1 Inputs

The parameter s will be computed from the following inputs:

- σ Local stress tensor. σ is defined in the global simulation coordinate system. The only significant components are σ_{xz} and σ_{yz} .
- $\theta \in [0, 2\pi)$ Angle of kink direction, measured from the positive x-axis of the simulation coordinate system. In the bcc system there are six possible kink directions: $\theta = \frac{\pi}{3}k \quad (k = 0...5)$.

The unit normal of the kink plane is

$$\boldsymbol{n}_k = (-\sin\theta, \cos\theta, 0)^T \tag{1}$$

2 Schmid law

According to Schmid's law, the RSS for a general plane can be calculated as:

$$\sigma_{\rm RSS} = \boldsymbol{t} \cdot \boldsymbol{\sigma} \cdot \boldsymbol{n} \tag{2}$$

where $\mathbf{t} = \mathbf{b}/|\mathbf{b}| = (0,0,1)$ and \mathbf{n} are unit vectors representing the slip direction and slip plane normal. Expanding Eq. 2 and using Eq. 1 results in:

$$\sigma_{\rm RSS}(\theta) = -\sigma_{xz}\sin\theta + \sigma_{yz}\cos\theta \tag{3}$$

3 Maximum resolved shear stress (MRSS)

The direction of maximum resolved shear stress is denoted by the angle θ_{MRSS} and can be computed from the stress tensor components:

$$\theta_{\text{MRSS}} = \arctan\left(-\frac{\sigma_{xz}}{\sigma_{yz}}\right).$$
 (4)

The value of the MRSS can also be computed from the components of the stress tensor as follows:

$$\sigma_{\rm MRSS} = \sqrt{\sigma_{xz}^2 + \sigma_{yz}^2} \tag{5}$$

PROOF: Insert Eq. 4 into Eq. 3:

$$\sigma_{\rm MRSS} = \sigma_{\rm RSS}(\theta_{\rm MRSS})$$
 (6)

$$= -\sigma_{xz} \sin \left[\arctan \left(-\frac{\sigma_{xz}}{\sigma_{yz}} \right) \right] + \sigma_{yz} \cos \left[\arctan \left(-\frac{\sigma_{xz}}{\sigma_{yz}} \right) \right]$$
 (7)

$$= \sigma_{xz} \cdot \frac{\sigma_{xz}}{\sigma_{yz}} / \sqrt{1 + \frac{\sigma_{xz}^2}{\sigma_{yz}^2}} + \sigma_{yz} / \sqrt{1 + \frac{\sigma_{xz}^2}{\sigma_{yz}^2}}$$
 (8)

$$= \sigma_{xz}^{2} / \sqrt{\sigma_{yz}^{2} + \sigma_{xz}^{2}} + \sigma_{yz}^{2} / \sqrt{\sigma_{yz}^{2} + \sigma_{xz}^{2}}$$
 (9)

$$= \sqrt{\sigma_{xz}^2 + \sigma_{yz}^2} \tag{10}$$

4 Critical shear stress

The direction of maximum resolved shear stress, θ_{MRSS} , and the kink direction, θ , form the angle χ :

$$\chi = \theta_{\text{MRSS}} - \theta \tag{11}$$

We can imagine an experiment in which θ_{MRSS} is fixed and σ_{MRSS} is incremented in small steps until slip occurs on the kink plane given by θ . We call this critical value of σ_{MRSS} the *Critical Resolved Shear Stress* (CRSS) $\sigma_c(\chi)$. It depends on the angle χ between the kink plane and the MRSS plane. Furthermore, we can define a parameter

$$s(\chi) = \frac{\sigma_{\text{MRSS}}}{\sigma_c(\chi)},\tag{12}$$

which measures the fraction of the critical stress reached at current level of stress. The condition $s(\chi) = 1$ means that the shear stress resolved on the direction $\theta_{\text{MRSS}} = \theta + \chi$ reaches the critical level required to let the dislocation move in the glide direction θ . The above discussion applies to both Schmid and non-Schmid behavior.

5 Critical stress and the Schmid law

In a material that follows Schmid's law, the CRSS $\sigma_c(\chi)$ exhibits a $1/\cos\chi$ dependence:

$$\sigma_c(\chi) = \frac{\sigma_P}{\cos \chi} \tag{13}$$

with σ_P being a material constant. We now have to show that this interpretation really corresponds to the classical formulation of Schmid's law (Eq. 3). Given that σ_P is the critical resolved stress that must be reached on the glide plane to trigger slip, then the

actual resolved shear stress on the glide plane is $\sigma_{RSS} = s \cdot \sigma_P$. We begin by inserting Eqs. 12 and 13:

$$\sigma_{\rm RSS} = s(\chi) \cdot \sigma_P \tag{14}$$

$$= \frac{\sigma_{\text{MRSS}}}{\sigma_c(\chi)} \cdot \sigma_P \tag{15}$$

$$= \frac{\sigma_{\text{MRSS}}}{\sigma_c(\chi)} \cdot \sigma_P$$

$$= \frac{\sigma_{\text{MRSS}}}{\sigma_P/\cos\chi} \cdot \sigma_P$$
(15)

$$= \sigma_{\text{MRSS}} \cos \chi \tag{17}$$

$$= \sigma_{\text{MRSS}} \cos(\theta_{\text{MRSS}} - \theta) \tag{18}$$

$$= \sigma_{\text{MRSS}} \left[\sin \theta_{\text{MRSS}} \sin \theta + \cos \theta_{\text{MRSS}} \cos \theta \right] \tag{19}$$

$$= \underbrace{\sigma_{\text{MRSS}} \sin \theta_{\text{MRSS}}}_{=-\sigma_{xz}} \sin \theta + \underbrace{\sigma_{\text{MRSS}} \cos \theta_{\text{MRSS}}}_{=\sigma_{yz}} \cos \theta \tag{20}$$

$$= -\sigma_{xz}\sin\theta + \sigma_{yz}\cos\theta \tag{21}$$

This is indeed identical to the Schmid law (Eq. 3). Note that we have shown the validity of definition (12) for arbitrary θ (and θ_{MRSS} or χ).

6 Implementation of non-Schmid corrections

For materials that exhibit non-Schmid effects, we have to modify the CRSS's dependence on χ given by Eq. 13. We use the following modified form to account for non-Schmid effects:

$$\sigma_c(\chi) = \sigma_P \frac{a_1}{\cos \chi + a_2 \cos(\chi + \frac{\pi}{3})}.$$
 (22)

Computing the parameter s:

$$s(\theta, \chi) = \frac{\sigma_{\text{MRSS}}}{\sigma_c(\chi)}$$

$$= \sigma_{\text{MRSS}} \frac{\cos \chi + a_2 \cos(\chi + \frac{\pi}{3})}{\sigma_P a_1}$$

$$= \sqrt{\sigma_{xz}^2 + \sigma_{yz}^2} \frac{\cos \chi + a_2 \cos(\chi + \frac{\pi}{3})}{\sigma_P a_1}$$

$$(23)$$

$$= \sigma_{\text{MRSS}} \frac{\cos \chi + a_2 \cos(\chi + \frac{\pi}{3})}{\sigma_P a_1} \tag{24}$$

$$= \sqrt{\sigma_{xz}^2 + \sigma_{yz}^2 \frac{\cos \chi + a_2 \cos(\chi + \frac{\pi}{3})}{\sigma_P a_1}}$$
 (25)

with $\chi = \theta_{\text{MRSS}} - \theta = \arctan\left(-\frac{\sigma_{xz}}{\sigma_{yz}}\right) - \theta$.

We finally show that the normal Schmid behavior can be retained by setting $a_1 = 1, a_2 =$ 0:

$$s(\theta, \chi) = \sigma_{\text{MRSS}} \frac{\cos \chi + a_2 \cos(\chi + \frac{\pi}{3})}{\sigma_P a_1}$$
 (26)

$$= \sigma_{\text{MRSS}} \frac{\cos \chi}{\sigma_P}$$

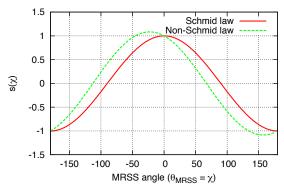
$$= \left[-\sigma_{xz} \sin \theta + \sigma_{yz} \cos \theta \right] / \sigma_P$$
(27)

$$= \left[-\sigma_{xz} \sin \theta + \sigma_{yz} \cos \theta \right] / \sigma_P \tag{28}$$

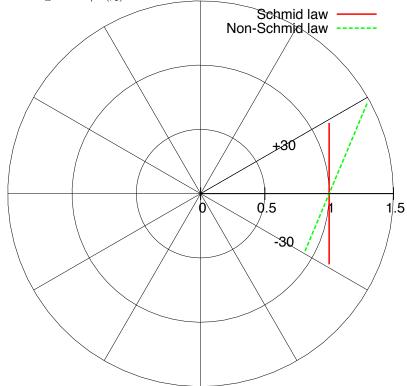
In the last step we re-used the derivations (17)-(21).

7 Numerical test 1

We consider the following scenario: $\theta = 0$, $\chi = \sigma_{MRSS} \in [0, 2\pi)$, $a_1 = 1.32$, $a_2 = 0.64$



Plotting $r = 1/s(\chi)$:



8 Numerical test 2

 $\theta = -60$ degrees:

