This material takes 1 hour.

## 1 Persistent Data Structures

Sarnak and Tarjan, "Planar Point Location using persistent trees", Communications of the ACM 29 (1986) 669-679

"Making Data Structures Persistent" by Driscoll, Sarnak, Sleator and Tarjan Journal of Computer and System Sciences 38(1) 1989

Idea: be able to query and/or modify past versions of data structure.

- ephemeral: changes to struct destroy all past info
- partial persistence: changes to most recent version, query to all past versions
- full persistence: queries and changes to all past versions (creates "multiple worlds" situtation)

Goal: general technique that can be applied to *any* data structure. Application: planar point location.

- planar subdivision
  - -n segments meeting only at ends
  - defines set of polygons
  - query: "what polygon contains this point"
- numerous special-purpose solutions
- One solution:
  - vertical line through each vertex
  - divides into slabs
  - in slab, segments maintain one vertical ordering
  - find query point slab by binary search
  - build binary search tree for slab with "above-below" queries
  - n binary search trees, size  $O(n^2)$ , time  $O(n^2 \log n)$
- observation: trees all very similar
- think of x axis as time, slabs as "epochs"
- at end of epoch, "delete" segments that end, "insert" those that start.
- $\bullet$  over all time, only *n* inserts, *n* deletes.
- must be able to query over all times

Persistent sorted sets:

- find(x, s, t) find (largest key below) x in set s at time t
- insert(i, s, t) insert i in s at time t
- delete(i, s, t).

We use partial persistence: updates only in "present"

Implement via persistent search trees.

Result: O(n) space,  $O(\log n)$  query time for planar point location.

# 2 Persistent Trees

Full copy bad.

Fat nodes method:

- replace each (single-valued) field of data structure by list of all values taken, sorted by time.
- requires O(1) space per data change (unavoidable if keep old date)
- to lookup data field, need to search based on time.
- store values in binary tree
- checking/changing a data item takes  $O(\log m)$  time after m updates
- multiplicative slowdown of  $O(\log m)$  in structure access.

## Path copying:

- much of data structure consists of fixed-size nodes conencted by pointers
- $\bullet$  can only reach node by traversing pointers starting from root
- changes to a node only visible to ancestors in pointer structure
- when change a node, copy it and ancestors (back to root of data structure
- keep list of roots sorted by update time
- $O(\log m)$  time to find right root (or const, if time is integers) (additive slowdown)
- same access time as original structure
- additive instead of multiplicative  $O(\log m)$ .
- modification time and space usage equals number of ancestors: possibly huge!

Combined Solution (trees only):

- in each node, store 1 extra time-stamped field
- if full, overrides one of standard fields for any accesses later than stamped time.
- access rule
  - standard access, just check for overrides while following pointers
  - constant factor increase in access time.
- update rule:
  - when need to change/copy pointer, use extra field if available.
  - otherwise, make new copy of node with new info, and recursively modify parent.

#### Analysis

- live node: pointed at by current root.
- potential function: number of full live nodes.
- copying a node is free (new copy not full, pays for copy space/time)
- pay for filling an extra pointer (do only once, since can stop at that point).
- amortized space per update: O(1).

Power of twos: Like Fib heaps. Show binary tree of modifications. Application: persistent trees.

- amortized cost O(1) to change a field.
- splay tree has  $O(\log n)$  amortized field change per access.
- $O(\log n)$  space per access!
- drawback: rotations on access mean unbounded space usage.

### Red-black trees:

- aggressive rebalancers
- store red/black bit in each node
- use red/black bit to rebalance.
- depth  $O(\log n)$
- search: standard binary tree search; no changes
- update: causes changes in red/black fields on path to item, O(1) rotations.
- result:  $(\log n)$  space per insert/delete

- geometry does O(n) changes, so  $O(n \log n)$  space.
- $O(\log n)$  query time.

## Improvement:

- red-black bits used only for updates
- only need current version of red-black bits
- don't store old versions: just overwrite
- ullet only updates needed are for O(1) rotations
- so O(1) space per update
- so O(n) space overall.

Result: O(n) space,  $O(\log n)$  query time for planar point location. Extensions:

- method extends to arbitrary pointer-based structures.
- $\bullet$  O(1) cost per update for any pointer-based structure with any constant indegree. s
- full persistence with same bounds.