
DATABASE NORMALIZATION

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WHAT IS NORMALIZATION ?

- **NORMALIZATION** is a database design technique that organizes tables in a manner that reduces redundancy and dependency of data.
- Normalization divides larger tables into smaller tables and links them using relationships.
- The purpose of Normalization is to eliminate redundant (useless) data and ensure data is stored logically.
- The inventor of the relational model E.F.Codd proposed the theory of normalization.

REDUNDANCY

- R

| SID | SName | Age |
|-----|-------|-----|
| 1 | Jojo | 20 |
| 2 | Kit | 25 |
| 1 | Jojo | 20 |

- If the SID is primary key to each row, you can use it to remove the duplicates as shown below:

| SID | SName | Age |
|-----|-------|-----|
| 1 | Jojo | 20 |
| 2 | Kit | 25 |

REDUNDANCY (CONT..)

- Column Level Redundancy:
- Now Rows are same but in column level because of Sid is primary key but columns are same.

| Sid | Sname | Cid | Cname | Fid | Fname | Salary |
|-----|-------|-----|-------|-----|-------|--------|
| 1 | AA | C1 | DBMS | F1 | Jojo | 30000 |
| 2 | BB | C2 | JAVA | F2 | KK | 50000 |
| 3 | CC | C1 | DBMS | F1 | Jojo | 30000 |
| 4 | DD | C1 | DBMS | F1 | Jojo | 30000 |

Redundant
Column
Values

WHAT IS AN ANOMALY?

- Problems that can occur in poorly planned, unnormalized databases where all the data is stored in one table (a flat-file database).
- Types of Anomalies:
 - Insert
 - Delete
 - Update

ANOMALIES IN DBMS

- **Insert Anomaly :** An Insert Anomaly occurs when certain attributes cannot be inserted into the database without the presence of other attributes.
- **Delete Anomaly:** A Delete Anomaly exists when certain attributes are lost because of the deletion of other attributes.
- **Update Anomaly:** An Update Anomaly exists when one or more instances of duplicated data is updated, but not all.

ANOMALY EXAMPLE

Table: University

- Below table U the Sid acts as

| Sid | Sname | Cid | Cname | Fid | Fname | Salary |
|-----|---------|-----|-------|-----|--------|--------|
| 1 | Ram | C1 | DBMS | F1 | Sachin | 30000 |
| 2 | Shyam | C2 | Java | F2 | Boby | 28000 |
| 3 | Ankit | C1 | DBMS | F1 | Sachin | 30000 |
| 4 | saurabh | C1 | DBMS | F1 | Sachin | 30000 |

Salary. And

INSERTION ANOMALY

Table: University

- Support table. know

| Sid | Sname | Cid | Cname | Fid | Fname | Salary |
|-----|---------|-----|-------|-----|--------|--------|
| 1 | Ram | C1 | DBMS | F1 | Sachin | 30000 |
| 2 | Shyam | C2 | Java | F2 | Boby | 28000 |
| 3 | Ankit | C1 | DBMS | F1 | Sachin | 30000 |
| 4 | saurabh | C1 | DBMS | F1 | Sachin | 30000 |
| | | | | F3 | Arun | 29000 |

the above
aly is

Insertion Anomaly

DELETE ANOMALY

SQL:
DELETE FROM University WHERE Sid=2;

- When the record with Sid=2 is deleted from the above table, then it will

| Sid | Sname | Cid | Cname | Fid | Fname | Salary |
|-----|---------|------------------|-------|-----|--------|--------|
| 1 | Ram | C1 | DBMS | F1 | Sachin | 30000 |
| 2 | Shyam | Deletion anomaly | | | | |
| 3 | Ankit | C1 | DBMS | F1 | Sachin | 30000 |
| 4 | Saurabh | C1 | DBMS | F1 | Sachin | 30000 |

UPDATE ANOMALY

SQL:
UPDATE University
SET Salary= 40000
WHERE Fid="F1";

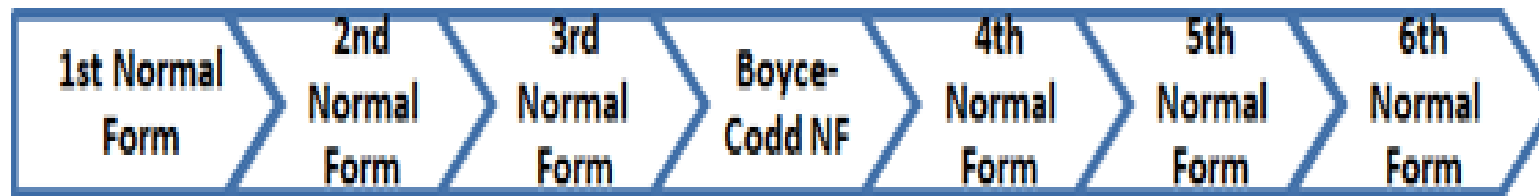
om 30000 to 40000 in above table
data redundancy. So, this is an

| Sid | Sname | Cid | Cname | Fid | Fname | Salary |
|-----|---------|-----|-------|-----|--------|--------|
| 1 | Ram | C1 | DBMS | F1 | Sachin | 30000 |
| 2 | Shyam | C2 | Java | F2 | Boby | 28000 |
| 3 | Ankit | C1 | DBMS | F1 | Sachin | 30000 |
| 4 | saurabh | C1 | DBMS | F1 | Sachin | 30000 |

To remove all these anomalies, we need to normalize the data in the database.

NORMAL FORMS

- The Theory of Data Normalization in SQL is still being developed further. For example, there are discussions even on 6th Normal Form. **However, in most practical applications, normalization achieves its best in 3rd Normal Form.** The evolution of Normalization theories is illustrated below-



FIRST NORMAL FORM (1NF)

- According to the E.F. Codd, a relation will be in 1NF, if each cell of a relation contains only an atomic value.

1NF EXAMPLE

- Example:

| Course | Content |
|-------------|----------------|
| Programming | Java, c++ |
| Web | HTML, PHP, ASP |

The following Course_Content relation is not in 1NF because the Content attribute contains multiple values.

INF EXAMPLE (CONT..)

- The below relation student is in INF:

| Course | Content |
|-------------|---------|
| Programming | Java |
| Programming | C++ |
| Web | HTML |
| Web | PHP |
| Web | ASP |

RULES OF 1NF

The official qualifications for 1NF are:

1. Each **attribute name** must be unique.
2. Each **attribute value** must be single.
3. Each **row** must be unique.

▶ Additional:

- ▶ Choose a primary key.

▶ Reminder:

A primary key is ***unique, not null, unchanged***. A primary key can be either an attribute or combined attributes.

SECOND NORMAL FORM (2NF)

- According to the E.F. Codd, a relation is in 2NF, if it satisfies the following conditions:
 - The table should be in the First Normal Form.
 - There should be no Partial Dependency.

PRIME AND NON PRIME ATTRIBUTES

Prime attributes: The attributes which are used to form a candidate key are called prime attributes.

Non-Prime attributes: The attributes which do not form a candidate key are called non-prime attributes.

| Roll. No. | First Name of Student | Last Name of Student | Course code |
|-----------|-----------------------|----------------------|-------------|
| 01. | Adam | Gilchrist | A100 |
| 02 | Adam | Peter | B50 |
| 03 | John | Gilchrist | C80 |

- Prime Attribute: Roll No., Course Code
- Non-Prime Attribute: First Name of Student, Last Name of Student

FUNCTIONAL DEPENDENCY

- A dependency FD: $X \rightarrow Y$ means that the values of Y are determined by the values of X . Two tuples sharing the same values of X will necessarily have the same values of Y .
- We illustrate this as:
 - $X \rightarrow Y$ (read as: X determines Y or Y depends on X)

FUNCTIONAL DEPENDENCY

| Student ID | Semester | Lecture | TA |
|------------|----------|-------------------|-------|
| 1234 | 6 | Numerical Methods | John |
| 1221 | 4 | Numerical Methods | Smith |
| 1234 | 6 | Visual Computing | Bob |
| 1201 | 2 | Numerical Methods | Peter |
| 1201 | 2 | Physics II | Simon |

- Whenever two rows in this table feature the same StudentID, they also necessarily have the same Semester values. This basic fact can be expressed by a functional dependency:

StudentID \rightarrow Semester.

PARTIAL DEPENDENCY

- If a non-prime attribute is partially dependent on a prime attribute, it is known as a partial dependency.

Example of partial Dependency: Suppose there is a relation **R** with attributes **A**, **B**, and **C**.

R(A,B,C)

Where,

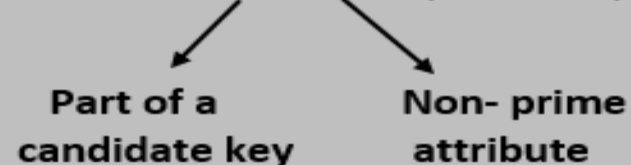
{AB} is a candidate key.

{C} is a non-prime attribute.

Then,

{A, B} are the prime attributes.

A → C is a partial dependency.



2NF EXAMPLE

- In Student_Project relation that the prime key attributes are Stu_ID and Proj_ID.
- According to the rule, non-key attributes, i.e. Stu_Name and Proj_Name must be dependent upon both and not on any of the prime key attribute individually.
- But we find that Stu_Name can be identified by Stu_ID and Proj_Name can be identified by Proj_ID independently. This is called partial dependency, which is not allowed in Second Normal Form.



- **Candidate Keys:** {Stu_ID, Proj_ID}
- **Non-prime attribute:** Stu_Name, Proj_Name

2NF EXAMPLE (CONT..)

- We broke the relation in two a:

Student

| Stu_ID | Stu_Name | Proj_ID |
|--------|----------|---------|
|--------|----------|---------|

ts no partial dependency.

Project

| Proj_ID | Proj_Name |
|---------|-----------|
|---------|-----------|

EXAMPLE 2NF

| <u>CourseID</u> | <u>SemesterID</u> | <u>Num Student</u> | Course Name |
|-----------------|-------------------|--------------------|-------------|
| IT101 | 201301 | 25 | Database |
| IT101 | 201302 | 25 | Database |
| IT102 | 201301 | 30 | Web Prog |
| IT102 | 201302 | 35 | Web Prog |
| IT103 | 201401 | 20 | Networking |

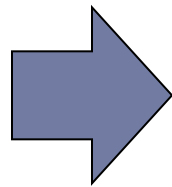
The diagram illustrates a primary key and a partial dependency. A yellow bracket under the first two columns, CourseID and SemesterID, is labeled "Primary Key". A blue arrow points from the CourseID column to the Course Name column, indicating a partial dependency.

- The Course Name depends on only CourseID, a part of the primary key not the whole primary {CourseID, SemesterID}. It's called partial dependency.
- **Solution:**
- *Remove CourseID and Course Name together to create a new table.*

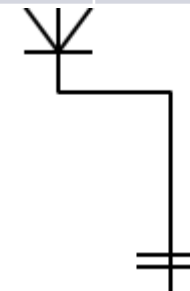
EXAMPLE 2NF (CONT..)

| CourseID | Course Name |
|----------|-------------|
| IT101 | Database |
| IT101 | Database |
| IT102 | Web Prog |
| IT102 | Web Prog |
| IT103 | Networking |

Done? Oh no, it is still not in 1NF yet.
Remove the repeating groups too.
Finally, connect the relationship.



| <u>CourseID</u> | <u>SemesterID</u> | Num Student |
|-----------------|-------------------|-------------|
| IT101 | 201301 | 25 |
| IT101 | 201302 | 25 |
| IT102 | 201301 | 30 |
| IT102 | 201302 | 35 |
| IT103 | 201401 | 20 |



| <u>CourseID</u> | Course Name |
|-----------------|-------------|
| IT101 | Database |
| IT102 | Web Prog |
| IT103 | Networking |

THIRD NORMAL FORM (3NF)

- According to the E.F. Codd, a relation is in third normal form (3NF) if it satisfies the following conditions:
 - ✓ It should be in the Second Normal form.
 - ✓ It should not have Transitive Dependency.
 - ✓ All transitive dependencies are removed to place in another table.

TRANSITIVE DEPENDENCY

- A functional dependency is said to be transitive if it is indirectly formed by two functional dependencies. For e.g.
- $X \rightarrow Z$ is a transitive dependency if the following three functional dependencies hold true:

$X \rightarrow Y$

Y does not $\rightarrow X$

$Y \rightarrow Z$

TRANSITIVE DEPENDENCY(CONT..)

- Let's take an example to understand it better:

| Book | Author | Author_age |
|--------------------|---------------------|------------|
| Windhaven | George R. R. Martin | 66 |
| Harry Potter | J. K. Rowling | 49 |
| Dying of the Light | George R. R. Martin | 66 |

{Book} -> {Author} (if we know the book, we know the author name)

{Author} does not -> {Book}

{Author} -> {Author_age}

Therefore as per the rule of **transitive dependency**: {Book} -> {Author_age} should hold, that makes sense because if we know the book name we can know the author's age.

3NF EXAMPLE

- We find that in the above Student_detail relation, Stu_ID is the key and only prime key attribute.
- We find that City can be identified by Stu_ID as well as Zip itself.
- Neither Zip is a superkey nor is City a prime attribute. Additionally, $\text{Stu_ID} \rightarrow \text{Zip} \rightarrow \text{City}$, so there exists transitive dependency.

Student_Detail



Prime attribute: Stu_ID

Non-prime attribute: {Stu_Name, City, Zip}

3NF EXAMPLE (CONT..)

- To bring this relation into third n

Student_Detail

| | | |
|--------|----------|-----|
| Stu_ID | Stu_Name | Zip |
|--------|----------|-----|

relations as follows –

ZipCodes

| | |
|-----|------|
| Zip | City |
|-----|------|

EXAMPLE 3NF

| <u>StudyID</u> | Course Name | Teacher Name | Teacher Tel |
|----------------|-------------|--------------|--------------|
| 1 | Database | Sok Piseth | 012 123 456 |
| 2 | Database | Sao Kanha | 0977 322 111 |
| 3 | Web Prog | Chan Veasna | 012 412 333 |
| 4 | Web Prog | Chan Veasna | 012 412 333 |
| 5 | Networking | Pou Sambath | 077 545 221 |



Primary Key



Solution:

*Remove **Teacher Name** and **Teacher Tel** together to create a new table.*

The Teacher Tel is a nonkey attribute, and the Teacher Name is also a nonkey attribute. But Teacher Tel depends on Teacher Name. It is called **transitive dependency**.

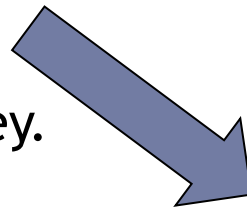
EXAMPLE 3NF

| Teacher Name | Teacher Tel |
|--------------|--------------|
| Sok Piseth | 012 123 456 |
| Sao Kanha | 0977 322 111 |
| Chan Veasna | 012 412 333 |
| Chan Veasna | 012 412 333 |
| Pou Sambath | 077 545 221 |

Done?
Oh no, it is still not
in 1NF yet.
Remove Repeating
row.

Note about primary key:

- In theory, you can choose Teacher Name to be a primary key.
- But in practice, you should add Teacher ID as the primary key.



| <u>StudyID</u> | Course Name | T.ID |
|----------------|-------------|------|
| 1 | Database | T1 |
| 2 | Database | T2 |
| 3 | Web Prog | T3 |
| 4 | Web Prog | T3 |
| 5 | Networking | T4 |

| <u>ID</u> | Teacher Name | Teacher Tel |
|-----------|--------------|--------------|
| T1 | Sok Piseth | 012 123 456 |
| T2 | Sao Kanha | 0977 322 111 |
| T3 | Chan Veasna | 012 412 333 |
| T4 | Pou Sambath | 077 545 221 |

EXAMPLE TABLE

- StudentID is the primary key.

| StudentID | StudentName | Address | HouseName | HouseColor | Subject | SubjectCost | Grade |
|-----------|-------------|--------------------------|-----------|------------|-----------|-------------|-------|
| 19594332X | Mary Watson | <u>10 Charles Street</u> | Bob | Red | English | \$50 | B |
| | | | | | Maths | \$50 | A |
| | | | | | Info Tech | \$100 | B+ |

Is it 1NF?

How can you make it 1NF?

EXAMPLE I (CONT..)

- Create new rows so each cell contains only one value

| StudentID | StudentName | Address | HouseName | HouseColor | Subject | SubjectCost | Grade |
|-----------|-------------|-------------------|-----------|------------|-----------|-------------|-------|
| 19594332X | Mary Watson | 10 Charles Street | Bob | Red | English | \$50 | B |
| 19594332X | Mary Watson | 10 Charles Street | Bob | Red | Maths | \$50 | A |
| 19594332X | Mary Watson | 10 Charles Street | Bob | Red | Info Tech | \$100 | B+ |

- But now the studentID no longer uniquely identifies each row. You now need to declare *studentID* **and** *subject* **together** to uniquely identify each row. So the new **key** is StudentID *and* Subject.

Is it 2NF?

EXAMPLE I (CONT..)

- **Studentname** and **address** are dependent on **studentID** (which is part of the key)

This is good. But they are **not** dependent on *Subject* (the *other* part of the key)

- **And 2NF requires...**

All non-key fields are dependent on the ENTIRE key (studentID + subject)

EXAMPLE I (CONT..)

- Make new tables
- Make a new table for each primary key field
- Give each new table its own primary key
- Move columns from the original table to the new table that matches their primary key...

EXAMPLE (CONT..)

- STUDENT TABLE (key = StudentID)

| StudentID | StudentName | Address | HouseName | HouseColor |
|-----------|-------------|-------------------|-----------|------------|
| 19594332X | Mary Watson | 10 Charles Street | Bob | Red |

- RESULTS TABLE (key = StudentID+Subject)

| StudentID | Subject | Grade |
|-----------|-----------|-------|
| 19594332X | English | B |
| 19594332X | Maths | A |
| 19594332X | Info Tech | B+ |

- SUBJECTS TABLE (key = Subject)

| Subject | SubjectCost |
|-----------|-------------|
| English | \$50 |
| Maths | \$50 |
| Info Tech | \$100 |

But is it 3NF?

EXAMPLE I (CONT..)

- **HouseName** is dependent on both **StudentID + HouseColour**
Or
- **HouseColour** is dependent on both **StudentID + HouseName**
- But either way, non-key fields are dependent on MORE THAN THE PRIMARY KEY (studentID). And 3NF says that non-key fields must depend on **nothing but the key**


EXAMPLE I (CONT..)

StudentTable

| StudentID | StudentName | Address | HouseName |
|-----------|-------------|-------------------|-----------|
| 19594332X | Mary Watson | 10 Charles Street | Bob |

Primary key: StudentID

useTable

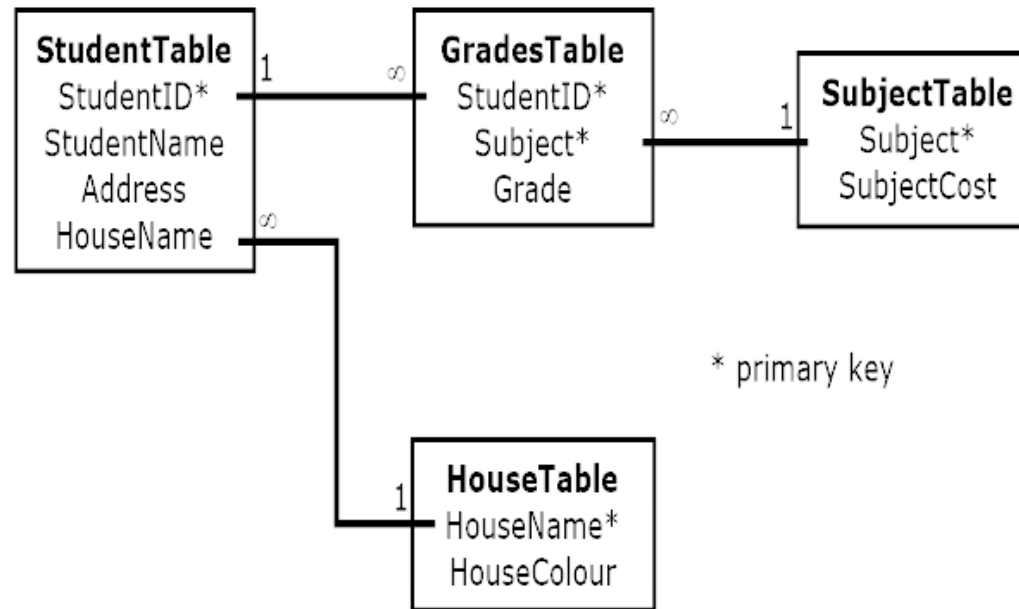


| HouseName | HouseColor |
|-----------|------------|
| Bob | Red |

Primary key: HouseName

EXAMPLE I (CONT..)

- The Final Scheme



EXAMPLE 2

- We will use the Student_Grade_Report table below, from a School database, as our example to explain the process for INF.

Student_Grade_Report (StudentNo, StudentName, Major, CourseNo, CourseName, InstructorNo, InstructorName, InstructorLocation, Grade)

PROCESS FOR 1NF

- In the Student Grade Report table, the repeating group is the course information. A student can take many courses.
- Remove the repeating group. In this case, it's the course information for each student.
- Identify the PK for your new table.
- The PK must uniquely identify the attribute value (StudentNo and CourseNo).
- After removing all the attributes related to the course and student, you are left with the student course table (StudentCourse).
- The Student table (Student) is now in first normal form with the repeating group removed.
- The two new tables are shown below:

Student (StudentNo, StudentName, Major)

StudentCourse (StudentNo, CourseNo, CourseName, InstructorNo, InstructorName, InstructorLocation, Grade)

EXAMPLE 2 (CONT..)

Student (StudentNo, StudentName, Major)

StudentCourse (StudentNo, CourseNo, CourseName, InstructorNo, InstructorName, InstructorLocation, Grade)

- To move to 2NF, a table must first be in 1NF.
- The Student table is already in 2NF because it has a single-column PK.
- When examining the Student Course table, we see that not all the attributes are fully dependent on the PK; specifically, all course information. The only attribute that is fully dependent is grade.
- Identify the new table that contains the course information.
- Identify the PK for the new table.
- The three new tables are shown below.

EXAMPLE 2 (CONT..)

Student (StudentNo, StudentName, Major)

CourseGrade (StudentNo, CourseNo, Grade)

CourseInstructor (CourseNo, CourseName, InstructorNo, InstructorName, InstructorLocation)

PROCESS FOR 3NF

- Eliminate all dependent attributes in transitive relationship(s) from each of the tables that have a transitive relationship.
- Create new table(s) with removed dependency.
- Check new table(s) as well as table(s) modified to make sure that each table has a determinant and that no table contains inappropriate dependencies.
- See the four new tables below.

PROCESS FOR 3NF

Student (StudentNo, StudentName, Major)

CourseGrade (StudentNo, CourseNo, Grade)

Course (CourseNo, CourseName, InstructorNo)

Instructor (InstructorNo, InstructorName, InstructorLocation)

PROCESS FOR 3NF

- At this stage, there should be no anomalies in third normal form.

Student (StudentNo, StudentName, Major)

StudentCourse (StudentNo, CourseNo, CourseName, InstructorNo, InstructorName, InstructorLocation, Grade)