

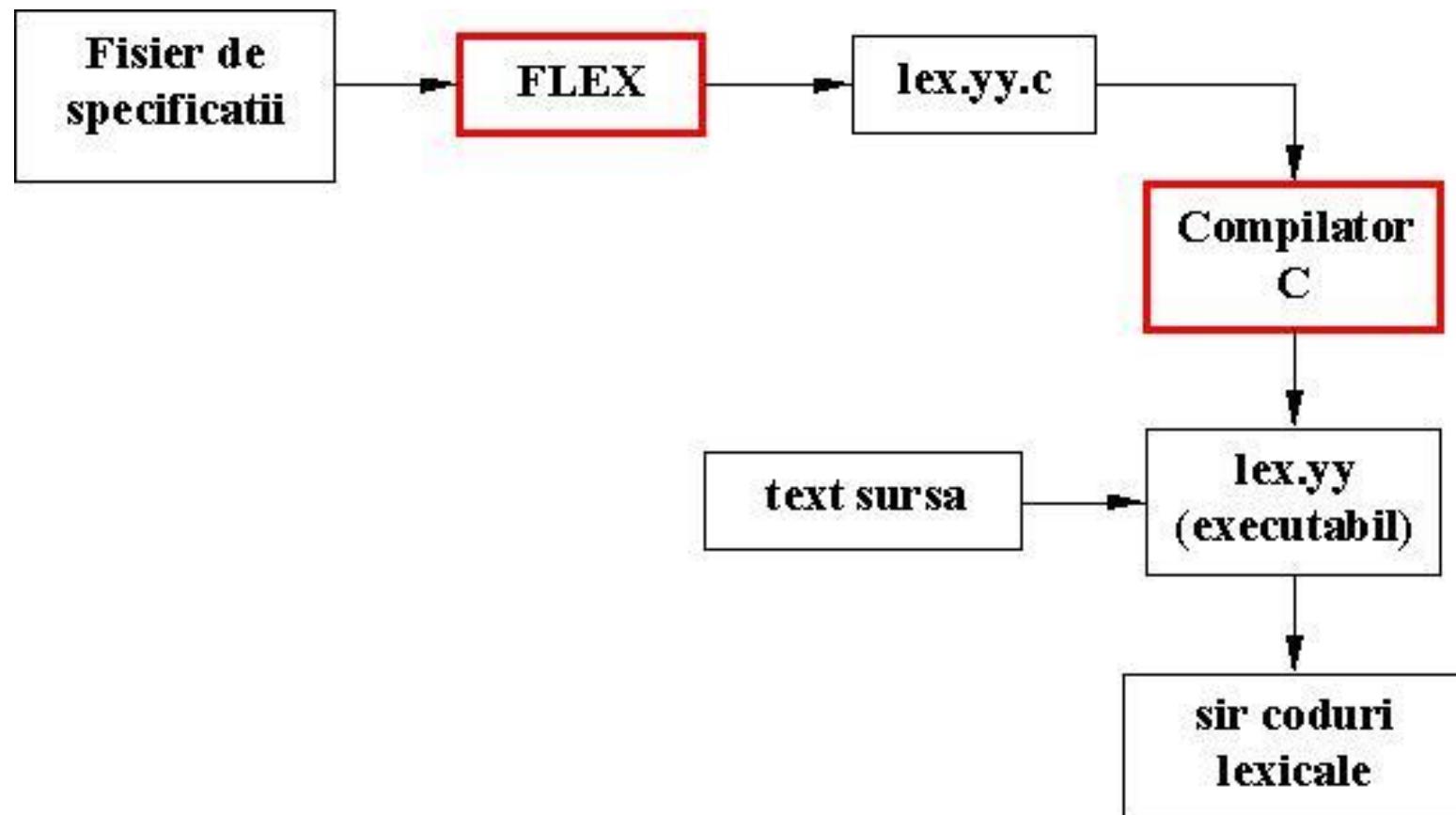
# Course 3

Back to compiler construction

# Scanning & Parsing Tools

- Scanning => lex
- Parsing => yacc //later

# Lex – Unix utility (flex – Windows version)



## INPUT FILE FORMAT

- The file containing the specification is a text file, that can have any name. Due to historic reasons we recommend the extension **.lxi**.
- Consists of 3 sections separated by a line containing %%:

definitions

%%

rules

%%

user code

## *Example 1:*

%%

```
username printf( "%s", getlogin() );
```

**specifies a scanner that, when finding the string  
“username”, will replace it with the user login name**

## Definition Section:

- - declarations of simple *name definitions* (used to simplify the scanner specification), of the form
  - name definition
- where:
  - **name** is a word formed by one or more letters, digits, '\_' or '-', with the remark that the first character MUST be letter or '\_' and must be written on the FIRST POSITION OF THE LINE.
  - **definition** is a regular expression and is starting with the first nonblank character after name until the end of line.
  - declarations of *start conditions*.

## Rules Section

- to associate semantic actions with regular expressions. It may also contain user defined C code, in the following way:

**pattern action**

where:

- **pattern** is a regular expression, whose first character MUST BE ON THE FIRST POSITION OF THE LINE; see RegExp file
- **action** is a sequence of one or more C statements that MUST START ON THE SAME LINE WITH THE PATTERN. If there are more than one statements they will be nested between {}. In particular, the action can be a void statement.

## User Defined Code Section:

- Is optional (if is missing, then the separator %% following the rules section can also miss). If it exists, then its containing user defined C code is copied without any change at the end of the file lex.yy.c.
- Normally, in the user defined code section, one may have:
  - function *main()* containing call(s) to *yylex()*, if we want the scanner to work autonomously (for ex., to test it);
  - other called functions from *yylex()* (for ex. *yywrap()*) or functions called during actions); in this case, the user code from definitions section must contain: either prototypes, either **#include** directives of the headers containing the prototypes

Launching the execution:

`lex [option] [name_specification_file]`

where *name\_specification\_file* is an input file (implicitly, `stdin`)

`$ lex spec.lxi`

`$ gcc lex.yy.c -o your_lexer`

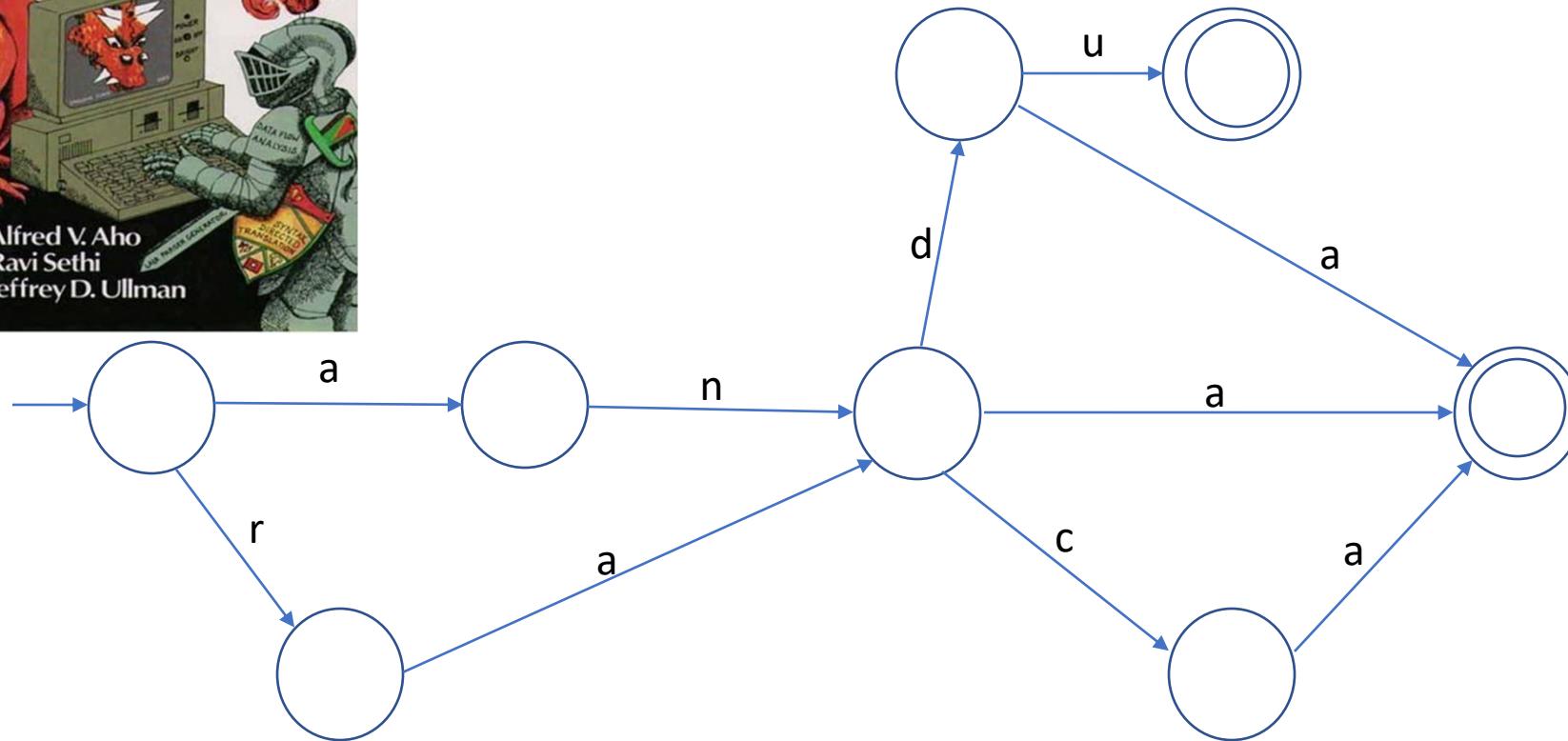
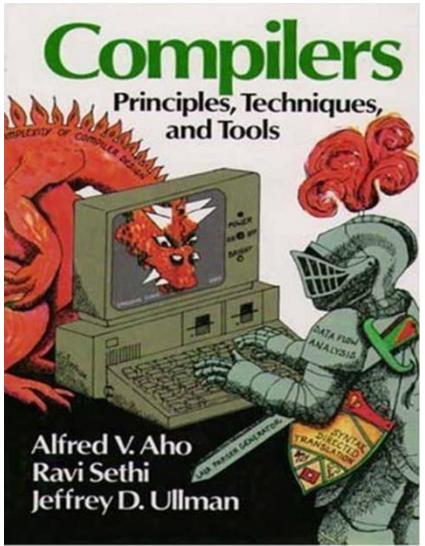
`$ your_lexer<input.txt`

options: <http://dinosaur.compilertools.net/flex/manpage.html>

# Example

# Formal Languages

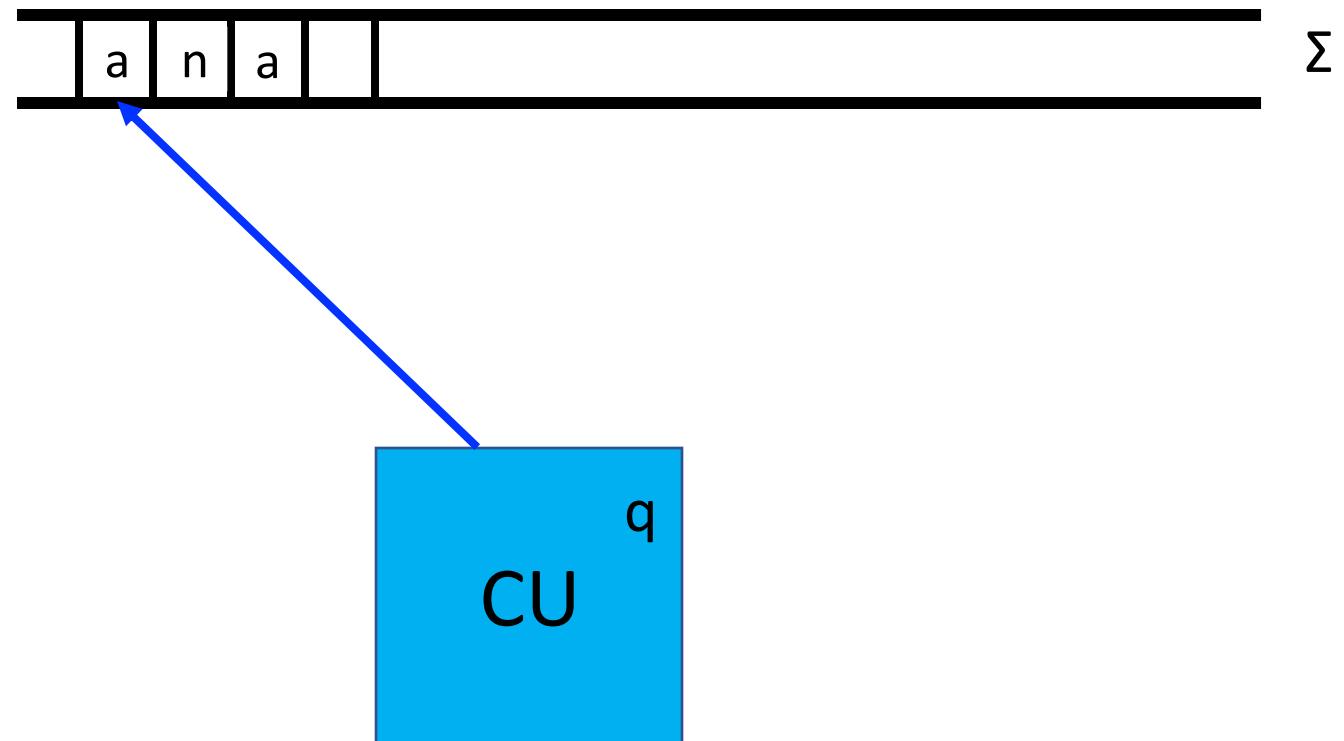
- *basic notions-*



**Problem:** The door to the tower is closed by the **Red Dragon**, using a complicated machinery. Prince Charming has managed to steal the plans and is asking for your help. Can you help him determining all the person names that can unlock the door

# Finite Automata (sing. Finite automaton; abrev. FA; transl = automat finit)

- Intuitive model



**Definition:** A *finite automaton (FA)* is a 5-tuple

$$M = (Q, \Sigma, \delta, q_0, F)$$

where:

- $Q$  - finite set of states ( $|Q| < \infty$ )
- $\Sigma$  - finite alphabet ( $|\Sigma| < \infty$ )
- $\delta$  – transition function :  $\delta: Q \times \Sigma \rightarrow P(Q)$
- $q_0$  – initial state  $q_0 \in Q$
- $F \subseteq Q$  – set of final states

## *Remarks*

1.  $Q \cap \Sigma = \emptyset$
2.  $\delta: Q \times \Sigma \rightarrow P(Q)$ ,  $\varepsilon \in \Sigma^0$  - relation  $\delta(q, \varepsilon) = p$  **NOT** allowed
3. If  $|\delta(q, a)| \leq 1 \Rightarrow$  deterministic finite automaton (DFA)
4. If  $|\delta(q, a)| > 1$  (more than a state obtained as result)  $\Rightarrow$  nondeterministic finite automaton (NFA)

**Property:** For any NFA  $M$  there exists a DFA  $M'$  equivalent to  $M$

## *Configuration C=(q,x)*

where:

- q state
- x unread sequence from input:  $x \in \Sigma^*$

Initial configuration :  $(q_0, w)$  , w - whole sequence

Final configuration:  $(q_f, \varepsilon)$  ,  $q_f \in F$ ,  $\varepsilon$  –empty sequence  
(corresponds to accept)

# Relations between configurations

- $\vdash$  **move / transition** (simple, one step)  
 $(q,ax) \vdash (p,x)$ ,  $p \in \delta(q,a)$
- $\vdash^k$  **k move** = a sequence of k simple transitions)  $C_0 \vdash C_1 \vdash \dots \vdash C_k$
- $\vdash^+$  **+ move**  
 $C \vdash^+ C' : \exists k > 0$  such that  $C \vdash^k C'$
- $\vdash^*$  **\* move (star move)**  
 $C \vdash^* C' : \exists k \geq 0$  such that  $C \vdash^k C'$

**Definition** : *Language* accepted by FA  $M = (Q, \Sigma, \delta, q_0, F)$  is:

$$L(M) = \{ w \in \Sigma^* \mid (q_0, w) \xrightarrow{*} (q_f, \varepsilon), q_f \in F \}$$

### Remarks

1. 2 finite automata  $M_1$  and  $M_2$  are equivalent if and only if they accept the same language

$$L(M_1) = L(M_2)$$

1.  $\varepsilon \in L(M) \Leftrightarrow q_0 \in F$  (initial state is final state)

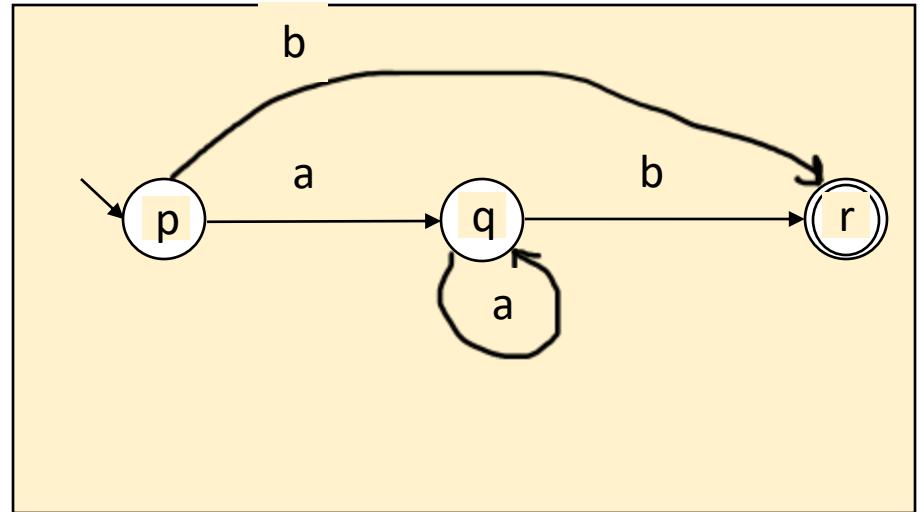
# Representing FA

1. List of all elements
2. Table
3. Graphical representation

$M = (Q, \Sigma, \delta, p, F)$   
 $Q = \{p, q, r\}$   
 $\Sigma = \{a, b\}$   
 $\delta(p, a) = q$   
 $\delta(q, a) = q$   
 $\delta(q, b) = r$   
 $\delta(p, b) = r$   
 $F = \{r\}$

$M = (Q, \Sigma, \delta, p, F)$   
 $F = \{r\}$

	a	b
p	q	r
q	q	r
r	-	-



# Example

$$M = (Q, \Sigma, \delta, p, F)$$

$$Q = \{p, q, r, s\}$$

$$\Sigma = \{0, 1\}$$

$$\delta(p, 1) = q$$

$$\delta(q, 0) = q$$

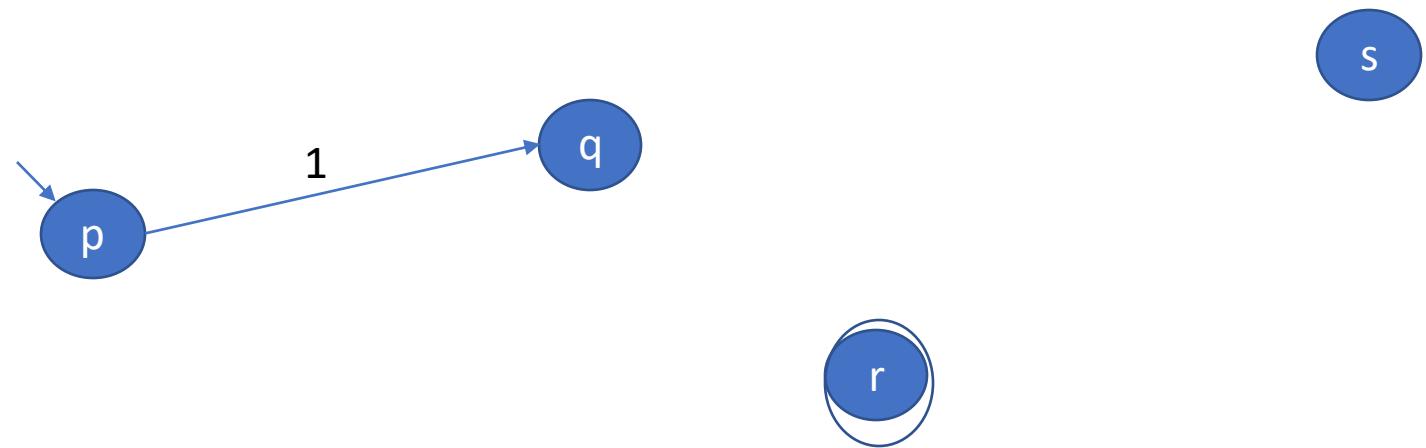
$$\delta(q, 1) = r$$

$$\delta(p, 0) = s$$

$$\delta(s, 1) = s$$

$$\delta(s, 0) = r$$

$$F = \{r\}$$



$(p, 101) | -(q, 01) | -(q, 1) | -(r, \epsilon)$  accepted

$(p, 110) | -(q, 10) | -(r, 0)$  –not accepted

$$F = \{p, r\}$$

# Regular languages

# Why?

1. Search engine – success of Google
2. Unix commands
3. Programming languages – new feature

# Remember

- Grammar

$$G = (N, \Sigma, P, S)$$

$$L(G) = \{ w \in \Sigma^* \mid S \xrightarrow{*} w \}$$

- Finite automaton

$$M = (Q, \Sigma, \delta, q_0, F)$$

$$L(M) = \{ w \in \Sigma^* \mid (q_0, w) \vdash (q_f, \varepsilon), q_f \in F \}$$



# Regular grammars

- $G = (N, \Sigma, P, S)$  right linear grammar if

$\forall p \in P: A \rightarrow aB$  or  $A \rightarrow \underline{a}$ , where  $A, B \in N$  and  $a, b \in \Sigma$

- $G = (N, \Sigma, P, S)$  regular grammar if

- $G$  is right linear grammar

and

- $A \rightarrow \epsilon \notin P$ , with the exception that  $S \rightarrow \epsilon \in P$ , in which case  $S$  does not appear in the rhs (right hand side) of any other production

- $L(G) = \{w \in \Sigma^* \mid S \xrightarrow{*} w\}$  - right linear language

A- $\rightarrow$ aA|a ok ✓  
S- $\rightarrow$ aA|  $\epsilon$  and A- $\rightarrow$ b ok ✓  
S- $\rightarrow$ aA|  $\epsilon$  and A- $\rightarrow$  $\epsilon$  NOT ok ✗  
S- $\rightarrow$ aA|  $\epsilon$  and A- $\rightarrow$ bS|a NOT ok ✗

**Theorem 1:** For any regular grammar  $G=(N, \Sigma, P, S)$  there exists a FA  $M=(Q, \Sigma, \delta, q_0, F)$  such that  $L(G) = L(M)$

Proof: construct  $M$  based on  $G$

$$Q = N \cup \{K\}, K \notin N$$

$$\delta: \text{if } A \rightarrow aB \in P \text{ then } \delta(A,a) = B$$

$$q_0 = S$$

$$\text{if } A \rightarrow a \in P \text{ then } \delta(A,a) = K$$

$$F = \{K\} \cup \{S \mid \text{if } S \rightarrow \epsilon \in P\}$$

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Prove that  $L(G) = L(M)$  ( $w \in L(G) \Leftrightarrow w \in L(M)$ ):

$$S \xrightarrow{*} w \Leftrightarrow (S, w) \vdash^{*} (qf, \epsilon)$$

$$w = \epsilon: S \xrightarrow{*} \epsilon \Leftrightarrow (S, \epsilon) \vdash^{*} (S, \epsilon) - \text{true}$$

$$w = a_1 a_2 \dots a_n: S \xrightarrow{*} w \Leftrightarrow (S, w) \vdash^{*} (K, \epsilon)$$

$$S \Rightarrow a_1 A_1 \Rightarrow a_1 a_2 A_2 \Rightarrow \dots \Rightarrow a_1 a_2 \dots a_{n-1} A_{n-1} \Rightarrow a_1 a_2 \dots a_{n-1} a_n$$

$S \Rightarrow a_1 A_1$  exists if  $S \rightarrow a_1 A_1$  and then  $\delta(S, a_1) = A_1$

$A_1 \rightarrow a_2 A_2 : \delta(A_1, a_2) = A_2 \dots$

$A_{n-1} \rightarrow a_n : \delta(A_{n-1}, a_n) = K$

$$(S, a_1 a_2 \dots a_n) \vdash (A_1, a_2 \dots a_n) \vdash (A_2, a_3 \dots a_n) \vdash \dots \vdash (A_{n-1}, a_n) \vdash (K, \epsilon), K \in F$$

# EX 1

$$G = (\{S, A\}, \{0, 1\}, P, S)$$

$$P: \quad S \rightarrow 0S \mid 0A$$

$$A \rightarrow 1A \mid 1$$

M:

$$Q = \{S, A, K\}$$

$$q_0 = S$$

$$F = \{K\}$$

$$\delta$$

	0	1
S	S, A	
A		A, K
K		