

DEALING INSTRUCTION

ADVISER - THIRD PARTY MANDATE HELD



Please complete by typing into the highlighted areas or by using black pen and BLOCK CAPITALS. Once the form has been completed and signed, simply email a PDF copy to **investments@nedbankprivatewealth.com** or fax to **+44 (0)1624 663484**. Trades placed on an Execution Only basis are done so without any reliance being placed on the Bank for advice or judgement as to the merits or suitability of the transaction.

Any FX's will be completed as required by the Bank if the Asset and Settlement Currencies below differ from each other. All tickets should be authorised in accordance with the mandate held on file by Nedbank Private Wealth. Unless you request otherwise in the special instructions box below, any cash amounts instructed by you will not take into account the associated dealing charges. These will be applied post trade and will affect the overall consideration of the trade. The net consideration may therefore be higher for purchases or lower for sales. Please include the currency of any cash instructions.

COMPANY NAME		CONTACT NAME	
COUNTRY OF REGISTRATION (of the company)		CONTACT TELEPHONE NUMBER/ EMAIL ADDRESS	
ACCOUNT NAME(S)		<input type="checkbox"/> DISCRETIONARY CLIENT <input type="checkbox"/> ADVISORY CLIENT <input type="checkbox"/> EXECUTION ONLY CLIENT	
ACCOUNT NUMBER			

London Branch Accounts Only – If you are the Discretionary Manager, please ensure you have provided Nedbank Private Wealth with your Legal Entity Identifier (LEI) or Natural Person Identifier (NPI), if applicable. By ticking Advisory Client or Execution Only Client you are confirming that you hold the relevant permission from the account holder(s) to proceed with these trades and that the account holder(s) are the decision makers. Please note: Under the MIFID II regulation, in the absence of this information being held by Nedbank Private Wealth we may be unable to proceed with your trades.

SEDOL/ISIN	BUY/ SELL/ SWITCH	ORDER SIZE		STOCK NAME (in full)	CURRENCY OF ASSET	CLIENT SETTLEMENT CURRENCY	LIMIT PRICE (if applicable)
		CASH - CURRENCY AND AMOUNT	UNITS/NOMINAL				

SPECIAL INSTRUCTIONS LEI / NPI (if applicable)

Packaged Retail and Insurance-based Investment Products (PRIIPs) / UCITS Funds – I/we, the undersigned, acknowledge and confirm that the client(s) named above have been provided with, read and understood the latest version of the Key Information Document (KID) for the PRIIPs and / or the Key Investor Information Document (KIID) for the UCITS fund(s) listed above. NOTE: disclaimer only applicable if non-discretionary client.

Complex Products (eg structured notes) – I confirm that we, the adviser company named above, have assessed the suitability of the investment product detailed above and provided the client with the necessary financial advice for them to understand the risks associated with this type of investment product.

AUTHORISED NAME		AUTHORISED SIGNATURE		DATE (DD/MM/YYYY)	
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