:10kbps-5Mbps	•
stored audio/video	loss-tolerant	same as above	yes, few secs
interactive games	loss-tolerant	few kbps up	yes, 100's msec
text messaging	no loss	elastic	yes and no

Internet apps: application, transport protocols

application	application layer protocol	underlying transport protocol
e-mail	SMTP [RFC 2821]	TCP
remote terminal access	Telnet [RFC 854]	TCP
Web	HTTP [RFC 2616]	TCP
file transfer	FTP [RFC 959]	TCP
streaming multimedia	HTTP (e.g., YouTube),	TCP or UDP
	RTP [RFC 1889]	
Internet telephony	SIP, RTP, proprietary	
	(e.g., Skype)	TCP or UDP

Securing TCP

TCP & UDP

- no encryption
- cleartext passwds sent into socket traverse Internet in cleartext

SSL

- provides encrypted TCP connection
- data integrity
- end-point authentication

SSL is at app layer

 Apps use SSL libraries, which "talk" to TCP

SSL socket API

cleartext passwds sent into socket traverse Internet encrypted

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- 2.5 **DNS**

2.6 P2P applications

Web and HTTP

First, a review...

- web page consists of objects
- object can be HTML file, JPEG image, Java applet, audio file,...
- web page consists of base HTML-file which includes several referenced objects
- each object is addressable by a URL, e.g.,

www.someschool.edu/someDept/pic.gif

host name

path name

HTTP overview

HTTP: hypertext transfer protocol

- Web's application layer protocol
- client/server model
 - client: browser that requests, receives, (using HTTP protocol) and "displays" Web objects
 - server: Web server sends (using HTTP protocol) objects in response to requests



HTTP overview (continued)

uses TCP:

- client initiates TCP connection (creates socket) to server, port 80
- server accepts TCP connection from client
- HTTP messages

 (application-layer protocol messages) exchanged
 between browser (HTTP client) and Web server
 (HTTP server)
- TCP connection closed

HTTP is "stateless"

server maintains no information about past client requests

aside

protocols that maintain "state" are complex!

- past history (state) must be maintained
- if server/client crashes, their views of "state" may be inconsistent, must be reconciled

HTTP connections

non-persistent HTTP

- at most one object sent over TCP connection
 - connection then closed
- downloading multiple objects required multiple connections

persistent HTTP

 multiple objects can be sent over single TCP connection between client, server

Non-persistent HTTP

suppose user enters URL:

www.someSchool.edu/someDepartment/home.index

(contains text, references to 10 jpeg images)

- Ia. HTTP client initiates TCP connection to HTTP server (process) at www.someSchool.edu on port 80
- 2. HTTP client sends HTTP request message (containing URL) into TCP connection socket.

 Message indicates that client wants object someDepartment/home.index
- Ib. HTTP server at host
 www.someSchool.edu waiting
 for TCP connection at port 80.
 "accepts" connection, notifying
 client
- 3. HTTP server receives request message, forms response message containing requested object, and sends message into its socket

Non-persistent HTTP (cont.)



5. HTTP client receives response message containing html file, displays html. Parsing html file, finds 10 referenced jpeg objects

4. HTTP server closes TCP connection.



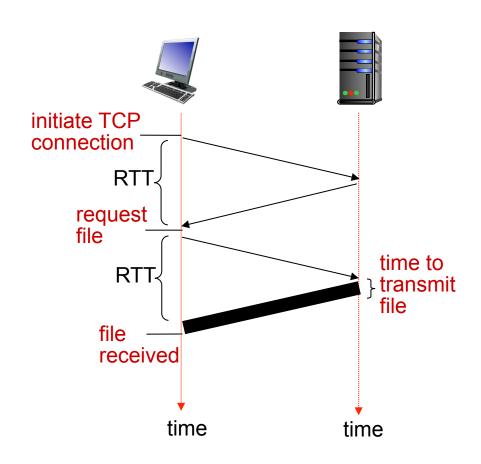
6. Steps 1-5 repeated for each of 10 jpeg objects

Non-persistent HTTP: response time

RTT (definition): time for a small packet to travel from client to server and back

HTTP response time:

- one RTT to initiate TCP connection
- one RTT for HTTP request and first few bytes of HTTP response to return
- file transmission time
- non-persistent HTTP
 response time =
 2RTT+ file transmission
 time



Persistent HTTP

non-persistent HTTP issues:

- requires 2 RTTs per object
- OS overhead for each TCP connection
- browsers often open parallel TCP connections to fetch referenced objects

persistent HTTP:

- server leaves connection open after sending response
- subsequent HTTP
 messages between same
 client/server sent over
 open connection
- client sends requests as soon as it encounters a referenced object
- as little as one RTT for all the referenced objects

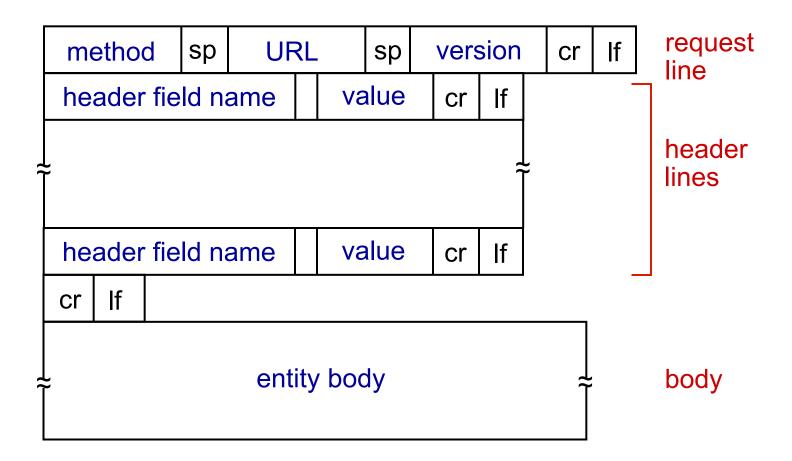
HTTP request message

- two types of HTTP messages: request, response
- HTTP request message:
 - ASCII (human-readable format)

```
line-feed character
request line
(GET, POST,
                    GET /index.html HTTP/1.1\r\n
HEAD commands)
                    Host: www-net.cs.umass.edu\r\n
                    User-Agent: Firefox/3.6.10\r\n
                    Accept: text/html,application/xhtml+xml\r\n
            header
                    Accept-Language: en-us,en;q=0.5\r\n
              lines
                    Accept-Encoding: gzip,deflate\r\n
                    Accept-Charset: ISO-8859-1, utf-8; q=0.7\r\n
                    Keep-Alive: 115\r\n
carriage return,
                    Connection: keep-alive\r\n
line feed at start
                     \r\n
of line indicates
end of header lines
```

carriage return character

HTTP request message: general format



Uploading form input

POST method:

- web page often includes form input
- input is uploaded to server in entity body

URL method:

- uses GET method
- input is uploaded in URL field of request line:

www.somesite.com/animalsearch?monkeys&banana

Method types

HTTP/I.0:

- * GET
- POST
- * HEAD
 - asks server to leave requested object out of response

HTTP/I.I:

- GET, POST, HEAD
- PUT
 - uploads file in entity body to path specified in URL field
- DELETE
 - deletes file specified in the URL field

HTTP response message

```
status line
(protocol
status code
                HTTP/1.1 200 OK\r\n
                Date: Sun, 26 Sep 2010 20:09:20 GMT\r\n
status phrase)
                Server: Apache/2.0.52 (CentOS) \r\n
                Last-Modified: Tue, 30 Oct 2007 17:00:02 GMT
                  \r\n
                ETag: "17dc6-a5c-bf716880"\r\n
     header
                Accept-Ranges: bytes\r\n
       lines
                Content-Length: 2652\r\n
                Keep-Alive: timeout=10, max=100\r\n
                Connection: Keep-Alive\r\n
                Content-Type: text/html;
                  charset=ISO-8859-1\r\n
                \r\n
                data data data data ...
 data, e.g.,
 requested
 HTML file
```

HTTP response status codes

- status code appears in 1st line in server-toclient response message.
- some sample codes:
 - 200 OK
 - request succeeded, requested object later in this msg
 - 301 Moved Permanently
 - requested object moved, new location specified later in this msg (Location:)
 - 400 Bad Request
 - request msg not understood by server
 - 404 Not Found
 - requested document not found on this server
 - 505 HTTP Version Not Supported

Trying out HTTP (client side) for yourself

I. Telnet to your favorite Web server:

```
telnet cis.poly.edu 80
```

opens TCP connection to port 80 (default HTTP server port) at cis.poly.edu. anything typed in sent to port 80 at cis.poly.edu

2. type in a GET HTTP request:

```
GET /~ross/ HTTP/1.1
Host: cis.poly.edu
```

by typing this in (hit carriage return twice), you send this minimal (but complete) GET request to HTTP server

3. look at response message sent by HTTP server!

(or use Wireshark to look at captured HTTP request/response)

User-server state: cookies

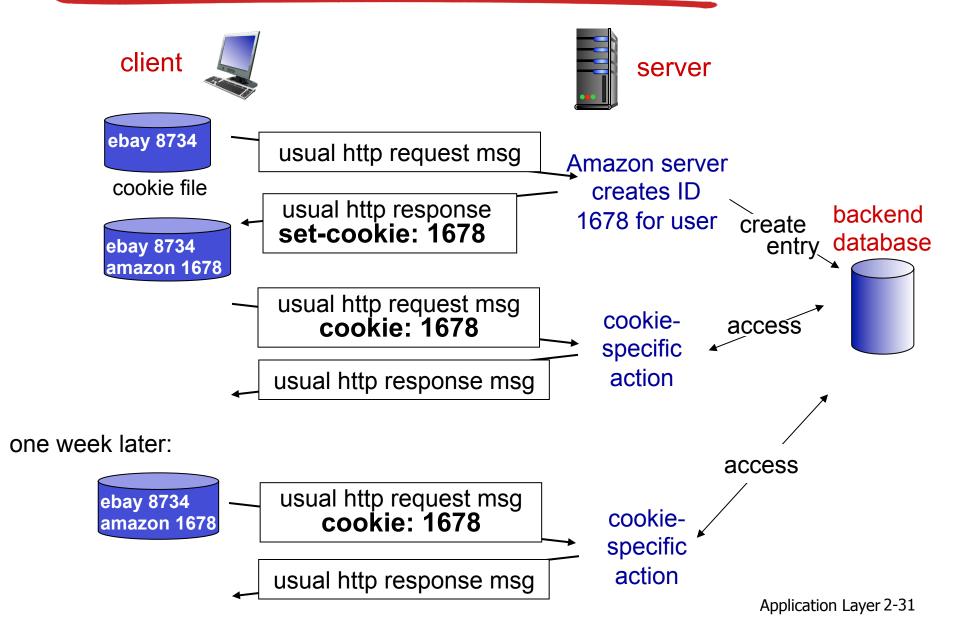
many Web sites use cookies four components:

- I) cookie header line of HTTP response message
- 2) cookie header line in next HTTP request message
- 3) cookie file kept on user's host, managed by user's browser
- 4) back-end database at Web site

example:

- Susan always access Internet from PC
- visits specific e-commerce site for first time
- when initial HTTP requests arrives at site, site creates:
 - unique ID
 - entry in backend database for ID

Cookies: keeping "state" (cont.)



Cookies (continued)

what cookies can be used for:

- authorization
- shopping carts
- recommendations
- user session state (Web e-mail)

cookies and privacy:

- cookies permit sites to learn a lot about you
- you may supply name and e-mail to sites

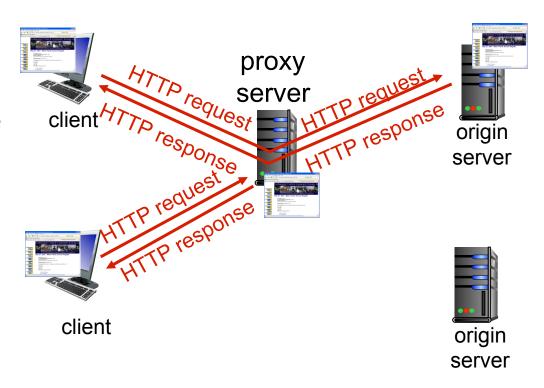
how to keep "state":

- protocol endpoints: maintain state at sender/receiver over multiple transactions
- cookies: http messages carry state

Web caches (proxy server)

goal: satisfy client request without involving origin server

- user sets browser: Web accesses via cache
- browser sends all HTTP requests to cache
 - object in cache: cache returns object
 - else cache requests object from origin server, then returns object to client



More about Web caching

- cache acts as both client and server
 - server for original requesting client
 - client to origin server
- typically cache is installed by ISP (university, company, residential ISP)
- cache is also on browsers!

why Web caching?

- reduce response time for client request
- reduce traffic on an institution's access link
- Internet dense with caches: enables "poor" content providers to effectively deliver content

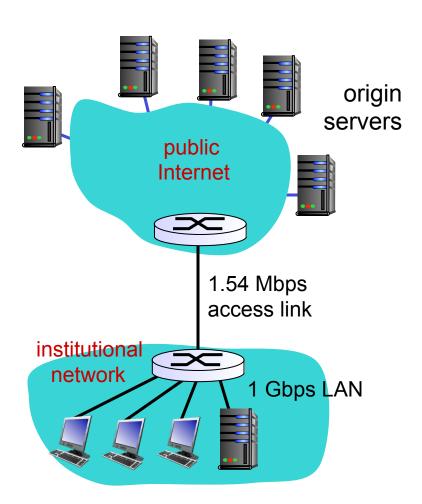
Caching example:

assumptions:

- avg object size: I00K bits
- avg request rate from browsers to origin servers: I 5/sec
- avg data rate to browsers: 1.50 Mbps
- RTT from institutional router to any origin server: 2 sec
- access link rate: 1.54 Mbps

consequences:

- LAN utilization: 15% problem!
- access link utilization = 99%
- total delay = Internet delay + access delay + LAN delay
 - = 2 sec + minutes + usecs



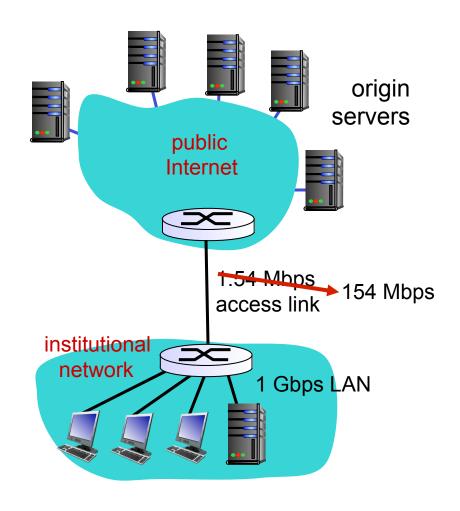
Caching example: fatter access link

assumptions:

- avg object size: 100K bits
- avg request rate from browsers to origin servers: I 5/sec
- avg data rate to browsers: 1.50 Mbps
- RTT from institutional router to any origin server: 2 sec
- * access link rate: 1.54 Mbps 154 Mbps

consequences:

- LAN utilization: 15%
- access link utilization = 99% 9.9%
- total delay = Internet delay + access delay + LAN delay
 - = 2 sec + minutes + usecs msecs



Cost: increased access link speed (not cheap!)

Caching example: install local cache

assumptions:

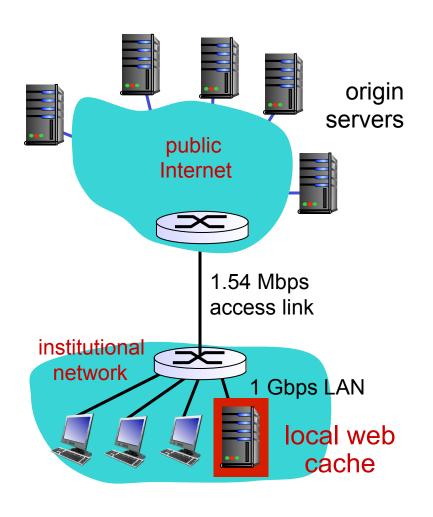
- avg object size: 100K bits
- avg request rate from browsers to origin servers: I 5/sec
- avg data rate to browsers: 1.50 Mbps
- RTT from institutional router to any origin server: 2 sec
- access link rate: 1.54 Mbps

consequences:

- LAN utilization: 15%
- access link utilization = ?
- total delay = ?

How to compute link utilization, delay?

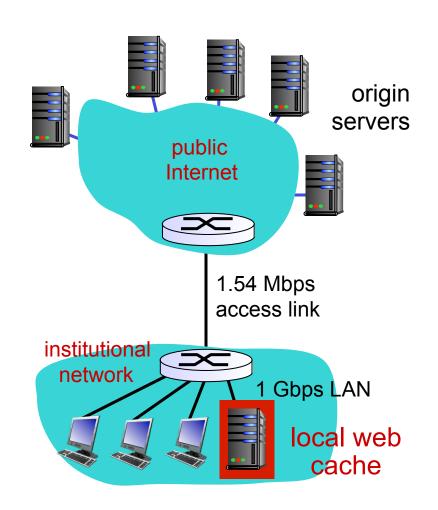
Cost: web cache (cheap!)



Caching example: install local cache

Calculating access link utilization, delay with cache:

- suppose cache hit rate is 0.4
 - 40% requests satisfied at cache,
 60% requests satisfied at origin
- * access link utilization:
 - 60% of requests use access link
- 40% of requests access the content over a IGbps LAN!
- * total delay might be even smaller than with 154 Mbps access link (and cheaper too!)



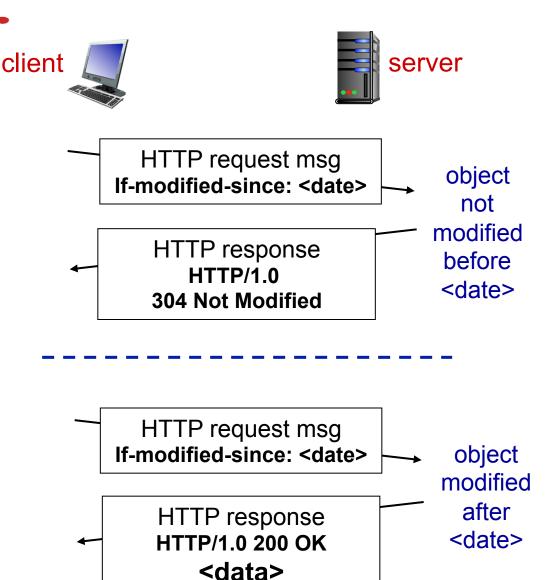
Conditional GET

- Goal: don't send object if cache has up-to-date cached version
 - no object transmission delay
 - lower link utilization
- cache: specify date of cached copy in HTTP request

If-modified-since:
 <date>

 server: response contains no object if cached copy is up-to-date:

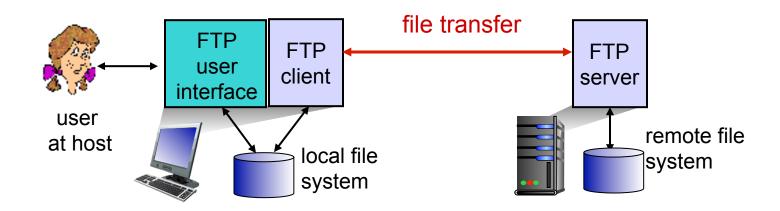
HTTP/1.0 304 Not Modified



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 - app architectures
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- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 electronic mail
 - SMTP, POP3, IMAP
- **2.5 DNS**

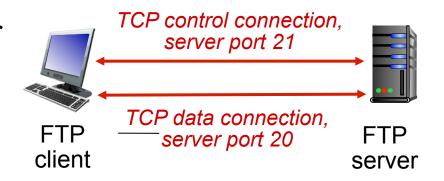
FTP: the file transfer protocol



- transfer file to/from remote host
- client/server model
 - client: side that initiates transfer (either to/from remote)
 - server: remote host
- ftp: RFC 959
- ftp server: port 21

FTP: separate control, data connections

- FTP client contacts FTP server at port 21, using TCP
- client authorized over control connection
- client browses remote directory, sends commands over control connection
- when server receives file transfer command, server opens 2nd TCP data connection (for file) to client
- after transferring one file, server closes data connection



- server opens another TCP data connection to transfer another file
- control connection: "out of band"
- FTP server maintains
 "state": current directory,
 earlier authentication

FTP commands, responses

sample commands:

- sent as ASCII text over control channel
- * USER username
- * PASS password
- LIST return list of file in current directory
- RETR filename retrieves (gets) file
- STOR filename stores (puts) file onto remote host

sample return codes

- status code and phrase (as in HTTP)
- * 331 Username OK, password required
- * 125 data
 connection
 already open;
 transfer starting
- * 425 Can't open data connection
- 452 Error writing
 file

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2.6 P2P applications

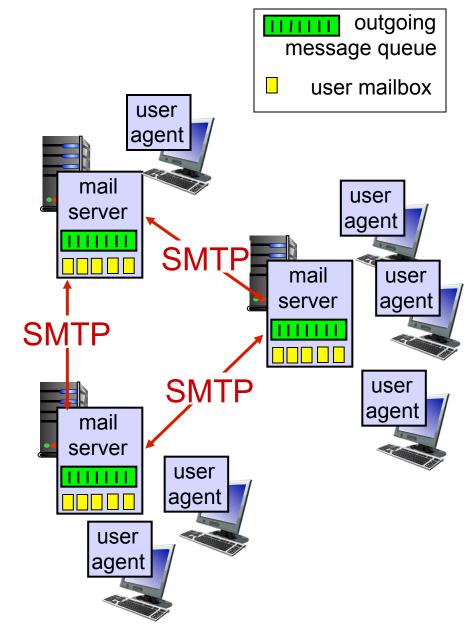
Electronic mail

Three major components:

- user agents
- mail servers
- simple mail transfer protocol: SMTP

User Agent

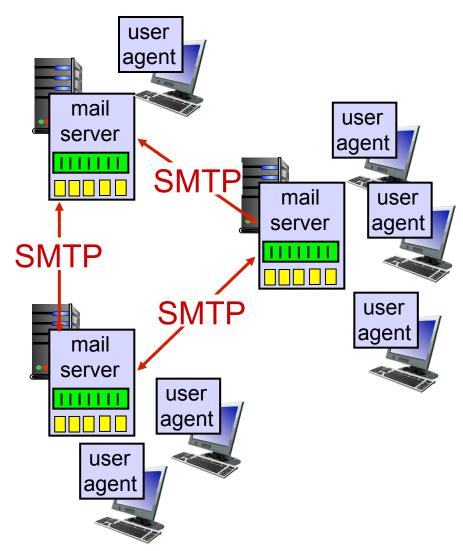
- * a.k.a. "mail reader"
- composing, editing, reading mail messages
- e.g., Outlook, Thunderbird, iPhone mail client
- outgoing, incoming messages stored on server



Electronic mail: mail servers

mail servers:

- mailbox contains incoming messages for user
- message queue of outgoing (to be sent) mail messages
- SMTP protocol between mail servers to send email messages
 - client: sending mail server
 - "server": receiving mail server



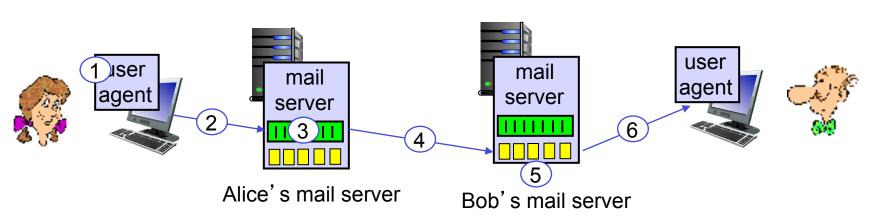
Electronic Mail: SMTP [RFC 2821]

- uses TCP to reliably transfer email message from client to server, port 25
- direct transfer: sending server to receiving server
- three phases of transfer
 - handshaking (greeting)
 - transfer of messages
 - closure
- command/response interaction (like HTTP, FTP)
 - commands: ASCII text
 - response: status code and phrase
- messages must be in 7-bit ASCI

Scenario: Alice sends message to Bob

- I) Alice uses UA to compose message "to" bob@someschool.edu
- 2) Alice's UA sends message to her mail server; message placed in message queue
- 3) client side of SMTP opens TCP connection with Bob's mail server

- 4) SMTP client sends Alice's message over the TCP connection
- 5) Bob's mail server places the message in Bob's mailbox
- 6) Bob invokes his user agent to read message



Sample SMTP interaction

```
S: 220 hamburger.edu
C: HELO crepes.fr
S: 250 Hello crepes.fr, pleased to meet you
C: MAIL FROM: <alice@crepes.fr>
S: 250 alice@crepes.fr... Sender ok
C: RCPT TO: <bob@hamburger.edu>
S: 250 bob@hamburger.edu ... Recipient ok
C: DATA
S: 354 Enter mail, end with "." on a line by itself
C: Do you like ketchup?
C: How about pickles?
C: .
S: 250 Message accepted for delivery
C: QUIT
S: 221 hamburger.edu closing connection
```

Try SMTP interaction for yourself:

- * telnet servername 25
- see 220 reply from server
- enter HELO, MAIL FROM, RCPT TO, DATA, QUIT commands

above lets you send email without using email client (reader)

SMTP: final words

- SMTP uses persistent connections
- SMTP requires message (header & body) to be in 7-bit ASCII
- SMTP server uses
 CRLF.CRLF to
 determine end of message
 - SMTP client adds a '.' if a line of the email text starts with a '.'
 - SMTP server removes the first character if it is a '.' and there are other characters on the line

comparison with HTTP:

- HTTP: pull
- SMTP: push
- both have ASCII command/response interaction, status codes
- HTTP: each object encapsulated in its own response msg
- SMTP: multiple objects sent in multipart msg

Mail message format

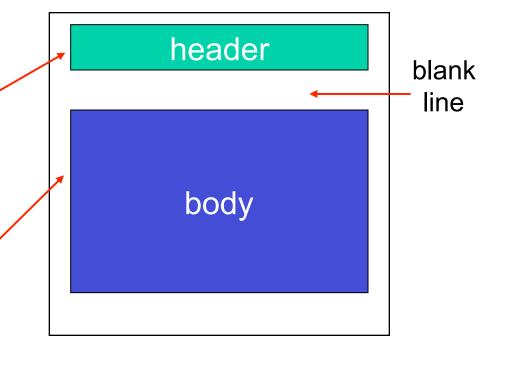
SMTP: protocol for exchanging email msgs

RFC 822: standard for text message format:

- header lines, e.g.,
 - To:
 - From:
 - Subject:

different from SMTP MAIL FROM, RCPT TO: commands!

- Body: the "message"
 - ASCII characters only



Message format: multimedia extensions

- MIME: multimedia mail extension, RFC 2045, 2056
- additional lines in msg header declare MIME content type

MIME version

method used
to encode data

type, subtype,
parameter declaration

mime version

To: bob@hamburger.edu
Subject: Picture of yummy crepe.
MIME-Version: 1.0
Content-Transfer-Encoding: base64
Content-Type: image/jpeg

base64 encoded data
.....base64 encoded data
.....

MIME types

Text

example subtypes: plain,
html

Image

example subtypes: jpeg,
gif

Audio

exampe subtypes: basic (8-bit mu-law encoded), 32kadpcm
 (32 kbps coding)

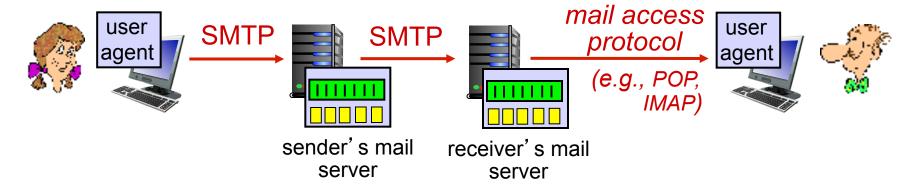
Video

example subtypes: mpeg, quicktime

Application

- other data that must be processed by reader before "viewable"
- example subtypes: msword,
 octet-stream

Mail access protocols



- * SMTP: delivery/storage to receiver's server
- mail access protocol: retrieval from server
 - POP: Post Office Protocol [RFC 1939]: authorization, download
 - IMAP: Internet Mail Access Protocol [RFC 1730]: more features, including manipulation of stored msgs on server
 - HTTP: gmail, Hotmail, Yahoo! Mail, etc.

POP3 protocol

authorization phase

- client commands:
 - user: declare username
 - pass: password
- server responses
 - +OK
 - -ERR

transaction phase, client:

- list: list message numbers
- retr: retrieve message by number
- dele: delete
- quit

```
S: +OK POP3 server ready C: user bob
```

S: +OK

C: pass hungry

S: +OK user successfully logged on

C: list
S: 1 498
S: 2 912

S: .

C: retr 1

S: <message 1 contents>

S: .

C: dele 1

C: retr 2

S: <message 1 contents>

S:

C: dele 2

C: quit

S: +OK POP3 server signing off

POP3 (more) and IMAP

more about POP3

- previous example uses POP3 "download and delete" mode
 - Bob cannot re-read email if he changes client
- POP3 "download-andkeep": copies of messages on different clients
- POP3 is stateless across sessions

IMAP

- keeps all messages in one place: at server
- allows user to organize messages in folders
- keeps user state across sessions:
 - names of folders and mappings between message IDs and folder name

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2.6 P2P applications

DNS: domain name system

(Already discussed...See other slides)

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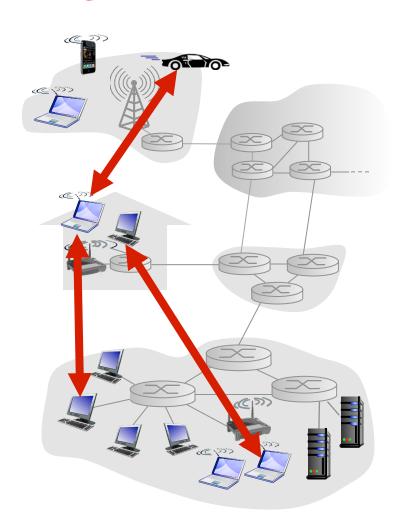
2.6 P2P applications

Pure P2P architecture

- no always-on server
- arbitrary end systems directly communicate
- peers are intermittently connected and change IP addresses

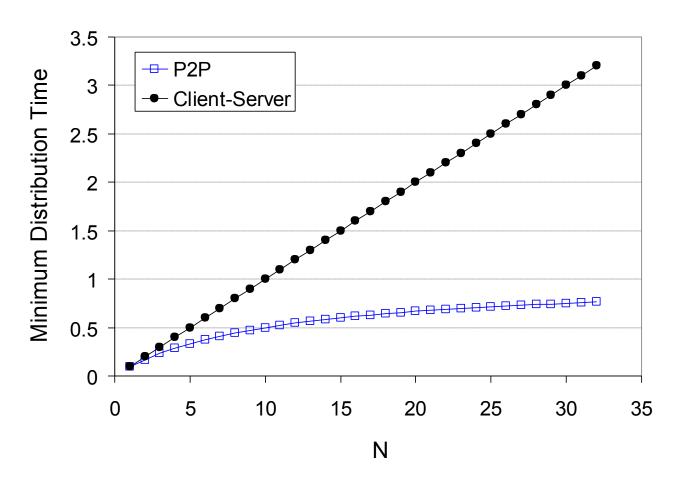
examples:

- file distribution (BitTorrent)
- Streaming (SoapCast)
- VoIP (Skype)



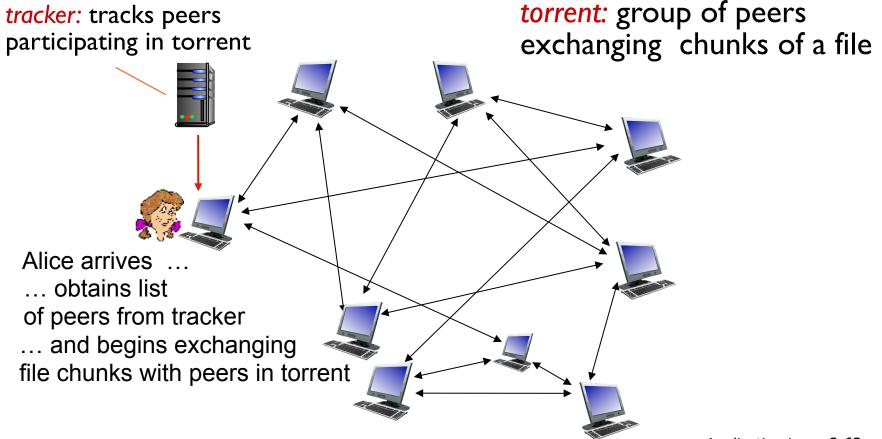
Client-server vs. P2P: example

File distribution time as a function of the number of users



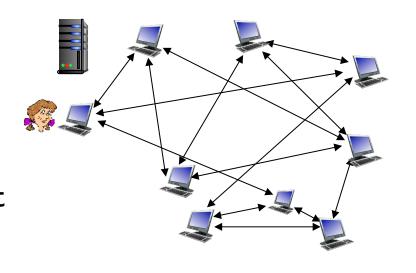
P2P file distribution: BitTorrent

- file divided into 256Kb chunks
- peers in torrent send/receive file chunks



P2P file distribution: BitTorrent

- peer joining torrent:
 - has no chunks, but will accumulate them over time from other peers
 - registers with tracker to get list of peers, connects to subset of peers ("neighbors")



- while downloading, peer uploads chunks to other peers
- peer may change peers with whom it exchanges chunks
- churn: peers may come and go
- once peer has entire file, it may (selfishly) leave or (altruistically) remain in torrent

BitTorrent: requesting, sending file chunks

requesting chunks:

- at any given time, different peers have different subsets of file chunks
- periodically, Alice asks each peer for list of chunks that they have
- Alice requests missing chunks from peers, rarest first

sending chunks: tit-for-tat

- Alice sends chunks to those four peers currently sending her chunks at highest rate
 - other peers are choked by Alice (do not receive chunks from her)
 - re-evaluate top 4 every 10 secs
- every 30 secs: randomly select another peer, starts sending chunks
 - "optimistically unchoke" this peer
 - newly chosen peer may join top 4

Distributed Hash Table (DHT)

- ❖ DHT: a distributed P2P database
- database has (key, value) pairs; examples:
 - key: ss number; value: human name
 - key: movie title; value: IP address
- Distribute the (key, value) pairs over the (millions of peers)
- a peer queries DHT with key
 - DHT returns values that match the key
- peers can also insert (key, value) pairs

Q: how to assign keys to peers?

- central issue:
 - assigning (key, value) pairs to peers.
- basic idea:
 - convert each key to an integer
 - Assign integer to each peer
 - put (key,value) pair in the peer that is closest to the key

DHT identifiers

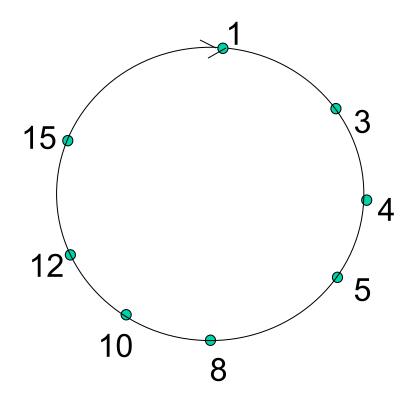
- * assign integer identifier to each peer in range $[0,2^n-1]$ for some n.
 - each identifier represented by n bits.

- require each key to be an integer in same range
- to get integer key, hash original key
 - e.g., key = hash("Led Zeppelin IV")
 - this is why its is referred to as a distributed "hash" table

Assign keys to peers

- rule: assign key to the peer that has the closest ID.
- convention in lecture: closest is the immediate successor of the key.
- \bullet e.g., n=4; peers: 1,3,4,5,8,10,12,14;
 - key = 13, then successor peer = 14
 - key = 15, then successor peer = 1

Circular DHT (I)



- each peer only aware of immediate successor and predecessor.
- "overlay network"

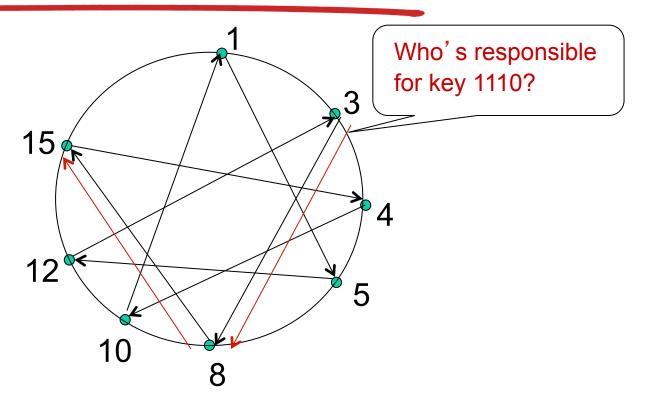
Circular DHT (I)

successor

O(N) messages on avgerage to resolve 0001 Who's responsible query, when there for key 1110 ? I am are N peers 001 1111 1110 0100 1110 1110 1100 0101 1110 1110 1110 Define <u>closest</u> 1010 as closest

1000

Circular DHT with shortcuts



- each peer keeps track of IP addresses of predecessor, successor, short cuts.
- reduced from 6 to 2 messages.
- possible to design shortcuts so O(log N) neighbors, O(log N) messages in query

Chapter 2: summary

our study of network apps now complete!

- application architectures
 - client-server
 - P2P
- application service requirements:
 - reliability, bandwidth, delay
- Internet transport service model
 - connection-oriented, reliable: TCP
 - unreliable, datagrams: UDP

- specific protocols:
 - HTTP
 - FTP
 - SMTP, POP, IMAP
 - DNS
 - P2P: BitTorrent, DHT

Chapter 2: summary

most importantly: learned about protocols!

- typical request/reply message exchange:
 - client requests info or service
 - server responds with data, status code
- message formats:
 - headers: fields giving info about data
 - data: info being communicated

important themes:

- control vs. data msgs
 - in-band, out-of-band
- centralized vs. decentralized
- stateless vs. stateful
- reliable vs. unreliable msg transfer
- "complexity at network edge"