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# RAG-RAIL: A RETRIEVAL-AUGMENTED GENERATION SYSTEM FOR RAILWAY DOCUMENT QUESTION ANSWERING

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A PREPRINT

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## ABSTRACT

We introduce RAG-Rail, a domain-specialized Retrieval-Augmented Generation (RAG) system designed to answer technical questions over railway documentation. The system bridges a local language model (via Ollama) with a FAISS-based semantic index of railway documents, enabling fact-grounded and cited answers in French and English. We present its theoretical foundation, pipeline design, and empirical evaluation on retrieval precision and factual correctness. Beyond its technical implementation, RAG-Rail demonstrates how lightweight, local AI can make railway knowledge — such as signaling, safety, and perception — directly accessible to engineers and researchers, thus enhancing traceability and decision-making in safety-critical domains.

**Keywords** Retrieval-Augmented Generation · Question Answering · Railway Safety · Vector Search · Domain-Specific QA

## 1 Introduction

The railway industry produces an immense quantity of documentation — from international standards (EN, IEC, ISO) to academic research and industrial white papers — that underpins safety, signaling, and AI-based perception systems. Engineers, certification teams, and R&D units must frequently consult these resources to address questions ranging from cybersecurity requirements to autonomous driving constraints. However, most of these documents remain buried in large PDF collections, with inconsistent formats and minimal searchability.

Large Language Models (LLMs) are promising for knowledge access, yet their inability to reference verifiable sources or their tendency to hallucinate makes them unsuitable for safety-critical use. Retrieval-Augmented Generation (RAG) offers a viable alternative by coupling language generation with information retrieval. Rather than relying solely on internal model weights, RAG retrieves factual excerpts from a trusted corpus before formulating an answer.

In this paper, we propose **RAG-Rail**, a local and domain-adapted RAG architecture for railway document understanding. It combines a FAISS vector index for semantic retrieval with a local LLM (such as Mistral or Phi-3 via Ollama) to generate concise and referenced answers. Our objective is not only to design an operational backend, but to validate the conceptual foundations and evaluate the effectiveness of RAG on a technical and multilingual corpus.

## 2 Related Work

Retrieval-Augmented Generation (RAG) was formalized by Lewis et al. [1], introducing the idea of grounding generation in retrieved text passages. Since then, the field has expanded rapidly with surveys covering retrieval architectures, memory-augmented models, and hybrid pipelines [gupta2024comprehensive, 3, 6].

In engineering and railway domains, text-based machine learning remains sparse. Dong et al. [2] reviewed NLP applications for maintenance and safety logs, while recent initiatives [5, 4] explore domain-specific QA systems for

locomotive maintenance and railway cybersecurity compliance. Yet, to our knowledge, no open-source RAG system specifically targets the railway ecosystem — a gap this work addresses.

### 3 Theoretical Background

RAG-Rail builds upon two main theoretical pillars: vector-based semantic retrieval and conditional text generation.

#### Vector Semantic Search

Given a corpus of text chunks  $\{d_i\}_{i=1}^N$ , each document  $d_i$  is mapped to a dense vector  $\mathbf{v}_i = f_\theta(d_i)$  using an embedding model  $f_\theta$  trained to capture semantic similarity. A user query  $q$  is similarly encoded as  $\mathbf{v}_q = f_\theta(q)$ . Retrieval consists of selecting the top- $k$  documents that maximize the cosine similarity:

$$R_k(q) = \arg \max_{d_i} \frac{\mathbf{v}_q \cdot \mathbf{v}_i}{\|\mathbf{v}_q\| \|\mathbf{v}_i\|}.$$

These retrieved contexts are then injected into the generation prompt.

#### Retrieval-Augmented Generation

The generative model  $G_\phi$  conditions on both the query  $q$  and the retrieved context  $C = \{d_i\}_{i \in R_k(q)}$ :

$$p_\phi(y|q, C) = \prod_{t=1}^T p_\phi(y_t | y_{<t}, q, C),$$

where  $y_t$  are output tokens. This conditioning constrains generation to grounded information while maintaining the fluency of natural language.

This dual mechanism — retrieval for factual grounding and generation for linguistic synthesis — provides the theoretical backbone for RAG-Rail.

## 4 System Design

### 4.1 Corpus Acquisition and Preprocessing

Railway-related documents were collected from multiple sources, including arXiv papers, open standards, and safety reports. A lightweight scraper continuously polls relevant RSS feeds and filters documents containing keywords such as *railway*, *signalling*, and *autonomous train*. After parsing via pypdf, texts are segmented into overlapping windows of 300 to 600 tokens using the LangChain splitter. Each segment retains metadata including filename and page number, enabling fine-grained traceability.

### 4.2 Embedding and Retrieval

Each chunk is encoded with the all-MiniLM-L6-v2 sentence-transformer model into a 384-dimensional vector space. All embeddings are indexed using FAISS for high-speed approximate search. Queries are embedded in the same space, and the nearest chunks are retrieved according to cosine similarity.

Retrieval is followed by a lightweight lexical re-ranking stage using BM25 to enhance robustness for queries containing domain-specific acronyms (e.g., SIL, ERTMS).

### 4.3 Prompting and Citation Strategy

To ensure interpretability and verifiability, RAG-Rail explicitly embeds the retrieved chunks in the final prompt given to the LLM. Each passage is numbered and cited in the final answer. The prompt template (Fig. ??) enforces both structure and honesty, instructing the model to cite every statement and abstain from speculation.

### Prompt Template Example

**Context:**

(source: IEC\_62443\_Assessment.pdf, page 3): “Industrial control systems must ensure cybersecurity across all levels.”

(source: Railway\_Safety\_Framework.pdf, page 7): “IEC 62425 defines safety-related communication standards.”

**Question:** What cybersecurity standards are used in railway signalling?

**Instruction:** Answer concisely in French, citing each source using [1], [2], etc. If no sufficient context exists, say so.

This structured prompting notably reduces hallucination rates and improves user trust, aligning with recent best practices in grounded LLM reasoning.

## 5 Experimental Evaluation

Experiments were conducted on a corpus of 200 railway-related PDFs, producing around 5,000 chunks. The local inference backend used `mistral` served via Ollama on a workstation with an NVIDIA RTX A4500 GPU (20 GB VRAM). The evaluation covered retrieval accuracy, citation consistency, and latency.

Quantitatively, retrieval achieved a Recall@5 of 0.89, while 92% of generated answers correctly referenced existing chunks. Average response time was approximately 450 ms. These figures confirm that a lightweight local RAG pipeline can achieve both responsiveness and factual precision on a constrained domain.

Table 1: Evaluation metrics for RAG-Rail.

Metric	Value	Unit
Average retrieval time	21	ms
Average generation time	430	ms
Recall@3	0.82	
Recall@5	0.89	
Citation precision	0.92	

Qualitative inspection further confirmed the system’s reliability. When asked, for example, “*Which standards regulate safety integrity levels in railway control systems?*”, RAG-Rail produced a correct and traceable answer referencing IEC 61508 and IEC 62425, while noting that context about SIL classification was limited to extracted excerpts.

These results indicate that RAG-Rail effectively balances conciseness, factual grounding, and interpretability — an essential triad in technical question answering.

## 6 Applications and Discussion

Beyond its experimental success, RAG-Rail opens a path toward accessible and transparent AI assistance within industrial and research environments. By centralizing thousands of heterogeneous documents into a searchable knowledge base, it becomes possible for engineers to rapidly query specific standards, safety requirements, or perception algorithms. Certification teams could verify compliance clauses automatically, while R&D staff gain a powerful tool for literature exploration.

Several challenges remain. Domain-specific embedding fine-tuning could significantly improve retrieval quality for niche terminology (e.g., “balise”, “interlocking”). Hybrid search, combining dense embeddings and symbolic reasoning, would also strengthen contextual relevance. Moreover, the incorporation of multimodal inputs — such as figures, tables, and diagrams — could provide richer factual grounding, essential in technical documentation.

## 7 Conclusion

We presented RAG-Rail, a retrieval-augmented question-answering system dedicated to railway documentation. By integrating FAISS-based retrieval with a local language model, it delivers concise, grounded, and cited responses suitable for safety-critical domains. Experimental validation demonstrates high recall and citation precision, proving that a fully local RAG pipeline can achieve reliable performance without relying on cloud APIs. In future work, we plan to extend RAG-Rail with domain-adapted embeddings, multimodal context retrieval, and explainability layers linking confidence scores to citation relevance.

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