

# **DM505 Database Design and Programming**

## **DM576 Database Systems**

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# Relational Schema Design

- Goal of relational schema design is to avoid anomalies and redundancy
  - *Update anomaly*: one occurrence of a fact is changed, but not all occurrences
  - *Deletion anomaly*: valid fact is lost when a tuple is deleted

# Example of Bad Design

Drinkers(name, addr, beersLiked, manf, favBeer)

name	addr	beersLiked	manf	favBeer
Peter	Campusvej	Odense Cl.	Alb.	Erdinger W.
Peter	???	Erdinger W.	Erd.	???
Lars	NULL	Odense Cl.	???	Odense Cl.

Data is redundant, because each of the ???'s can be figured out by using the FDs **name → addr favBeer** and **beersLiked → manf**

# This Bad Design Also Exhibits Anomalies

Drinkers(name, addr, beersLiked, manf, favBeer)

name	addr	beersLiked	manf	favBeer
Peter	Campusvej	Odense Cl.	Alb.	Erdinger W.
Peter	Campusvej	Erdinger W.	Erd.	Erdinger W.
Lars	NULL	Odense Cl.	Alb.	Odense Cl.

- **Update anomaly:** if Peter moves to Niels Bohrs Alle, will we remember to change each of his tuples?
- **Deletion anomaly:** If nobody likes Odense Classic, we lose track of the fact that Albani manufactures Odense Classic

# Boyce-Codd Normal Form

- We say a relation  $R$  is in *BCNF* if whenever  $X \rightarrow Y$  is a nontrivial FD that holds in  $R$ ,  $X$  is a superkey
  - Remember: *nontrivial* means  $Y$  is not contained in  $X$
  - Remember, a *superkey* is any superset of a key

# Example

Drinkers(name, addr, beersLiked, manf, favBeer)

FDs: name  $\rightarrow$  addr favBeer, beersLiked  $\rightarrow$  manf

- Only key is {name, beersLiked}
- In each FD, the left side is *not* a superkey
- Any one of these FDs shows *Drinkers* is not in BCNF

# Another Example

Beers(name, manf, manfAddr)

FDs:  $\text{name} \rightarrow \text{manf}$ ,  $\text{manf} \rightarrow \text{manfAddr}$

- Only key is  $\{\text{name}\}$
- $\text{Name} \rightarrow \text{manf}$  does not violate BCNF, but  $\text{manf} \rightarrow \text{manfAddr}$  does

# Decomposition into BCNF

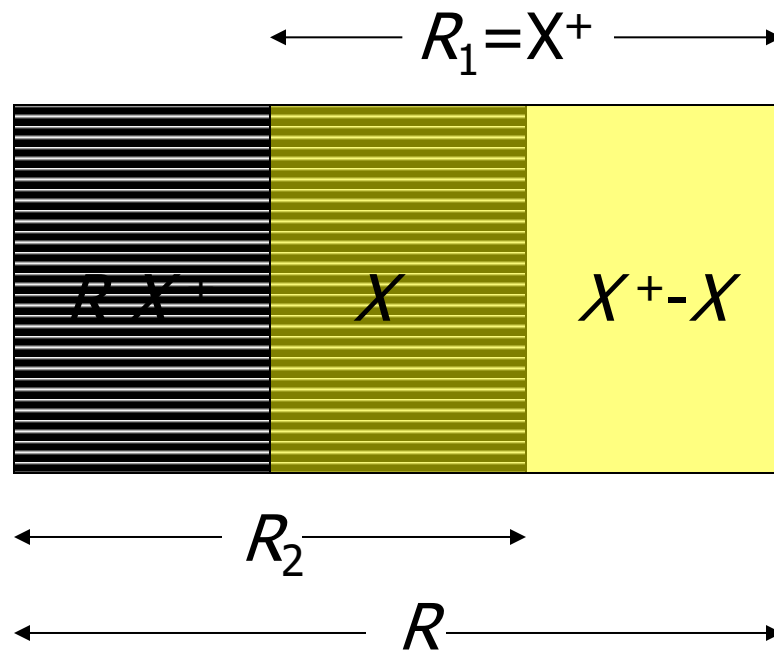
- Given: relation  $R$  with FDs  $F$
- Look among the given FDs for a BCNF violation  $X \rightarrow Y$ 
  - If any FD following from  $F$  violates BCNF, then there will surely be a FD in  $F$  itself that violates BCNF



# Decompose $R$ Using $X \rightarrow Y$

- Compute  $X^+$
- Replace  $R$  by relations with schemas:
  1.  $R_1 = X^+$
  2.  $R_2 = R - (X^+ - X)$
- *Project* given FDs  $F$  onto the two new relations

# Decomposition Picture



# Example: BCNF Decomposition

Drinkers(name, addr, beersLiked, manf, favBeer)

$F = \text{name} \rightarrow \text{addr}, \quad \text{name} \rightarrow \text{favBeers}$   
 $\text{beersLiked} \rightarrow \text{manf}$

- Pick BCNF violation  $\text{name} \rightarrow \text{addr}$
- Close the left side:  
 $\{\text{name}\}^+ = \{\text{name}, \text{addr}, \text{favBeer}\}$
- Decomposed relations:
  1. Drinkers1(name, addr, favBeer)
  2. Drinkers2(name, beersLiked, manf)

# Example: BCNF Decomposition

- We are not done; we need to check Drinkers1 and Drinkers2 for BCNF
- Projecting FDs is easy here
- For **Drinkers1(name, addr, favBeer)**, relevant FDs are **name  $\rightarrow$  addr** and **name  $\rightarrow$  favBeer**
  - Thus, **{name}** is the only key and Drinkers1 is in BCNF

# Example: BCNF Decomposition

- For  $\text{Drinkers2}(\underline{\text{name}}, \underline{\text{beersLiked}}, \text{manf})$ , the only FD is  $\text{beersLiked} \rightarrow \text{manf}$ , and the only key is  $\{\text{name}, \text{beersLiked}\}$ 
  - Violation of BCNF
- $\text{beersLiked}^+ = \{\text{beersLiked}, \text{manf}\}$ , so we decompose *Drinkers2* into:
  1.  $\text{Drinkers3}(\underline{\text{beersLiked}}, \text{manf})$
  2.  $\text{Drinkers4}(\underline{\text{name}}, \underline{\text{beersLiked}})$

# Example: BCNF Decomposition

- The resulting decomposition of *Drinkers*:
  1. Drinkers1(name, addr, favBeer)
  2. Drinkers3(beersLiked, manf)
  3. Drinkers4(name, beersLiked)
- Notice: *Drinkers1* tells us about drinkers, *Drinkers3* tells us about beers, and *Drinkers4* tells us beers the drinkers like
- Compare with our running example:
  1. Drinkers(name, addr, phone)
  2. Beers(name, manf)
  3. Likes(drinker, beer)

# Exercise

Let us consider the following relation  $R(A,B,C,D)$  with FD's:

- $A \rightarrow B$
- $B \rightarrow C$
- $C \rightarrow D$

Examine if this relation is in BCNF and if it is not decompose it into BCNF

# 3<sup>rd</sup> Normal Form



# Third Normal Form – Motivation

- Properties of Decomposition

1. Elimination of Anomalies

- Redundancy
- Update Anomalies
- Deletion Anomalies

2. Recoverability of Information

3. Preservation of Dependencies

- BCNF provides (1) and (2) but not necessarily (3).

# Testing for a Lossless Join

- If we project  $R$  onto  $R_1, R_2, \dots, R_k$ , can we recover  $R$  by rejoining?
- Any tuple in  $R$  can be recovered from its projected fragments
- So, the only question is: When we rejoin, would we retrieve entries we didn't see before (i.e. create phantom/ghost entries)?

# Example: Phantom entries

- Let  $R = ABC$ , and the decomposition be  $AB$  and  $BC$
- Let the given FD be  $A \rightarrow B$

<u>A</u>	<u>B</u>	<u>C</u>
1	2	3
4	5	6
7	2	8

Our table R.

<u>A</u>	<u>B</u>	<u>B</u>	<u>C</u>
1	2	2	3
4	5	5	6
7	2	2	8

Decompositions R1  
and R2.

<u>A</u>	<u>B</u>	<u>C</u>
1	2	3
4	5	6
7	2	8
<b>1</b>	<b>2</b>	<b>8</b>
<b>7</b>	<b>2</b>	<b>3</b>

R1 join R2.

# Example: No phantom entries

- Let  $R = ABC$ , and the decomposition be  $AB$  and  $BC$
- Let the given FD be  $A \rightarrow B$  and  $B \rightarrow C$

<u>A</u>	<u>B</u>	<u>C</u>
1	2	3
4	5	6
7	2	3

Our table R.

<u>A</u>	<u>B</u>	<u>B</u>	<u>C</u>
1	2	2	3
4	5	5	6
7	2		

Decompositions R1  
and R2.

<u>A</u>	<u>B</u>	<u>C</u>
1	2	3
4	5	6
7	2	3

R1 join R2.

# Chase Test for Lossless Join

- Let  $R(A,B,C,D)$ 
  - with FD's  $A \rightarrow B$ ,  $B \rightarrow C$  and  $CD \rightarrow A$
- $R_1(A,D)$ ,  $R_2(A,C)$ ,  $R_3(B,C,D)$
- Tableau

$A$	$B$	$C$	$D$
$a$	$b_1$	$c_1$	$d$
$a$	$b_2$	$c$	$d_2$
$a_3$	$b$	$c$	$d$

# Chase Test for Lossless Join

- Our goal is to use the given set of FD's  $F$  to prove that  $t$  is really in  $R$ .
  - to do so, we apply the FD's in  $F$  to equate symbols in the tableau whenever we can.
  - If we discover that one of the rows is actually the same as  $t$ , then we have proved that any tuple  $t$  in the join of the projections was actually a tuple of  $R$ .

# Chase Test for Lossless Join

- To avoid confusion, when equating two symbols,
  - if one of them is unsubscripted, make the other be the same.
  - if we equate two symbols, both with their own subscript, then you can change either to be the other.
- You must change all occurrences of one to be the other, not just some of the occurrences.

# Chase Test for Lossless Join

- Replace  $b_2$  with  $b_1$

$A$	$B$	$C$	$D$
$a$	$b_1$	$c_1$	$d$
$a$	$b_1$	$c$	$d_2$
$a_3$	$b$	$c$	$d$



# Chase Test for Lossless Join

- Replace  $c_1$  with  $c$

$A$	$B$	$C$	$D$
$a$	$b_1$	$c$	$d$
$a$	$b_1$	$c$	$d_2$
$a_3$	$b$	$c$	$d$

# Chase Test for Lossless Join

- Replace  $a_3$  with  $a$

$A$	$B$	$C$	$D$
$a$	$b_1$	$c$	$d$
$a$	$b_1$	$c$	$d_2$
$a$	$b$	$c$	$d$

# Chase Test for Lossless Join

- Replace  $a_3$  with  $a$

$A$	$B$	$C$	$D$
$a$	$b_1$	$c$	$d$
$a$	$b_1$	$c$	$d_2$
$a$	$b$	$c$	$d$

# Third Normal Form – Motivation

- There is one structure of FDs that causes trouble when we decompose ABC:  $AB \rightarrow C$  and  $C \rightarrow B$ 
  - Example:  
 $A = \text{street}, B = \text{city}, C = \text{post code}$
- There are two keys,  $\{A, B\}$  and  $\{A, C\}$
- $C \rightarrow B$  is a BCNF violation, so we must decompose ABC into  $AC, BC$

# We Cannot Enforce FDs

- The problem is that if we use  $AC$  and  $BC$  as our database schema, we cannot enforce the FD  $AB \rightarrow C$  by checking FDs in these decomposed relations
- **Example** with  $A = \text{street}$ ,  $B = \text{city}$ , and  $C = \text{post code}$  on the next slide

# An Unenforceable FD

street	post
Campusvej	5230
Vestergade	5000

city	post
Odense	5230
Odense	5000

Join tuples with equal post codes

street	city	post
Campusvej	Odense	5230
Vestergade	Odense	5000

No FDs were violated in the decomposed relations and  
FD **street city** -> **post** holds for the database as a whole

# An Unenforceable FD

street	post
Hjallesevej	5230
Hjallesevej	5000

city	post
Odense	5230
Odense	5000

Join tuples with equal post codes

street	city	post
Hjallesevej	Odense	5230
Hjallesevej	Odense	5000

Although no FDs were violated in the decomposed relations, FD **street city -> post** is violated by the database as a whole

# Another Unenforcable FD

- Departures(time, track, train)
- time track  $\rightarrow$  train and train  $\rightarrow$  track
- Two keys, {time,track} and {time,train}
- train  $\rightarrow$  track is a BCNF violation, so we must decompose into  
Departures1(time, train)  
Departures2(track,train)



# Another Unenforceable FD

time	train
19:08	ICL54
19:16	IC852

track	train
4	ICL54
3	IC852

Join tuples with equal train code

time	track	train
19:08	4	ICL54
19:16	3	IC852

No FDs were violated in the decomposed relations,  
FD **time track -> train** holds for the database as a whole

# Another Unenforceable FD

time	train
19:08	ICL54
19:08	IC 42

track	train
4	ICL54
4	IC 42

Join tuples with equal train code

time	track	train
19:08	4	ICL54
19:08	4	IC 42

Although no FDs were violated in the decomposed relations,  
FD **time track -> train** is violated by the database as a whole

# 3NF avoids this problem

- 3<sup>rd</sup> Normal Form (3NF) modifies the BCNF condition so we do not have to decompose in this problem situation
- An attribute is *prime* if it is a member of any key
- $X \rightarrow A$  violates 3NF if and only if  $X$  is not a superkey, and also  $A$  is not prime
- Or:  $X \rightarrow A$  holds 3NF iff  $X$  is a superkey or  $A$  is prime (i.e. member of any key)

# Example: 3NF

- In our problem situation with FDs  $AB \rightarrow C$  and  $C \rightarrow B$ , we have keys  $AB$  and  $AC$
- Thus  $A$ ,  $B$ , and  $C$  are each prime
- Although  $C \rightarrow B$  violates BCNF, it does not violate 3NF

# What 3NF and BCNF Give You

- There are two important properties of a decomposition:
  1. *Lossless Join*: it should be possible to project the original relations onto the decomposed schema, and then reconstruct the original
  2. *Dependency Preservation*: it should be possible to check in the projected relations whether all the given FDs are satisfied

# 3NF and BCNF – Continued

- We can get (1) with a BCNF decomposition
- We can get both (1) and (2) with a 3NF decomposition
- But we can't always get (1) and (2) with a BCNF decomposition
  - street-city-post is an example
  - time-track-train is another example

# 3NF Synthesis Algorithm

- We can always construct a decomposition into 3NF relations with a lossless join and dependency preservation
- We need a *minimal basis* for the FDs:
  1. Right sides are single attributes
  2. No FD can be removed
  3. No attribute can be removed from a left side

# Constructing a Minimal Basis

1. Split right sides
2. Repeatedly try to remove a FD and see if the remaining FDs are equivalent to the original
3. Repeatedly try to remove an attribute from a left side and see if the resulting FDs are equivalent to the original



# 3NF Synthesis

- One relation for each FD in the minimal basis
  - Schema is the union of the left and right sides
- If no key is part of a FD: add one relation whose schema is some key

# Example: 3NF Synthesis

- Relation  $R = ABCD$
- FDs  $A \rightarrow B$  and  $A \rightarrow C$
- Decomposition:  $AB$  and  $AC$  from the FDs, plus  $AD$  for a key

# Summary 5

More things you should know:

- Update Anomaly, Deletion Anomaly
- BCNF, Closure, Decomposition
- 3rd Normal Form