Learning for Structured Prediction

Linear Methods For Sequence Labeling: Hidden Markov Models vs Structured Perceptron

Ivan Titov

x is an input (e.g.,
sentence), y is an output
(syntactic tree)

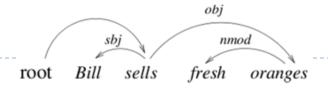
### Last Time: Structured Predictio

- 1. Selecting feature representation  $\varphi(x, y)$ 
  - It should be sufficient to discriminate correct structure from incorrect ones
  - ▶ It should be possible to decode with it (see (3))
- 2. Learning
  - Which error function to optimize on the training set, for example

$$w \cdot \varphi(\boldsymbol{x}, \boldsymbol{y}^{\star}) - \max_{\boldsymbol{y}' \in \mathcal{Y}(\boldsymbol{x}), \boldsymbol{y} \neq \boldsymbol{y}^{\star}} w \cdot \varphi(\boldsymbol{x}, \boldsymbol{y}') > \gamma$$

- ▶ How to make it efficient (see (3))
- 3. Decoding:  $y = \operatorname{argmax}_{y' \in \mathcal{Y}(x)} w \cdot \varphi(x, y')$ 
  - Dynamic programming for simpler representations  $\varphi$  ?
  - Approximate search for more powerful ones?

We illustrated all these challenges on the example of dependency parsing



### Outline

- Sequence labeling / segmentation problems: settings and example problems:
  - Part-of-speech tagging, named entity recognition, gesture recognition
- Hidden Markov Model
  - Standard definition + maximum likelihood estimation
  - General views: as a representative of linear models
- Perceptron and Structured Perceptron
  - algorithms / motivations
- Decoding with the Linear Model
- Discussion: Discriminative vs. Generative

# Sequence Labeling Problems

#### Definition:

- Input: sequences of variable length  $m{x}=(x_1,x_2,\ldots,x_{|m{x}|}),\,x_i\in X$
- Output: every position is labeled  $\boldsymbol{y} = (y_1, y_2, \dots, y_{|\boldsymbol{x}|}), \, y_i \in Y$

#### Examples:

Part-of-speech tagging

$oldsymbol{x}=$ John	carried	a	tin	can	•
$oldsymbol{y} = \ NP$	VBD	DT	NN	NN	

Named-entity recognition, shallow parsing ("chunking"), gesture recognition from video-streams, ...

# Part-of-speech tagging

$\boldsymbol{x} =$	John	carried	a	tin	can	•
y =	NNP	VBD	DT	NN	NN or MD?	

- Labels:
  - NNP − proper singular noun;
  - VBD verb, past tense

In fact, even knowing that the previous he most word is a noun is not enough word you

wiii mane a mistake here

- NN singular noun
- MD modal

DT - determing

One need to model interactions between labels to successfully resolve ambiguities, so this should be tackled as a structured prediction problem

Consider

$\boldsymbol{x} =$	Tin	can	cause	poisoning	•••
$oldsymbol{y} =$	NN	MD	VB	NN	

## Named Entity Recognition

[ORG Chelsea], despite their name, are not based in [LOC Chelsea], but in neighbouring [LOC Fulham].

Not as trivial as it may seem, consider:

Chelsea can be a person too!

- [PERS Bill Clinton] will not embarrass [PERS Chelsea] at her wedding
- ▶ Tiger failed to make a birdie in the South Course ...
- Encoding example (BIO-encoding) Is it an animal or a person?

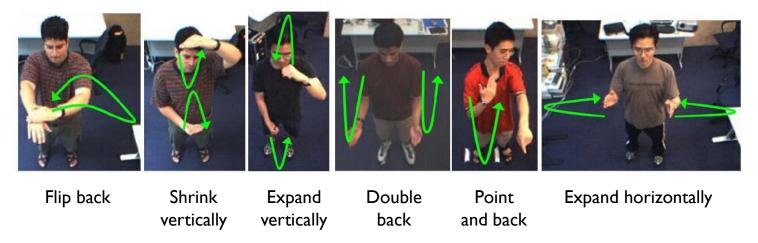
$oldsymbol{x}=$ Bill	Clinton	embarrassed	Chelsea	at	her	wedding	at	Astor	Courts
$oldsymbol{y}=$ B-PERS	I-PERS	0	<b>B-PERS</b>	0	0	0	0	B-LOC	I-LOC

## Vision: Gesture Recognition

• Given a sequence of frames in a video annotate each frame with a gesture type:



Types of gestures:



It is hard to predict gestures from each frame in isolation, you need to exploit relations between frames and gesture types

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#### Hidden Markov Models

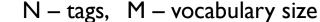
We will consider the part-of-speech (POS) tagging example

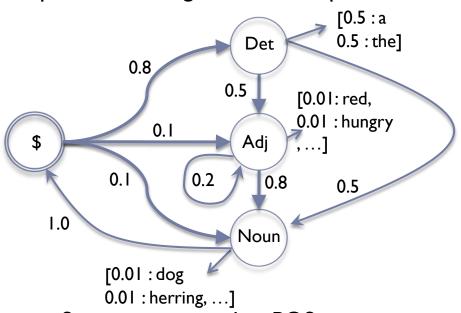
John	carried	a	tin	can	•
NP	VBD	DT	NN	NN	•

- A "generative" model, i.e.:
  - Model: Introduce a parameterized model of how both words and tags are generated  $P({m x},{m y}|\theta)$
  - Learning: use a labeled training set to estimate the most likely parameters of the model  $\hat{\theta}$
  - Decoding:  $oldsymbol{y} = \operatorname{argmax}_{oldsymbol{y}'} P(oldsymbol{x}, oldsymbol{y}' | \hat{ heta})$

### Hidden Markov Models

A simplistic state diagram for noun phrases:





Example:

a hungry dog

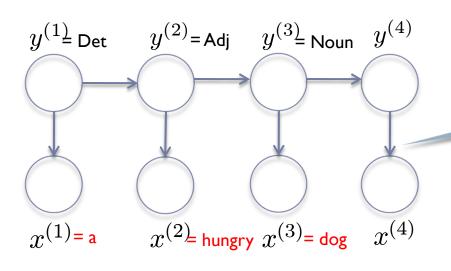
- States correspond to POS tags,
- Words are emitted independently from each POS tag
- Parameters (to be estimated from the training set):
  - lacksquare Transition probabilities  $P(y^{(t)}|y^{(t-1)})$  : [N x N] matrix
  - lacktriangle Emission probabilities  $P(x^{(t)}|y^{(t)})$  : [N x M] matrix

probability does not depend on the position in the sequence *t* 

Stationarity assumption: this

#### Hidden Markov Models

Representation as an instantiation of a graphical model: N - tags, M - vocabulary size



A arrow means that in the generative story  $x^{(4)}$  is generated from some  $P(x^{(4)} \mid y^{(4)})$ 

- States correspond to POS tags,
- Words are emitted independently from each POS tag
- Parameters (to be estimated from the training set):
  - ullet Transition probabilities  $P(y^{(t)}|y^{(t-1)})$  : [N x N] matrix
  - lacksquare Emission probabilities  $P(x^{(t)}|y^{(t)})$  : [ N x M] matrix

Stationarity assumption: this

probability does not depend on the position in the sequence t

- $\triangleright$  N the number tags, M vocabulary size
- Parameters (to be estimated from the training set):
  - Transition probabilities  $a_{ji}=P(y^{(t)}=i|y^{(t-1)}=j)$ , A [N x N] matrix
  - Emission probabilities  $b_{ik}=P(x^{(t)}=k|y^{(t)}=i)$ , B [N x M] matrix
- Training corpus:
  - $x^{(1)} = (In, an, Oct., 19, review, of, ....), y^{(1)} = (IN, DT, NNP, CD, NN, IN, ....)$
  - $x^{(2)} = (Ms., Haag, plays, Elianti,.), y^{(2)} = (NNP, NNP, VBZ, NNP,.)$

  - $x^{(L)}=$  (The, company, said,...),  $y^{(L)}=$  (DT, NN, VBD, NNP,.)
- How to estimate the parameters using maximum likelihood estimation?
  - You probably can guess what these estimation should be?

- Parameters (to be estimated from the training set):
  - ullet Transition probabilities  $a_{ji}=P(y^{(t)}=i|y^{(t-1)}=j)$ , A [N x N] matrix
  - Emission probabilities  $b_{ik} = P(x^{(t)} = k | y^{(t)} = i)$ , B [N x M] matrix
- Training corpus:  $(\mathbf{x}^{(1)}, \mathbf{y}^{(1)})$ , I = 1, ... L
- Write down the probability of the corpus according to the HMM:

$$\begin{split} &P(\{\boldsymbol{x}^{(l)},\boldsymbol{y}^{(l)}\}_{l=1}^{L}) = \prod_{l=1}^{L} P(\boldsymbol{x}^{(l)},\boldsymbol{y}^{(l)}) = \\ &= \prod_{l=1}^{L} a_{\$,y_{1}^{(l)}} \left(\prod_{t=1}^{|\boldsymbol{x}^{l}|-1} b_{y_{t}^{(l)},x_{t}^{(l)}} a_{y_{t}^{(l)},y_{t+1}^{(l)}}\right) b_{y_{|\mathbf{x}^{|l}|},x_{|\mathbf{x}^{|l}|}} a_{y_{|\mathbf{x}^{|l}|},\$} = \end{split}$$

Select tag for the first word

Draw a word from this state

Select the next state

Draw last word

Transit into the \$ state

$$= \prod_{i,j=1}^{N} a_{i,j}^{C_T(i,j)} \prod_{i=1}^{N} \prod_{k=1}^{M} b_{i,k}^{C_E(i,k)}$$

 $C_T(i,j)$  is #times tag i is followed by tag j. Here we assume that \$ is a special tag which precedes and succeeds every sentence  $C_E(i,k)$  is #times word k is emitted by tag i

$$\begin{array}{ll} \text{Maximize:} & P(\{\pmb{x}^{(l)},\pmb{y}^{(l)}\}_{l=1}^{L}) = \\ & = \prod_{i,j=1}^{N} a_{i,j}^{C_T(i,j)} \prod_{i=1}^{N} \prod_{k=1}^{M} b_{i,k}^{C_E(i,k)} \end{array}$$

 $C_T(i,j)$  is #times tag i is followed by tag j.

 $C_{E}(i,k)$  is #times word k is emitted by tag i

Equivalently maximize the logarithm of this:  $\log(P(\{m{x}^{(l)}, m{y}^{(l)}\}_{l=1}^L)) = 0$ 

$$= \sum_{i=1}^{N} \left( \sum_{j=1}^{N} C_T(i,j) \log a_{i,j} + \sum_{k=1}^{M} C_E(i,k) \log b_{i,k} \right)$$

subject to probabilistic constraints:  $\sum_{j=1}^{N} a_{i,j} = 1$ ,  $\sum_{i=1}^{N} b_{i,k} = 1$ ,  $i = 1, \dots, N$ 

▶ Or, we can decompose it into 2N optimization tasks:

#### For transitions

$$i = 1, ..., N$$
:
$$\max_{a_{i,1},...,a_{i,N}} \sum_{j=1}^{N} C_T(i,j) \log a_{i,j}$$
s.t.  $\sum_{j=1}^{N} a_{i,j} = 1$ 

#### For emissions

$$i=1,\ldots,N$$
: 
$$\max_{b_{i,1},\ldots,b_{i,M}} C_E(i,k) \log b_{i,k}$$
 s.t.  $\sum_{i=1}^N b_{i,k} = 1$ 

For transitions (some i)

$$\max_{a_{i,1},...,a_{i,N}} \sum_{j=1}^{N} C_T(i,j) \log a_{i,j}$$
  
s.t.  $1 - \sum_{j=1}^{N} a_{i,j} = 0$ 

Constrained optimization task, Lagrangian:

$$L(a_{i,1},...,a_{i,N},\lambda) = \sum_{j=1}^{N} C_T(i,j) \log a_{i,j} + \lambda \times (1 - \sum_{j=1}^{N} a_{i,j})$$

Find critical points of Lagrangian by solving the system of equations:

$$\frac{\partial L}{\partial \lambda} = 1 - \sum_{j=1}^{N} a_{i,j} = 0$$

$$\frac{\partial L}{\partial a_{ij}} = \frac{C_T(i,j)}{a_{ij}} - \lambda = 0 \implies a_{ij} = \frac{C_T(i,j)}{\lambda}$$

$$P(y^t = j | y^{t-1} = i) = a_{i,j} = \frac{C_T(i,j)}{\sum_{j'} C_T(i,j')}$$

Similarly, for emissions:

$$P(x^t = k | y^t = i) = b_{i,k} = \frac{C_E(i,k)}{\sum_{k'} C_E(i,k')}$$

The maximum likelihood solution is just normalized counts of events.

Always like this for generative models if all the labels are visible in training

I ignore "smoothing" to process rare or unseen word tag combinations...

Outside score of the seminar

#### HMMs as linear models

John	carried	a	tin	can	•
?	?	?	?	?	•

- Decoding:  $m{y} = \operatorname{argmax}_{m{y}'} P(m{x}, m{y}'|A, B) = \operatorname{argmax}_{m{y}'} \log P(m{x}, m{y}'|A, B)$
- We will talk about the decoding algorithm slightly later, let us generalize Hidden Markov Model:

$$\log P(\boldsymbol{x}, \boldsymbol{y}'|A, B) = \sum_{l=1}^{|x|+1} \log b_{y'_{i}, x_{i}} + \log a_{y'_{i}, y'_{i+1}}$$

$$= \sum_{i=1}^{N} \sum_{j=1}^{N} C_{T}(\boldsymbol{y}', i, j) \times \log a_{i,j} + \sum_{i=1}^{N} \sum_{k=1}^{M} C_{E}(\boldsymbol{x}, \boldsymbol{y}', i, k) \times \log b_{i,k}$$

The number of times tag i is followed by tag j in the candidate y'

The number of times tag i corresponds to word k in (x, y')

But this is just a linear model!!

# Scoring: example

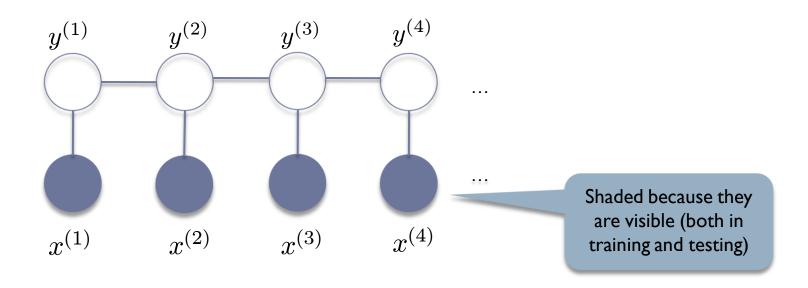
Their inner product is exactly  $\log P(oldsymbol{x},oldsymbol{y}'|A,B)$ 

$$w_{ML} \cdot \varphi(\boldsymbol{x}, \boldsymbol{y}') = \sum_{i=1}^{N} \sum_{j=1}^{N} C_T(\boldsymbol{y}', i, j) \times \log a_{i,j} + \sum_{i=1}^{N} \sum_{k=1}^{M} C_E(\boldsymbol{x}, \boldsymbol{y}', i, k) \times \log b_{i,k}$$

- But may be there other (and better?) ways to estimate w, especially when we know that HMM is not a faithful model of reality?
- It is not only a theoretical question! (we'll talk about that in a moment)

### Feature view

Basically, we define features which correspond to edges in the graph:



# Generative modeling

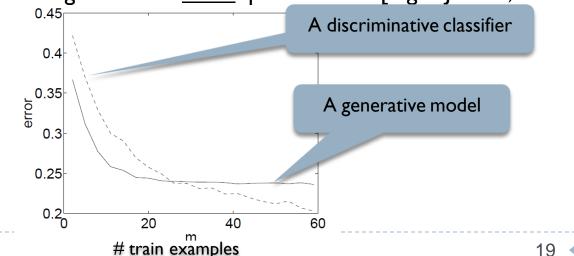
Real case: HMM is a coarse approximation of reality

- For a very large dataset (asymptotic analysis):
  - If data is generated from some "true" HMM, then (if the training is sufficiently large), we are guaranteed to have an optimal tagger
  - Dtherwise, (generally) HMM will not correspond to an optimal linear classifier
  - Discriminative methods which minimize the error more directly **are guaranteed** (under some fairly general conditions) to converge to an optimal linear classifier
- For smaller training sets

Generative classifiers converge faster to <u>their</u> optimal error [Ng & Jordan, NIPS]

01]

Errors on a regression dataset (predict housing prices in Boston area):



### Outline

- Perceptron and Structured Perceptron
  - algorithms / motivations
- Decoding with the Linear Model
- Discussion: Discriminative vs. Generative

### Perceptron

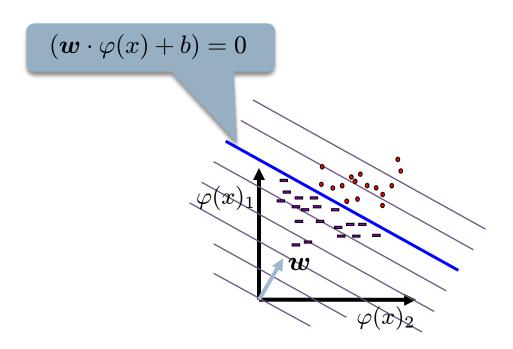
break ties (0) in some deterministic way

- Let us start with a binary classification problem  $y \in \{+1, -1\}$ 
  - For binary classification the prediction rule is:  $y = \operatorname{sign}(\boldsymbol{w} \cdot \varphi(x))$
- Perceptron algorithm, given a training set  $\{x^{(l)},y^{(l)}\}_{l=1}^L$

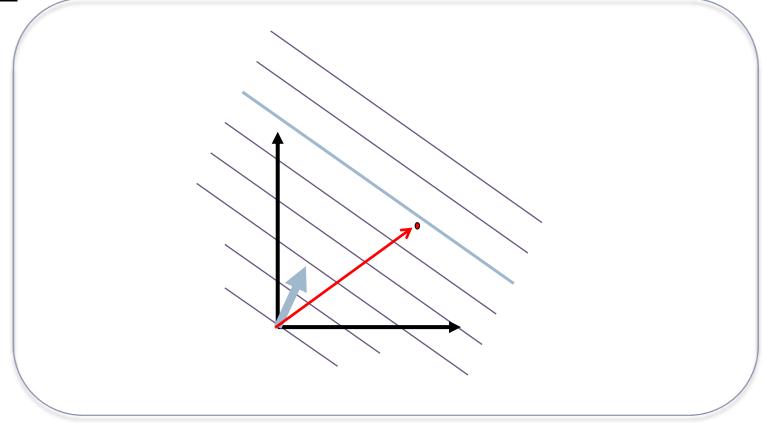
```
\begin{array}{lll} \boldsymbol{w} &= \boldsymbol{0} \text{ // initialize} \\ &\underline{\text{do}} \\ & \text{err} = 0 \\ &\underline{\text{for}} \ l = 1 \ \dots \ L \quad \text{// over the training examples} \\ &\underline{\text{if}} \ \left( \ y^{(l)} \big( \boldsymbol{w} \cdot \varphi(x^{(l)}) \big) < 0 \right) \quad \text{// if mistake} \\ &\underline{w} \ \ + = \quad \eta y^{(l)} \varphi(x^{(l)}) \quad \text{// update, } \eta > 0 \\ &\underline{\text{err}} \ + + \ \text{//} \ \# \ \text{errors} \\ &\underline{\text{endif}} \\ &\underline{\text{endfor}} \\ &\underline{\text{while}} \ (\text{err} > 0 \ ) \quad \text{// repeat until no errors} \\ &\text{return } \boldsymbol{w} \\ \end{array}
```

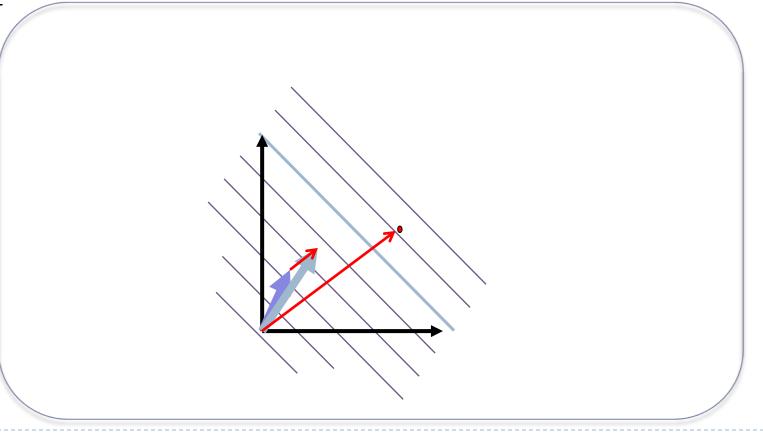
### Linear classification

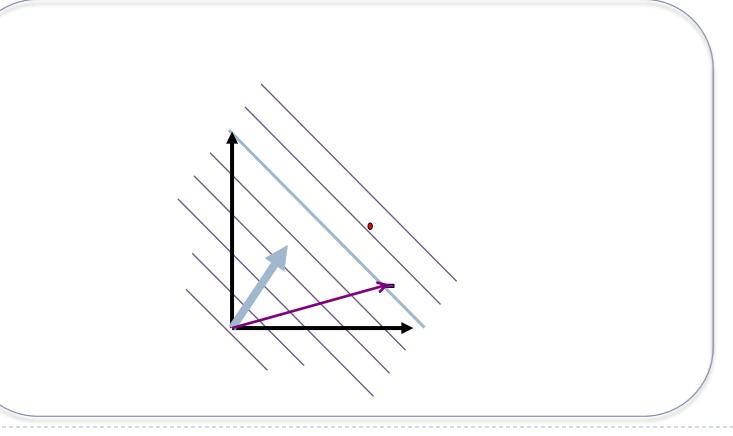
Linear separable case, "a perfect" classifier:

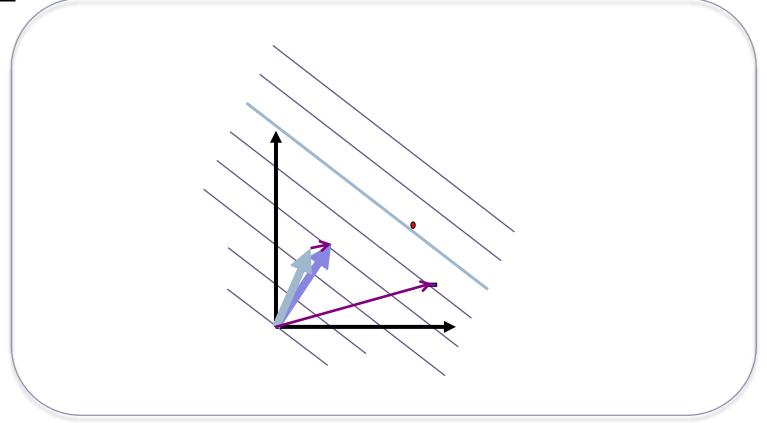


Linear functions are often written as:  $y = \mathrm{sign}\,({m w}\cdot \varphi(x) + b)$ , but we can assume that  $\varphi(x)_0 = 1$  for any x









# Perceptron: algebraic interpretation

- We want after the update to increase  $y^{(l)}(w \cdot \varphi(x^{(l)}))$ 
  - If the increase is large enough than there will be no misclassification
- Let's see that's what happens after the update

$$\begin{split} y^{(l)} \big( (\boldsymbol{w} + \eta y^{(l)} \varphi(x^{(l)})) \cdot \varphi(x^{(l)}) \big) \\ &= y^{(l)} \big( \boldsymbol{w} \cdot \varphi(x^{(l)}) \big) + \eta(y^{(l)})^2 \left( \varphi(x^{(l)}) \cdot \varphi(x^{(l)}) \right) \\ &\qquad \qquad (y^{(l)})^2 = 1 \quad \text{squared norm > 0} \end{split}$$

So, the perceptron update moves the decision hyperplane towards misclassified  $\varphi(x^{(l)})$ 

### Perceptron

- The perceptron algorithm, obviously, can only converge if the training set is linearly separable
- It is guaranteed to converge in a finite number of iterations, dependent on how well two classes are separated (Novikoff, 1962)

## Averaged Perceptron

A small modification

```
\begin{array}{lll} w = \mathbf{0}, & w_{\sum} = \mathbf{0} & \text{// initialize} \\ \underline{\text{for }} k = 1 \dots & \text{// for a number of iterations} \\ & \underline{\text{for }} l = 1 \dots & \text{// over the training examples} \\ & \underline{\text{if }} \left( & y^{(l)} \left( w \cdot \varphi(x^{(l)}) \right) < 0 \right) & \text{// if mistake} \\ & w += & \eta y^{(l)} \varphi(x^{(l)}) & \text{// update, } \eta > 0 \\ & \underline{\text{endif}} & \text{Note: it is after endif} \\ & w_{\sum} += & w & \text{// sum of } w \text{ over the course of training} \\ & \underline{\text{endfor}} & \\ & \underline{\text{endfor}} & \\ & \underline{\text{endfor}} & \\ & \underline{\text{more stable in training: a vector } w \text{ which survived}} \\ & \underline{\text{more iterations without updates is more similar to}} \end{array}
```

More stable in training: a vector  $\boldsymbol{w}$  which survived more iterations without updates is more similar to the resulting vector  $\frac{1}{KL}\boldsymbol{w}_{\sum}$ , as it was added a larger number of times

## Structured Perceptron

down

return

 $\boldsymbol{w}$ 

Let us start with structured problem:  $m{y} = rgmax_{m{y}' \in \mathcal{Y}(m{x})} m{w} \cdot arphi(m{x}, m{y}')$ 

while (err > 0) // repeat until no errors

Perceptron algorithm, given a training set  $\{m{x}^{(l)}, m{y}^{(l)}\}_{l=1}^L$ 

```
w = 0 // initialize
                        do
                                  err = 0
                                  for l = 1 \dots L // over the training examples
                                         \hat{\boldsymbol{y}} = \operatorname{argmax}_{\boldsymbol{y}' \in \mathcal{Y}(\boldsymbol{x}^{(l)})} \boldsymbol{w} \cdot \varphi(\boldsymbol{x}^{(l)}, \boldsymbol{y}') // model prediction
                                           \underline{\text{if}} \ ( \boldsymbol{w} \cdot \varphi(\boldsymbol{x}^{(l)}, \hat{\boldsymbol{y}}) > \boldsymbol{w} \cdot \varphi(\boldsymbol{x}^{(l)}, \boldsymbol{y}^{(l)})  // if mistake
    Pushes the correct
                                                   m{w} += \eta\left(arphi(m{x}^{(l)},m{y}^{(l)})-arphi(m{x}^{(l)},\hat{m{y}})
ight) // update
  sequence up and the
incorrectly predicted one
                                                    err ++ // # errors
                                         endif
                                 endfor
```

# Str. perceptron: algebraic interpretation

- We want after the update to <code>increase</code>  $m{w}\cdot(arphi(m{x}^{(l)},m{y}^{(l)})-arphi(m{x}^{(l)},\hat{m{y}}))$ 
  - $oldsymbol{ ilde{y}}$  If the increase is large enough then  $oldsymbol{y}^{(l)}$  will be scored above  $\hat{oldsymbol{y}}$
- Clearly, that this is achieved as this product will be increased by

$$|\eta||\varphi(x^{(l)}, y^{(l)}) - \varphi(x^{(l)}, \hat{y})||^2$$

There might be other  $oldsymbol{y}' \in \mathcal{Y}(oldsymbol{x}^{(l)})$  but we will deal with them on the next iterations

## Structured Perceptron

#### Positive:

- Very easy to implement
- Often, achieves respectable results
- As other discriminative techniques, does not make assumptions about the generative process
- Additional features can be easily integrated, as long as decoding is tractable

#### Drawbacks

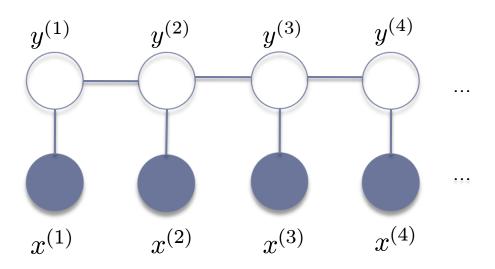
- "Good" discriminative algorithms should optimize some measure which is closely related to the expected testing results: what perceptron is doing on non-linearly separable data seems not clear
- However, for the averaged (voted) version a generalization bound which generalization properties of Perceptron (Freund & Shapire 98)
- Later, we will consider more advance learning algorithms

### Outline

- Decoding with the Linear Model
- Discussion: Discriminative vs. Generative

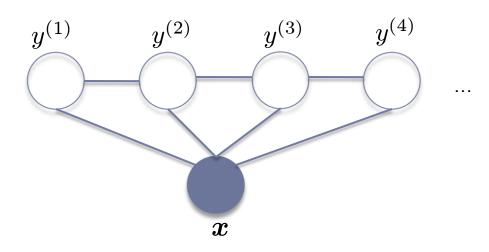
## Decoding with the Linear model

- Decoding:  $m{y} = \operatorname{argmax}_{m{y}' \in \mathcal{Y}(m{x})} w \cdot \varphi(m{x}, m{y}')$
- Again a linear model with the following edge features (a generalization of a HMM)
- In fact, the algorithm does not depend on the feature of input (they do not need to be *local*)



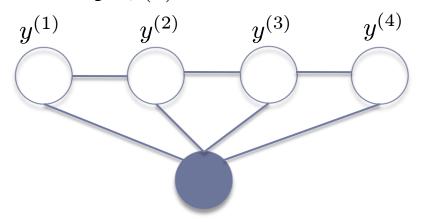
## Decoding with the Linear model

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# Decoding with the Linear model

Decoding:  $m{y} = \operatorname{argmax}_{m{y}' \in \mathcal{Y}(m{x})} w \cdot \varphi(m{x}, m{y}')$ 

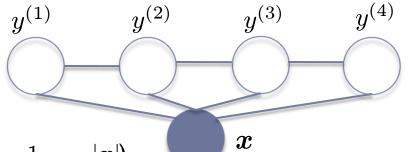


Start/Stop symbol information (\$) can be encoded with them too.

- Let's change notation:
  - Edge scores  $f_t(y_{t-1}, y_t, \boldsymbol{x})$ : roughly corresponds to  $\log a_{y_{t-1}, y_t} + \log b_{y_t, x_t}$
  - Defined for t = 0 too ("start" feature:  $y_0 = \$$  )
  - Decode:  $\boldsymbol{y} = \operatorname{argmax}_{\boldsymbol{v}' \in \mathcal{Y}(\boldsymbol{x})} \sum_{t=1}^{|\boldsymbol{x}|} f_t(y'_{t-1}, y'_t, \boldsymbol{x})$
- Decoding: a dynamic programming algorithm Viterbi algorithm

## Viterbi algorithm

Decoding:  $m{y} = \mathrm{argmax}_{m{y}' \in \mathcal{Y}(m{x})} \sum_{t=1}^{|m{x}|} f_t(y_{t-1}', y_t', m{x})$ 



- Loop invariant: (t = 1, ..., |x|)
  - score<sub>t</sub>[y] score of the highest scoring sequence up to position t with
  - $\rightarrow$  prev<sub>t</sub>[y] previous tag on this sequence
- ▶ Init:  $score_0[\$] = 0$ ,  $score_0[y] = -\infty$  for other y
- Recomputation (t = 1, ..., |x|)

$$prev_t[y] = argmax_{y'} score_t[y'] + f_t(y', y, \boldsymbol{x})$$
$$score_t[y] = score_{t-1}[prev_t[y]] + f_t(prev_t[y], y, \boldsymbol{x})$$

 $lacktriangleq {
m Return:} \ \ {
m retrace\ prev\ pointers\ starting\ from} \ {
m argmax}_y \, {
m score}_{|x|}[y]$ 

Time complexity ?  $O(N^2|x|)$ 

### Outline

- Discussion: Discriminative vs. Generative

# Recap: Sequence Labeling

- Hidden Markov Models:
  - How to estimate
- Discriminative models
  - How to learn with structured perceptron
- Both learning algorithms result in a linear model
  - How to label with the linear models

#### Discriminative vs Generative

Not necessary the case for generative models with latent variables

#### Generative models:

- Cheap to estimate: simply normalized counts
- Hard to integrate <u>complex features</u>: need to come up with a generative story and this story may be wrong
- Does not result in an optimal classifier when model assumptions are wrong (i.e., always)

#### Discriminative models

- More expensive to learn: need to run decoding (here, Viterbi) during training and usually multiple times per an example
- <u>Easy to integrate features</u>: though some feature may make decoding intractable
- Usually <u>less accurate on small datasets</u>

#### Reminders

- Speakers: slides about a week before the talk, meetings with me before/after this point will normally be needed
- Reviewers: reviews are accepted only before the day we consider the topic
- Everyone: References to the papers to read at GoogleDocs,
- These slides (and previous ones) will be online today
  - speakers: send me the last version of your slides too
- Next time: Lea about Models of Parsing, PCFGs vs general WCFGs (Michael Collins' book chapter)