# Internship Log Book

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#### Abstract

This logbook contains the full extent of my research and discovery during my internship. My final objective is the evaluate the Bert Score and Bart Score NLP metrics.

# 1 Introduction

In the context of my Master 1 internship, I will have to benchmark Bert Score and Bart Score metrics. Having only a limited experience in NLP Study, my first goal is to better understand NLP as a global subject.

To do so, I will study LSTM's internal structure, read and synthesize scientific articles, and then study and implement Bert and Bart Metrics.

# 2 Concepts Explanation

# 2.1 LSTM Internal Structure

This section aims at understanding the logical structure of an LSTM Cell. To do so, we will use Figure.1 as a visual support.

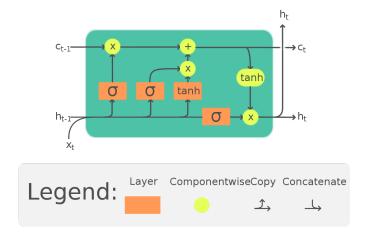


Figure 1: LSTM Cell's Logical Structure Diagram [15]

# • Variables and Symbols:

- $-h_{t-1}$  and  $h_t$ : Respectively the previous and current hidden states
- $-c_{t-1}$  and  $c_t$ : Respectively the previous and current cell states
- $-x_t$ : Input value
- $-\sigma$ : Layer with sigmoid activation function
- tanh : Layer with hyperbolic tangent activation function

# • Different components of a LSTM cell :

- Long Term Memory (Cell State):

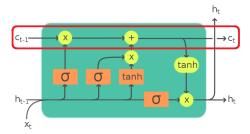


Figure 2: LSTM Cell's Long Term Memory (Cell State) [15]

- \* Cell state isn't modified by any bias or weight
- \* Prevents the gradient from vanishing or exploding
- \* Only gets modified by a multiplicative and an additive component
- Short Term Memory (Hidden State):

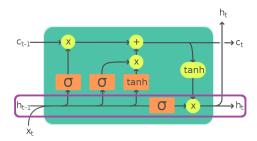


Figure 3: LSTM Cell's Short Term Memory (Hidden State) [15]

\* Gets summed with the input value to update the long term memory and create the new short term memory

#### • Gates of a LSTM Cell:

- Forget Gate:

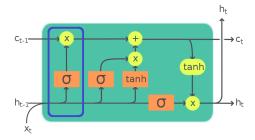


Figure 4: LSTM Cell's Forget Gate [15]

- \* Determines what percentage of the long term memory to keep
- \* Sums Hidden state and Input
- \* Runs the result trough a Sigmoid layer which gives a factor  $f \in [0, 1]$
- \* Multiplies f with the previous long term memory  $c_{t-1}$

## - Input Gate:

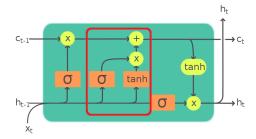


Figure 5: LSTM Cell's Input Gate [15]

- \* Updates the long term memory
- \* Sums Hidden state and Input
- \* Runs the result though a hyperbolic tangent layer to create a potential long term memory
- \* In parallel, runs the previous result through a sigmoid function
- \* Multiples both of these outputs to determine which percentage of the potential long term memory to keep
- \* Sums the previous long term memory and the potential one to finalize the update

#### - Output Gate:

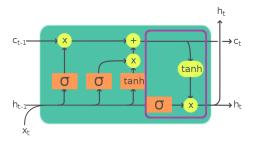


Figure 6: LSTM Cell's Output Gate [15]

- \* Updates the short term memory
- \* Runs the updated long term memory through a hyperbolic tangent layer to determine a potential short term memory
- \* Sums Hidden state and Input
- \* Runs it through a sigmoid layer
- \* Multiples the potential short term memory and the sigmoid layer output to determine what percentage of the potential short term memory to keep

# • Output of the LSTM cell :

- The updated long term memory becomes the cell state of the next LSTM cell
- The update short term memory becomes the hidden state of the next LSTM cell

#### 2.2 Transformers

#### 2.2.1 RNN

"Recurrent Neural Network receives some input (which could be a word or character), feeds it through the network, and outputs a vector called the hidden state. At the same time, the model feeds some information back to itself through the feedback loop, which it can then use in the next step." as explained is the HuggingFace book dedicated to transformers [11]. Thus, it allows us to predict sequential data.

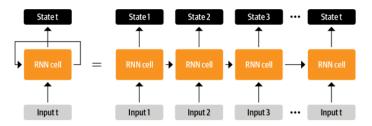


Figure 7: Basic RNN Schema [11]

## 2.2.2 Encoder-Decoder Framework and Seq2Seq models

We first need to discuss pros and cons of such a framework (see Figure.8).

#### • Pros:

- Elegant and easy to understand.
- Efficient on short sequences.

#### • Cons:

 Creation of a bottleneck after the last hidden state of the encoder. This representation can become inefficient on long sequences.

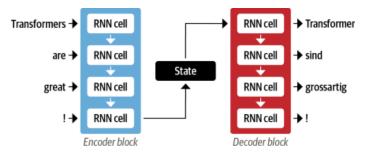


Figure 8: Encoder Decoder Framework schema [11]

#### 2.2.3 Attention Mechanism

The first question to address is how to reduce the "bottleneck" effect :

- The initial idea is to extract the hidden state of each RNN cell so the decoder has access to all
  of the encoder's hidden states.
- However, this is a computationally very costly task. Attention provides a weighting of hidden states for each decoding step to reduce the quantity of information ingested at each step of the decoder.

In Figure.9, we is shown how the Encoder-Decoder computes the 3rd output state with the help of an attention mechanism.

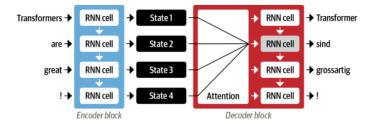


Figure 9: Encoder-Decoder with Attention Mechanism [11]

#### 2.2.4 Transformers

# General Definition:

- Encoder-Decoder Architecture.
- Assisted with Self-attention mechanism allowing parallelization of tasks (see Figure.10).

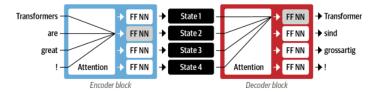


Figure 10: Transformer Basic architecture [11]

# 3 Articles Syntheses

# 3.1 ROUGE: A Package for Automatic Evaluation of Summaries

# 3.1.1 Rouge: Global Definition

- Rouge: Recall-Oriented Understudy for Gisting Evaluation.
  - Gisting: Use of machine Translation (MT) to translate foreign texts.
- Rouge aims and main specs :
  - Automatic evaluation of summaries.
  - Show correlation of it's results with human judgement.

## 3.1.2 Rouge-N: N-gram Co-Occurrence Statistics

N-gram: Contiguous sequence of n items from a given sample of text or speech

$$Rouge_{N} = \frac{\sum_{S \in \{ReferenceSummaries\}} \sum_{gram_{n} \in S} Count_{match}(gram_{n})}{\sum_{S \in \{ReferenceSummaries\}} \sum_{gram_{n} \in S} Count(gram_{n})}$$

- With:
  - \* n : length of the n-gram
  - $* gram_n : n-gram$
  - \*  $Count_{match}(gram_n)$ : maximum number of n-grams co-occurring in a candidate summary and a set reference summaries
  - \*  $Count(gram_n)$ : number of n-grams occurring at the reference summary side

#### • Comments:

- Recall-related measure because the denominator is equivalent to TP + FN
- While numerator is equivalent to TP

#### • Strengths:

- A candidate summary that contains words shared by more references is favored. We prefer
  when there is some kind of consensus among reference summaries.
- Intuitive and reasonably simple to explain.

## Multi-Reference Rouge-N:

$$Rouge_{N_{multi}} = argmax_i(Rouge_N(r_i, s))$$

- With:
  - $\mathbf{r}_i : i^{th}$  reference summary
  - s : candidate summary

# 3.1.3 Rouge-L: Longest Common Subsequence

- Vocabulary:
  - LCS: Longest Common Subsequence
  - LCSR : LCS Ratio : L $CSR = \frac{len(LCS(x,y))}{argmax(len(words_x), len(words_y))}$

#### • Definition of a Subsequence :

- Let:
  - \* X a sequence,  $X = [x_1, x_2, ..., x_n]$
  - \* Z another sequence,  $Z = [z_1, z_2, ..., z_n]$
  - \* I strictly increasing sequence of X indices,  $I = [i_1, i_2, ..., i_n]$
- Then:

$$\exists I, \forall j \in [1, k], x_{ij} = z_j \Rightarrow Z$$
 is a subsequence of X

• Sentence-Level Rouge-L:

$$\begin{cases} R_{LCS} = \frac{LCS(X,Y)}{m} &, m = length(X) \\ P_{LCS} = \frac{LCS(X,Y)}{n} &, n = length(Y) \\ \beta = \frac{P_{LCS}}{R_{LCS}} &\\ Rouge_{L_{sentence}} = F_{LCS} = \frac{(1+\beta^2)R_{LCS}P_{LCS}}{R_{LCS}+\beta^2P_{LCS}} \end{cases}$$

$$(1)$$

- With:
  - \* X : reference summary
  - \* Y : candidate summary
  - \* LCS(X, Y): length of the LCS of X and Y
- Strengths of the sentence-level Rouge-N:
  - \* In contrast to Rouge-N, Rouge-L takes into account the order of words.
- LCS cons :
  - \* Only takes the main in-sequence words while ignoring other alternatives (Rouge-N does not suffer this problem).

# • Summary-Level Rouge-L:

- Let:
  - \* C : Candidate sequence :  $C = [c_1, c_2, \dots, c_n]$
  - $* r_i$ : Reference summary sentence
  - \* u : Number of sentences in the reference summary
- Then:

$$\begin{cases} R_{LCS} = \frac{\sum_{i=1}^{u} LCS_{\cup}(r_{i},C)}{m} &, m = length(X) \\ P_{LCS} = \frac{\sum_{i=1}^{u} LCS_{\cup}(r_{i},C)}{n} &, n = length(Y) \\ \beta = \frac{P_{LCS}}{R_{LCS}} &\\ Rouge_{L_{summary}} = F_{LCS} = \frac{(1+\beta^{2})R_{LCS}P_{LCS}}{R_{LCS}+\beta^{2}P_{LCS}} \end{cases}$$

$$(2)$$

- Strength:
  - \*  $Rouge_{L_{summary}}$  doesn't suffer from the same "tunnel vision" effect as  $Rouge_{L_{sentence}}$ .
  - \* It takes into account every matching LCS and subsequence even if they are not adjacent given that is only considers there union.

#### • Normalized Pair-Wise Rouge-L:

 $-LCS_{MEAD}$ : Normalized Pair-Wise LCS.

$$\begin{cases}
R_{LCS_{MEAD}} = \frac{\sum_{i=1}^{u} LCS_{\cup}(r_{i},C)}{m} &, m = length(X) \\
P_{LCS_{MEAD}} = \frac{\sum_{i=1}^{u} LCS_{\cup}(r_{i},C)}{n} &, n = length(Y) \\
\beta = \frac{P_{LCS_{MEAD}}}{R_{LCS_{MEAD}}} \\
LCS_{MEAD}(L_{1}, L_{2}) = \frac{(1+\beta^{2})R_{LCS_{MEAD}}P_{LCS_{MEAD}}}{R_{LCS_{MEAD}} + \beta^{2}P_{LCS_{MEAD}}}
\end{cases}$$
(3)

- Difference between  $LCS_{MEAD}$  and Rouge-L :
  - \* Normalized pairwise LCS takes the best LCS score while ROUGE-L takes the union LCS scores.

#### 3.1.4 Rouge-W: Weighted Longest Common Subsequence

- Vocabulary:
  - WLCS: LCS but we keep in a dynamic 2D array the longest consecutive matches.
- WLCS Algorithm :

```
(1) For (i = 0; i \le m, i++)
c(ij) = 0 // initialize c-table
w(ij) = 0 // initialize w-table
(2) For (i = 1; i \le m, i++)
For (j = 1; j \le n, j++)
If x_i = y_j Then

// the length of consecutive matches at
// position i-1 and j-1
k = w(i-1j-1)
c(ij) = c(i-1j-1) + f(k+1) - f(k)
// remember the length of consecutive
// matches at position i, j
w(i, j) = k+1
Otherwise
If c(i-1, j) > c(i, j-1) Then
c(i, j) = c(i-1, j)
w(i, j) = 0 // no match at i, j
Else c(i, j) = c(i, j-1)
w(i, j) = 0 // no match at i, j
(3) WLCS(X,Y) = c(m, n)
```

Figure 11: WLCS Algorithm pseudo-code [6]

- With:
  - \* c : dynamic table with  $c_{ij}$  storing the WLCS score ending at word  $x_i$  of X and at word  $y_i$  of Y.
  - \* f: function of consecutive matches at the table position c(i, j).
- Rouge-W Formula:

$$\begin{cases} R_{WLCS} = f^{-1}(\frac{WLCS(X,Y)}{m}) &, m = length(X) \\ P_{WLCS} = f^{-1}(\frac{WLCS(X,Y)}{n}) &, n = length(Y) \\ \beta = \frac{P_{WLCS}}{R_{WLCS}} \\ Rouge_W = F_{WLCS} = \frac{(1+\beta^2)R_{WLCS}P_{WLCS}}{R_{WLCS}+\beta^2P_{WLCS}} \end{cases}$$

$$(4)$$

• f weighting function:

$$f(a+b) > f(a) + f(b), a, b \in N$$

- Examples:

$$* f(k) = \alpha k - \beta, \qquad k \ge 0, \alpha > 0, \beta > 0$$
 
$$* f(k) = k^{\alpha}, \qquad \alpha > 1$$

- · We prefer polynomials that can be inverse in closed form like  $k^2$ .
- Strength of Rouge-W:
  - It rewards consecutive matches in contrast to Rouge-L.

#### 3.1.5 Rouge-S: Skip-Bigram Co-Occurrence Statistics

- Vocabulary:
  - Skip-Bigram : All combinations of possible bi-grams in a sentence.
- Rouge-S Formula:

$$\begin{cases} R_{SKIP2} = \frac{SKIP2(X,Y)}{C_2^m} &, m = length(X) \\ P_{SKIP2} = \frac{SKIP2(X,Y)}{C_2^n} &, n = length(Y) \\ \beta = \frac{P_{SKIP2}}{R_{SKIP2}} \\ Rouge_W = F_{SKIP2} = \frac{(1+\beta^2)R_{SKIP2}P_{SKIP2}}{R_{SKIP2}+\beta^2P_{SKIP2}} \end{cases}$$

$$(5)$$

- We can reduce the **skip distance** to only try to match word that are close in the sentence.
- Weakness of Rouge-S:
  - \* Doesn't give any credit to a candidate sentence when there are no word co-occurring even if they have uni-grams in common.
- Rouge-SU:
  - We add uni-gram as counting unit to the basic Rouge-S to eliminate the main weakness of Rouge-S.

# 3.1.6 Evaluation of Rouge

Before considering the results of the conducted experiment, let's evaluate the quality of the protocol. To do so, we will focus 3 main aspects:

- Datasets quality :
  - Is it gold standard or often utilized for benchmarking?
  - Is its size consequent enough?
  - Is is biased or noisy (due to preprocessing choices)?
- Algorithm's Complexity:
  - Spacial Complexity
  - Time Complexity
- Parameters affecting the algorithm's robustness.

We summarize these KPIs in the following table :

Table 1: Rouge's Evaluation conditions Study

(a) Dataset Study Table

Datasets KPIs		Comments		
Benchmark-oriented or Gol	d Standard	Yes, they are gold standard and human annotated datasets widely used in scientific experiments		
Size		Relatively small datasets: Only 1127 annotated summaries in DUC 2001,2002 and 2003 put together (considering all summaries size)		
Biased or Noisy		No information were given relatively to the preprocessing but we know they used stop words to improve their algorithms performances		
	(b) Comp	olexity Study Table		
Complexities	Comments			
Spatial	No info	ormation on the implementation		
Time No inform	ation on the	benchmarking hardware and the algorithm run time		
(0	c) Robustness	to variation Study Table		
Parameters		Comments		
Stop words	R	touge is subject to noise disturbance : words like "I" or "the"		
Summary Size	etrics like Rouge-S4 reach peak performance ry short summaries (10 words) but become aconsistent if the size comes to change			
Method of Measurement	Hower	on's correlation results are shown in the paper. ver, the measure doesn't take into account elationships and Independence between variables.		

# 3.1.7 Conclusion of Rouge's performances

Now that we took the context of the evaluation into account, we can study the experiment's results. Let's sum up which measures are the best depending of the required task :

# • Single document summarizing :

- Rouge-2, Rouge-L, Rouge-W, Rouge-S
- Short/Headline Single document summarizing :
  - Rouge-1, Rouge-L, Rouge-SU4, Rouge-SU9
- Multi document summarizing :
  - Rouge-1, Rouge-2, Rouge-S4, Rouge-S9, Rouge-SU4, Rouge-SU9 (couldn't reach 90% correlation)

# 3.2 BERTScore: Evaluating Text Generation with BERT

#### 3.2.1 Introduction to BERTScore

BERTScore is an automatic evaluation metric for text generation. It addresses two common downsides of n-gram-based metrics :

- Lack of robustness to match paraphrases.
  - BERTScore computes similarity using contextualized embedding.
  - Very efficient technique for paraphrase matching.
- Fail to capture distant dependencies and penalize semantically-critical ordering changes.
  - Contextualized embedding is designed to solve such problems.

#### 3.2.2 Definition of BERTScore

In this section, we will explain how to calculate BERTScore based on Figure.8:

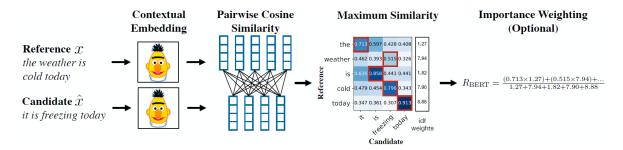


Figure 12: BERTScore Computing Process [19]

#### • Contextual Embedding:

- Generates different vector representations for the same word in different sentences depending on the surrounding words.
- Gives context to the embedding.
- Unlike classical embedding that provides a single vector representation per word.

#### • Pairwise Cosine Similarity Measurement :

- Let:
  - \*  $x_i$ : Reference token
  - \*  $\hat{x}_j$ : Candidate token
  - \* S: Cosine similarity between  $x_i$  and  $\hat{x}_i$
- Then:

$$S_{ij} = \frac{x_i^T \hat{x}_j}{||x_i^T|| \ ||\hat{x}_j||}$$

- Comment:
  - \* BERTScore implementation pre-normalizes vectors to prevent from calculating the denominator of  $S_{ij}$ .

#### • BERTScore - Maximum Similarity :

Greedy matching is used to maximize the similarity scores. Each token is matched to the most similar token of the other sentence.

- Let:

\*  $x_i$ : Reference token \*  $\hat{x}_j$ : Candidate token

- Then:

$$\begin{cases}
Recall & R_{BERT} = \frac{1}{|x|} \sum_{x_i \in x} \max_{\hat{x}_j \in \hat{x}} (x_i^T \hat{x}_j) \\
Precision & P_{BERT} = \frac{1}{|\hat{x}|} \sum_{\hat{x}_j \in \hat{x}} \max_{x_i \in x} (x_i^T \hat{x}_j) \\
F1Score & F_{BERT} = 2 \frac{P_{BERT} \cdot R_{BERT}}{P_{BERT} + R_{BERT}}
\end{cases} (6)$$

# • Importance Weighting (Optional):

- Observation:
  - \* Rare words can be more indicative for sentence similarity than common words.
  - \* Thus, we can use IDF (Inverse Document Frequency) to incorporate importance weighting to BERTScore.
  - \* In the same fashion as search engines.
- Inverse Document Frequency:
  - \* Let:
    - $\cdot$  M: Number of reference sentences
    - ·  $\{x^{(i)}\}_{i=1}^{M}$  : Reference Sentence
    - $\cdot w$ : Word-piece token
  - \* Then:

$$idf(w) = -\log(\frac{1}{M} \sum_{i=1}^{M} (\mathbb{1}[w \in x^{(i)}]))$$

- Recall with IDF:

$$R_{BERT} = \frac{\sum_{x_i \in x} i df(x_i) \max_{\hat{x}_j \in \hat{x}} (x_i^T \hat{x}_j)}{\sum_{x_i \in x} i df(x_i)}$$

- \* idf score remains the same for a specific test set because it is computed based on reference sentences.
- \* Plus-one smoothing is applied to handle unknown word pieces.

# • Baseline Re-scaling :

- In theory, BERTScore lies between -1 and 1 (because we use normalized vectors to compute similarity).
- In practice, BERTScore lies in a much smaller range due to the learned geometry of the contextual embedding.
- It doesn't affect its performance but makes it hardly readable.
- Thus we re-scale it between 0 and 1 so it is more evenly distributed in this range. Let's take an example with the recall :

$$\hat{R}_{BERT} = \frac{R_{BERT} - b}{1 - b}$$

\* b: The empirical lower bound computed with Common Crawl Monolingual Datasets

#### 3.2.3 BERTScore Experimental Setup

This section aims at evaluating the quality of the experimental setup of the BERTScore experiment described in this paper [19]. Given that BERTScore is trained to answer Machine Translation and Image Captioning problems, we will treat each of these aspects separately without forgetting about the transfer learning methods to obtain Contextual Embedding Models:

#### • Contextual Embedding:

- Transfer Learning:
  - \* 24-layer  $RoBERTa_{large}$  for English tasks
  - \* 12-layer  $BERT_{chinese}$  for Chinese tasks
  - \* 12-layer cased multilingual  $BERT_{multi}$  for other languages
- Evaluation Datasets :
  - \* WMT16: Considered gold standard dataset. However, is biased because most of the corpus of this dataset is composed of News Articles (meaning the training is made on well structured and well written data).

#### • Machine Translation :

- Datasets quality :
  - \* Main evaluation dataset : WMT18
  - \* Other datasets: WMT16 and WMT17
  - \* All Gold standard datasets.
- Evaluation of BERTScore quality :
  - \* Absolute Pearson correlation  $|\rho(X,Y)| = |\frac{cov(X,Y)}{\sigma_X \times \sigma_Y}|$  [16]
    - $\cdot$  Measures linear correlation between two variables.
  - \* Kendall rank correlation  $\tau = \frac{2}{n(n-1)} \sum_{i < j} sgn(x_i x_j) sgn(y_i y_j)$  [14]
    - $\cdot$  Often used as a statistic test to verify if two variables are statistically dependant.
  - \* Significance with Williams test [2]
    - · Allows to determine whether or not the variation rate is significantly different from the hypothesis.
    - Equal to student test from k=1

# • Image Captioning:

- Datasets quality:
  - \* Evaluation Dataset : COCO 2015, Captioning Challenge.
  - \* COCO Dataset is composed of descriptive texts as inputs.
  - \* Not considered a gold standard dataset but is a very popular benchmarking dataset and has been used extensively.
- Evaluation of BERTScore quality:
  - \* Pearson correlation  $\rho$

# • General performance :

- Speed:
  - \* BERTScore computes at an average speed of 192.5 candidate-reference pairs/second using a GTX-1080Ti GPU.
  - \* Computes 2998 sentences in 15.6s while SacreBLEU compute it in 5s.
  - \* Considering the size of BERTScore's pre-trained model, it is satisfyingly fast.

#### - Robustness:

- \* Good resilience to word swapping, tested with PAWS dataset [20]:
  - · Example of PAWS semantically identical swapped phrases: "Although interchangeable, the body pieces on the 2 cars are not similar." and "Although similar, the body parts are not interchangeable on the 2 cars.".
  - · Example of PAWS semantically different swapped phrases: "Katz was born in Sweden in 1947 and moved to New York City at the age of 1." and "Katz was born in Sweden in 1947 and moved to New York City at the age of 1.".
- \* Good resilience to paraphrase, tested with QQP dataset.
- \* Especially when BERTScore models aren't trained on paraphrasing datasets.

#### 3.2.4 BERTScore Results

#### • Machine Translation

Metric	en⇔cs (5/5)	en↔de (16/16)	en⇔et (14/14)	en↔fi (9/12)	en⇔ru (8/9)	en↔tr (5/8)	en⇔zh (14/14)
BLEU	.970/ <b>.995</b>	.971/ <b>.981</b>	.986/.975	.973/ <b>.962</b>	.979/ <b>.983</b>	<b>.657</b> /.826	.978/.947
ITER	.975/.915	.990/ <b>.984</b>	.975/ <b>.981</b>	.996/.973	.937/.975	<b>.861</b> /.865	.980/ –
RUSE	.981/ –	.997/ –	.990/ –	.991/ –	.988/ –	.853/ –	.981/ –
YiSi-1	.950/ <b>.987</b>	.992/ <b>.985</b>	.979/ <b>.979</b>	.973/.940	.991/.992	.958/.976	.951/ <b>.963</b>
$P_{BERT}$	.980/ <b>.994</b>	.998/.988	.990/.981	.995/.957	.982/ <b>.990</b>	.791/.935	.981/.954
$R_{BERT}$	.998/.997	.997/ <b>.990</b>	.986/ <b>.980</b>	.997/.980	.995/.989	.054/.879	.990/.976
$F_{BERT}$	.990/.997	.999/.989	.990/ <b>.982</b>	.998/.972	<b>.990</b> /.990	<b>.499</b> /.908	<b>.988</b> /.967
$F_{\text{BERT}}$ (idf)	.985/ <b>.995</b>	.999/.990	.992/.981	.992/ <b>.972</b>	.991/.991	.826/.941	.989/.973

Figure 13: BERTScore results on standard WMT18 dataset [19]

 Numbers in parentheses indicate the number of systems for each pair of language (i.e. the number of documents).

Metric	en⇔cs	en↔de	en↔et	en⇔fi	en↔ru	en⇔tr	en⇔zh
BLEU	.956/.993	.969/ <b>.977</b>	<b>.981</b> /.971	.962/.958	.972/.977	.586/.796	.968/.941
ITER	.966/.865	.990/.978	.975/ <b>.982</b>	.989/.966	.943/.965	.742/.872	.978/ –
RUSE	.974/ –	.996/ –	.988/ –	.983/ –	.982/ -	.780/ –	.973/ –
YiSi-1	.942/.985	.991/.983	.976/.976	.964/.938	.985/.989	.881/.942	.943/.957
$P_{BERT}$	.965/.989	.995/.983	.990/.970	.976/.951	.976/.988	.846/.936	.975/.950
$R_{BERT}$	.989/.995	.997/ <b>.991</b>	.982/ <b>.979</b>	.989/ <b>.977</b>	.988/.989	.540/ <b>.872</b>	.981/.980
$F_{BERT}$	.978/ <b>.993</b>	.998/.988	.989/.978	.983/.969	.985/.989	.760/.910	<b>.981</b> /.969
$F_{\text{BERT}}$ (idf)	.982/.995	<b>.998</b> /.988	<b>.988</b> /.979	<b>.989</b> /.969	.983/.987	.453/.877	.980/.963

Figure 14: BERTScore results on 10K super-sampled WMT18 dataset [19]

- BERTScore outperforms all metrics (in terms of consistency).
- $-F_{BERT}$  is the most consistent. Kept for implementation.
- IDF not retained because the gain is negligible.

#### • Image Captioning:

Metric	M1	M2
BLEU	-0.019*	-0.005*
METEOR	0.606*	0.594*
Rouge-L	0.090*	0.096*
CIDER	0.438*	0.440*
SPICE	0.759*	0.750*
Leic	0.939*	0.949*
BEER	0.491	0.562
EED	0.545	0.599
CHRF++	0.702	0.729
CHARACTER	0.800	0.801
$P_{BERT}$	-0.105	-0.041
$R_{ m BERT}$	0.888	0.863
$F_{ m BERT}$	0.322	0.350
$R_{\mathrm{BERT}}$ (idf)	0.917	0.889

Figure 15: BERTScore results on 2015 COCO Captioning Challenge [19]

- BERTScore outperforms task-agnostic based methods and n-grams based methods.
- Only LEIC performs better than BERTScore because it is specifically design to work on COCO data.
- However, we notice that the precision is particularly poor for image captioning. Only  $R_{BERT}$  is used instead of  $F_{BERT}$ . Though,  $F_{BERT}$  is a more balanced measure than  $R_{BERT}$ . Thus, we can't assess that BERTScore is efficient for image captioning.
- In addition, it doesn't seem relevant to compute  $R_{BERT}(idf)$  because inverse document frequency becomes consistent when the corpus is sufficiently big. In the context of image captioning, the corpus is small and the probability of finding two identical words decreases consequently.

#### 3.2.5 BERTScore Personal Experimentation: Computation of IDF

In this subsection, we will try to understand how the calculation of the IDF is implemented in BERTScore's code [19]. The article only gives us the formula for Recall using IDF:

$$R_{BERT} = \frac{\sum_{x_i \in x} i df(x_i) \max_{\hat{x}_j \in \hat{x}} (x_i^T \hat{x}_j)}{\sum_{x_i \in x} i df(x_i)}$$

From there, we could ask ourselves multiple questions:

- Does Precision use gold summary vocabulary to be compiled? If yes, this would be considered biased for reasons we will explain later.
- Is IDF calculated using only the reference vocabulary?
- Should the vocabulary be common to reference and candidate for the computation of IDF ?
- How did researchers implement it?

After reading the code in the utils.py file, we were able to answer these questions:

• Calculation of maximum similarities :

```
word_precision = sim.max(dim=2)[0]
word_recall = sim.max(dim=1)[0]
```

• IDF normalization setup:

```
hyp_idf.div_(hyp_idf.sum(dim=1, keepdim=True))
ref_idf.div_(ref_idf.sum(dim=1, keepdim=True))
precision_scale = hyp_idf.to(word_precision.device)
recall_scale = ref_idf.to(word_recall.device)
```

# • Precision and Recall computation :

```
P = (word_precision * precision_scale).sum(dim=1)
R = (word_recall * recall_scale).sum(dim=1)
```

From the code above, we can see that Precision is indeed computed using gold hypothesis vocabulary while recall is calculated using reference's vocabulary. This discovery means that the computation of the metric uses gold information which consequently increases the score and perverts the result. An alternative would be to only use reference vocabulary when computing the IDF of the hypothesis. If the word doesn't exist we give it the IDFScore of 1 so it doesn't influence the general score.

# 3.3 BARTScore: Evaluating Generated Text as Text Generation

In this section we will synthesis and explicit BARTscore measure theorized in the Carnegie Melon University [18].

#### 3.3.1 Introduction to BARTScore

BARTScore is a NLP metric designed to perform text evaluation :

- Evaluates text through its probability of being generated in a particular context.
- Unsupervised metric

Global functioning of BARTScore :

- $s = s_1, s_2, \cdots, s_n$ : Source
- $h = h_1, h_2, \dots, h_m$ : Hypothesis generated based on the source
- $r=r_1,r_2,\cdots,r_l$  : Reference. Human-made comparison helping for evaluation

BARTScore's goal is to generate h based on s with one or multiple human-made references r used for for evaluation.

#### 3.3.2 Definition of BARTScore

# • Seq2Seq Pretrained Model:

BART is used as backbone:

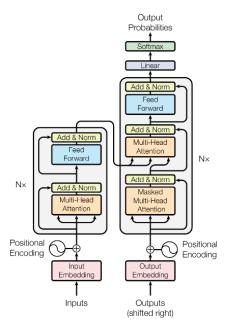


Figure 16: BART backbone [13]

- Let a given Seq2Seq model with:
  - \*  $\theta$ : Parameter of the Seq2Seq model.
  - \*  $x = \{x_1, x_2, \dots, x_n\}$ : Source sequence of n tokens.
  - \*  $y = \{y_1, y_2, \dots, y_m\}$ : Target sequence of m tokens.
- Then:

$$p(y|x,\theta) = \prod_{t=1}^{m} p(y_t|y_{< t}, x, \theta)$$

#### • BARTScore :

- Let:
  - \*  $x = \{x_1, x_2, \dots, x_n\}$ : Source sequence of n tokens.
  - \*  $y = \{y_1, y_2, \dots, y_m\}$ : Target sequence of m tokens.
  - \*  $\omega = \{\omega_1, \omega_2, \cdots, \omega_m\}$ : Weights for each token  $(\omega_t = 1 \text{ in the paper } [18])$ .
- Then:

$$BARTScore = \sum_{t=1}^{m} \omega_t log(p(y_t|y_{< t}, x, \theta))$$

- 4 ways of computing BARTScore:
  - \* Faithfulness  $(s \to h)$  : How likely was the hypothesis generated from the source :  $p(h|s,\theta)$
  - \* Precision  $(r \to h)$ : How likely could the hypothesis be constructed based on the gold reference : p(h|r)
  - \* Recall  $(h \to r)$ : How easily a gold reference could be generated from the hypothesis: p(r|h)
  - \* F1-score :  $2\frac{Precision*Recall}{Precision+Recall}$

## • Prompting:

- Design of prompts :
  - \* Heuristic-based selection.
  - \* A prompt seed is manually defined (ex: "in summary").
  - \* Then, paraphrases of the said prompt are gathered. However, there are no information provided about the method used to gather paraphrases for a given seed.
- Different prompts for different tasks :
  - \* 2 sets of prompts : One for faithfulness oriented tasks, the other for recall oriented purposes.
  - \* Prompting for Summarizing and Data-to-Text :
    - · Take the totality of the prompting pool and average the score of each prompt (see formula under "BARTScore with prompt" bullet point below).
  - \* Prompting for Machine Translation:
    - · Given that machine translation is a much heavier task, a single prompt is pre selected using WMT18 dataset.
- Positioning of prompts:
  - \* Given a prompt of l tokens  $z = \{z_1, z_2, \dots, z_l\}$ .
  - \* Prompt can be append to the source text :  $x' = \{x_1, \dots, x_n, z_1, \dots, z_l\}$
  - \* Prompt can be prepend to the target text :  $y' = \{z_1, \dots, z_l, y_1, \dots, y_m\}$
  - \*  $\frac{\text{Personal opinion}}{\text{to the target ?}}$ : Why can't couldn't the prompt be prepend to the source or append
- BARTScore with prompts :

$$BARTScore-Prompt = \frac{1}{n} \sum_{i=1}^{n} \sum_{t=1}^{m_i} logp([y:z_i]_t | [y:z_i]_{\leq t}, x, \theta)$$

- \* x : Source sequence.
- \* y: Target sequence.
- \*  $z_1, z_2, \cdots, z_n$ : Set of prompts.
- \*  $[y:z_i]$ : Prompted target sequence.
- \*  $\theta$  : Seq2Seq model parameter.
- \* n: Number of prompts considered.
- \*  $m_i$ : Target length after adding the i-th prompt.

#### 3.3.3 BARTScore Experimental Setup

#### • Tasks performed for evaluation :

- Unsupervised Matching: Measures the semantic equivalence between the reference and hypothesis by using a token-level matching functions in distributed representation space, such as BERTScore.
- Supervised Regression: Introduces a parameterized regression layer, which would be learned
  in a supervised fashion to accurately predict human judgments.
- Supervised Ranking : Learns a scoring function that assigns a higher score to better hypotheses than to worse ones.
- Text Generation: High-quality hypothesis will be easily generated based on source or reference text or vice-versa.

#### • Common Gold Standard human reference KPIs :

- Informativeness: How keys ideas are captured
- Relevance: Consistency with respect to reference text
- Fluency: Grammatical, semantic, formatting correctness
- Coherence : How sentences make sense as a whole
- Factuality: Is information rigorously taken from reference text
- Semantic Coverage : How semantic content from reference are captured
- Adequacy: How the output conveys the same meaning as the input

#### • Datasets:

# - Machine Translation :

\* WMT19, DARR Corpus in 7 language pairs. It is a gold standard and reliable dataset. Can be considered biased because is only composed with News data which has a very particular structure.

#### - Text Summarization :

- \* REALSumm
- \* SummEval
- \* NeR18

# - Data to Text:

- \* BAGEL : contains 202 references.
- \* SFSHOT : contains 398 references.
- \* SFRES : contains 581 references.

#### • Additional information :

- Evaluation on 16 datasets over 7 different human Gold Standard reference (see above).

#### 3.3.4 BARTScore Results

In the following section, **bold** results are the highest achieved by unsupervised methods, while <u>underlined</u> ones are the highest overall.

#### • Machine Translation :

- Kendall's Tau is considered for correlation of metrics to human judgement.
- BARTScore is the best correlated to human judgement for 5 out of 7 language pairs.
- In addition, the study [18] stipulates that there is room for improvement with prompting.

	de-en	fi-en	gu-en	kk-en	lt-en	ru-en	zh-en	Avg.
SUPERVISED METHODS								
BLEURT COMET	0.174 0.219	0.374 0.369	0.313 0.316	0.372 0.378	0.388 0.405	0.220 0.226	0.436 0.462	0.325 0.339
UNSUPERVISED METHOD	S							
BLEU	0.054	0.236	0.194	0.276	0.249	0.115	0.321	0.206
CHRF	0.123	0.292	0.240	0.323	0.304	0.177	0.371	0.261
PRISM	0.199	0.366	0.320	0.362	0.382	0.220	0.434	0.326
BERTScore	0.190	0.354	0.292	0.351	0.381	0.221	0.430	0.317
BARTSCORE	0.156	0.335	0.273	0.324	0.322	0.167	0.389	0.281
+ CNN	0.190	0.365	0.300	0.348	0.384	0.208	0.425	0.317
+ CNN + Para	$0.205\dagger$	$0.370\dagger$	0.316	0.378†	0.386	0.219	$0.442\dagger$	0.331
+ CNN + Para + Prompt	0.238‡	<u>0.374</u> ‡	0.318	0.376†	0.386†	0.219	0.447‡	0.337

Figure 17: BARTScore results for Machine Translation [18]

#### • Text Summarization :

Vanilla BARTScore outperforms BERTScore on Coherence, Factuality, Fluency, Informativeness but not on Semantic Coverage.

	REALSumm		Sum	nEval		NeR18				
	Cov	Сон	FAC	FLU	INFO	Сон	FLU	Info	REL	Avg.
ROUGE-1	0.498	0.167	0.160	0.115	0.326	0.095	0.104	0.130	0.147	0.194
ROUGE-2	0.423	0.184	0.187	0.159	0.290	0.026	0.048	0.079	0.091	0.165
ROUGE-L	0.488	0.128	0.115	0.105	0.311	0.064	0.072	0.089	0.106	0.164
BERTScore	0.440	0.284	0.110	0.193	0.312	0.147	0.170	0.131	0.163	0.217
MoverScore	0.372	0.159	0.157	0.129	0.318	0.161	0.120	0.188	0.195	0.200
PRISM	0.411	0.249	0.345	0.254	0.212	0.573	0.532	0.561	0.553	0.410
BARTSCORE	0.441	0.322†	0.311	0.248	0.264	0.679†	0.670†	0.646†	0.604†	0.465
+ CNN	0.475	0.448‡	$0.382\dagger$	0.356†	$0.356\dagger$	0.653†	0.640†	0.616†	0.567	0.499
+ CNN + Para	0.471	0.424†	0.401‡	0.378‡	0.313	0.657†	0.652†	0.614	0.562	0.497
$+\Omega + Prompt$	0.488	0.407†	0.378†	0.338†	0.368‡	0.701‡	0.679‡	0.686‡	0.620‡	0.518

Figure 18: BARTScore results for Text Summarization [18]

#### • Data-to-Text :

- For Data-to-Text task, we notice tasks, BARTScore-Prompt outperforms all other metrics in terms of correlation with human judgement.

	BAGEL	SFRES	SFHOT	Avg.
ROUGE-1	0.234	0.115	0.118	0.156
ROUGE-2	0.199	0.116	0.088	0.134
ROUGE-L	0.189	0.103	0.110	0.134
BERTScore	0.289	0.156	0.135	0.193
MoverScore	0.284	0.153	0.172	0.203
PRISM	0.305	0.155	0.196	0.219
BARTSCORE	0.247	0.164†	0.158	0.190
+ CNN	0.303	$0.191\dagger$	0.190	0.228
+ CNN + Para	0.330†	$0.185\dagger$	0.211†	0.242
$+\Omega$ + Prompt	0.336‡	0.238‡	0.235‡	0.270

Figure 19: BARTScore results for Data-to-Text [18]

#### 3.3.5 BARTScore Performance Analysis

- Robustness: From the Fine-grained analysis with Kendall's Tau, BARTScore is more robust to text length differences and high-quality texts then others evaluated metrics such as BERTScore, COMET or BLEURT. However, the Language Pair Distance robustness presented in the appendix doesn't provide much information about the robustness of BARTScore on this specific aspect.
- Biases: BARTScore is more biased on extractive systems than on abstractive systems.

#### 3.3.6 BARTScore Personal Experimentation

#### What is the BARTScore input size?

First let us clarify this question using the classical BARTScore formula [18]:

$$BARTScore = \sum_{t=1}^{m} \omega_t log(p(y_t|y_{< t}, x, \theta))$$

When computing the probability of  $y_t$  what does  $y_{< t}$  correspond to exactly? This is the question we will try to answer in this subsection. First, we list BART to better address this question:

- BART is a transformer model. This means that the  $y_{< t}$  window in theoretically equal to the size of the input of the transformer's decoder (if we don't consider self-attention) [11].
- Thus, we need to find the input size (out of the encoder) of BART decoder, in other words, the size of the intermediate layers of BART's decoder.

For most computation, the BARTScore founding article [18] uses  $BART_{large}CNN$  developed by Facebook researchers[5]. We find our answer in the summary of  $BART_{large}CNN$ :

```
(decoder): BartDecoder(
(embed_tokens): Embedding(50264, 1024, padding_idx=1)
(embed_positions): BartLearnedPositionalEmbedding(1026, 1024)
(layers): ModuleList(
  (0-11): 12 x BartDecoderLayer(
    (self_attn): BartAttention(
      (k_proj): Linear(in_features=1024, out_features=1024, bias=True)
      (v_proj): Linear(in_features=1024, out_features=1024, bias=True)
      (q_proj): Linear(in_features=1024, out_features=1024, bias=True)
      (out_proj): Linear(in_features=1024, out_features=1024, bias=True)
    (activation_fn): GELUActivation()
    (self_attn_layer_norm): LayerNorm((1024,), eps=1e-05, elementwise_affine=True)
    (encoder_attn): BartAttention(
      (k_proj): Linear(in_features=1024, out_features=1024, bias=True)
      (v_proj): Linear(in_features=1024, out_features=1024, bias=True)
      (q_proj): Linear(in_features=1024, out_features=1024, bias=True)
      (out_proj): Linear(in_features=1024, out_features=1024, bias=True)
    (encoder_attn_layer_norm): LayerNorm((1024,), eps=1e-05, elementwise_affine=
    (fc1): Linear(in_features=1024, out_features=4096, bias=True)
    (fc2): Linear(in_features=4096, out_features=1024, bias=True)
    (final_layer_norm): LayerNorm((1024,), eps=1e-05, elementwise_affine=True)
```

In conclusion, the range of  $y_{< t}$  is equal to <u>1024</u>.

#### 3.4 MAUVE-score

# 3.4.1 Prerequisites

This subsection contains the explanation of concepts I didn't understand prior to reading this article.

# Kullback-Lieber Divergence:

MAUVE metric is mainly based on the Kullback-Lieber divergence. Thus it is primordial to understand this measure to study MAUVE. Kullback-Lieber is an asymmetric metric used to compare two distributions [8].

Let:

- $\bullet$  Q: Reference distribution.
- $\bullet$  P: Studied distribution.

Then, we can define the Kullback-Lieber Divergence between P and Q as:

• 
$$KL(P||Q) = \sum_{x} P(x) \times log(\frac{P(x)}{Q(x)})$$

# Decoding algorithms:

Decoder models (e.g. GPT-2) output a probability. However, a word or a sequence is desired in the context of text generation. This is why we need a decoding algorithm. Specific algorithms used in the context of MAUVE-score are detailed later in this report (see 3.4.3).

#### 3.4.2 Definition MAUVE

# • Types of errors :

Type I error : KL(Q|P) : Model assigns high probability to a text that doesn't resemble human-written texts.

Type II error: KL(P|Q): Model doesn't cover human distribution and associates low probability to human-written texts.

#### • Quantifying Errors :

<u>Main issue</u>: KL(Q|P) and KL(P|Q) and be infinite. The way the study [7] decided to address this problem is by creating a mixture distribution  $R_{\lambda}$ :

$$R_{\lambda} = \lambda P + (1 - \lambda)Q, \ \lambda \in (0, 1)$$

#### • Divergence Curve :

- Considers a family of Type I and Type II errors for  $\lambda$  varying between 0 and 1:

$$C(P,Q) = \{(\exp(-cKL(Q|R_{\lambda})), \exp(-cKL(P|R_{\lambda}))) : R_{\lambda} = \lambda P + (1-\lambda)Q, \ \lambda \in (0,1)\}$$

- \* c: Hyper-parameter for scaling.
- \* C(P,Q): Encodes information about the trade off between Type I and Type II errors.

# • **MAUVE** :

Mauve is the area under the divergence curve C(P,Q), such as:

MAUVE = 
$$KL(P|R_{\lambda}) = \sum_{x} P(x) \times log(\frac{P(x)}{R_{\lambda}(x)})$$

#### • Approximation of P and Q:

<u>Issue</u>: Ground truth probabilities are unknown. Thus, the study [7] suggests to estimate them with a Monte Carlos method using a quantized embedding.

#### Method to solve:

- \* Sample human text  $x_i \sim P$  and machine text  $x_i' \sim Q$
- \* Embed each sequence with a model M (e.g. GPT2) to obtain encoded vectors :  $\{M(x_i)\}_{i=1}^N \in \mathbb{R}^d$  and  $\{M(x_i')\}_{i=1}^{N'} \in \mathbb{R}^d$
- \* Quantize embeddings with clustering method (e.g. k-means)
- \* Count the cluster assignments to form histograms.
- \* Then we obtain the following discrete distributions:

$$\begin{cases} \text{Human text}: & \tilde{P}(j) = \frac{1}{N} \sum_{i=1}^{N} \mathbb{I}(\phi(x_i) = j) \\ \text{Machine text}: & \tilde{Q}(j) = \frac{1}{N} \sum_{i=1}^{N} \mathbb{I}(\phi(x_i') = j) \end{cases}$$
 (7)

 $\phi(x) \in \{1, \dots, k\}$ : Returns cluster id of x.

## 3.4.3 MAUVE-score Experimental Setup

• Tasks: Open-Ended text generation using text completion task with web text, news and stories datasets. (Note: datasets aren't precisely cited)

#### • Models:

- Language Model  $\hat{P}(\cdot)$ : GPT-2 pretrained on web text dataset.
- Embedding Model  $M(\cdot)$ : GPT-2 Large (I don't understand how you can use a decoder as GPT-2 to embed text, shouldn't it be an encoder?)

#### • Decoding algorithms:

4 different sampling methods [11] [7]:

- Ancestral Method
- Greedy Method
- Nucleus Method
- Adversarial Method

#### 3.4.4 MAUVE-score Results

In this subsection, we will summarize and analyze MAUVE results on different tasks:

# • MAUVE for identification and quantification of texts known properties :

– MAUVE reflects deprecation of quality with respect to text length inducing actual quality deprecation, while other shown metrics don't do in the same proportion. Though, are these comparison metrics representative of the current state-of-art?

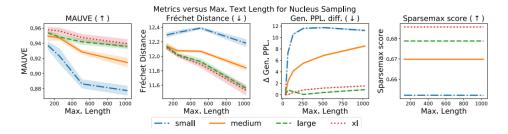


Figure 20: MAUVE deprecation with respect to text length

- MAUVE quality varies accordingly to the decoding algorithm quality. MAUVE with greedy decoding provides worse results than ancestral decoding which provides worse results than nucleus decoding. If we focus on the result, we notice that numbers of no units, that,  $\epsilon - PPL$  has strangely high values for the greed decoding and that MAUVE results aren't particularly high in comparison to others metrics.

	Adv.	Greedy	Sampling	Nucleus
Gen. PPL(↓)	0.05	11.3	19.3	1.54
$\mathbf{Zipf}(\downarrow)$	0.03	0.02	0.02	0.01
Self-BLEU $(\downarrow)$	0.07	0.03	0.02	0.03
<b>SP</b> (↑)	_	0.50	0.69	0.69
<b>JS</b> (↓)	_	0.35	0.37	0.36
$\varepsilon$ -PPL( $\downarrow$ )	_	497	11.4	13.7
Mauve (†)	0.06	0.02	0.88	0.94
Human(†)	_	-	9.0	15.7

Figure 21: MAUVE quality with respect to decoding method

— MAUVE quality increases with model size, which is known for improving quality of the output. Thus, MAUVE is consistent with variation of model's size. We encounter here the same problem as in the previous figure, no units are provided to indicate the meaning of the results.

	Small	Medium	Large	XL
Gen. PPL(↓)	11.2	8.5	0.9	1.5
$\mathbf{Zipf}(\downarrow)$	0.06	0.00	0.02	0.01
$\textbf{Self-BLEU}(\downarrow)$	0.05	0.02	0.03	0.03
<b>SP</b> (↑)	0.65	0.67	0.68	0.69
<b>JS</b> (↓)	0.41	0.39	0.37	0.36
$\varepsilon$ -PPL( $\downarrow$ )	25.9	18.8	14.9	13.7
Mauve ( $\uparrow$ )	0.878	0.915	0.936	0.940
Human(↑)	-15.9	-3.4	12.6	15.7

Figure 22: MAUVE quality with respect to model size

#### • Approximations in Mauve:

Mauve is made out of two main components, the embedding model M(x) and the quantization algorithm  $\tilde{P}(x)$  and  $\tilde{Q}(x)$ . The study [7] tried difference ones for both to evaluate MAUVE robustness.

 Robustness to embedding changes: Spearman Rank between the output using RoBERTa Large and GPT-2 Large is 0.993, indicating that the model is resilient to embedding change. However, RoBERTa small shown worryingly low result in comparison to the similarly size GPT-2.

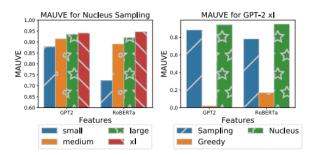


Figure 23: MAUVE robustness to embedding and sampling variations

# - Robustness to quantization (clustering method):

Quantization Method	k-mean k=500	k-mean ( $k$ =[100,5000])	DRMM	Lattice
k-mean (k=500)	1	0.99 to 1	0.99	0.99
k-mean $(k=[100,5000])$	0.99 to 1	1	X	X
DRMM	0.99	X	1	X
Lattice	0.99	X	X	1

We denote that k-mean correlate in very strongly with other quantization methods. However, in the paper [7] there is no figure to explain the results.

# • Correlation with Human judgment :

- Human annotations were created using a Likert scale. However, the maximal completion length for the text generation task was 256 tokens, which is relatively short. Thus, no information are provided about correlation to human judgment for longer texts.

Metric	Task	Gen. PPL	Zipf Coef.	REP	Distinct-4	Self-BLEU	MAUVE
Human-like/BT	Web text	0.810	0.833	-0.167	0.738	0.595	0.952
Interesting/BT	Web text	0.643	0.524	-0.143	0.524	0.405	0.810
Sensible/BT	Web text	0.738	0.690	-0.071	0.595	0.524	0.857
% Disc. Acc.	News	0.468	0.595	0.792	0.653	0.516	0.956
% Disc. Acc.	Stories	0.643	0.643	0.250	0.750	0.857	0.893

Figure 24: MAUVE's correlation to human judgment

From Figure.24, we notice that MAUVE is the more correlated to human judgment than comparison metrics.

- 4 Dataset Selection
- 4.1 Summary Evaluation
- 4.2 Fake Detection
- 4.3 Machine Translation

# 5 Various Experimentation

# 5.1 BERTScore performances with RoBERTa and DistilBERT Word2Vec embeddings

Even though BERTScore is already a relatively fast embedding method, we are curious to know how BERTScore would perform with a different embedding. In this context, we will compare it to a DistilBERT trained on a Word2Vec static embedding and analyze differences.

#### 5.1.1 KPIs, Datasets and Environment

#### • KPIs of the study:

We will focus on two parameters for this study:

- Run-time: How much time does the algorithms take to compute BERTScore for a fixed number of individuals.
- BERTScore quality: We will focus on F1 score associated to each Reference/Candidate couple. The higher the F1 score, the more qualitative the BERTScore.

#### • Dataset used:

We will use BillSUM dataset:

- Gold Standard dataset.
- Available in multiple illustrious libraries such as TensorFlow.
- Consists of summaries of bills from the U.S. Congress and the State of California and their associated reference document.

# • Working Environment :

The results presented here have been computed using the following configuration:

- Mother Board: MSI PRO Z690-A WIFI DDR4 ATX LGA1700
- CPU: Intel Core i5-12600KF 3.7 GHz 10-Core
- GPU : GeForce RTX 3060 Ti
- Ram : 4x8 GiB

# 5.1.2 Calculation of BERTScores for both embeddings

We used pre-trained embeddings available on HuggingFace or proposed by default in the BERTScore library :

- RoBERTa: We used the BERTScore lib's default embedding for English language. 24-layers  $RoBERTa_{large}$ .
- DistilBERT Word2Vec : We used a Hugging Face Dataset (see reference).

#### 5.1.3 Results

To minimize compilation time, I only perform the BERTScore of the first 3 individuals. To get an informal idea of performances.

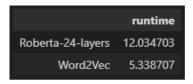


Figure 25: RoBERTa VS DistilBERT Word2Vec runtime

DistilBERT Word2Vec is more than 2 twice as fast as RoBERTa for the 3 articles considered.

	Bert_P	Bert_R	Bert_F
0	0.874369	0.704338	0.780197
1	0.846002	0.728316	0.782760
2	0.873844	0.702725	0.778998

	W2V_P	W2V_R	W2V_F
0	0.574693	0.328203	0.417802
1	0.737639	0.645986	0.688777
2	0.544604	0.436672	0.484702

(a) RoBERTa's BERTScore quality

(b) DistilBERT Word2Vec's BERTScore quality

Figure 26: Informal BERTScore's embeddings quality comparison

Looking especially at the F1-score of each model, we notice that BERT embedding allows to get a higher and more consistent BERTScore than DistilBERT Word2Vec Embedding. From now on, we must decide if Word2Vec's loss in quality is acceptable because of the runtime improvement.

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