

**S.C.R.E.A.M**

**James Prestwich**



# James Prestwich

**init4.technology**

engineering

+ Razzlekhan Cosplayer  
+ the guy from footloose  
that banned dancing

Twitter: @\_prestwich

Github: prestwich

**this talk is not well laid out  
and will be an awful lot**

This is the state (just roll with it)

**State Contention: multiple parties**

**State Contention: re-ordering changes outcomes**



State Contention: re-ordering changes outcomes

**(ranting about concurrency)**

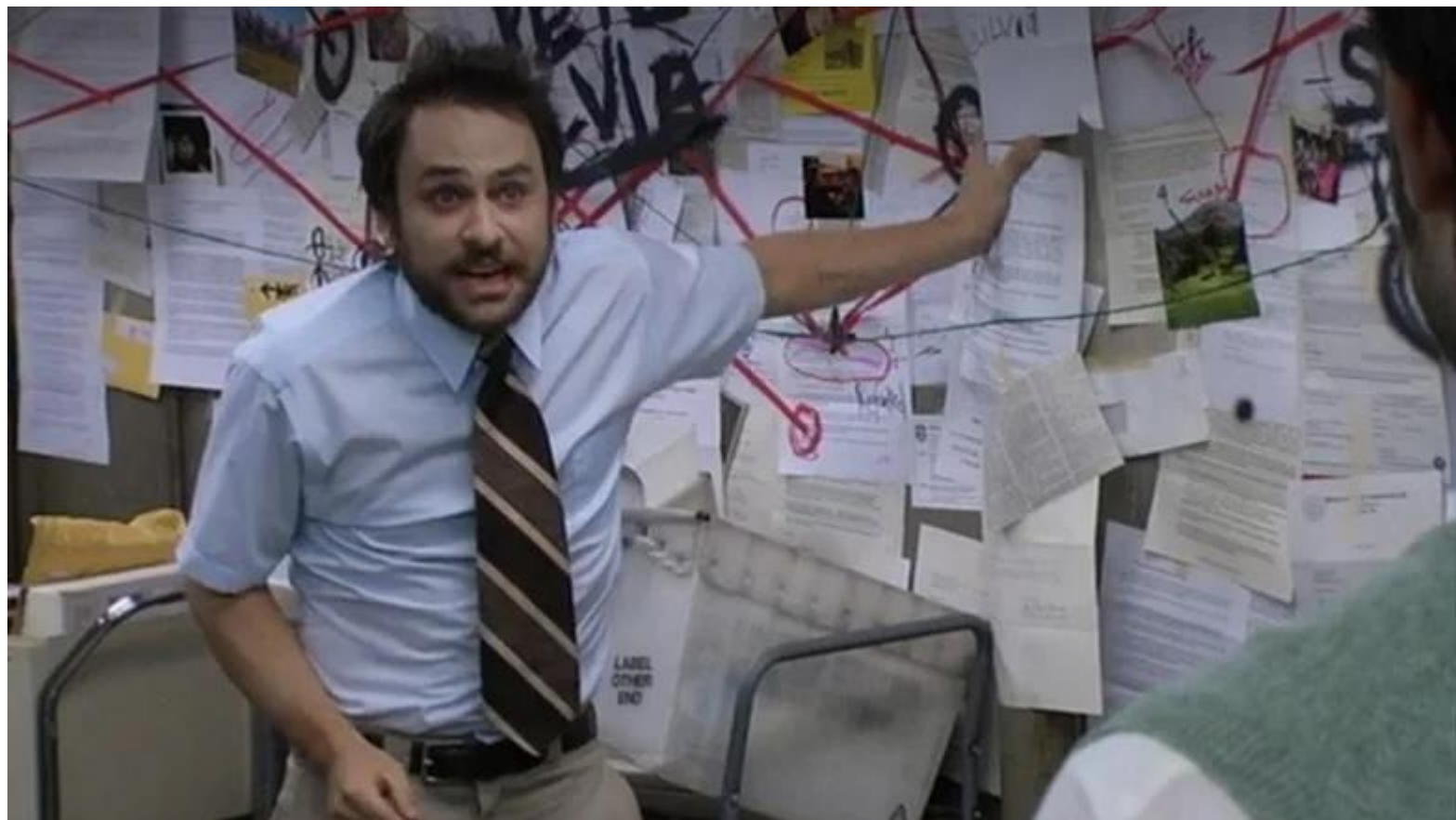


**Why does this matter?**

**The OS and the CPU mediate concurrency**

**Ethereum's OS has a knife and wants your wallet.**





**Let's talk about the mail, Mac.**

$$f(\text{🌲})$$

A scoring function

$$f(\text{🌲}) = 10/10$$

perfect tree

no notes

My scoring function

$f(\text{🌴})$

$f(\text{🌲})$

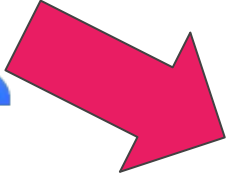
$f(\text{🌳})$

Outcome scoring

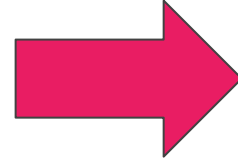
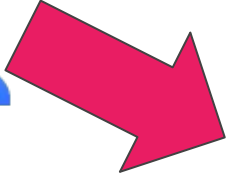




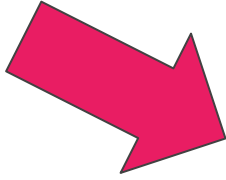
Builders



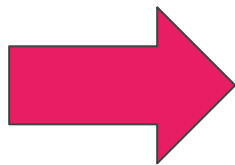
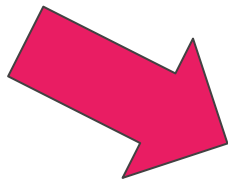
Builders decide order



Order determines outcome



Therefore, builder decides outcome\* (this is a really important \*)

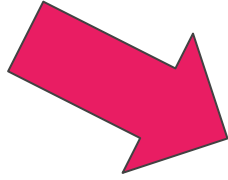


$f(\text{🌲})$



$f(\text{🌳})$

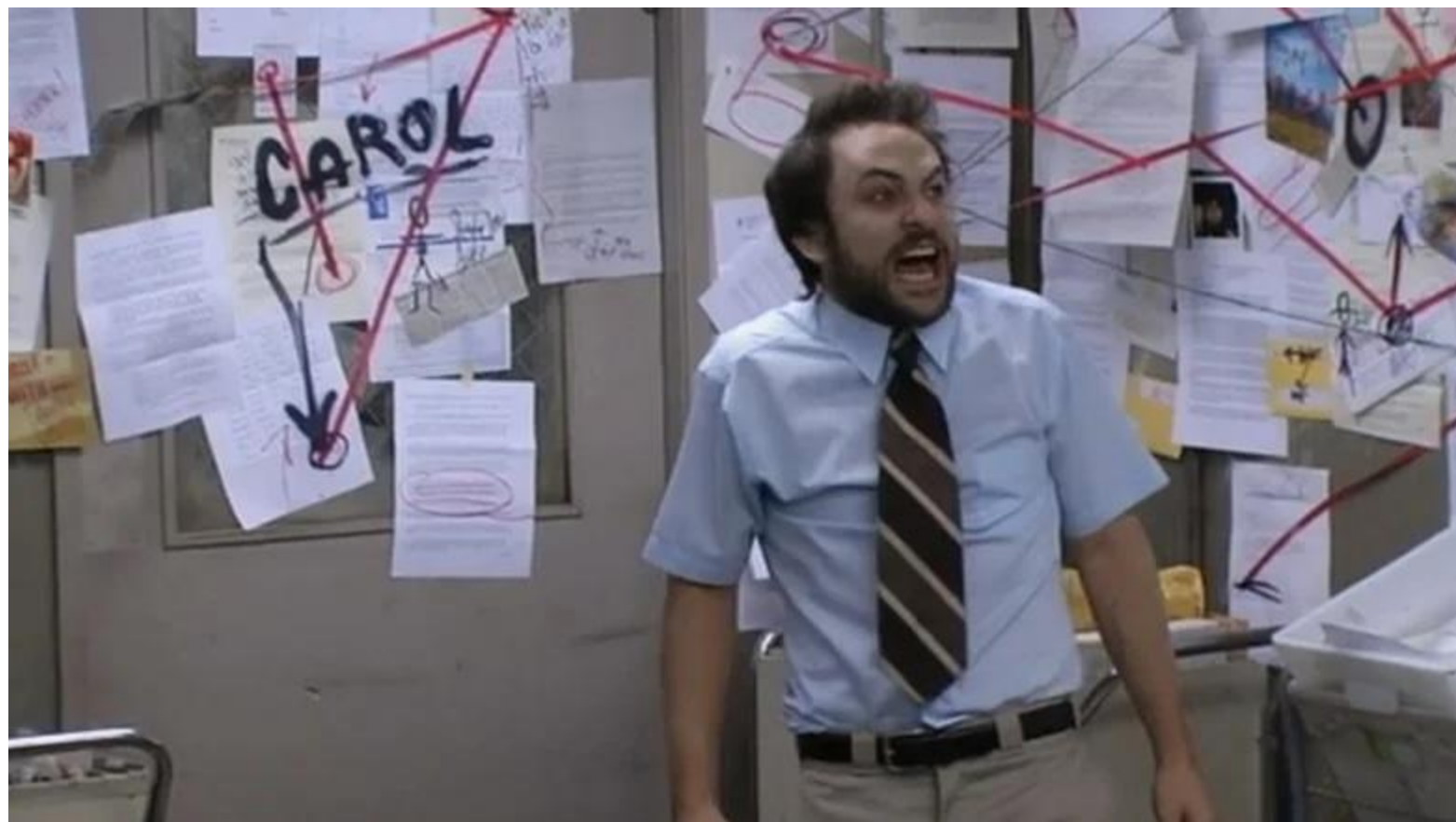
Outcome decides user happiness\*



$f(\text{🌲})$

$f(\text{🌳})$

Therefore, the builder decides how happy you are\*



**\* and if they can affect the state**



**\* if they can simulate things accurately**

# asterisk part 1: order invariance

$f(\text{🌲})$

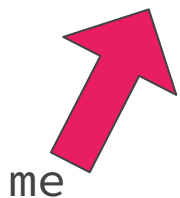
$g(\text{🌲})$

$h(\text{🌲})$

There are many choices of scoring function

$h(\text{🌲})$

A different scoring function



$h(\text{🌲})$  Perfect tree  
10/10 no notes

$h(\text{🌳})$  Perfect tree  
10/10 no notes

Order invariant scoring functions

$h(\text{🌲})$  Perfect tree  
10/10 no notes

$h(\text{🌳})$  Perfect tree  
10/10 no notes

also me (in my lane, flourishing)

Order invariant scoring functions

**okay so examples?**

## Order invariant

---

- I have to pay Yaz 1 ETH to defray his aragon court costs.
- I need to add more collateral to my maker vault
- I am transferring an nft pfp because i live like it is 2021 and this is still cool



Perfect tree  
10/10 no notes

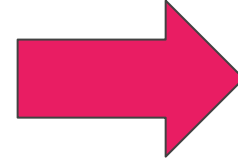
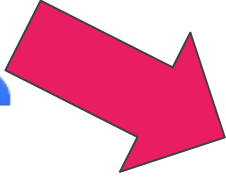


Perfect tree  
10/10 no notes



**takeaway: if the state is not contentious, the  
outcome may not change**

# asterisk part 2: simulation



?

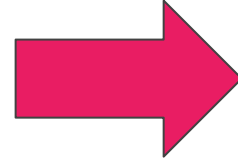
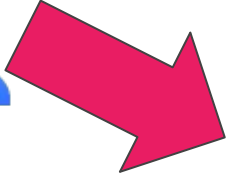
?

?

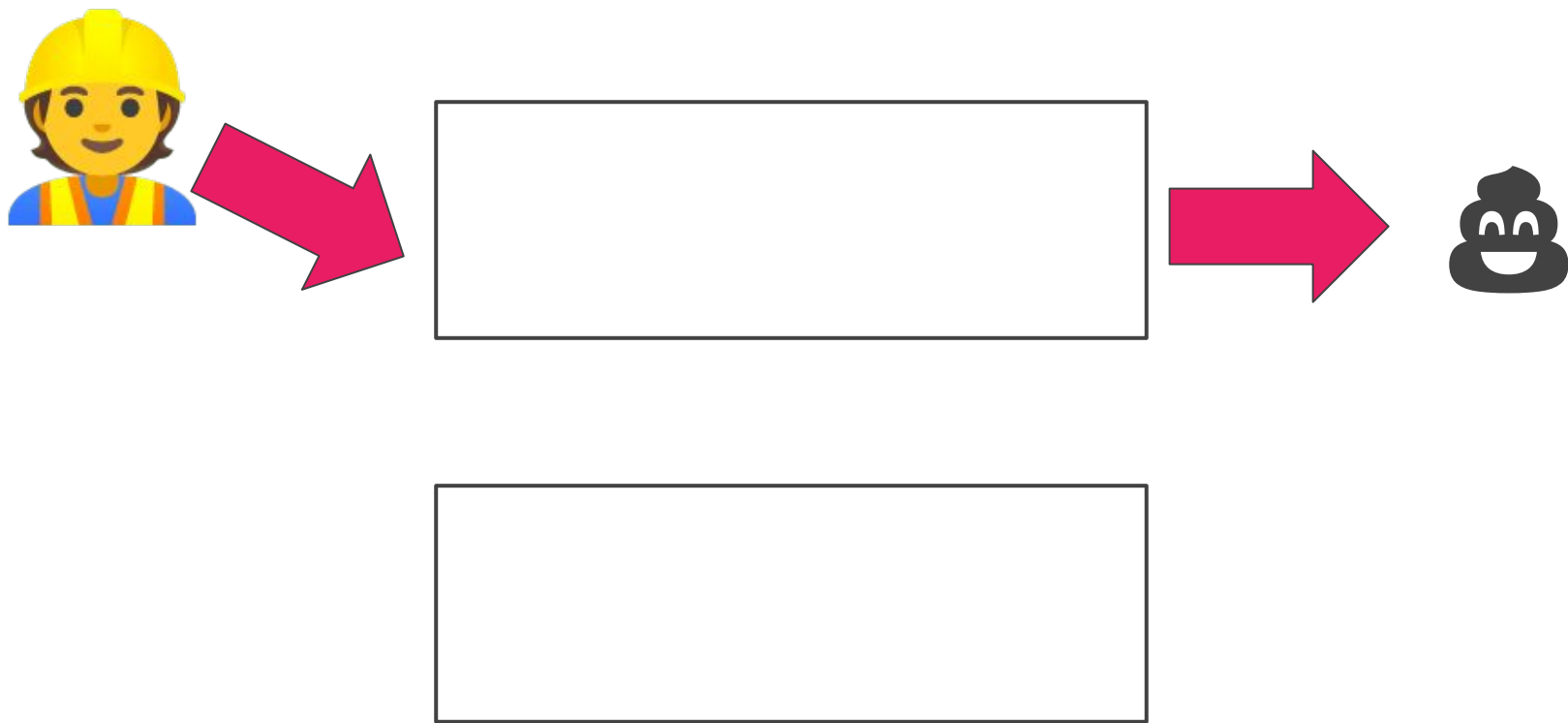
If the builder can't determine the outcome, they don't get to decide

**Simulation relies on perfect knowledge**

Simulation is also **GOOD** tho, actually



In order to get what you want, you pay the builder for it.



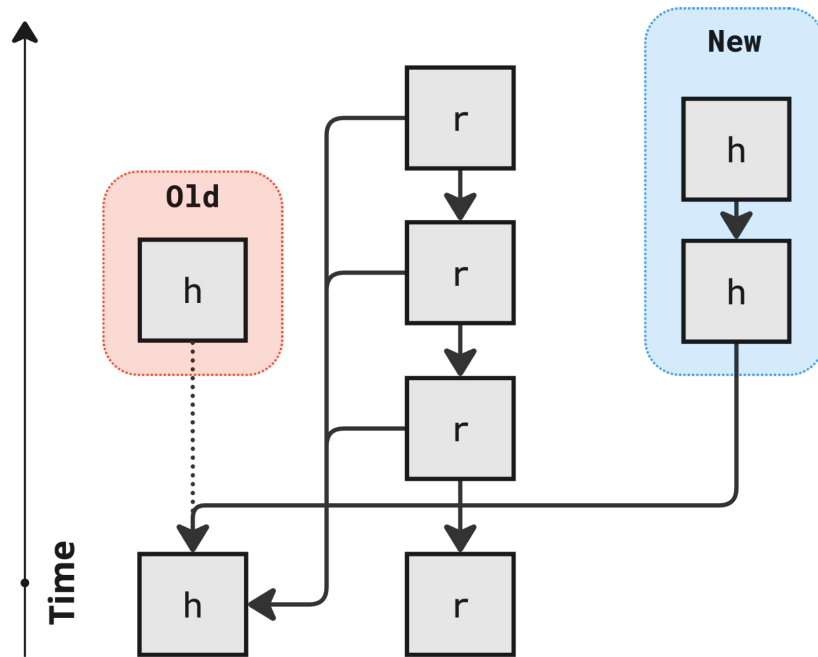
If the builder can't determine the outcome, you may pay to get rekt

In what cases does a builder  
**NOT** have perfect knowledge?



**referencing new, unpredictable information**

## Run-ahead, Host Reorg (no invalidation)

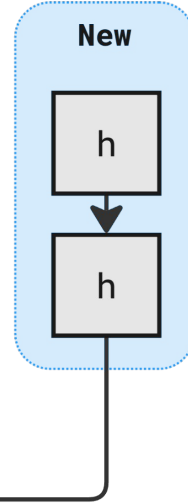


These slides looks very slick because my coworker Tom made the charts. My charts are trash

Run-a

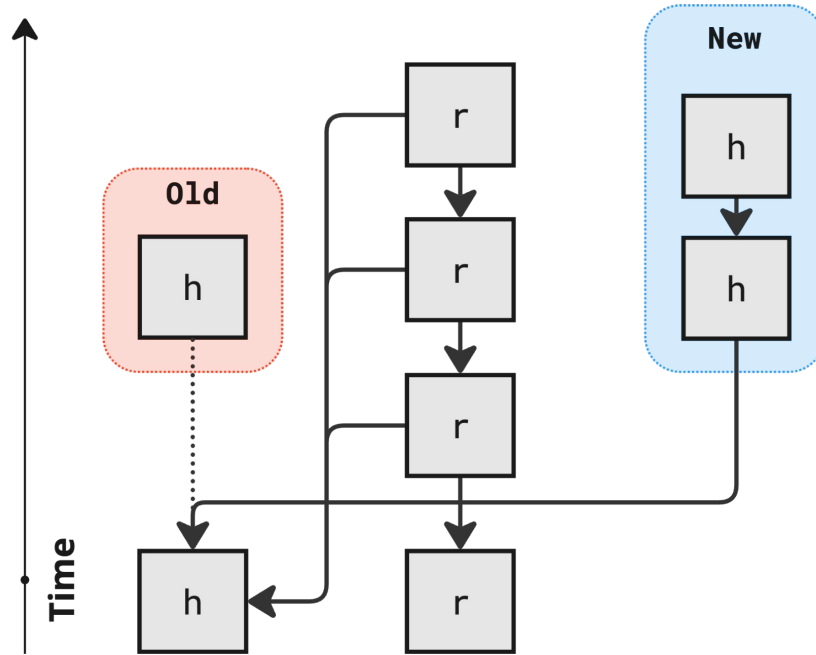
no invalidation)

Time



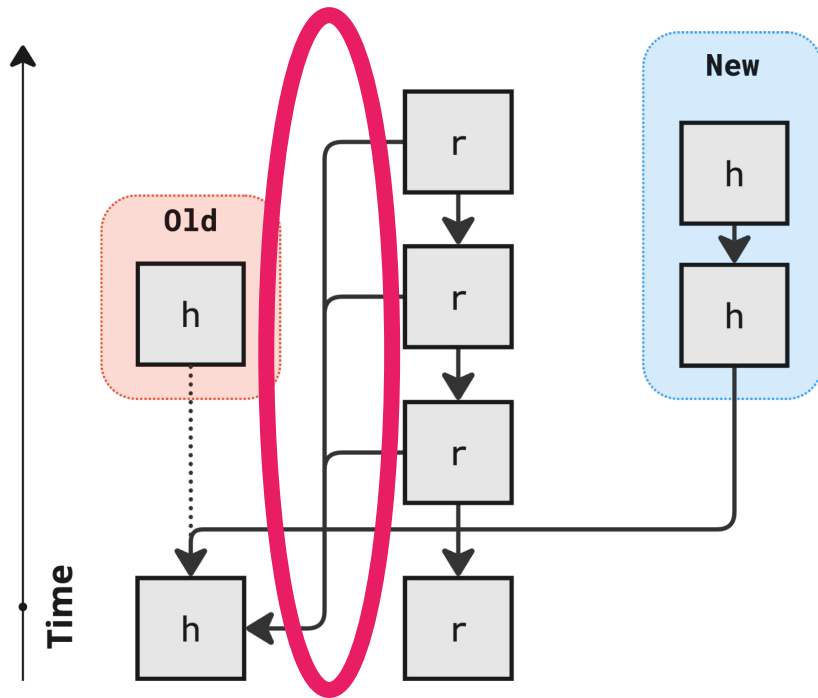
This is Tom

## Run-ahead, Host Reorg (no invalidation)



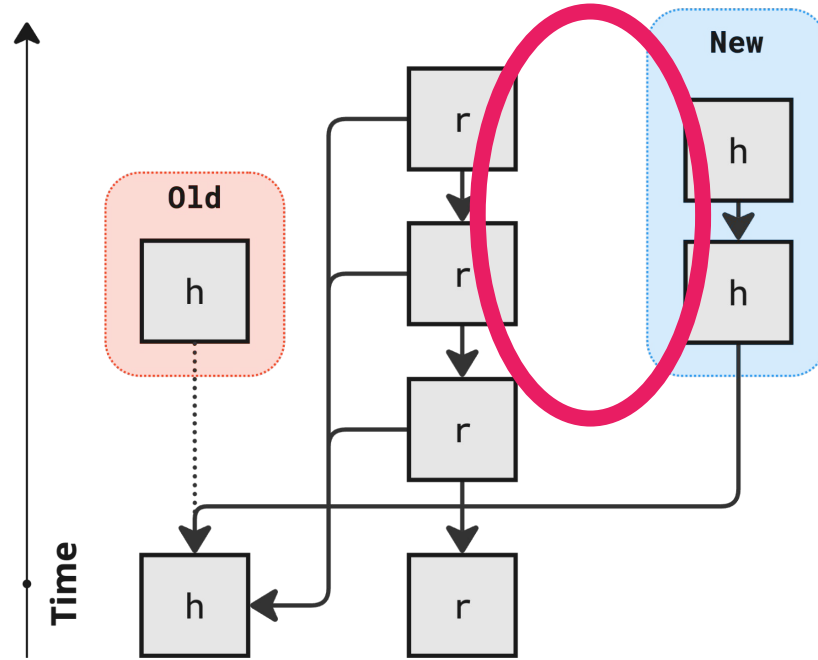
Speaking of unpredictable information. You did not expect Tom.

## Run-ahead, Host Reorg (no invalidation)



The sequencer references **PAST** Ethereum blocks

## Run-ahead, Host Reorg (no invalidation)



The sequencer cannot reference **CURRENT** Ethereum blocks

preconfs **REQUIRE** simulation

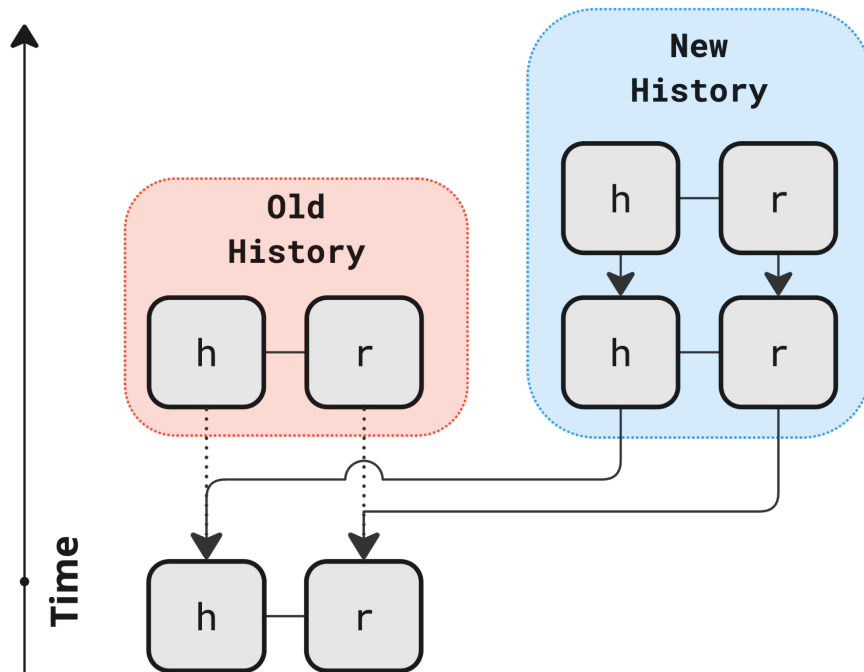
**Optitrum timelags host references in order  
to preserve rollup simulation to preserve precons**



**Is there a tradeoff?**

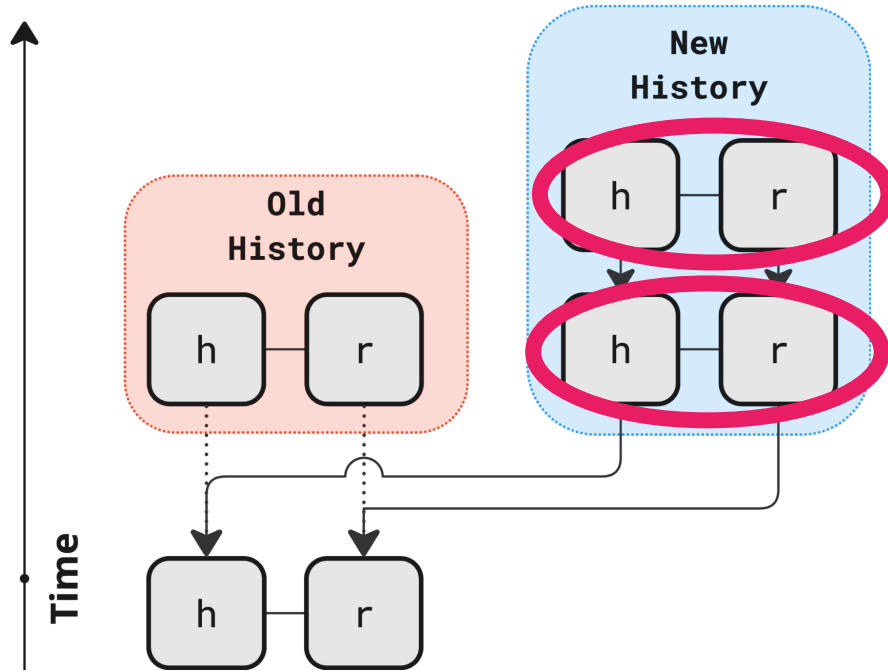
**Of course there is!**

## Based, Host Reorg



This is a based rollup (ty based Tom)

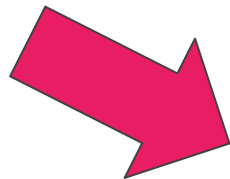
## Based, Host Reorg



The rollup builder can reference current blocks \*

**\* if they can simulate things accurately**

**Allow me to simply copy-paste some slides  
here thank you very much**



$f(\text{🌲})$

$f(\text{🌳})$

Going alllllll the way back to 5 minutes of very fast talking ago

## Order invariant

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- I have to pay Yaz 1 ETH to defray his aragon court costs.
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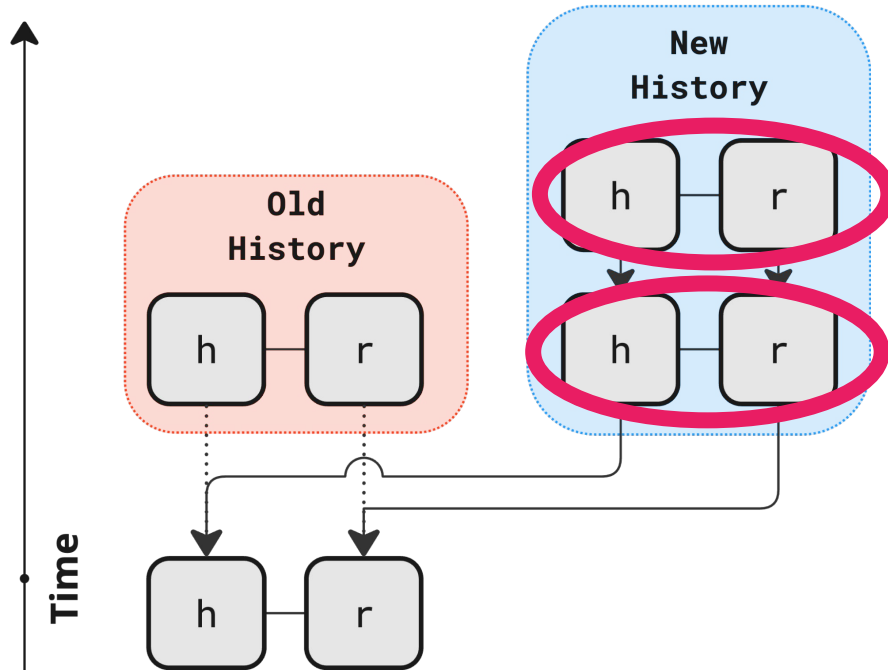
Perfect tree  
10/10 no notes



Perfect tree  
10/10 no notes



## Based, Host Reorg



The rollup builder can reference current blocks \*

When the rollup block is submitted atomically with order-invariant actions, the rollup state transition function can reference the output state of those actions and remain simulatable even tho the full host state is unknown

What did  
we learn here?

James had too much  
coffee today.

---

**Simulation allows Censorship**

**Simulation is necessary for preconf**

**Preconfs require delayed communication**

**Simulation is necessary for  
same-block host->rollup communication**

**Preconfs are not compatible with  
same-block communication**



**we are walking a mechanism tightrope**

**and every rollup has already fallen off :(**

# The end

Takeaways:

- TODO:  
give the talk a point

James Prestwich

engineering @ init4  
+ Razzlekhan Cosplayer  
+ hitting the gym at 4:30 AM  
because of jet lag

Twitter: @\_prestwich

Github: prestwich

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