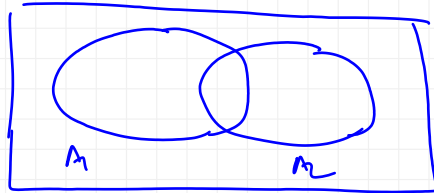


5. Inclusion-exclusion principle

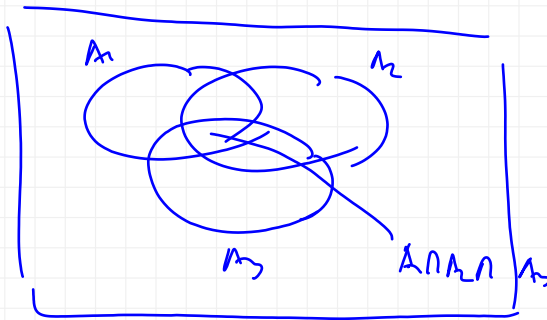
We want to calculate the number of elements of a union of n finite sets. $A_1 \cup \dots \cup A_n$.

Particular case $n = 2$.



$$|A_1 \cup A_2| = |A_1| + |A_2| - |A_1 \cap A_2|$$

$n = 3$



$$|A_1 \cup A_2 \cup A_3| = |A_1| + |A_2| + |A_3| - |A_1 \cap A_2| - |A_1 \cap A_3| - |A_2 \cap A_3| + |A_1 \cap A_2 \cap A_3|$$

Theorem Let $n \in \mathbb{N}^+$, A_1, \dots, A_n be finite sets. Then.

$$|\bigcup_{i=1}^n A_i| = \sum_{i=1}^n |A_i| - \sum_{1 \leq i < j \leq n} |A_i \cap A_j| + \sum_{1 \leq i_1 < i_2 < i_3 \leq n} |A_{i_1} \cap A_{i_2} \cap A_{i_3}| - \dots + (-1)^{m+1} \sum_{1 \leq i_1 < \dots < i_m \leq n} |A_{i_1} \cap \dots \cap A_{i_m}| + \dots + (-1)^{n+1} \left| \bigcap_{i=1}^n A_i \right|$$

$C_n^1 = n \text{ terms}$ $C_n^2 \text{ terms}$ $C_n^3 \text{ terms}$ $C_n^m \text{ terms}$ $C_n^n = 1 \text{ term}$

Proof induction on n . (Homework!).

Ex. 106 Let $|A| = k$, $|B| = n$. Find the number of surjective functions $f: A \rightarrow B$.

Rem If $f: A \rightarrow B$ is surjective, then f has a section (right inverse). $\sigma: B \rightarrow A$ s.t. $\sigma \circ f = \text{id}_B$

But f is a left inverse of σ so σ is injective

$$\Rightarrow |B| \leq |A|$$

so if $k < n$ then \nexists surj. functions

We assume $k \geq n$, denote $s(k, n) \stackrel{\text{def}}{=} |\text{Hom}_{\text{surj}}(A, B)|$

We know $|\text{Hom}(A, B)| = n^k = |B|^{|A|}$

We will compute $|\text{Hom}_{\text{non sur}}(A, B)|$ by applying the incl-excl. principle.

Let $B = \{b_1, \dots, b_n\}$.

$f: A \rightarrow B$ is not surjective $\Leftrightarrow \text{Im } f \neq B$

$\Leftrightarrow \exists i \in \{1, \dots, n\}$ s.t. $b_i \notin \text{Im } f$

Define $A_i := \{f: A \rightarrow B \mid b_i \notin \text{Im } f\}$.

then f is not surj $\Leftrightarrow \exists i$ s.t. $f \in A_i$

$\Leftrightarrow f \in \bigcup_{i=1}^n A_i$

so $|\text{Hom}_{\text{non sur}}(A, B)| = \left| \bigcup_{i=1}^n A_i \right|$

• $|A_i| = |\text{Hom}(A, B \setminus \{b_i\})| = (n-1)^k$

• $|A_i \cap A_j| = |\text{Hom}(A, B \setminus \{b_i, b_j\})| = (n-2)^k$

...

• $|A_{i_1} \cap \dots \cap A_{i_m}| = |\text{Hom}(A, B \setminus \{b_{i_1}, \dots, b_{i_m}\})| = (n-m)^k$

...

• if $m=n-1$ then we get 1^k

• $\bigcap_{i=1}^n A_i = \text{Hom}(A, \emptyset) = \emptyset$

$$|\text{Hom}_{\text{non sur}}(A, B)| = \left| \bigcup_{i=1}^n A_i \right| = C_n^1 (n-1)^k - C_n^2 (n-2)^k + C_n^3 (n-3)^k - \dots + (-1)^{m-1} C_n^m (n-m)^k + \dots + (-1)^{n-1} C_n^{n-1} 1^k$$

hence

$$D(k, n) = n^k - |\text{Hom}_{\text{non sur}}(A, B)| = n^k - C_n^1 (n-1)^k + C_n^2 (n-2)^k - C_n^3 (n-3)^k + \dots + (-1)^m C_n^m (n-m)^k + \dots + (-1)^{n-1} C_n^{n-1} 1^k$$

97 a)

Prove that (i) $\mathcal{H}_0 + \mathcal{H}_0 = \mathcal{H}_0$

where $\mathcal{H}_0 = |\mathcal{H}|$

(ii) $\mathcal{H}_0 \cdot \mathcal{H}_0 = \mathcal{H}_0$

Solution (1) We write: $\mathbb{N} = \underset{\text{even } n}{2\mathbb{N}} \cup \underset{\text{odd } n}{(2\mathbb{N}+1)}$

$$\text{so } |\mathbb{N}| = |\mathbb{N}| = |2\mathbb{N}| + |2\mathbb{N}+1| = \aleph_0 + \aleph_0$$

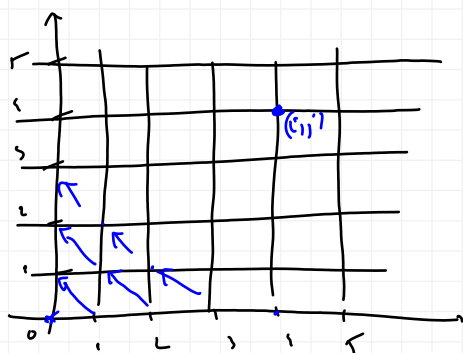
the func $f: \mathbb{N} \rightarrow 2\mathbb{N}$, $f(x) = 2x$ is bij

$g: \mathbb{N} \rightarrow 2\mathbb{N}+1$, $g(x) = 2x+1$ bij

(2) $\aleph_0 \cdot \aleph_0 = |\mathbb{N} \times \mathbb{N}|$, so we need a bijective function

1st method

$f: \mathbb{N} \rightarrow \mathbb{N} \times \mathbb{N}$



We define $f(0) = (0,0)$ $f(1) = (0,1)$
 $f(2) = (1,0)$ $f(3) = (2,0)$
 $f(4) = (3,0)$ $f(5) = (4,0)$
 $f(6) = (0,1)$ $f(7) = (1,1)$
 $f(8) = (2,1)$ $f(9) = (3,1)$
 $f(10) = (4,1)$

so $\forall (i,j) \in \mathbb{N} \times \mathbb{N} \exists! n \in \mathbb{N}$ st $f(n) = (i,j)$

2nd method

We use the fact that any x_0 nat number can be written uniquely as a product between a power of 2 and an odd number.

ie. $\forall n \in \mathbb{N}^+ \exists! (m,k) \in \mathbb{N}^+ \times \mathbb{N}^+$ st $n = 2^{m-1} (2k-1)$

$$\text{e.g. } 18 = 2 \cdot 9, \quad m=2, \quad k=5$$

$$8 = 2^3 \cdot 1, \quad m=4, \quad k=1$$

$$56 = 8 \cdot 7, \quad m=4, \quad k=4$$

so the func $\begin{cases} f: \mathbb{N}^+ \times \mathbb{N}^+ \rightarrow \mathbb{N}^+ \\ f(m,k) = 2^{m-1} \cdot (2k-1) \end{cases}$ is bijective

$$\text{then } |\mathbb{N}^+ \times \mathbb{N}^+| = |\mathbb{N}^+| \quad \text{ie. } \aleph_0 \cdot \aleph_0 = \aleph_0$$

96 Let A be an infinite set. Prove that:

a) $|A| + n = |A|$

b) $|A| + \aleph_0 = |A|$

Sol we have $n \leq \aleph_0$ for $n \in \mathbb{N}$.

$$\text{hence } |A| \leq |A| + n \leq |A| + \aleph_0 \stackrel{b)}{=} |A|$$

then we get a)

So it's enough to prove b)

We know that A is infinite $\iff \exists f: \mathbb{N} \rightarrow A$ injective function

We write the disjoint union $A = \text{Im } f \cup (A \setminus \text{Im } f)$

$$\text{we have } |\text{Im } f| = |\mathbb{N}| = \aleph_0$$

$$\begin{aligned} \text{So } |A| &= |\text{Im } f| + |A \setminus \text{Im } f| \\ &= \aleph_0 + |A \setminus \text{Im } f| \stackrel{\text{assoc}}{=} \aleph_0 + (\aleph_0 + |A \setminus \text{Im } f|) \\ &= \aleph_0 + \underbrace{(\aleph_0 + |A \setminus \text{Im } f|)}_{|A|} = \aleph_0 + |A| \end{aligned}$$

99

a) $c^2 = c^{\aleph_0} = c$

b) $c + c = c \cdot \aleph_0 = \aleph_0^{\aleph_0} = c$

where
 $c := |\mathbb{R}| = 2^{\aleph_0}$
 power of continuum

Sol a). $c^2 = (2^{\aleph_0})^2 = 2^{2 \cdot \aleph_0} = 2^{\aleph_0} = c$

$$c^{\aleph_0} = (2^{\aleph_0})^{\aleph_0} = 2^{\aleph_0 \cdot \aleph_0} = 2^{\aleph_0} = c$$

b) $c \leq c + c \leq 2c \leq \aleph_0 \cdot c \leq c^2 = c$

$$\text{hence } c + c = c \cdot \aleph_0 = c$$

$$c = 2^{\aleph_0} \leq \aleph_0^{\aleph_0} \leq c^{\aleph_0} \stackrel{a)}{=} c \text{ hence } c = \aleph_0^{\aleph_0}$$

91 a) Prove that \mathbb{Q} is countable (i.e. $|\mathbb{Q}| = |\mathbb{N}| = \aleph_0$)

Sol $\mathbb{N} \subseteq \mathbb{Q}$ hence $\aleph_0 \leq |\mathbb{Q}|$

we have to show that $|\mathbb{Q}| \leq \aleph_0$

We write $\mathbb{Q} = \mathbb{Q}_-^* \cup \{0\} \cup \mathbb{Q}_+^*$

clearly, $|\mathbb{Q}_-^*| = |\mathbb{Q}_+^*|$, so it is enough to show that $|\mathbb{Q}_+^*| \leq \aleph_0$.

$$\mathbb{Q}_+^* = \left\{ \frac{a}{b} \mid a, b \in \mathbb{N}^* \right\} \quad \frac{3}{2} = \frac{6}{4}$$

Let $f: \mathbb{N}^* \times \mathbb{N}^* \rightarrow \mathbb{Q}_+^*$, $f(a, b) = \frac{a}{b}$

f is surjective (not inj)

$$\text{hence } |\mathbb{Q}_+^*| \leq |\mathbb{N}^* \times \mathbb{N}^*| = \aleph_0 \cdot \aleph_0 = \aleph_0$$

so we are done

91' a) Prove that any interval has the power of the continuum, i.e.

$\mathbb{R} \sim (a, b) \sim [a, b) \sim [a, b] \sim (a, b]$
equipotent



$$\boxed{\begin{array}{l} A \sim B \\ \uparrow \\ |A| = |B| \end{array}}$$

b) the set $\mathbb{R} \setminus \mathbb{Q}$ of irrational numbers is uncountable
i.e. $\aleph_0 < |\mathbb{R} \setminus \mathbb{Q}|$

Sol c) We have seen $|(a, b)| = |[a, b]|$
 $= |[a, b]|$

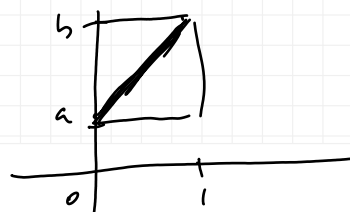
First, we prove that

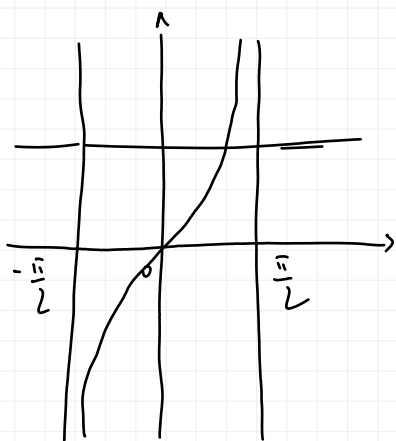
Let $f: [0, 1] \rightarrow [a, b]$

$$f(x) = (b-a)x + a$$

$[0, 1] \sim [a, b]$

so f is bijective





$$\text{let } \begin{cases} f: \left(-\frac{\pi}{2}, \frac{\pi}{2}\right) \longrightarrow \mathbb{R} \\ f(x) = \tan x \end{cases}$$

so f is bijective

$$\begin{aligned} \text{so } |\mathbb{R}| &= \left| \left(-\frac{\pi}{2}, \frac{\pi}{2}\right) \right| \\ &= |(a,b)| \end{aligned}$$

other idea:



b) We assume by contradiction

that $\mathbb{R} \setminus \mathbb{Q}$ is countable, i.e. $|\mathbb{R} \setminus \mathbb{Q}| = \aleph_0$

We write $\mathbb{R} = \mathbb{Q} \cup (\mathbb{R} \setminus \mathbb{Q})$

disjoint union

$$|\mathbb{R}| = |\mathbb{Q}| + |\mathbb{R} \setminus \mathbb{Q}|$$

"

\aleph_0

" (by assumption)

\aleph_0

e.g. $\sqrt{2} \notin \mathbb{Q}$

$\pi, e \notin \mathbb{Q}$

we know that $\aleph_0 + \aleph_0 = \aleph_0$ and $|\mathbb{R}| = c > \aleph_0$ } contradiction

