

Undergraduate Complexity Theory

Lecture 5: The Time Hierarchy Theorem

Marcythm

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1 Lecture Notes

1. A language not in P? HALTING.
2. A decidable language not in P?
idea: task. simulate a TM for 2^n steps
 - (a) should be doable in $\text{poly}(2^n)$ time
 - (b) shouldn't be doable in $\text{poly}(n)$ time
3. extended task: not doable in $O(n^2)$ time, but doable in ...say $O(n^8)$ time.
idea: simulating a TM for n^3 steps

Definition 1.1. Define a TM D : on input $\langle M, w \rangle$, use a universal TM to simulate $M(\langle M, w \rangle)$ for n^3 steps. If it accepts, then D rejects. Conversely, if it rejects or times out, D accepts.

Fact 1.2. D is a decider, therefore D defines a language.

Fact 1.3. D runs in poly time. (depends on efficiency of UTM, maybe $O(|\langle M \rangle|^4 \cdot n^3 \cdot \log n) \leq O(n^8)$.)

Fact 1.4. Let L be the language decided by D , therefore $L \in \text{TIME}(n^8)$.

Claim 1.5. $L \notin \text{TIME}(n^2)$.

Proof. AFSOC (Assume for the sake of contradiction) that S is a decider TM for L with time $t(n)$, which is $O(n^2)$. Consider running S on inputs of form $\langle S, 0^l \rangle$. Let $c = \langle S \rangle$. S halts on such inputs in $\leq t(l + c)$ time, which is $O((l + c)^2)$, strictly less than $(l + c)^3$ for large enough l , say $l \geq l^*$. Since S decides L , $S(\langle S, 0^{l^*} \rangle) = D(\langle S, 0^{l^*} \rangle)$, where the things D does is using UTM to simulate $S(\langle S, 0^{l^*} \rangle)$ for $(l^* + c)^3$ steps, then it does the opposite. Here S doesn't timeout, then $S(\langle S, 0^{l^*} \rangle) = D(\langle S, 0^{l^*} \rangle) = \neg S(\langle S, 0^{l^*} \rangle)$. \square

Definition 1.6. Bounded Halt/Accepts problem:

$$BA_{n^3} = \{ \langle M, w \rangle : M(w) \text{ accepts w/i } \leq |w|^3 \text{ steps} \}$$

Claim 1.7. $BA_{n^3} \in \text{TIME}(n^8)$.

Claim 1.8. $BA_{n^3} \notin \text{TIME}(n^2)$.

Proof. AFSOC, B is a TM deciding BA_{n^3} in $O(n^2)$ time, we'll show $L \in \text{TIME}(n^2)$. To decide L on input $\langle M, w \rangle$, we need to check if $M(\langle M, w \rangle)$ accs w/i n^3 steps. Run B on the string $\langle M, \langle M, w \rangle \rangle$ (which can be prepared in $O(n^2)$), and do opposite, which also takes $O(|\langle M, \langle M, w \rangle \rangle|^2) = O(n^2)$ time. \square

UTM with a clock: given $\langle M, w \rangle$, simulate $M(w)$ for $t(|w|)$ steps. For $U_t(\langle M, w \rangle)$:

1. Count $|w|$: i.e. get $n = |w|$ written on tape.
2. Compute $T = t(n)$ and write it on tape.

3. Sim $M(w)$, decrement T at each step till finishes or $T = 0$.

Time analysis:

1. Doable in $O(n^2)$ time, also in fact in $O(n \log n)$ time. (Bring the counter together with the pointer, n moves with each time $\log n$ symbols to shift, and n symbols to shift when the length increments, but only $\log n$ times).
2. Depends on $t(n)$! $t(n)$ could be uncomputable. If $t(n)$ is simple, maybe $\text{polylog}(n)$ time.

Definition 1.9. A function $t : \mathbb{N} \rightarrow \mathbb{N}$ is “time constructible” if:

1. $t(n) \geq n \log n$
2. given a length- n string, can compute $t(n)$ in time $O(t(n))$.
3. Per 1 step of sim, takes $O(|\langle M \rangle|^3)$ time.

Remark 1.10. All “normal” functions $\geq n \log n$ are “time-constructible”. e.g. $n \log n, n^2, n^{3.5}, 2^n, \dots$

Deficiency:

1. Gotta handle the clock: keep the clock with M ’s state, thus additional $\log t(n)$ bits slowdown per sim step.
2. Only handles alphabets $\{0, 1, b\}$: encode with $\log |\Sigma|$ bits, thus a constant factor slowdown.

Theorem 1.11. *If $t(n)$ is time-constructible, exists $TM T_t$ s.t. given $\langle M, w \rangle$, U_t sims $M(w)$ for $t(|w|)$ steps in time $O(|\langle M \rangle|^4 t(n) \log t(n))$.*

2 Reading

2.1 Sipser 9.1 (Hierarchy Theorems)

1. space constructible
2. space hierarchy theorem and corollaries
3. time constructible
4. time hierarchy theorem and corollaries
5. EQ_{REX} is EXPSPACE-complete (TODO)