

# **DM505 Database Design and Programming**

## **DM576 Database Systems**

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# Subqueries

- A query that is part of another query.
- There are several ways that subqueries can be used:
  - Subqueries can **appear in FROM clauses**, followed by a tuple.
  - Subqueries can return **a single constant**, which can be compared to another value in a WHERE clause.
  - Subqueries can return **relations**, which can be compared to another value in WHERE clauses.

# Subqueries That Return One Tuple

- If a subquery is guaranteed to produce one tuple, then the subquery can be used as a value
  - Usually, the tuple has one component
  - A run-time error occurs if there is no tuple or more than one tuple

# Example: Single-Tuple Subquery

- Using `Sells(bar, beer, price)`, find the bars that serve Pilsener for the same price Cafe Chino charges for Od.Cl.
- Two queries would surely work:
  1. Find the price Cafe Chino charges for Od.Cl.
  2. Find the bars that serve Pilsener at that price

# Query + Subquery Solution

SELECT bar

FROM Sells

WHERE beer = 'Pilsener' AND


price = (

SELECT price

FROM Sells

WHERE bar = 'Cafe Chino'  
AND beer = 'Od.Cl.' );

The price at  
Which C.Ch.  
sells Od.Cl.



# Subqueries That Return Relations

- There are a number of SQL operators that we can apply to a relation  $R$  and produce a boolean result.
  - IN
  - EXISTS
  - ALL
  - ANY

# The IN Operator

- `<tuple> IN (<subquery>)` is true if and only if the tuple is a member of the relation produced by the subquery
  - Opposite: `<tuple> NOT IN (<subquery>)`
- IN-expressions can appear in WHERE clauses

# Example: IN

- Using **Beers(name, manf)** and **Likes(drinker, beer)**, find the name and manufacturer of each beer that Peter likes

SELECT \*

FROM Beers

WHERE name IN (SELECT beer  
FROM Likes  
WHERE drinker= ' Peter' );

The set of  
Beers Peter  
likes





# What is the difference?

`R (a, b) ; S (b, c)`

`SELECT a`

`FROM R, S`

`WHERE R.b = S.b ;`

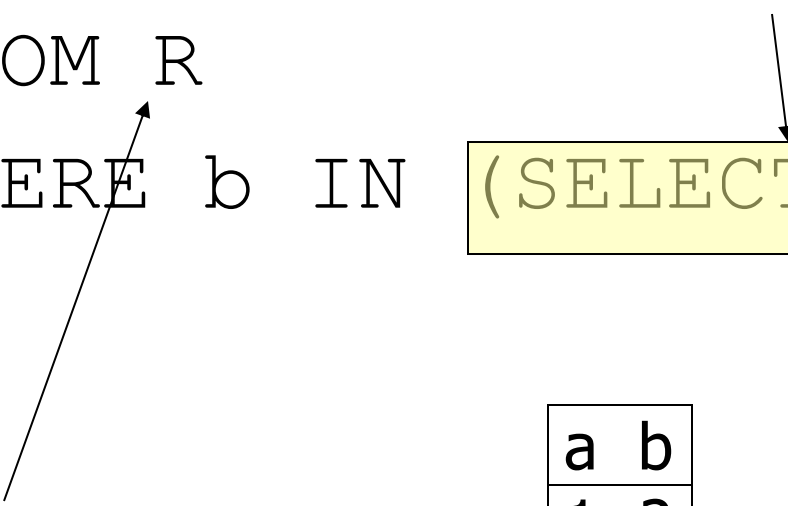
`SELECT a`

`FROM R`

`WHERE b IN (SELECT b FROM S) ;`

# IN is a Predicate About R' s Tuples

```
SELECT a
FROM R
WHERE b IN (SELECT b FROM S);
```



One loop, over  
the tuples of R

a	b
1	2
3	4

R

b	c
2	5
2	6

S

(1,2) satisfies  
the condition;  
1 is output once

# This Query Pairs Tuples from R, S

```
SELECT a
FROM R, S
WHERE R.b = S.b;
```

Double loop, over  
the tuples of R and S



a	b
1	2
3	4

R

b	c
2	5
2	6

S

(1,2) with (2,5)  
and (1,2) with  
(2,6) both satisfy  
the condition;  
1 is output twice

# The Exists Operator

- EXISTS(<subquery>) is true if and only if the subquery result is not empty
- **Example:** From Beers(name, manf), find those beers that are the unique beer by their manufacturer

# Example: EXISTS

```
SELECT name  
FROM Beers b1  
WHERE NOT EXISTS (
```

Notice scope rule: manf refers to closest nested FROM with a relation having that attribute

Set of beers with the same manf as b1, but not the same beer

```
SELECT *  
FROM Beers  
WHERE manf = b1.manf AND  
      name <> b1.name);
```

Notice the SQL “not equals” operator

# The Operator ANY

- $x = \text{ANY}(\langle \text{subquery} \rangle)$  is a boolean condition that is true iff  $x$  equals at least one tuple in the subquery result
  - $=$  could be any comparison operator.
- **Example:**  $x \geq \text{ANY}(\langle \text{subquery} \rangle)$  means  $x$  is not the uniquely smallest tuple produced by the subquery
  - Note tuples must have one component only

# The Operator ALL

- $x <> \text{ALL}(<\text{subquery}>)$  is true iff for every tuple  $t$  in the relation,  $x$  is not equal to  $t$ 
  - That is,  $x$  is not in the subquery result
- $<>$  can be any comparison operator
- **Example:**  $x \geq \text{ALL}(<\text{subquery}>)$   
means there is no tuple larger than  $x$  in the subquery result

# Example: ALL

- From **Sells(bar, beer, price)**, find the beer(s) sold for the highest price

SELECT beer

FROM Sells

WHERE price >= ALL(  
SELECT price  
FROM Sells);

price from the outer  
Sells must not be  
less than any price.



# Example: Subquery in FROM

- Find the beers liked by at least one person who frequents Cafe Chino

SELECT beer

FROM Likes, (SELECT drinker

FROM Frequents

WHERE bar = 'C.Ch.') CCD

WHERE Likes.drinker = CCD.drinker;

Drinkers who  
frequent C.Ch.



# Exercise

**Exercise 6.3.2:** Write the following queries, based on the database schema

```
Classes(class, type, country, numGuns, bore, displacement)
Ships(name, class, launched)
Battles(name, date)
Outcomes(ship, battle, result)
```

of Exercise 2.4.3. You should use at least one subquery in each of your answers and write each query in two significantly different ways (e.g., using different sets of the operators EXISTS, IN, ALL, and ANY).

- (a) Find the countries whose ships had the largest number of guns.
- ! b) Find the classes of ships, at least one of which was sunk in a battle.
- (c) Find the names of the ships with a 16-inch bore.
- (d) Find the battles in which ships of the Kongo class participated.
- !! e) Find the names of the ships whose number of guns was the largest for those ships of the same bore.

# Extended Relational Algebra

# The Extended Algebra

$\delta$  = eliminate duplicates from bags

$\tau$  = sort tuples

$\gamma$  = grouping and aggregation

*Outerjoin*: avoids “dangling tuples” =  
tuples that do not join with anything

# Duplicate Elimination

- $R_1 := \delta(R_2)$
- $R_1$  consists of one copy of each tuple that appears in  $R_2$  one or more times

# Example: Duplicate Elimination

$R =$  (

A	B
1	2
3	4
1	2

$\delta(R) =$

A	B
1	2
3	4

# Sorting

- $R_1 := \tau_L(R_2)$ 
  - $L$  is a list of some of the attributes of  $R_2$
- $R_1$  is the list of tuples of  $R_2$  sorted lexicographically according to the attributes in  $L$ , i.e., first on the value of the first attribute on  $L$ , then on the second attribute of  $L$ , and so on
  - Break ties arbitrarily
- $\tau$  is the only operator whose result is neither a set nor a bag

# Example: Sorting

$R =$  (

A	B
1	2
3	4
5	2

)

$$\tau_B(R) = [(5,2), (1,2), (3,4)]$$



# Aggregation Operators

- Aggregation operators are not operators of relational algebra
- Rather, they apply to entire columns of a table and produce a single result
- The most important examples: SUM, AVG, COUNT, MIN, and MAX

# Example: Aggregation

R = (

A	B
1	3
3	4
3	2

)

SUM(A) = 7

COUNT(A) = 3

MAX(B) = 4

AVG(B) = 3

# Grouping Operator

- $R_1 := \gamma_L (R_2)$

$L$  is a list of elements that are either:

1. Individual (*grouping*) attributes
2.  $AGG(A)$ , where  $AGG$  is one of the aggregation operators and  $A$  is an attribute
  - An arrow and a new attribute name renames the component

# Applying $\gamma_L(R)$

- Group  $R$  according to all the grouping attributes on list  $L$ 
  - That is: form one group for each distinct list of values for those attributes in  $R$
- Within each group, compute  $AGG(A)$  for each aggregation on list  $L$
- Result has one tuple for each group:
  1. The grouping attributes and
  2. Their group's aggregations

# Example: Grouping/Aggregation

$R =$  (

A	B	C
1	2	3
4	5	6
1	2	5

Then, average  $C$   
within groups:

A	B	X
1	2	4
4	5	6

$\gamma_{A,B,AVG(C) \rightarrow X}(R) = ??$

First, group  $R$  by  $A$  and  $B$ :

A	B	C
1	2	3
1	2	5
4	5	6

# Outerjoin

- Suppose we join  $R \bowtie_c S$
- A tuple of  $R$  that has no tuple of  $S$  with which it joins is said to be *dangling*
  - Similarly for a tuple of  $S$
- Outerjoin preserves dangling tuples by padding them NULL

# Example: Outerjoin

R = (

A	B
1	2
4	5

S = (

B	C
2	3
6	7

(1,2) joins with (2,3), but the other two tuples are dangling

R OUTERJOIN S =

A	B	C
1	2	3
4	5	NULL
NULL	6	7

# Exercise

**Exercise 5.2.1:** Here are two relations:

$$R(A, B): \{(0, 1), (2, 3), (0, 1), (2, 4), (3, 4)\}$$

$$S(B, C): \{(0, 1), (2, 4), (2, 5), (3, 4), (0, 2), (3, 4)\}$$

Compute the following: a)  $\pi_{A+B, A^2, B^2}(R)$ ; b)  $\pi_{B+1, C-1}(S)$ ; c)  $\tau_{B,A}(R)$ ;  
d)  $\tau_{B,C}(S)$ ; e)  $\delta(R)$ ; f)  $\delta(S)$ ; g)  $\gamma_{A, \text{SUM}(B)}(R)$ ; h)  $\gamma_{B, \text{AVG}(C)}(S)$ ; i)  $\gamma_A(R)$ ;  
! j)  $\gamma_{A, \text{MAX}(C)}(R \bowtie S)$ ; k)  $R \bowtie_L S$ ; l)  $R \bowtie_R S$ ; m)  $R \bowtie S$ ; n)  $R \bowtie_{R.B < S.B} S$ .



# Summary 4

More things you should know:

- Subqueries in SQL
- Duplicate Elimination
- Sorting
- Aggregation
- Grouping
- Outer Joins