

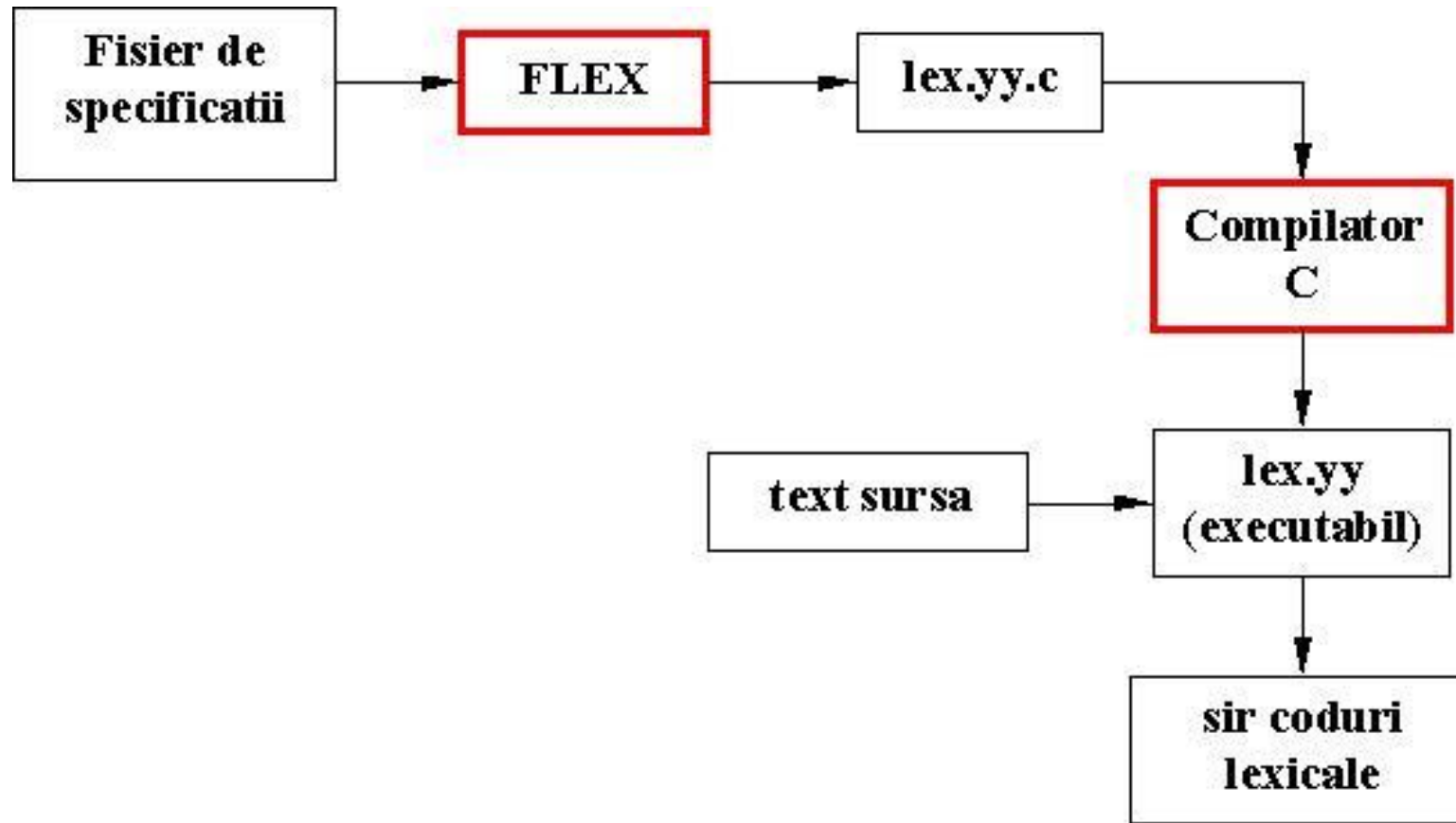
# Course 3

Back to compiler construction

# Scanning & Parsing Tools

- Scanning => lex
- Parsing => yacc //later

# Lex – Unix utility (flex – Windows version)



# INPUT FILE FORMAT

- The file containing the specification is a text file, that can have any name. Due to historic reasons we recommend the extension **.lxi**.
- Consists of 3 sections separated by a line containing %%:

definitions

%%

rules

%%

user code

### *Example 1:*

`%s`

```
username printf( "%s", getlogin() );
```

**specifies a scanner that, when finding the string  
“username”, will replace it with the user login name**

## Definition Section:

- - declarations of simple *name definitions* (used to simplify the scanner specification), of the form

name definition

- where:
- **name** is a word formed by one or more letters, digits, '\_' or '-', with the remark that the first character MUST be letter or '\_' and must be written on the FIRST POSITION OF THE LINE.
- **definition** is a regular expression and is starting with the first nonblank character after name until the end of line.
- declarations of *start conditions*.

## Rules Section

- to associate semantic actions with regular expressions. It may also contain user defined C code, in the following way:

**pattern action**

where:

- **pattern** is a regular expression, whose first character MUST BE ON THE FIRST POSITION OF THE LINE; see RegExp file
- **action** is a sequence of one or more C statements that MUST START ON THE SAME LINE WITH THE PATTERN. If there are more than one statements they will be nested between {}. In particular, the action can be a void statement.



## User Defined Code Section:

- Is optional (if is missing, then the separator %% following the rules section can also miss). If it exists, then its containing user defined C code is copied without any change at the end of the file lex.yy.c.
- Normally, in the user defined code section, one may have:
  - function *main()* containing call(s) to *yylex()*, if we want the scanner to work autonomously (for ex., to test it);
  - other called functions from *yylex()* (for ex. *yywrap()* or functions called during actions); in this case, the user code from definitions section must contain: either prototypes, either **#include** directives of the headers containing the prototypes

Launching the execution:

`lex [option] [name_specification _file]`

where *name\_specification \_file* is an input file (implicitly, stdin)

**\$ lex spec.lxi**

**\$ gcc lex.yy.c -o your\_lex**

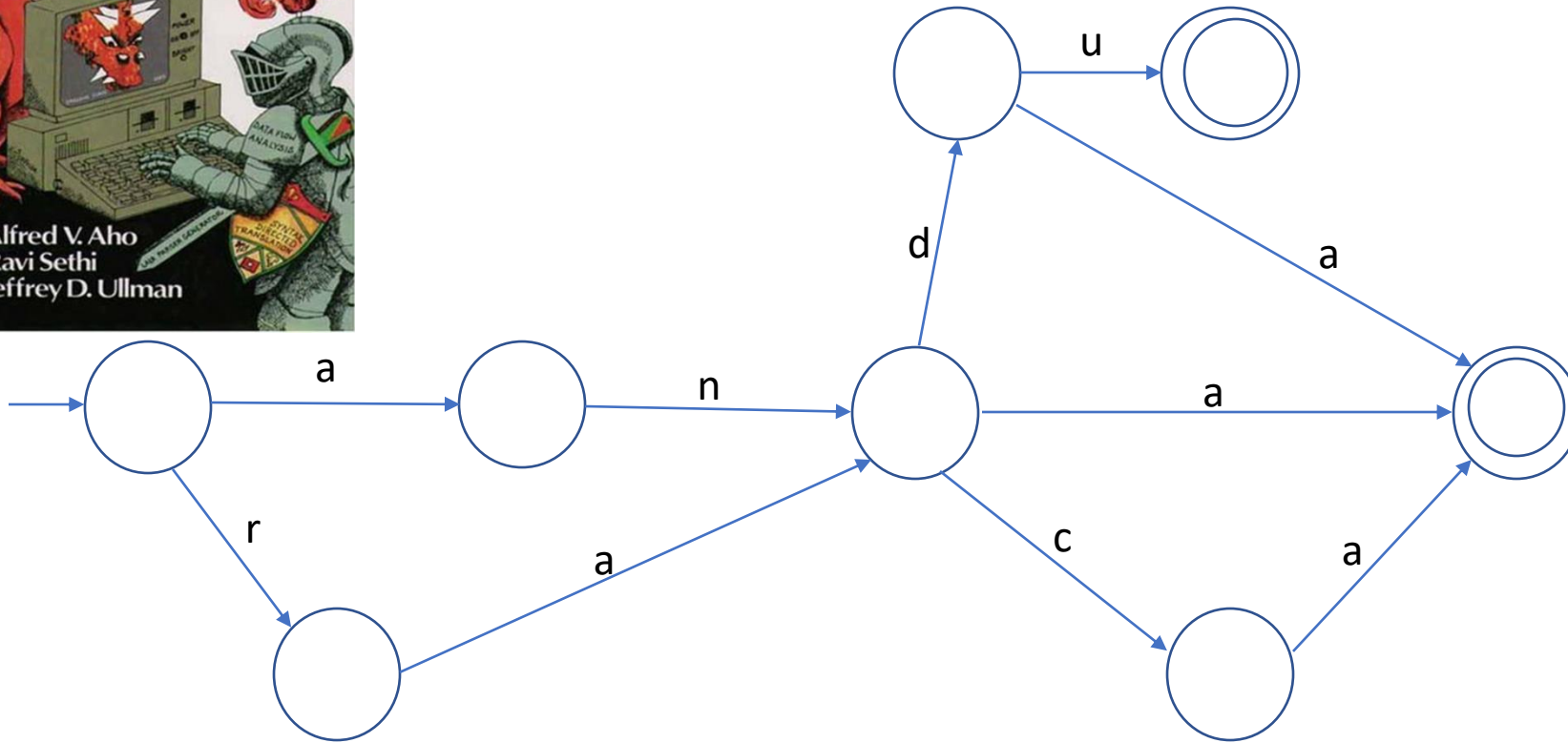
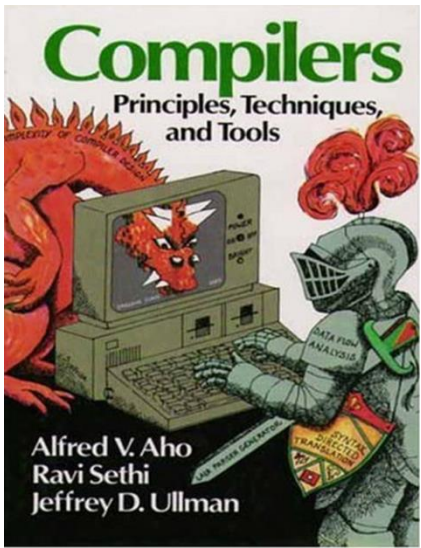
**\$ your\_lex<input.txt**

**options:** <http://dinosaur.compilertools.net/flex/manpage.html>

# Example

# Formal Languages

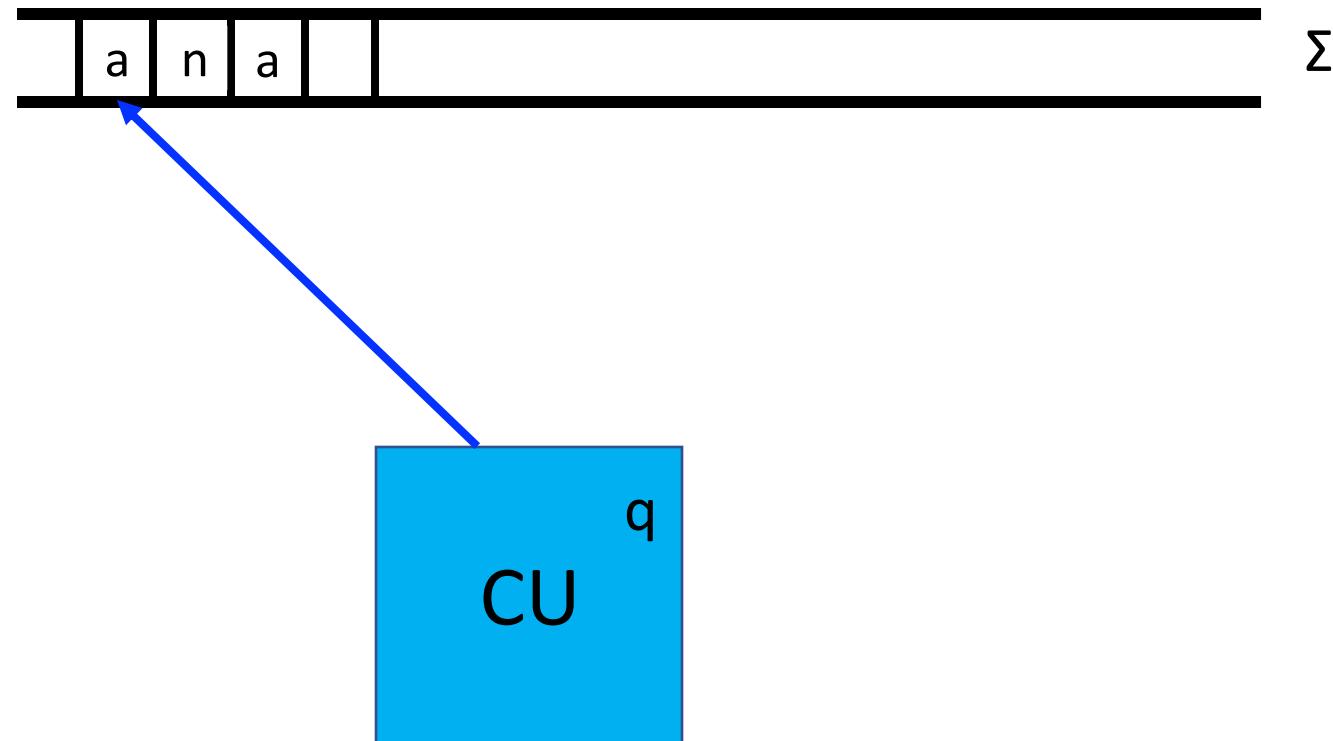
- *basic notions* -



**Problem:** The door to the tower is closed by the **Red Dragon**, using a complicated machinery. Prince Charming has managed to steal the plans and is asking for your help. Can you help him determining all the person names that can unlock the door

# Finite Automata (sing. Finite automaton; abbrev. FA; transl = automat finit)

- Intuitive model



**Definition:** A *finite automaton (FA)* is a 5-tuple

$$M = (Q, \Sigma, \delta, q_0, F)$$

where:

- $Q$  - finite set of states ( $|Q| < \infty$ )
- $\Sigma$  - finite alphabet ( $|\Sigma| < \infty$ )
- $\delta$  – transition function :  $\delta: Q \times \Sigma \rightarrow P(Q)$
- $q_0$  – initial state  $q_0 \in Q$
- $F \subseteq Q$  – set of final states

## Remarks

1.  $Q \cap \Sigma = \emptyset$
2.  $\delta: Q \times \Sigma \rightarrow P(Q)$  ,  $\varepsilon \in \Sigma^0$  - relation  $\delta(q, \varepsilon) = p$  **NOT** allowed
3. If  $|\delta(q, a)| \leq 1 \Rightarrow$  deterministic finite automaton (DFA)
4. If  $|\delta(q, a)| > 1$  (more than a state obtained as result)  $\Rightarrow$  nondeterministic finite automaton (NFA)

**Property:** For any NFA  $M$  there exists a DFA  $M'$  equivalent to  $M$



## *Configuration $C=(q,x)$*

where:

- $q$  state
- $x$  unread sequence from input:  $x \in \Sigma^*$

Initial configuration :  $(q_0, w)$  ,  $w$  - whole sequence

Final configuration:  $(q_f, \varepsilon)$  ,  $q_f \in F$ ,  $\varepsilon$  –empty sequence  
(corresponds to accept)

# Relations between configurations

- $\vdash$  **move / transition** (simple, one step)  
 $(q, ax) \vdash (p, x)$  ,  $p \in \delta(q, a)$
- $\vdash^k$  **k move** = a sequence of k simple transitions)  $C_0 \vdash C_1 \vdash \dots \vdash C_k$
- $\vdash^+$  **+ move**  
 $C \vdash^+ C' : \exists k > 0$  such that  $C \vdash^k C'$
- $\vdash^*$  **\* move (star move)**  
 $C \vdash^* C' : \exists k \geq 0$  such that  $C \vdash^k C'$

**Definition** : **Language** accepted by FA  $M = (Q, \Sigma, \delta, q_0, F)$  is:

$$L(M) = \{ w \in \Sigma^* \mid (q_0, w) \vdash^* (q_f, \varepsilon), q_f \in F \}$$

### Remarks

1. 2 finite automata  $M_1$  and  $M_2$  are equivalent if and only if they accept the same language

$$L(M_1) = L(M_2)$$

1.  $\varepsilon \in L(M) \Leftrightarrow q_0 \in F$  (initial state is final state)

# Representing FA

1. List of all elements
2. Table
3. Graphical representation

$M=(Q,\Sigma,\delta,p,F)$

$Q = \{p,q,r\}$

$\Sigma = \{a,b\}$

$\delta(p,a) = q$

$\delta(q,a)=q$

$\delta(q,b)=r$

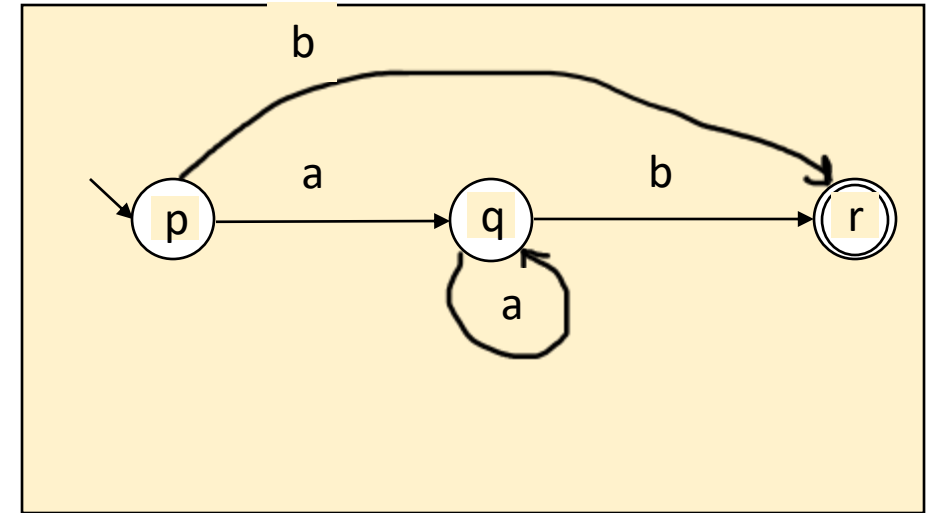
$\delta(p,b)=r$

$F = \{r\}$

$M=(Q,\Sigma,\delta,p,F)$

$F = \{r\}$

	a	b
p	q	r
q	q	r
r	-	-



# Example

$M = (Q, \Sigma, \delta, p, F)$

$Q = \{p, q, r, s\}$

$\Sigma = \{0, 1\}$

$\delta(p, 1) = q$

$\delta(q, 0) = q$

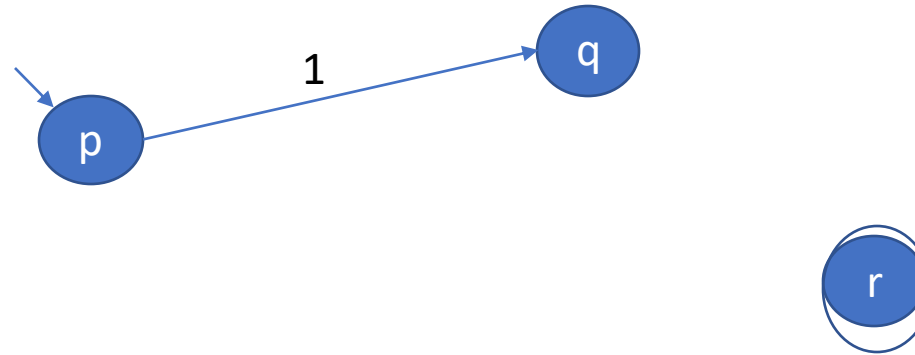
$\delta(q, 1) = r$

$\delta(p, 0) = s$

$\delta(s, 1) = s$

$\delta(s, 0) = r$

$F = \{r\}$



$(p, 101) \mid -(q, 01) \mid -(q, 1) \mid -(r, \epsilon)$  accepted

$(p, 110) \mid -(q, 10) \mid -(r, 0)$  –not accepted

$F = \{p, r\}$

# Regular languages

# Why?

1. Search engine – succes of Google
2. Unix commands
3. Programming languages – new feature

# Remember

- Grammar

$$G=(N,\Sigma,P,S)$$

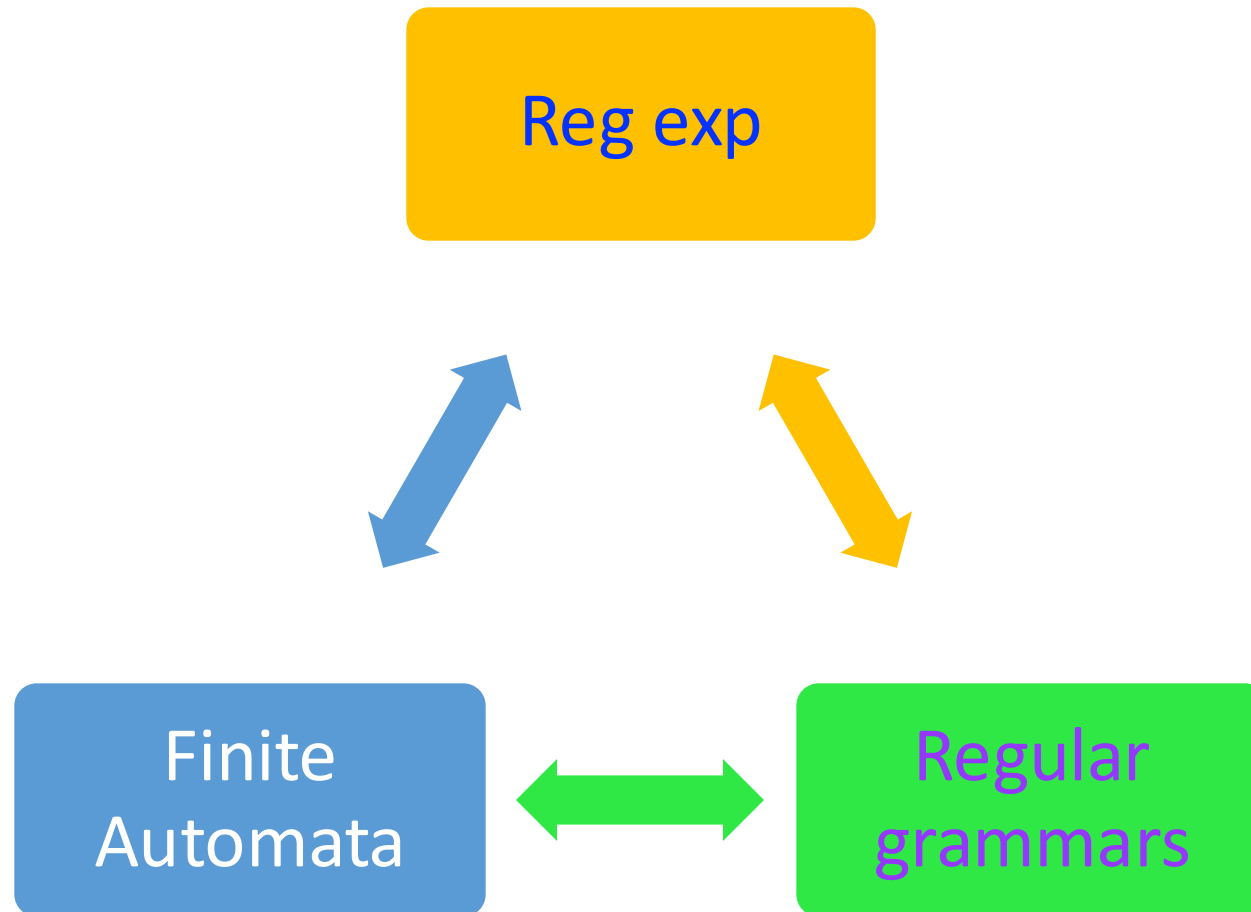
$$L(G)=\{w \in \Sigma^* \mid S \xRightarrow{*} w\}$$

- Finite automaton

$$M = (Q,\Sigma,\delta,q_0,F)$$

$$L(M)=\{ w \in \Sigma^* \mid (q_0,w) \vdash (q_f,\varepsilon) , q_f \in F \}$$





# Regular grammars

- $G = (N, \Sigma, P, S)$  **right linear grammar** if

$\forall p \in P: A \rightarrow \underline{a}B$  or  $A \rightarrow \underline{b}$ , where  $A, B \in N$  and  $a, b \in \Sigma$

- $G = (N, \Sigma, P, S)$  **regular grammar** if

- $G$  is right linear grammar

and

- $\underline{A \rightarrow \varepsilon} \notin P$ , with the exception that  $\underline{S \rightarrow \varepsilon} \in P$ , in which case  $S$  does not appear in the rhs (right hand side) of any other production

- $L(G) = \{w \in \Sigma^* \mid S \xRightarrow{*} w\}$  - right linear language

$A \rightarrow aA \mid a$  ok ✓  
 $S \rightarrow aA \mid \underline{\varepsilon}$  and  $A \rightarrow b$  ok ✓  
 $S \rightarrow aA \mid \underline{\varepsilon}$  and  $\underline{A \rightarrow \varepsilon}$  NOT ok ✗  
 $S \rightarrow aA \mid \underline{\varepsilon}$  and  $A \rightarrow \underline{bS} \mid a$  NOT ok ✗

**Theorem 1:** For any regular grammar  $G=(N, \Sigma, P, S)$  there exists a FA  $M=(Q, \Sigma, \delta, q_0, F)$  such that  $L(G) = L(M)$

Proof: construct  $M$  based on  $G$

$$Q = N \cup \{K\}, K \notin N$$

$$q_0 = S$$

$$F = \{K\} \cup \{S \mid \text{if } S \rightarrow \epsilon \in P\}$$

$$\delta: \text{if } A \rightarrow aB \in P \text{ then } \delta(A, a) = B$$

$$\text{if } A \rightarrow a \in P \text{ then } \delta(A, a) = K$$

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$\delta$ : if  $A \rightarrow aB \in P$  then  $\delta(A, a) = B$

if  $A \rightarrow a \in P$  then  $\delta(A, a) = K$

**Prove that  $L(G) = L(M)$  ( $w \in L(G) \Leftrightarrow w \in L(M)$ ):**

$S \xRightarrow{*} w \Leftrightarrow (S, w) \vdash^* (q_f, \epsilon)$

$w = \epsilon: S \xRightarrow{*} \epsilon \Leftrightarrow (S, \epsilon) \vdash^* (S, \epsilon) - \text{true}$

$w = a_1 a_2 \dots a_n: S \xRightarrow{*} w \Leftrightarrow (S, w) \vdash^* (K, \epsilon)$

$S \Rightarrow a_1 A_1 \Rightarrow a_1 a_2 A_2 \Rightarrow \dots \Rightarrow a_1 a_2 \dots a_{n-1} A_{n-1} \Rightarrow a_1 a_2 \dots a_{n-1} a_n$

$S \Rightarrow a_1 A_1$  exists if  $S \rightarrow a_1 A_1$  and then  $\delta(S, a_1) = A_1$

$A_1 \rightarrow a_2 A_2 : \delta(A_1, a_2) = A_2 \dots$

$A_{n-1} \rightarrow a_n : \delta(A_{n-1}, a_n) = K$

$(S, a_1 a_2 \dots a_n) \vdash (A_1, a_2 \dots a_n) \vdash (A_2, a_3 \dots a_n) \vdash \dots \vdash (A_{n-1}, a_n) \vdash (K, \epsilon), K \in F$

# EX 1

$G = (\{S,A\}, \{0,1\}, P, S)$

P:  $S \rightarrow 0S \mid 0A$

$A \rightarrow 1A \mid 1$

M:

$Q = \{S,A,K\}$

$q_0 = S$

$F = \{K\}$

$\delta$

	0	1
S	S, A	
A		A, K
K		