

School of Engineering and Computer Science Te Kura Mātai Pūkaha, Pūrorohiko

COMP 307/AIML 420 — Lectures 02 and 03

### **Problem Solving and Search Techniques**

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(with thanks to Prof. Mengjie Zhang)

# Housekeeping

- I'm lecturing the first six weeks of the course
- This half of the course is assessed by A1 and A2, the first test and part of the second test
- No strict office hours. To meet with me, please book via https://tinyurl.com/bookLensen but consider helpdesks first!
- If you need to email me, please include [COMP307] in the subject, so it gets prioritised accordingly
- Questions? Use the forum! Others will have the same ones
- All extension requests go to Dr Yi Mei via the Extension system
- Akoranga: constructive feedback is always welcome!
- Anything else upfront?

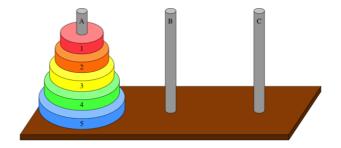
### **Outline**

- Why Search?
- Search strategies
- Uninformed/Blind search
- Informed search/Heuristic search
- Local search: Hill Climbing
- Local search in continuous space
- Genetic beam search
- Advanced discussions
- Game Playing

# Why Search (1)

Many puzzle and game playing problems need search:

- The Monkey and Bananas Problem
- The Missionaries and Cannibals Problem
- The 8-puzzle
- The Tower of Hanoi
- Wolf, Goat and Cabbage
- Water jug
- Route finding



• Chess, Bridge, Go (AlphaGo, AlphaZero,...all using search!)

# Why Search (2)

Many real-world complex and engineering problems need search:

- Touring problems
- Travelling Salesperson Problem (TSP)
- Robot navigation
- University timetabling
- Job shop scheduling

Search is used in almost all AI techniques such as machine learning (ML) and evolutionary computation (EC)

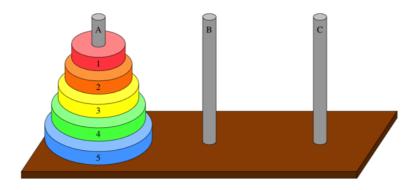
### **State Space Search**

Problem solving as State Space Search

- State: a state of the world
  - State space: Collection of all possible states
  - Initial state: where the search starts
  - Goal state: where the search stops
- Operators: links between pairs of states, i.e. what actions can be taken in any state to get to a new state
- A path in the state space is a sequence of operators leading from one state to another
- Solve problem by searching for path from initial state to goal state through legal states
- Each operator has an associated cost
  - Path cost: the sum of the costs of operators along the path

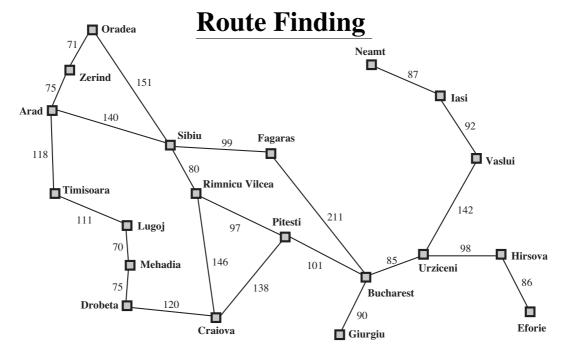
### **An Example: Towers of Hanoi**

(...or "Jacinda's Beehives")



### Formulating problems:

- Initial State: All disks on A. Goal State: All disks on C.
- States: location of the 5 discs
- Operators: moving a disc to another pole (given constraints)
- Path cost: number of moves
- Represent as a tree/graph?



- State: current location on map (part of Romania)
  - Initial state: city A
  - Goal state: city B
- Operators: move along a road to another location
- Path cost: sum on lengths of road

# **General Search Algorithm**

#### For general tree-search:

For general graph-search, similar (see text book)

### **Search Variants and Performance Measure**

#### Three search variants:

- FIFO (queue): pop the oldest element
- FILO (stack): pop the blue newest element
- Priority queue: pop the element with the highest priority based on some ordering function

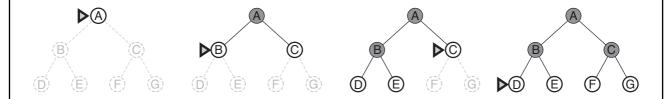
#### Four measures:

- Completeness: is the strategy **guaranteed** to find a solution when one exists?
- Optimality: does the strategy find the **highest-quality** solution (lowest cost) when there are several solutions?
- Time complexity: **how long** does it take to find a solution?
- Space complexity: **how much memory** does it need to perform the search?

# **Search Strategies**

- Uninformed (blind) search
  - Breadth first
  - Uniform cost
  - Depth first
  - Depth limited
  - Iterative deepening
  - Bidirectional
- Informed (Heuristic) search
  - Greedy best-first search
  - A\* search
- Beyond classic search (*Beyond COMP261 core to AI/ML!*)
  - Hill climbing
  - Gradient descent
  - Simulated Annealing
  - Beam search
  - Bound and bound (x)
  - dynamic programming (x)

### **Breadth First Search**

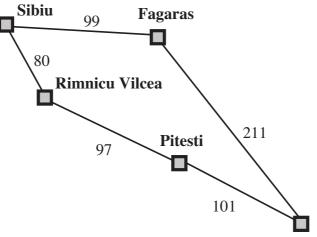


- Start at the initial state;
- Find all states reachable from the initial state;
- Find all states reachable from the states at the previous step;
- Repeat the previous step until the final state is reached

#### **Issues for Breadth-first Search**

- Breadth-first search is guaranteed to find the shortest path
  - Complete
  - Optimal if all operators have same cost
    (so shallowest solution is cheapest solution)
- In practice, breadth-first search is very expensive
  - Time complexity  $O(b^d)$  Remember big-Oh?
  - Space complexity  $O(b^d)$
- b: the branching factor of nodes (i.e., average number of children a node has)
- d: the depth of the desired solution

### **Uniform Cost Search**



• Expand lowest cost nodes first

- **Bucharest**
- Same as breadth-first search if all operators have the same cost
- Complete
- Optimal if all operators have positive cost (Why?)
- Time Complexity  $O(b^d)$
- Space Complexity  $O(b^d)$

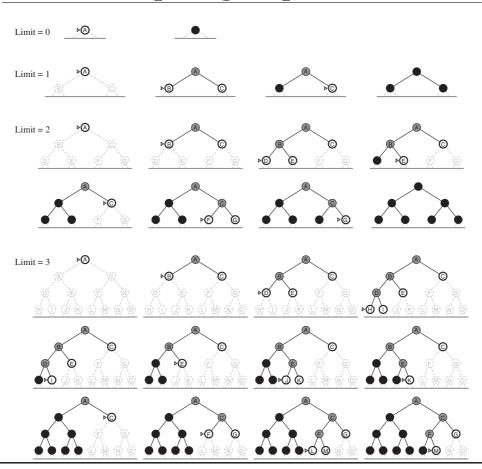
# **Depth-first Search**

- Make the initial state the current state;
- If current state is a final state then succeed
  - otherwise, update the current state to be another state reachable from the current state;
- Repeat previous step until success, backtracking if necessary

# **Issues for DFS and Depth Limited Search**

- Depth-first search is prone to being lost
  - Complete only if search tree is *finite*
  - Not optimal
- In practice, depth-first search is (more) efficient
  - Time Complexity  $O(b^m)$
  - Space Complexity O(bm) (m=max depth of search tree)
- Good when many solutions in deep (but finite) trees
- Depth Limited Search: Like depth-first, but with depth cut off
- Complete iff solution is at depth <= c where c is the depth limit
- Can be used to search infinite search trees (e.g. cyclic graphs)
- Not optimal; Time complexity  $O(b^c)$ ; Space complexity O(bc)

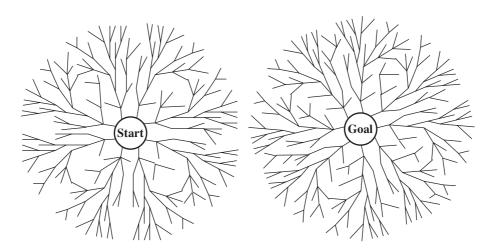
# **Iterative Deepening (Depth-first) Search**



# **Iterative Deepening Search**

- Optimal and Complete (if operators all have same cost)
- Time complexity  $O(b^d)$
- Space complexity O(bd)
- Expands some nodes multiple times
  - But "wasted" effort is actually quite small and goes down as the branching factor goes up
- In general, iterative deepening is the preferred search method on a large search space when the depth of the solution is unknown.
- **Homework:** What are the main differences between iterative deepening search and breadth-first search?

### **Bidirectional Search**



- Two breadth-first search: one forward from the initial state and the other backward from the goal state
- Complete and optimal
- Time complexity  $O(b^{d/2})$
- Space complexity  $O(b^{d/2})$
- Not applicable for some problems (*Such as?*)

#### **Heuristic Search**

- Looking ahead and estimating cost to goal
  - no information: blind search
  - perfect information: search is easy
  - partial information: look ahead gives *fuzzy* picture
- A heuristic function estimates the cost from the current state to the goal state
- Why Heuristics?
  - Chess: b=35 d=100 (average)
  - Go: b=250, d=?
  - Magic: The Gathering: b=∞...
  - (b: branching factor; d: the depth of the desired solution)
- h(n) = estim. cost of the cheapest path from node n to goal state
- Admissible: h(n) **never** overestimates the cost to reach the goal
  - Route Finding: straight line distance
  - Find heuristics by *relaxing* the problem

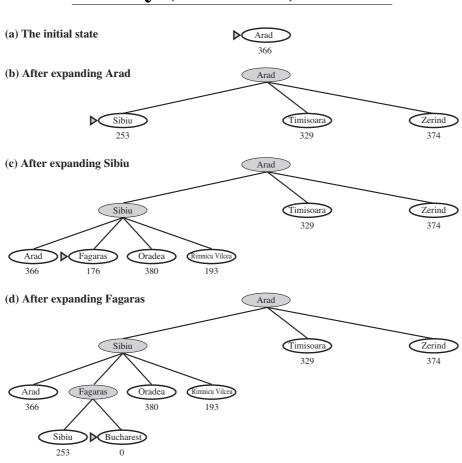
# **Greedy (Best First) Search**

• Minimize estimated cost to reach the goal, i.e. always expand node whose state looks closest to the goal state (Bucharest)

- f(n) = h(n)
- Route Finding straight line distance:

Arad	366	Mehadia	241
<b>Bucharest</b>	0	Neamt	234
Craiova	160	Oradea	380
Drobeta	242	Pitesti	100
<b>Eforie</b>	161	Rimnicu Vilcea	193
Fagaras	176	Sibiu	253
Giurgiu	77	Timisoara	329
Hirsova	151	Urziceni	80
Iasi	226	Vaslui	199
Lugoj	244	Zerind	374

# **Greedy (Best First) Search**



# **Issues for Greedy search**

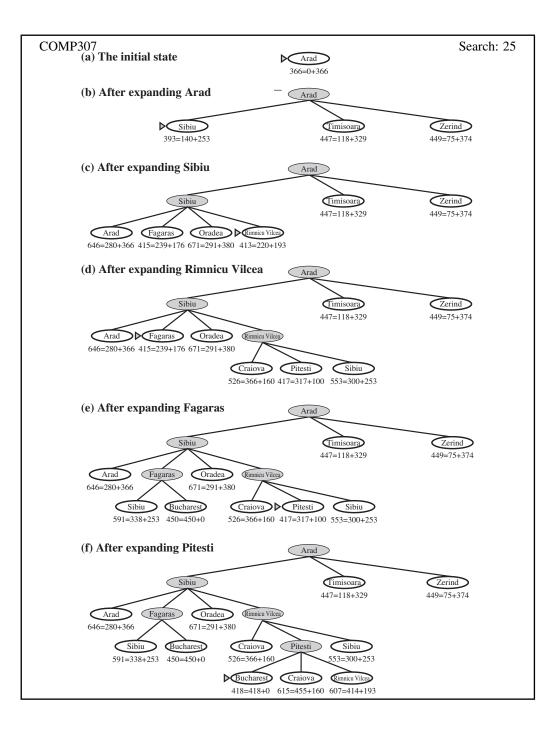
- Not optimal, not complete
- Can go down false paths
- Worst case time & space complexity is  $O(b^m)$  (m: maximum depth of the search tree)
- In practise, nonetheless, can work well (e.g. big problems!)
- Does not consider path cost g(n): cost from the start node to node n

#### A\* search

• A\* attempts to minimize total estimated path cost:

• 
$$f(n) = g(n) + h(n)$$

- g(n): cost from the start node to node n
- h(n): estimated cost of the cheapest path from node n to the goal
- f(n): estimated cost of the cheapest solution through n



### **Issues for A\* search**

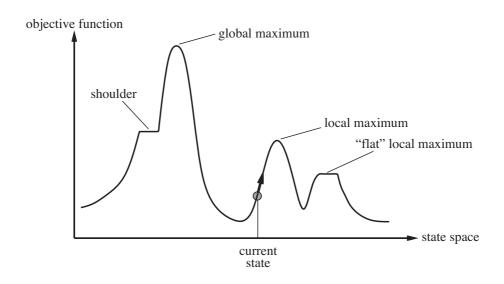
- If  $f^*$  is (true) cost of solution path, then
  - $A^*$  expands all nodes with  $f(n) \leq f^*$
- Optimal and complete
  - Condition: h(n) must be admissible, that is, h(n) never overestimates the cost to goal
- Both time and space complexity are  $O(b^d)$ , because A\* stores all the nodes it visits.

### **Local Search**

- So far, the search techniques we have discussed assume observable, deterministic, known environments where the solution is a sequence of actions
- In those algorithms, the *path* is a solution to the problem
- In many other problems, however, the path is *irrelevant*
- e.g. integrated circuit design (EEEN), job shop scheduling (COMP), automatic programming (SWEN/COMP), telecommunication network optimisation (NWEN/COMP), many data mining tasks (AIML/DATA)
- Local search algorithms operate using a single current node (in general) to move to neighbours of that node
- Local search algorithms are *not* systematic:
  - use very little memory
  - can often find **reasonable solutions** in large or even infinite state space

# Local Search — "Hill Climbing"

- Local search is useful for solving optimisation problems
- Aim to find the best state according to an objective function
- Only keep *one* state (node) and its evaluation h(n): the *quality*
- Continually move in the direction of increasing h(n)
- Choose the best successor; if more than one, choose at random



# **Simulated Annealing (1)**

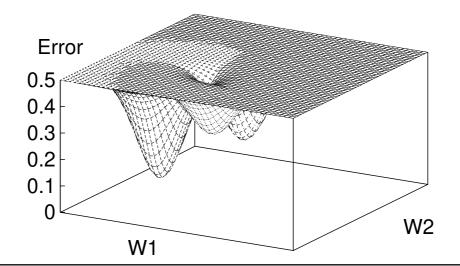
- Hill climbing (HC) never makes "worse" moves toward states with a higher cost. (*It is greedy*)
- HC can easily get stuck in a local optimum (maximum) and is (nearly always) incomplete.
- Purely random walk is complete in general, but can be extremely inefficient (*Halting problem?*)
- Can we learn anything from the "real-world" to improve HC?
- This is the idea of simulated annealing (SA)!

# **Simulated Annealing (2)**

- SA borrows an idea from metal annealing in Physics which is used to temper or harden metals
  - heat them to a high temperature then *gradually* cool them
  - allows them to reach a low energy crystalline state
- Instead of using the best move, SA uses a random move
- But still uses the HC idea if the move improves the situation, accept it; otherwise, accept it with some probability less than 1.
  - It uses the probability and "temperature" to control the loop

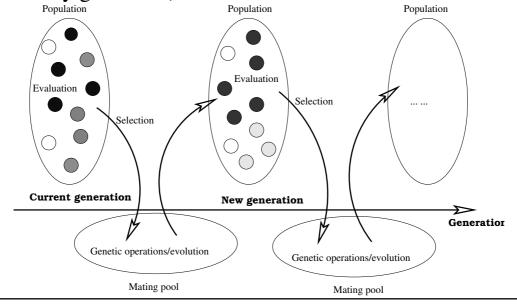
### **Gradient Descent Search**

- HC only considers *discrete* steps
- If the problem (objective function) is continuous, then the idea of HC can still work but the mechanism will need to change
- Gradient Descent (or Ascent) search
- e.g. neural network training using back propagation



### (Genetic) Local Beam Search

- Like HC, beam search (BS) considers only the solution space.
- Instead of considering only one neighbour, BS considers one or more neighbours
- Maintains a beam of multiple best *candidate solutions* (initially, randomly generated) and modifies the candidate solutions



# **Building Solutions vs Searching Solutions?**

- Building solutions step by step
  - search path/space is partial solutions
  - Classic search
  - all uninformed/blind search: BFS, UC, DFS, ...
  - all classic/heuristic search: greedy BFS, A\*, ...
- Searching solution space
  - path does NOT matter or irrelevant
  - modifying solutions step by step
  - HC, GDS, SA, BS
- # Search solutions
  - A single solution per run: classic search, HC, GD, SA
  - Multiple solutions per run: Beam search
- Partial solutions vs candidate solutions
  - Partial: HC, GD, SA
  - Candidate: (genetic) beam search

#### **More Discussions**

- How many states would be checked (as the current) for neighbours during search?
  - 1 states/nodes: HC
  - -1 or more states: BS mutation (1), crossover (>=2), ...
  - in gradient direction: GD
  - random? SA, ...
- Fringe/frontier
  - pruning and ordering
  - genetic operators?
- When to stop?
  - goal? classical search
  - local optima? HC, GD
  - random temperature? SA
  - convergence? BS
  - after some amount of time?

### **Further Discussions**

- Paths and solutions: Explicit graphs (including trees)?
- Paths and solutions: Implicit graphs (construct as you go)?
- Local search vs Global search
- Online search vs off-line search
- Satisficing vs. Optimising?
- Dynamic environments?

(Lots of things...we will discuss **some** of them in this course!)

# **Game Playing**

- States are 'board' positions
- Operators are moves of the game
- Initial state is initial board position
- Final state is winning (or drawn) position
- COMP313: Computer Game Development

### Summary

- Applications of Search
- Search strategies and techniques
  - Uninformed
  - Informed
  - Local search
- Other search techniques
  - Bound and Bound
  - Dynamic programming
  - **...**
- Characteristics
- Suggested readings: Chapters 3 and 4
- Next Topic: Machine Learning