

# Introduction to Artificial Intelligence



VICTORIA UNIVERSITY OF  
**WELLINGTON**  
TE HERENGA WAKA

**COMP307/AIML420**

**Evolutionary Computation 2:  
Genetic Programming (GP)**

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# COMP307/AIML420 Week 6

## 1. Announcements

- Assignment 1: marking
- Assignment 2 (12%)
- Due on 27<sup>th</sup> April
- Helpdesk: Monday to Friday, 4-5pm
- Helpdesk available during the teaching break
- Andrew's teaching evaluation (*until 12th April*)

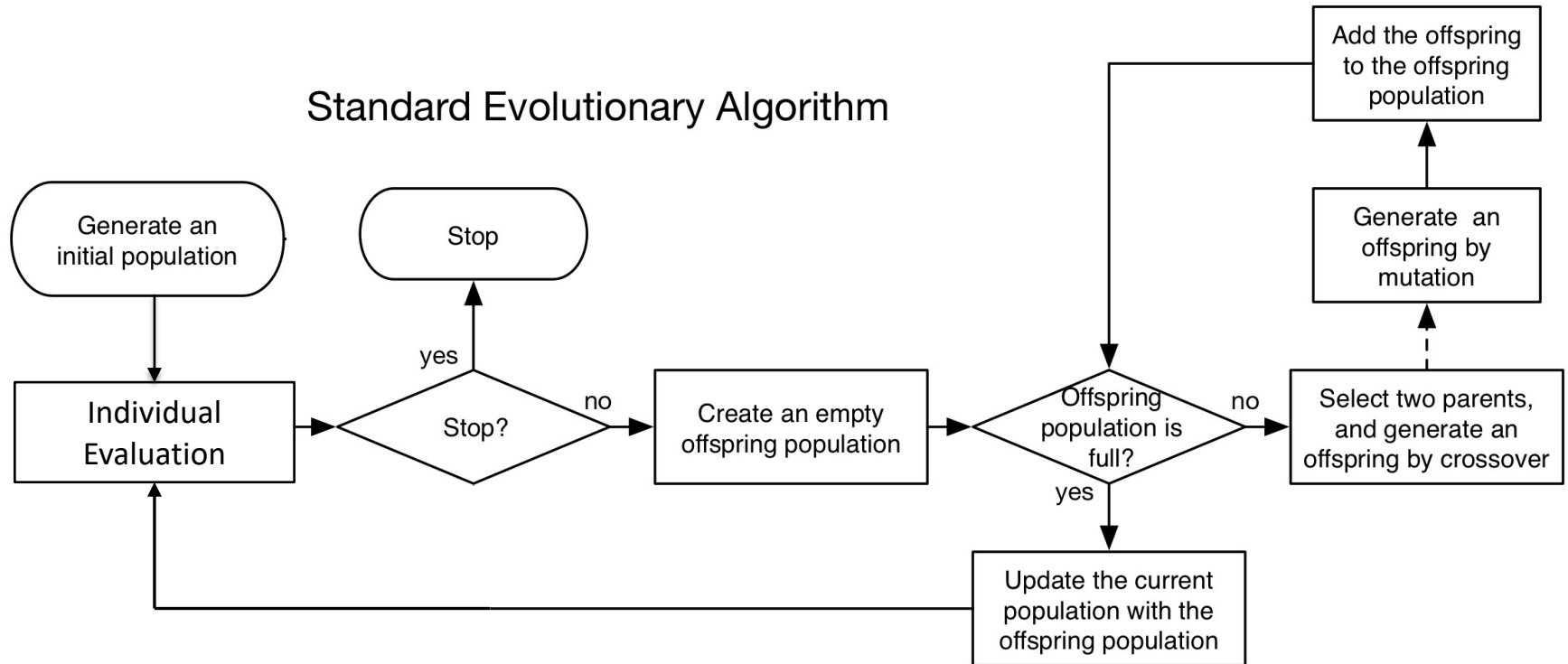
## 2. Genetic Programming Part 2

# Outline

- GP representation
- Terminals and functions
- Program generation
- Genetic operators
- Fitness functions
- A basic GP algorithm
- Tackling a problem with GP

# Genetic Programming

- GP follows the process of a standard evolutionary algorithm



# Genetic Programming

- **Genetic programming (GP)** inherits properties from **EC** techniques (e.g. GAs) and **automatic programming**
- GP uses a **similar** evolutionary process to the general evolutionary algorithms (e.g. GAs)
  - GA uses **bit strings** to represent solutions;  
GP uses **tree-like** structures that can represent **computer programs**
  - GA bit strings use a **fixed** length representation;  
GP trees can **vary in length**
  - The term GP originates from the notion that computer programs can be represented as a **tree-structured genome**
- **Automatically learning** a set of **computer programs** for a particular task is a dream of computer scientists
- GP is such a technique that can help us achieve this goal

# LISP S-Expressions

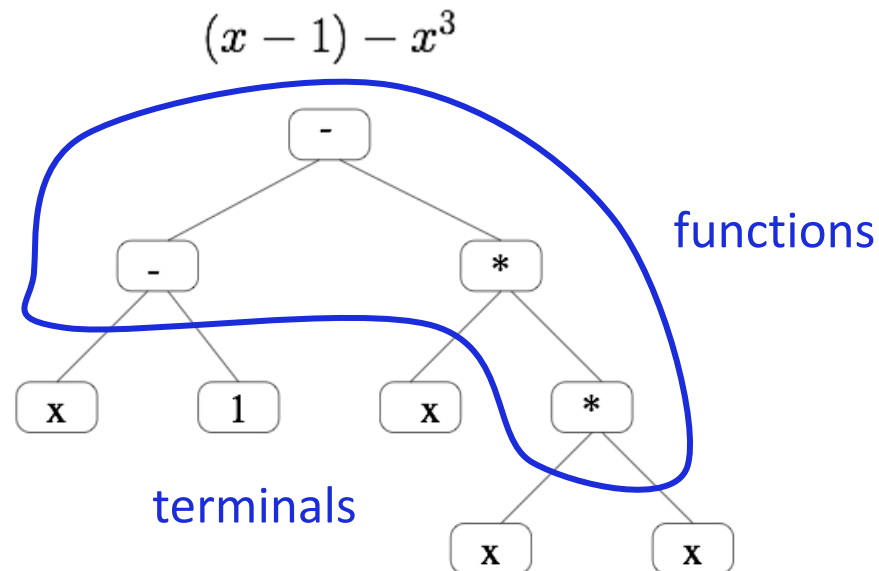
- Form of a LISP function:  
(*FUNCTION-NAME ARG1 ARG2 ARG3, ...*)

The arguments are evaluated, the function is applied to the arguments and then the output returned

- (+ 1 2 3) evaluates to 6
- (+ (- 3 2) (\* 2 4)) evaluates to (+ 1 8) which is 9
- (IF (> TIME 10) 3 4) evaluates to 3 if TIME is 11 or more, and to 4 if time is 10 or less
- If TIME=20, what is the value of (+ 1 2 (IF (> TIME 10) 3 4))?

# Programs as Tree Structures

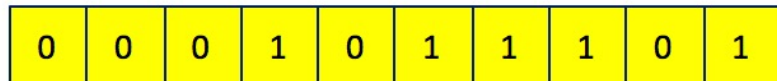
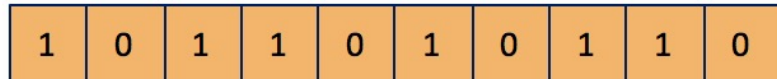
- Representation: Tree Structures
- Programs are constructed from a *terminal* set & *function* set
- Terminals and functions are also called *primitives*



# GA vs GP: Representation

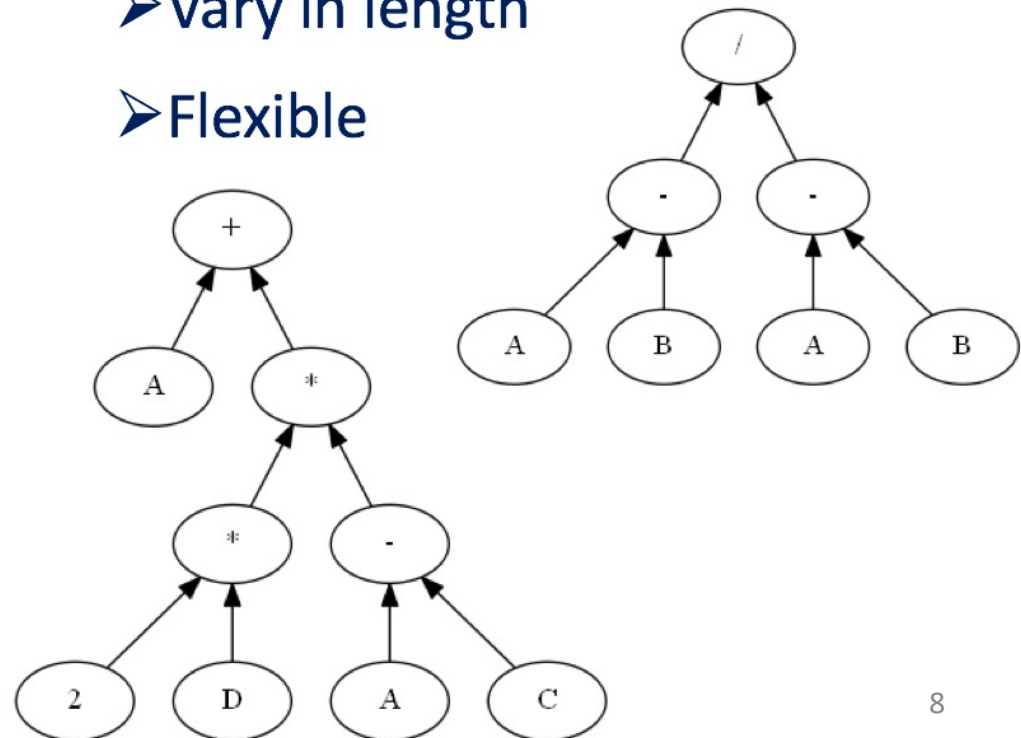
## Genetic Algorithm

- Bit string representation
- Fixed in length
- Inflexible



## Genetic Programming

- Tree-like structure
- Vary in length
- Flexible





# Terminal Set

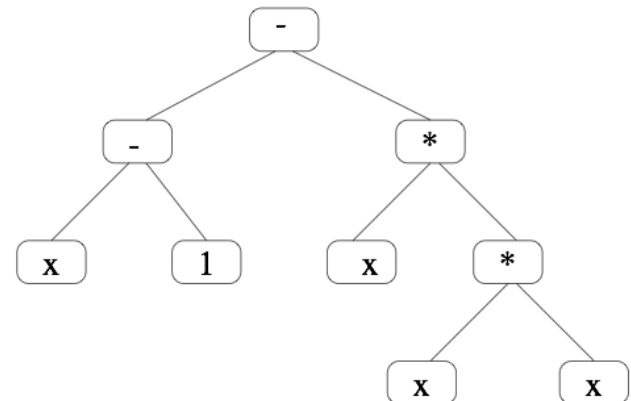
- A terminal set consists of a set of terminals:
  - attributes/features
  - “Constants” (randomly generated, but don’t change)
- Terminals have no arguments & form the leaves of the tree
- Terminals represent the *inputs* of a GP program, i.e. input from the environment (a specific task)
- Attributes or features of a problem domain are usually used as terminals

# Function Set

- A function set consists of a set of **functions or operators**
- Functions form the **root and the internal nodes** of the tree representation of a program
- Two kinds of functions: **general** functions, and **domain-specific** functions
- **General functions:**
  - **Arithmetic** functions: +, -, \*, %.
  - **Protected** division (%): returns 0 (or 1) if denominator is 0 (*why?*)
  - Other functions: sin, cos, exp, log, abs, ...
- **Domain Specific functions:** e.g. image processing operators

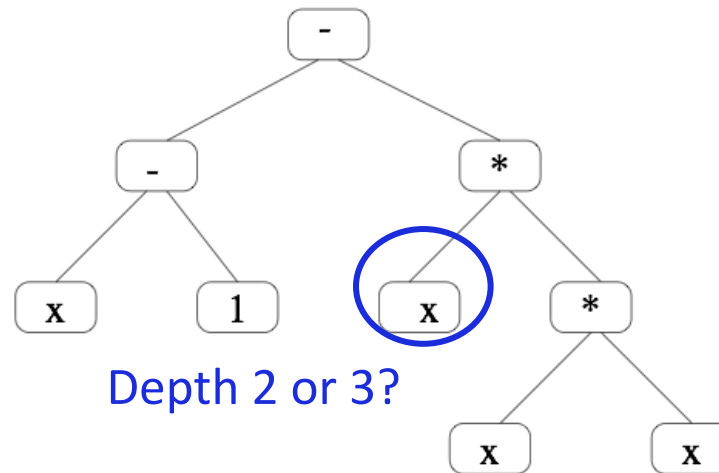
# Sufficiency and Closure

- Selection of the functions and terminals is **critical** to success
- The terminal set and the function set should be selected to satisfy the requirements of **closure** and **sufficiency**
- **Sufficiency**: There must be some **combination** of terminals and function symbols that can **solve the problem**
- **Closure**: Any function can **accept any input value** returned by any function (and any terminal)
  - NB: “strongly-typed GP” violates this!



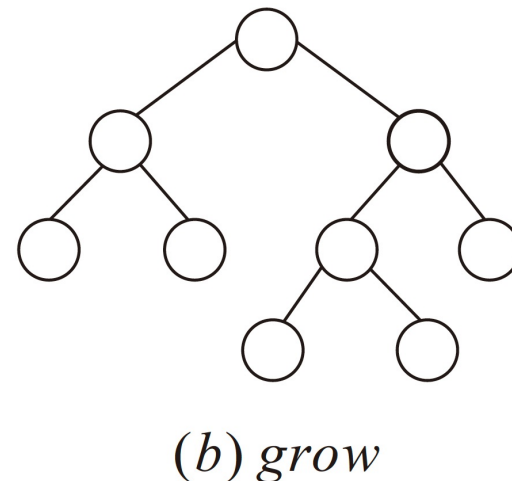
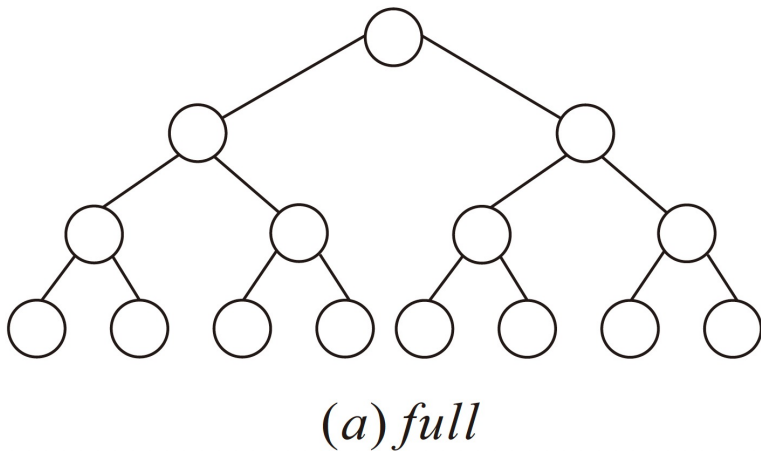
# Program Generation

- For **initialising** a population, or performing **mutation**
- **Maximum program size**: the **maximum depth** of a tree
- **Depth**: The depth of a node is the **minimum number of nodes** that must be traversed from the root of the tree to it



# Program Generation

- There are several ways of generating programs: full, grow, and ramped half-and-half
- Full method:
  - Functions are selected as the nodes of the program tree until a given depth is reached
  - Then terminals are selected to form the leaf nodes
  - This ensures that full, entirely balanced trees are constructed



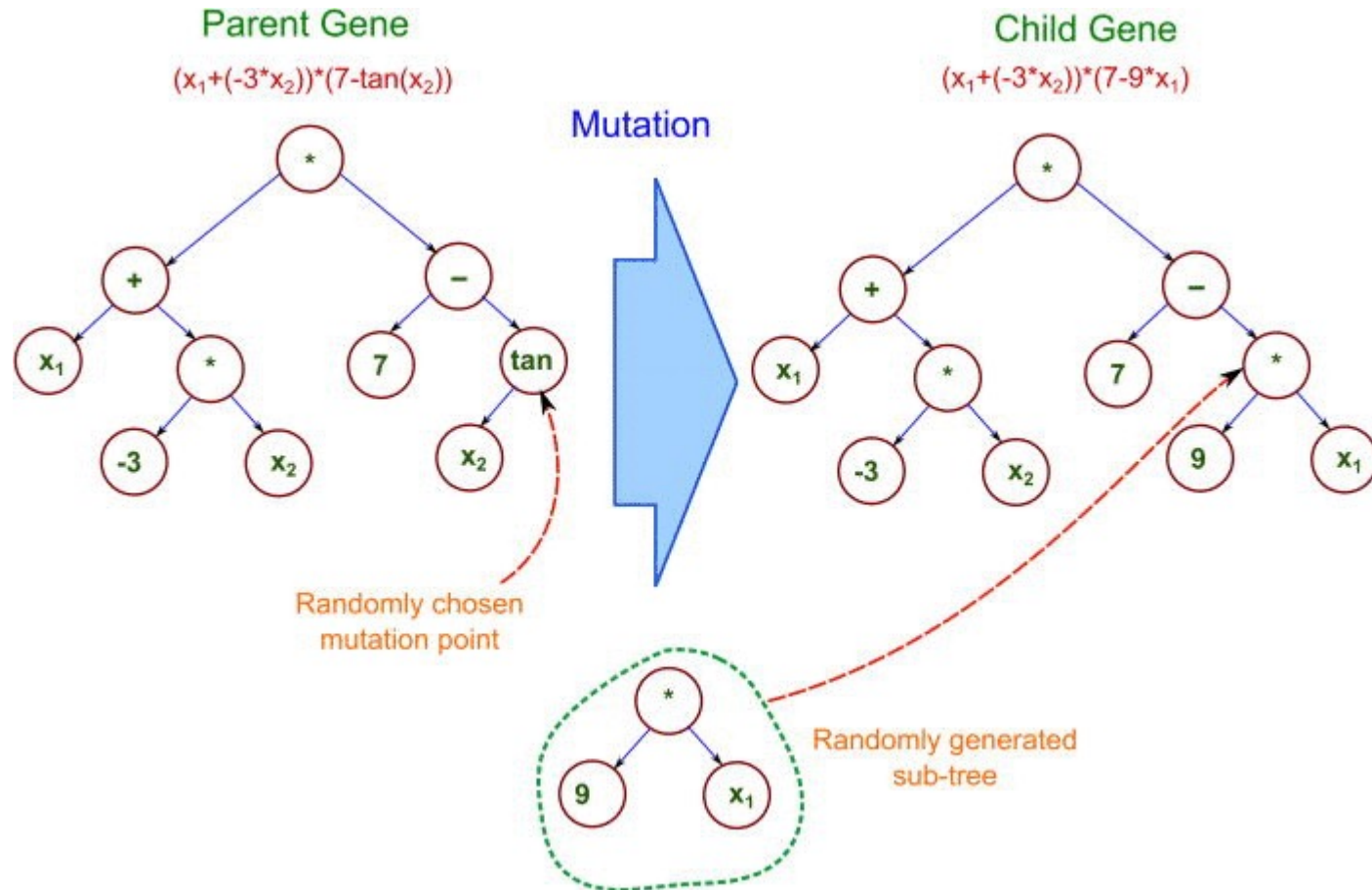
# Program Generation

- Grow method:
  - Nodes are selected from **both functions and terminals**
  - If a terminal is selected, the branch with this terminal is terminated and we move on to the next non-terminal branch in the tree
- Ramped half-and-half method:
  - Both the full and grow methods are combined
  - Half of the population generated for each depth value are created by using the grow method and the other half using the full method
- Ramped half-and-half is widely used in many GP systems
  - Good balance of the benefits of each!

# Genetic Operators in GP

- Evolution proceeds by **updating** the **initial** population by the use of **genetic operators**
  - An **initial** population usually has very **bad** fitness
  - Three main operators in GP: **reproduction, mutation, and crossover**
- **Reproduction:**
  - **Simply copy** a **selected** program to the new generation
  - Allow good programs to survive
  - **Elitism**: keep only the best!
- **Mutation:**
  - Operate on a **single** selected program
  - **Remove** a **random subtree** of the program
  - Generate a **new subtree** in the same place

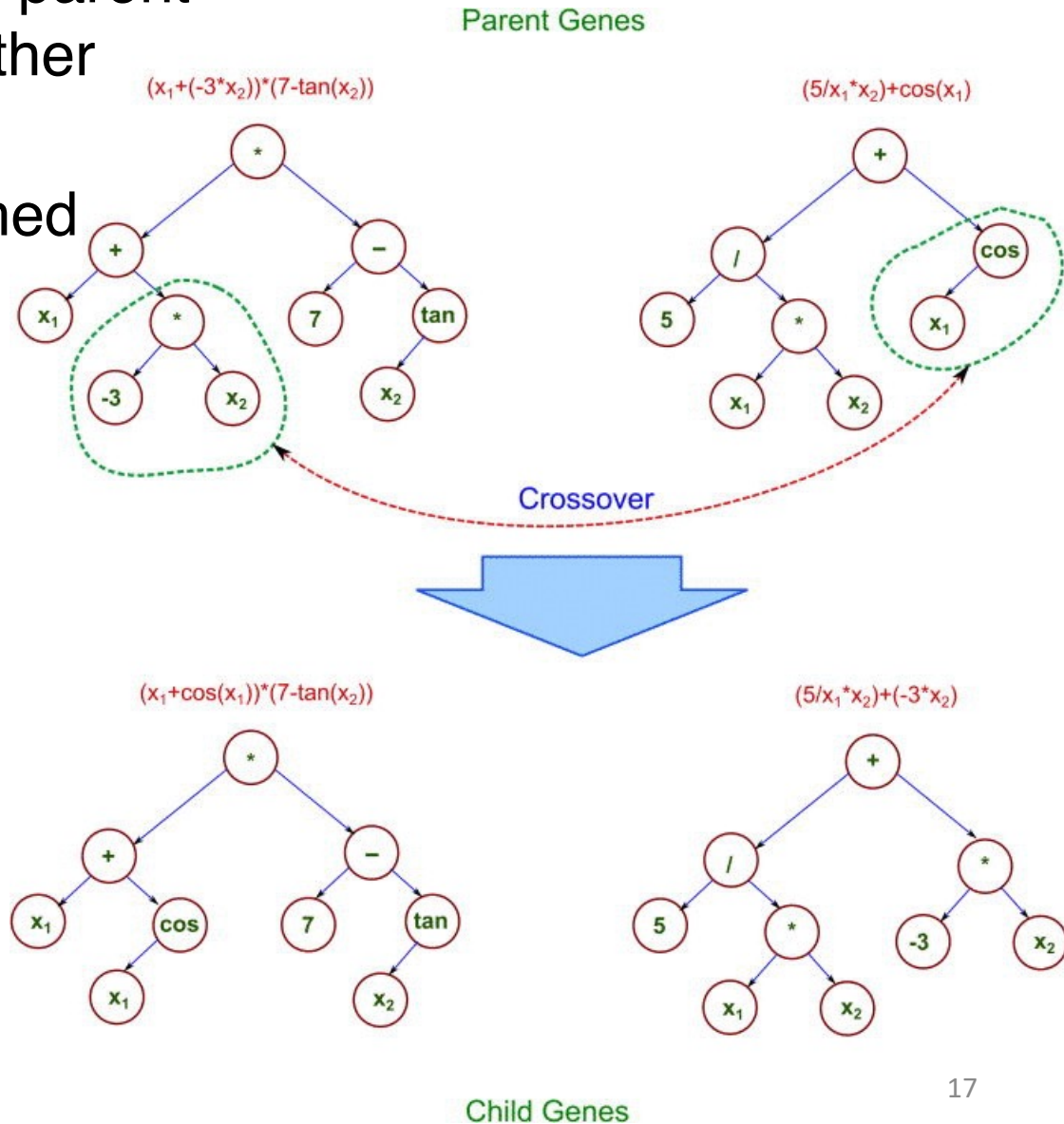
# Mutation in GP





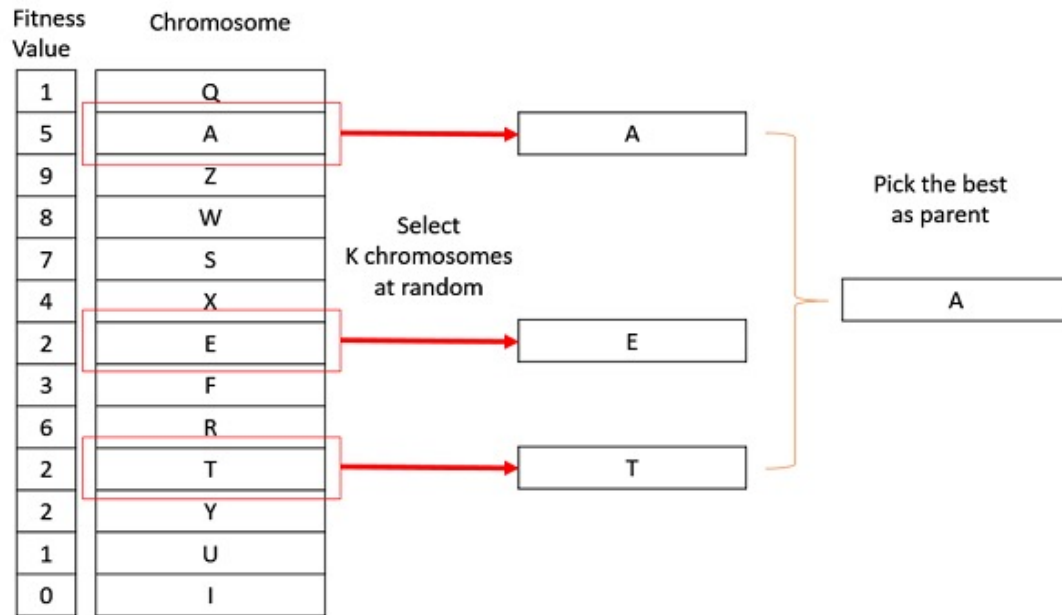
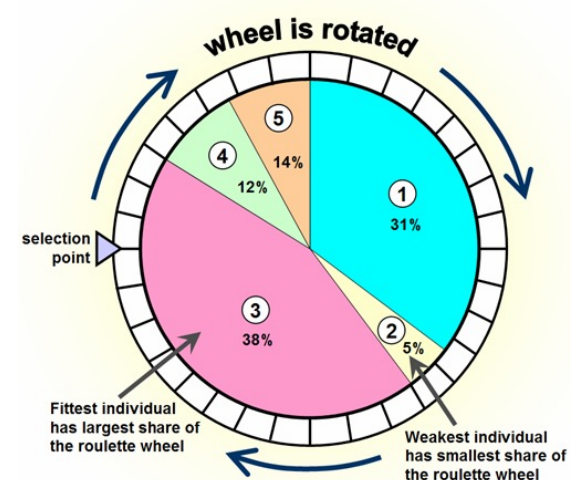
# Crossover in GP

- **Swap** a subtree of one parent with a subtree of the other
- Put the two newly-formed programs into the next generation



# Selection (same as GAs!)

- Roulette wheel selection
  - The probability of being selected is **proportional** to the fitness
  - Assume fitness is maximized
- **K**-tournament selection



# Fitness Evaluation

- The **fitness** of a program generated by the evolutionary process is **evaluated** according to the **fitness function**
- The fitness function should give **graded** and **continuous** feedback on **how good** a program is on the **training set**
- The fitness function plays a **very important** role in the evolutionary process and **varies** with the problem domain
- **Fitness cases**: instances used for fitness evaluation
  - **Training cases**: training instances used for learning
  - **Test cases**: test instances used for performance evaluation

# Fitness Function Examples

- Image matching: the number of **matched pixels**
- Robot learning obstacle avoidance: the number of **walls hit** for a robot
- Classification task: the number of **correctly classified** examples, error rate, or classification accuracy
- GP-controlled gambling agent: the amount of **money** won
- Artificial life application: the amount of **food** found and eaten

# A Basic GP algorithm

- Initialise the population
- Repeat until the stopping criteria is met:
  - Evaluate the fitness of each program in the current population
  - Create an empty new population
  - Repeat until the new population is full:
    - Select programs in the current generation (often *tournament selection*)
    - Apply genetic operators to the selected programs to generate offspring (*e.g. 80% crossover, 15% mutation, 5% reproduction*).
    - Insert the children programs into the new generation.
- Output the best individual program in the population.

# Tackling a Problem with GP

- What **terminals** should be used in the program trees?
- What **functions** are needed to represent the program tree?
- What is the **fitness function/measure**?
- Parameters values for controlling the evolutionary process:  
e.g. what **population size**, **tree depth** and **tournament size**?
- When to **terminate** a run?
- Which **genetic operators** should be used, and how frequently should they be applied?

# Summary

- Overview of EC (GA) process
- GP basics: representation, primitives, terminals, functions, fitness, genetic operators, selection
- GAs vs GP
- Basic GP algorithm
- Suggested reading:
- <http://www.genetic-programming.com/>
- [https://en.wikipedia.org/wiki/Genetic\\_programming](https://en.wikipedia.org/wiki/Genetic_programming)
- Next lecture: GP examples, for regression and classification