

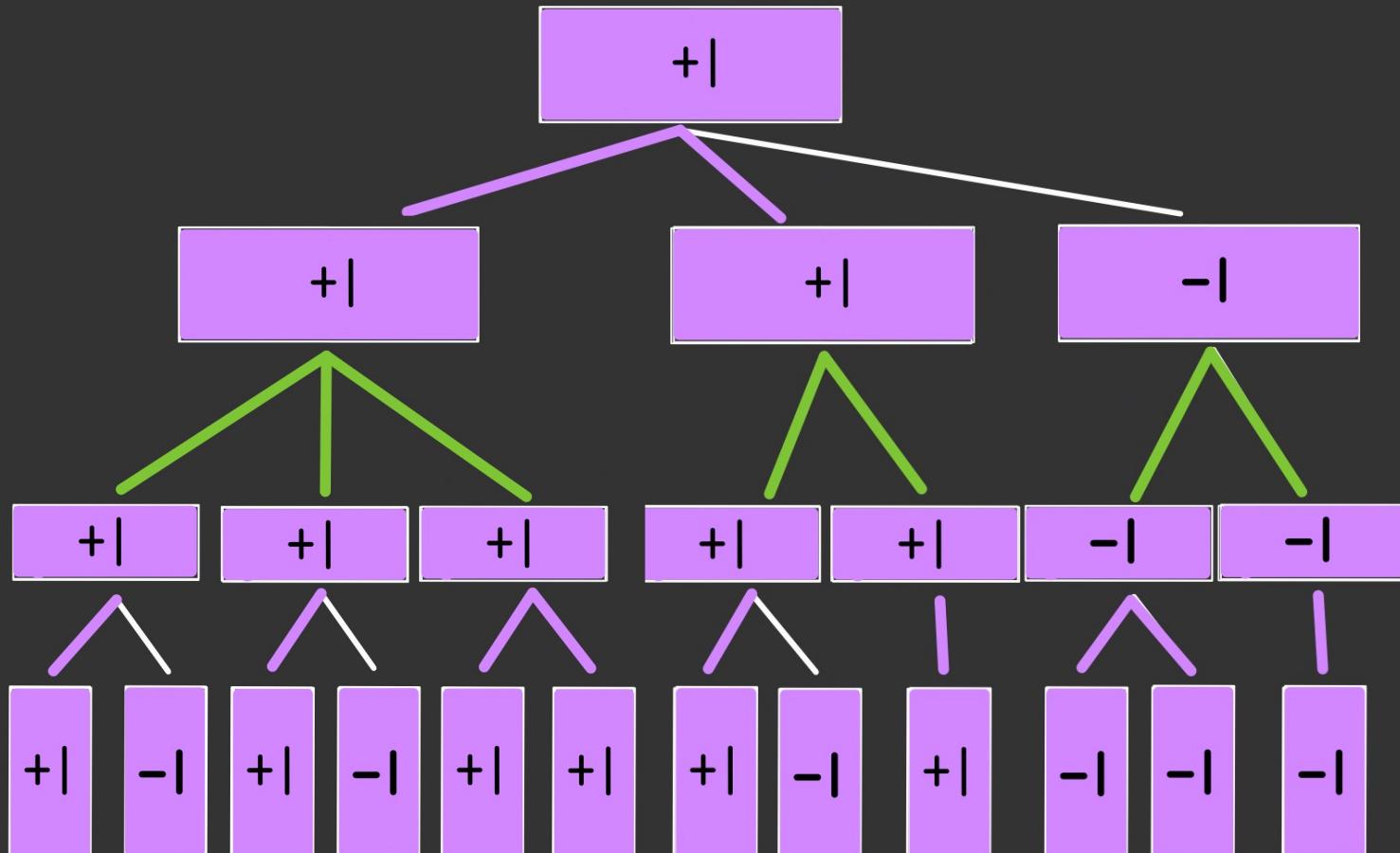
alpha-beta  
pruning

CSCI  
373

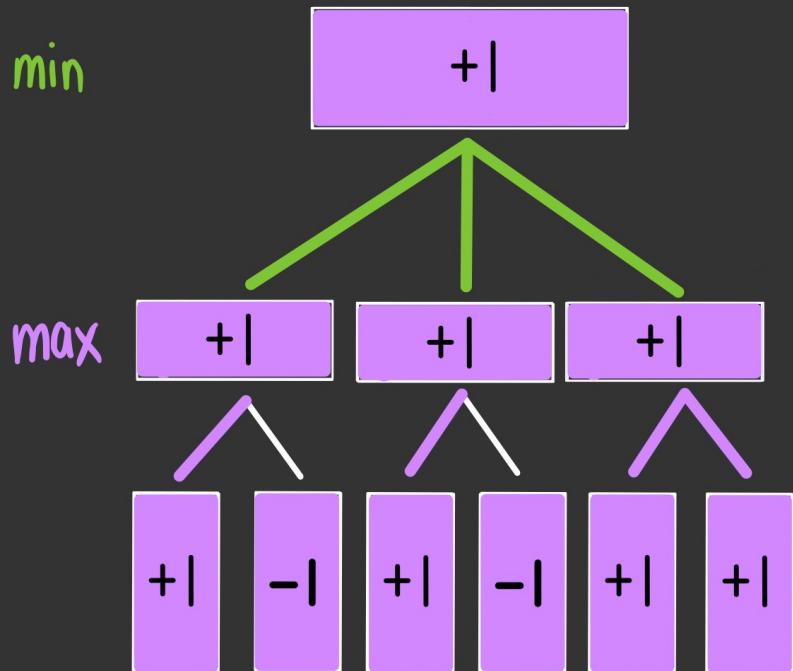
max

min

max

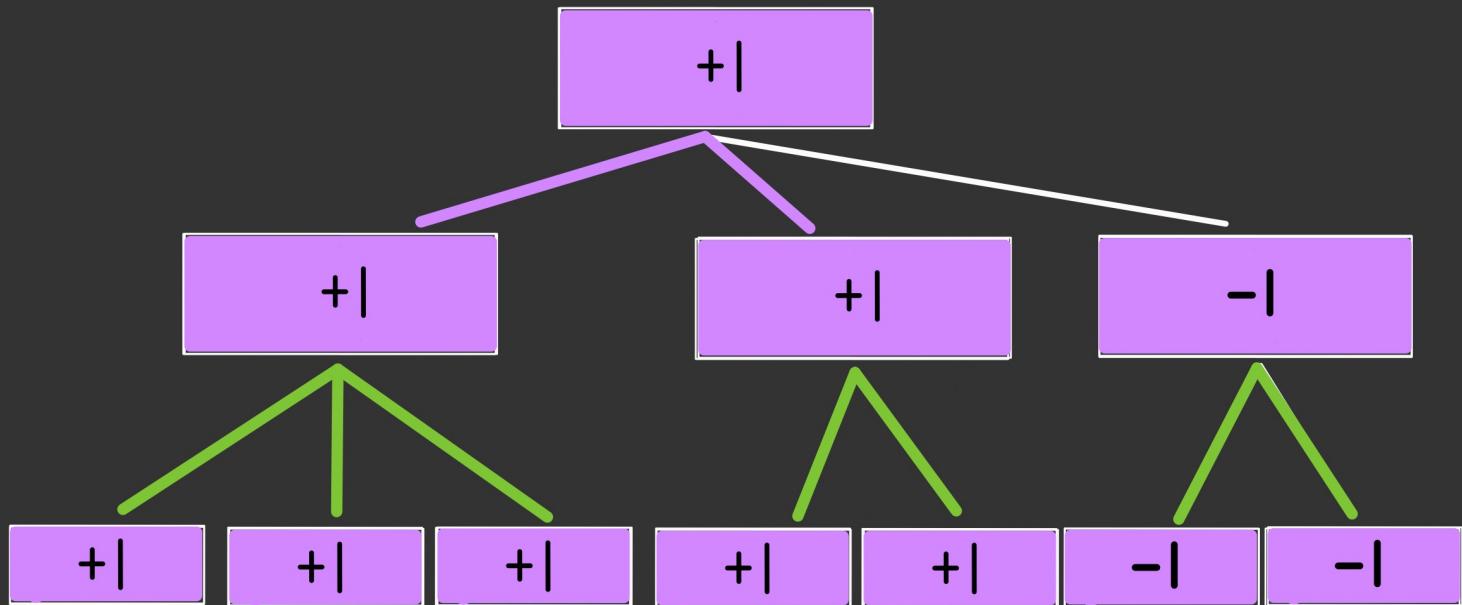


Consider a  
min layer  
followed by  
a max layer



max

min



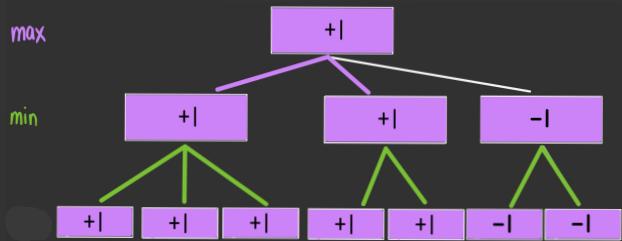
or a max layer followed by min layer

# Are People Naturally Inclined to Cooperate or Be Selfish?

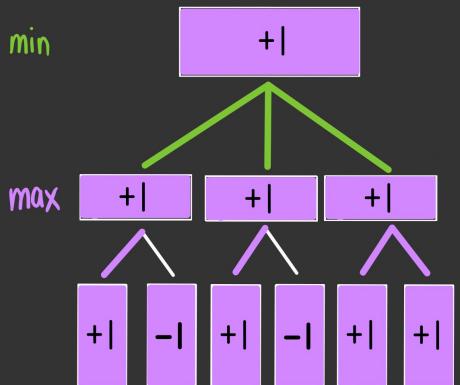
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By Matthew Robison on September 1, 2014

It seems that human nature supports both prosocial and selfish traits. Genetic studies have made some progress toward identifying their biological roots. By comparing identical twins, who share nearly 100 percent of their genes, and fraternal twins, who share about half, researchers have found overwhelming evidence for genetic effects on behaviors such as sharing and empathy. In these twin studies, identical and fraternal twins are placed in hypothetical scenarios and asked, for example, to split a sum of money with a peer. Such studies often also rely on careful psychological assessments and DNA analysis.



max followed by min:  
people are fundamentally  
**selfish**



min followed by max:  
people are fundamentally  
**selfless**

max followed by min:  
people are fundamentally  
**selfish**

an edict comes down:  
Someone in the room  
must give me a coin



max



people are fundamentally  
**Selfish**

min



people are fundamentally  
**Selfish**

max



min



min



people are fundamentally  
**Selfless**

max



min



people are fundamentally  
**Selfless**

max



max



people are fundamentally  
**Selfish**

min



did we need to see these?

max



people are fundamentally  
**Selfish**

min



no

min



people are fundamentally  
**Selfless**

max



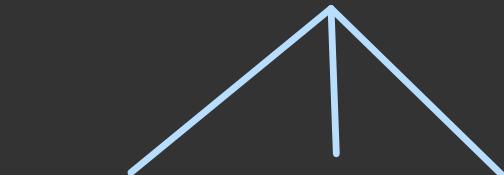
did we need to see these?

min



people are fundamentally  
Selfless

max



no

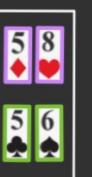
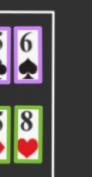
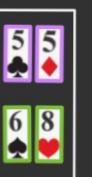
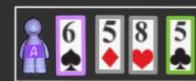
max



min



max



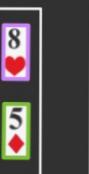
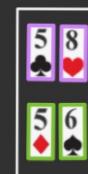
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min



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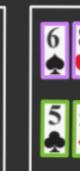
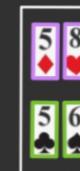
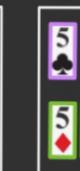
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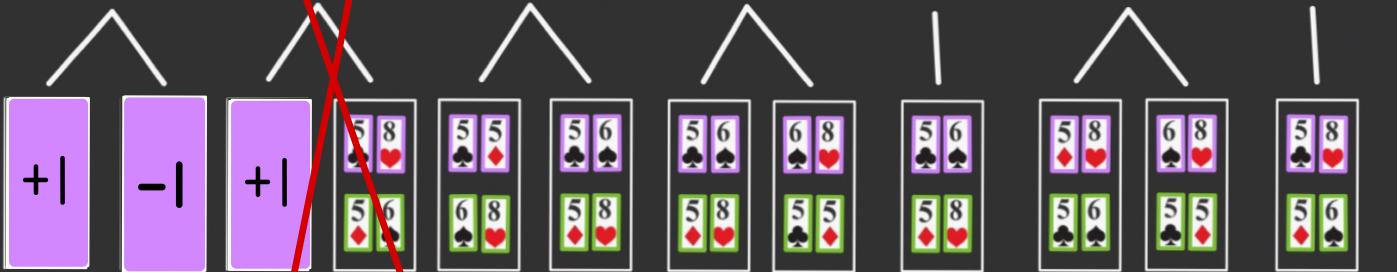


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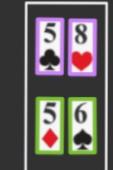
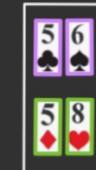
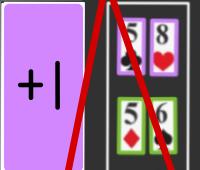
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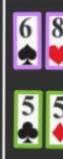
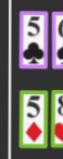
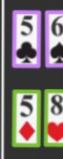


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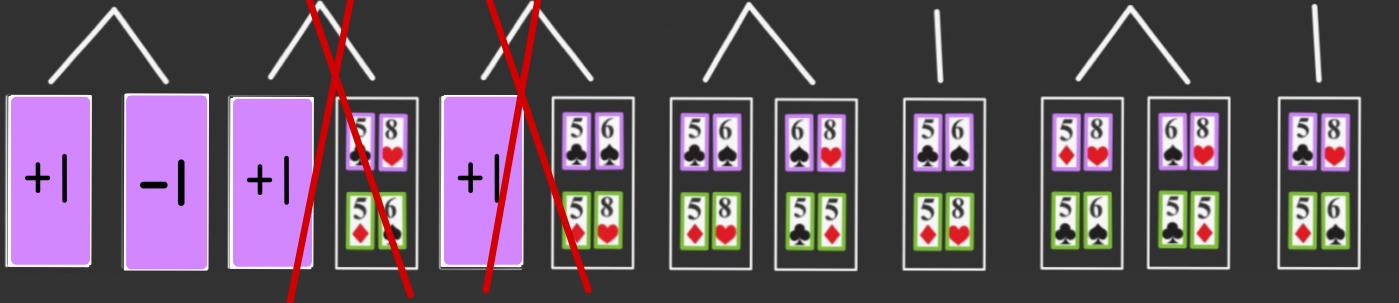
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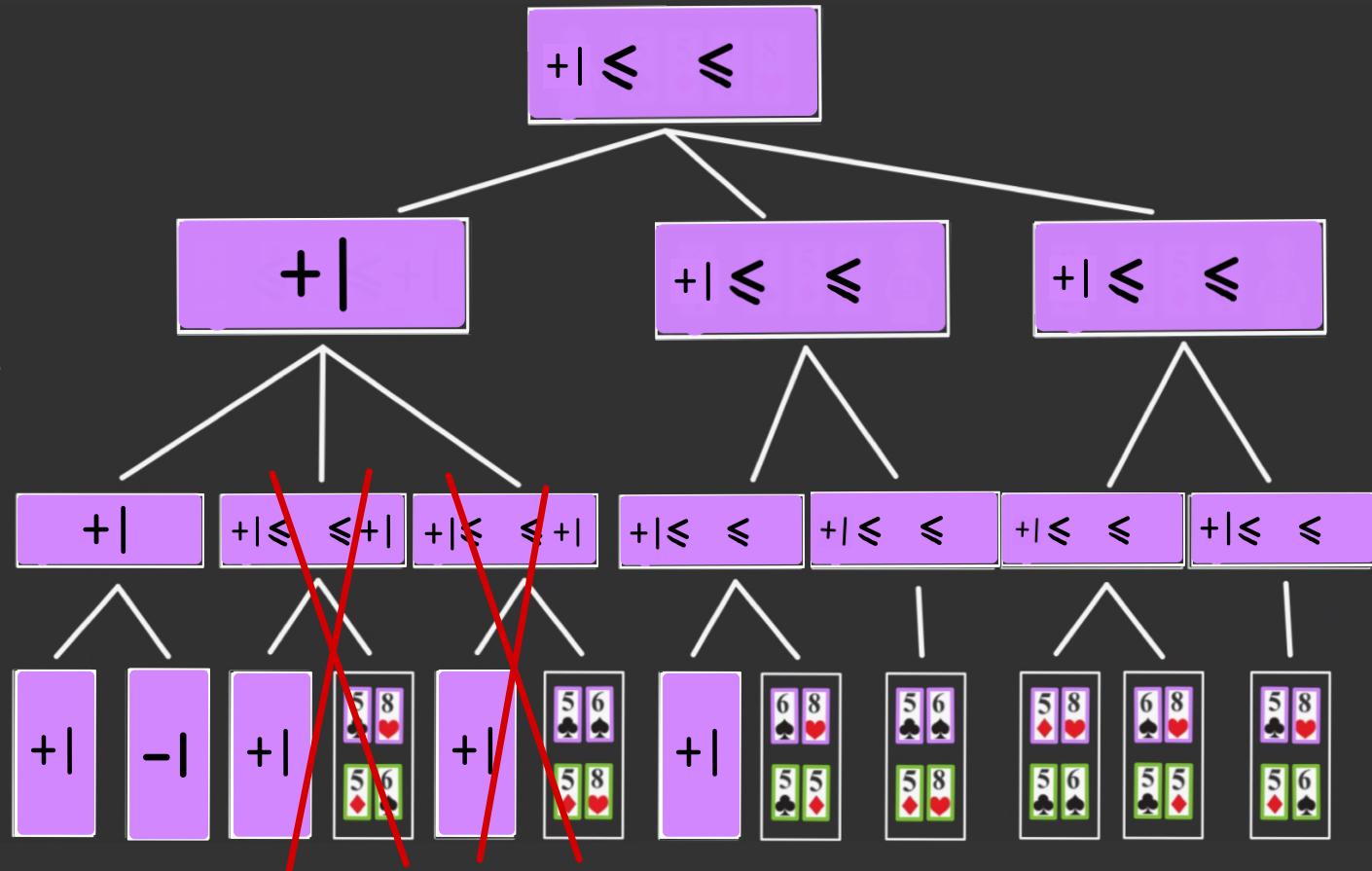
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↑ can we prune this node?

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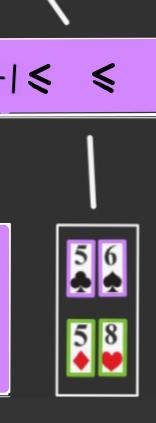
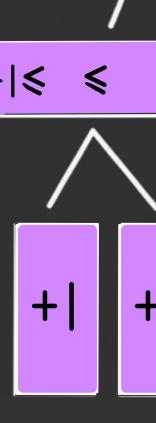
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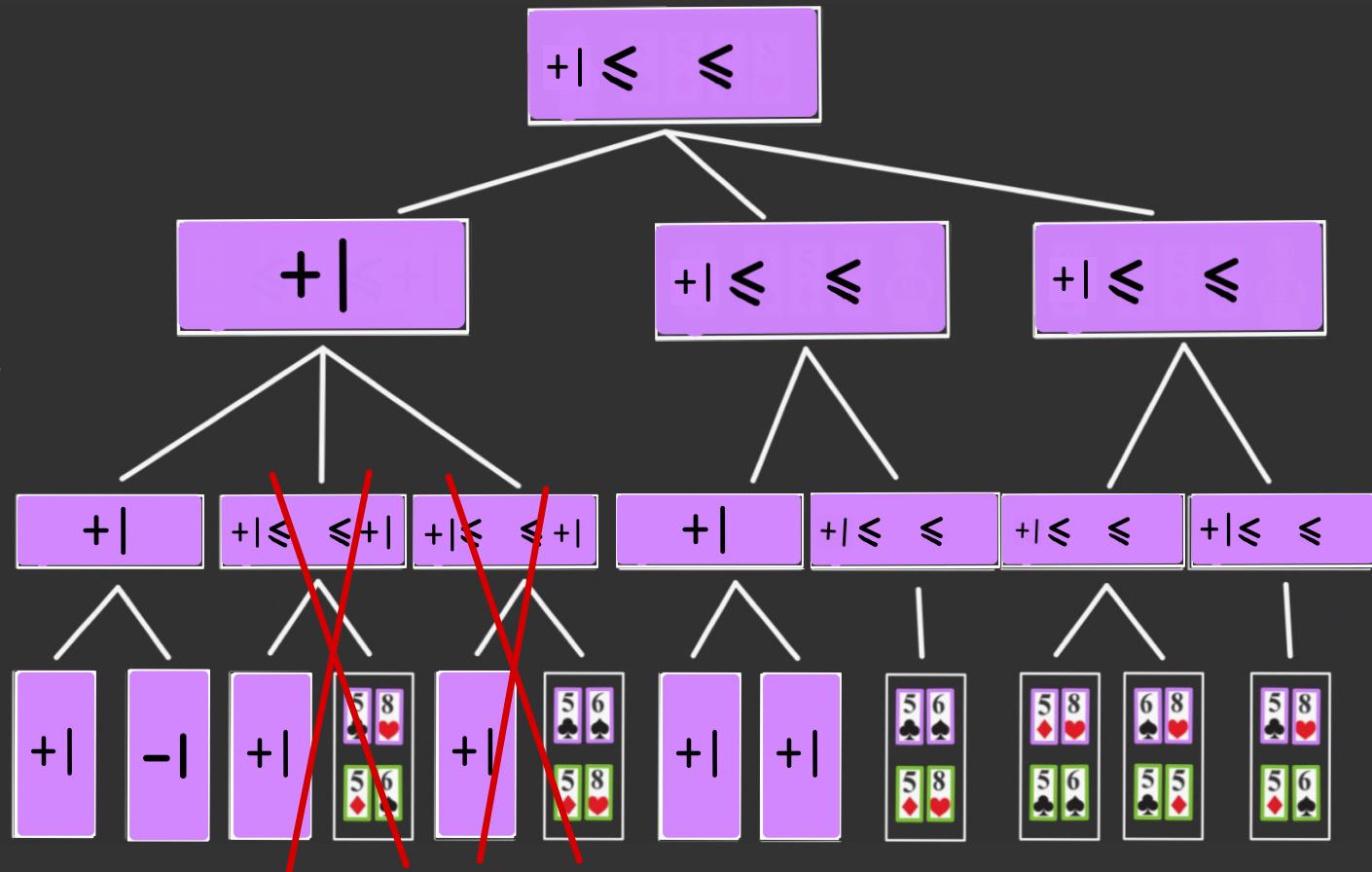
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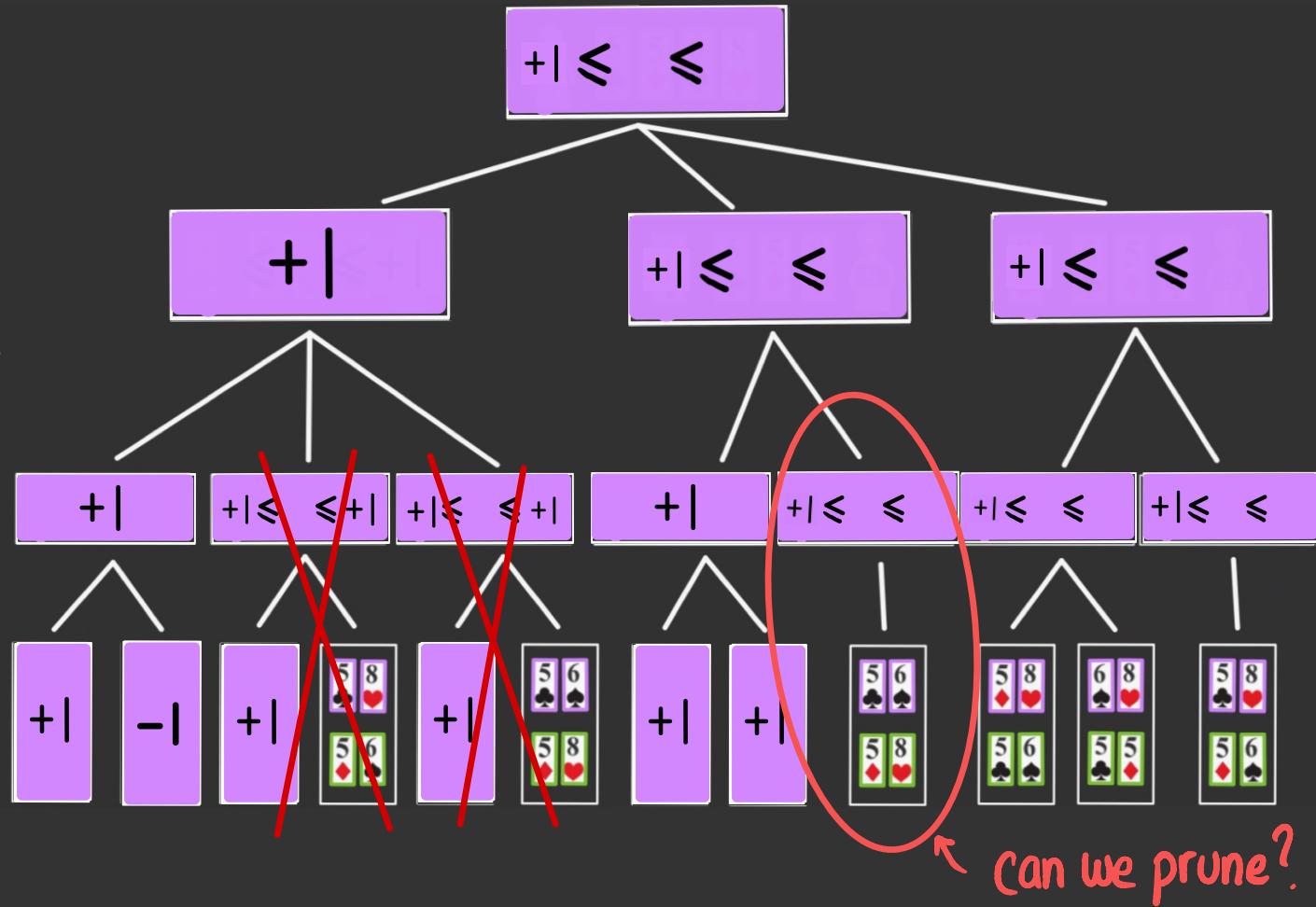
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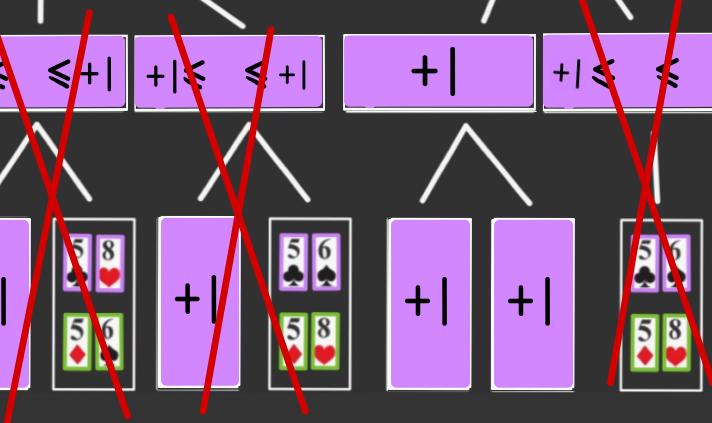
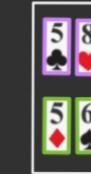


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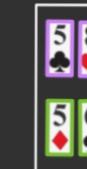
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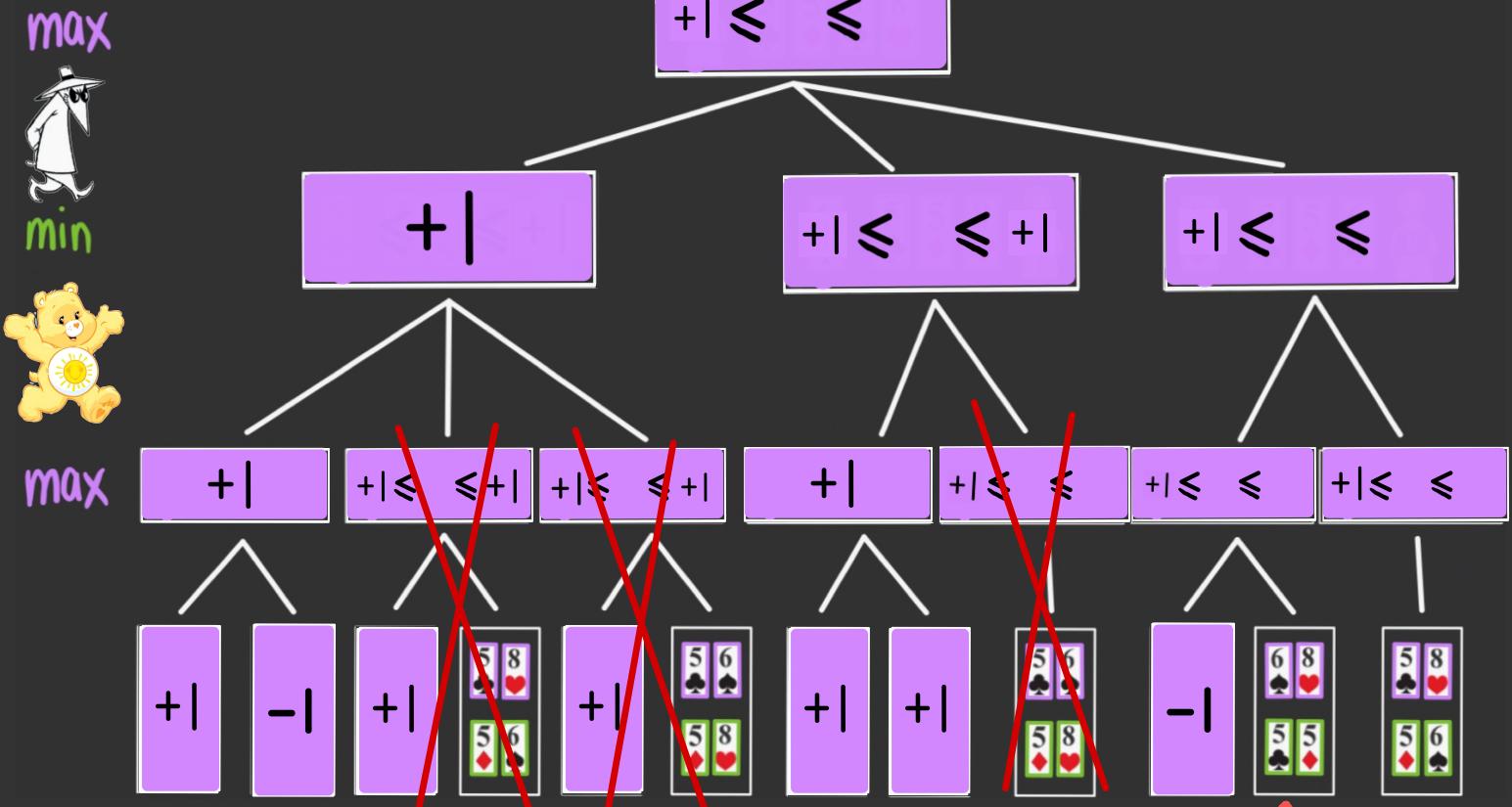
max



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can we prune this node?

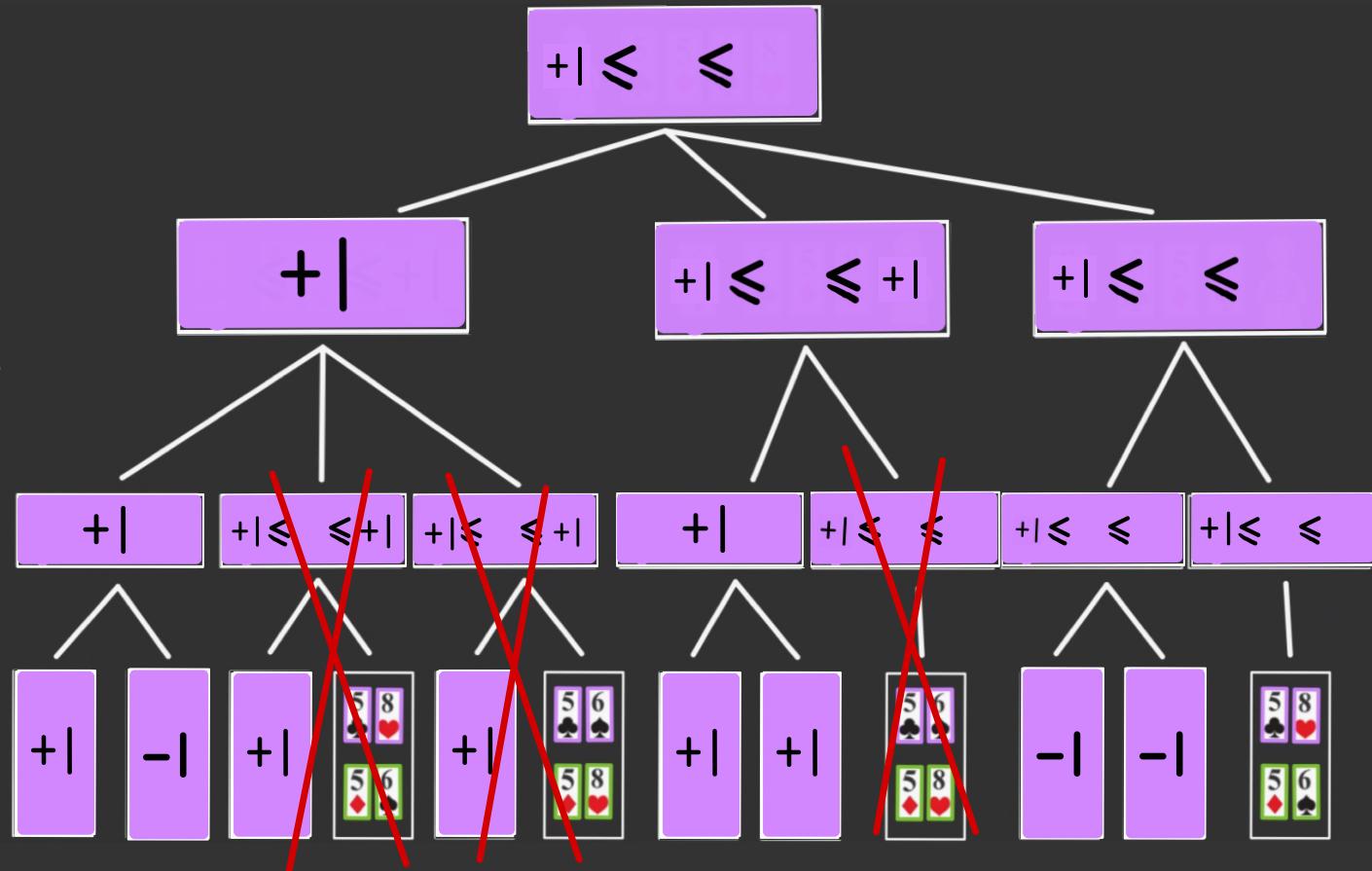
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Can we prune?

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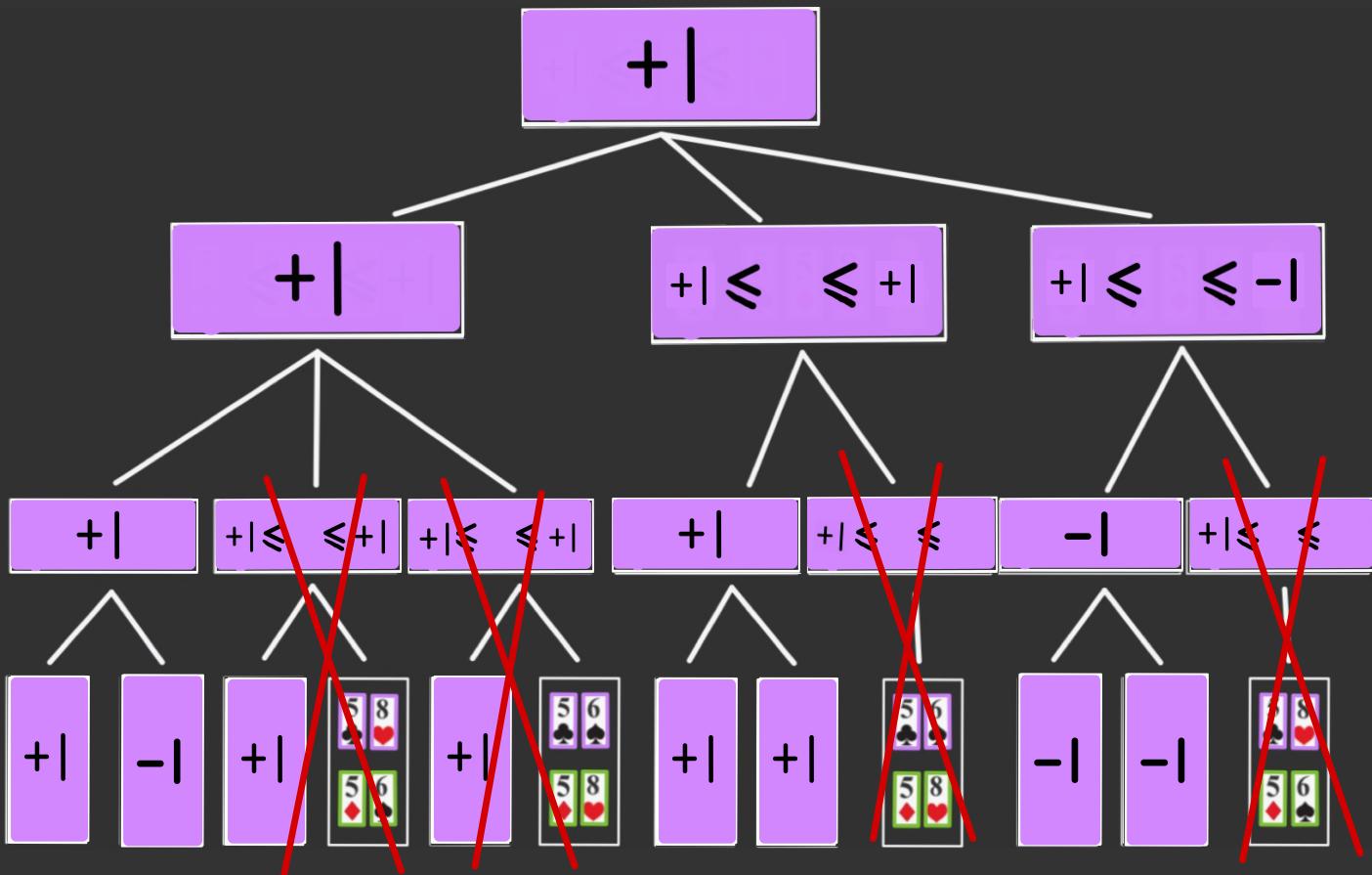
max



min



max



these bounds we maintain  
are called  $\alpha$  and  $\beta$ , and  
therefore this optimization  
to minimax is called  
alpha-beta pruning

$$\alpha \downarrow \quad \beta \downarrow$$
$$+1 \leq \leq -1$$

**MINIMAX**( $q, p, (m, u)$ ):

- ▶ if  $q \in F$ : return  $U(q, p)$
- ▶ children =  $\{ \text{minimax}(q', p, (m, u)) \mid \langle q, \sigma, q' \rangle \in \Delta(m) \}$
- ▶ return  $\max(\text{children})$  if  $p(q) = p$  else  $\min(\text{children})$

let's re-express **MINIMAX** so that  
the search tree is processed  
left-to-right and bottom-up

$\text{MINIMAX}(q, p, (m, u))$ : #  $m = (Q, \Sigma, \Delta, q_0, F)$

- ▶ if  $q \in F$ : return  $U(q, p)$
- ▶ bestvalue = ? if  $p(q) = p$  else ?
- ▶ for  $\langle q, \sigma, q' \rangle \in \Delta$ :
  - ▶ childvalue =  $\text{MINIMAX}(q', p, (m, u))$
  - ▶ if  $p(q) = p$ :
    - ▶ bestvalue = ? ( $\text{bestvalue}, \text{childvalue}$ )
  - ▶ else
    - ▶ bestvalue = ? ( $\text{bestvalue}, \text{childvalue}$ )
- ▶ return bestvalue

$\text{MINIMAX}(q, p, (m, u))$ : #  $m = (Q, \Sigma, \Delta, q_0, F)$

- ▶ if  $q \in F$ : return  $U(q, p)$
- ▶ bestvalue =  $-\infty$  if  $p(q) = p$  else  $\infty$
- ▶ for  $\langle q, \sigma, q' \rangle \in \Delta$ :
  - ▶ childvalue =  $\text{MINIMAX}(q', p, (m, u))$
  - ▶ if  $p(q) = p$ :
    - ▶ bestvalue =  $\max(\text{bestvalue}, \text{childvalue})$
  - ▶ else
    - ▶ bestvalue =  $\min(\text{bestvalue}, \text{childvalue})$
- ▶ return bestvalue

$\text{MINIMAX}(q, p, (m, U), \alpha, \beta) : \# m = (Q, \Sigma, \Delta, q_0, F)$

- ▶ if  $q \in F$ : return  $U(q, p)$
- ▶ bestvalue =  $-\infty$  if  $p(q) = p$  else  $\infty$
- ▶ for  $\langle q, \sigma, q' \rangle \in \Delta$ :
  - ▶ ?
  - ▶ childvalue =  $\text{MINIMAX}(q', p, (m, U), \alpha, \beta)$
  - ▶ if  $p(q) = p$ :
    - ▶ bestvalue =  $\max(\text{bestvalue}, \text{childvalue})$
    - ▶ ?
  - ▶ else:
    - ▶ bestvalue =  $\min(\text{bestvalue}, \text{childvalue})$
    - ▶ ?
- ▶ return bestvalue

$\text{MINIMAX}(q, p, (m, U), \alpha, \beta) : \# m = (Q, \Sigma, \Delta, q_0, F)$

- ▶ if  $q \in F$ : return  $U(q, p)$
- ▶ bestvalue =  $-\infty$  if  $p(q) = p$  else  $\infty$
- ▶ for  $\langle q, \sigma, q' \rangle \in \Delta$ :
  - ▶ ?
  - ▶ childvalue =  $\text{MINIMAX}(q', p, (m, U), \alpha, \beta)$
  - ▶ if  $p(q) = p$ :
    - ▶ bestvalue =  $\max(\text{bestvalue}, \text{childvalue})$
    - ▶  $\alpha = \max(\alpha, \text{childvalue})$
  - ▶ else:
    - ▶ bestvalue =  $\min(\text{bestvalue}, \text{childvalue})$
    - ▶  $\beta = \min(\beta, \text{childvalue})$
- ▶ return bestvalue



$\text{MINIMAX}(q, p, (m, U), \alpha, \beta) \colon \# m = (Q, \Sigma, \Delta, q_0, F)$

- ▶ if  $q \in F$ : return  $U(q, p)$
- ▶ bestvalue =  $-\infty$  if  $p(q) = p$  else  $\infty$
- ▶ for  $\langle q, \sigma, q' \rangle \in \Delta$ :
  - ▶ if  $\alpha \geq \beta$ : return bestvalue
  - ▶ childvalue =  $\text{MINIMAX}(q', p, (m, U), \alpha, \beta)$
  - ▶ if  $p(q) = p$ :
    - ▶ bestvalue =  $\max(\text{bestvalue}, \text{childvalue})$
    - ▶  $\alpha = \max(\alpha, \text{childvalue})$
  - ▶ else:
    - ▶ bestvalue =  $\min(\text{bestvalue}, \text{childvalue})$
    - ▶  $\beta = \min(\beta, \text{childvalue})$
- ▶ return bestvalue

# try alpha-beta yourself

$\text{MINIMAX}(q, p, (m, U), \alpha, \beta)$ : #  $m = (Q, \Sigma, \Delta, q_0, F)$

- ▶ if  $q \in F$ : return  $U(q, p)$
- ▶ bestvalue =  $-\infty$  if  $p(q) = p$  else  $\infty$
- ▶ for  $\langle q, \sigma, q' \rangle \in \Delta$ :
  - ▶ if  $\alpha \geq \beta$ : return bestvalue
  - ▶ childvalue =  $\text{MINIMAX}(q', p, (m, U), \alpha, \beta)$
  - ▶ if  $p(q) = p$ :
    - ▶ bestvalue =  $\max(\text{bestvalue}, \text{childvalue})$
    - ▶  $\alpha = \max(\alpha, \text{childvalue})$
  - ▶ else:
    - ▶ bestvalue =  $\min(\text{bestvalue}, \text{childvalue})$
    - ▶  $\beta = \min(\beta, \text{childvalue})$
- ▶ return bestvalue

**MAX**

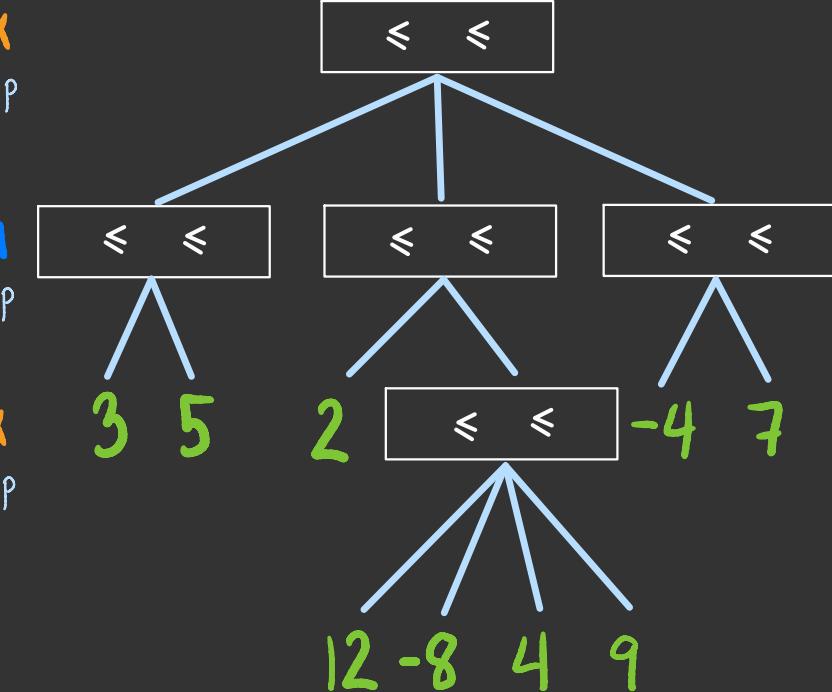
$p(q) = p$

**MIN**

$p(q) \neq p$

**MAX**

$p(q) = p$



$\text{MINIMAX}(q, p, (m, U), \alpha, \beta)$ : #  $m = (Q, \Sigma, \Delta, q_0, F)$

- ▶ if  $q \in F$ : return  $U(q, p)$
- ▶ bestvalue =  $-\infty$  if  $p(q) = p$  else  $\infty$
- ▶ for  $\langle q, \sigma, q' \rangle \in \Delta$ :
  - ▶ if  $\alpha \geq \beta$ : return bestvalue
  - ▶ childvalue =  $\text{MINIMAX}(q', p, (m, U), \alpha, \beta)$
  - ▶ if  $p(q) = p$ :
    - ▶ bestvalue =  $\max(\text{bestvalue}, \text{childvalue})$
    - ▶  $\alpha = \max(\alpha, \text{childvalue})$
  - ▶ else:
    - ▶ bestvalue =  $\min(\text{bestvalue}, \text{childvalue})$
    - ▶  $\beta = \min(\beta, \text{childvalue})$
- ▶ return bestvalue

**MAX**

$p(q) = p$

**MIN**

$p(q) \neq p$

**MAX**

$p(q) = p$

$-\infty \leq \leq \infty$

$\leq \leq$

$\le$

$\text{MINIMAX}(q, p, (M, U), \alpha, \beta) : \# M = (Q, \Sigma, \Delta, q_0, F)$

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$\leq \leq$

$\leq \leq$

$\leq \leq$

$12 -8 4 9$

$3 5$

$2$

$\leq \leq$

$-4 7$

$12 -8 4 9$

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3 5

2 -4 7

12 -8 4 9

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**MIN**

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**MAX**

$p(q) = p$

**3**  $\leq$   $\leq \infty$

$-\infty \leq 3 \leq 3$

$\leq \leq$

$\leq \leq$

**3** **5**

**2** **-4** **7**

**12** **-8** **4** **9**

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$p(q) = p$

**MIN**

$p(q) \neq p$

**MAX**

$p(q) = p$

**3**  $\leq \leq \infty$

**3**  $\leq \leq \infty$

**2**  $\leq \leq$

**12 -8 4 9**

$-\infty \leq 3 \leq 3$

**3** **5**

**2**  $\leq \leq$

$\leq \leq$

**-4** **7**

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**MIN**

$p(q) \neq p$

**MAX**

$p(q) = p$

**3**  $\leq \leq \infty$

**3**  $\leq \leq 2$

**2**  $\leq \leq$

$\leq \leq$

**-4** **7**

**3** **5**

**12** **-8** **4** **9**

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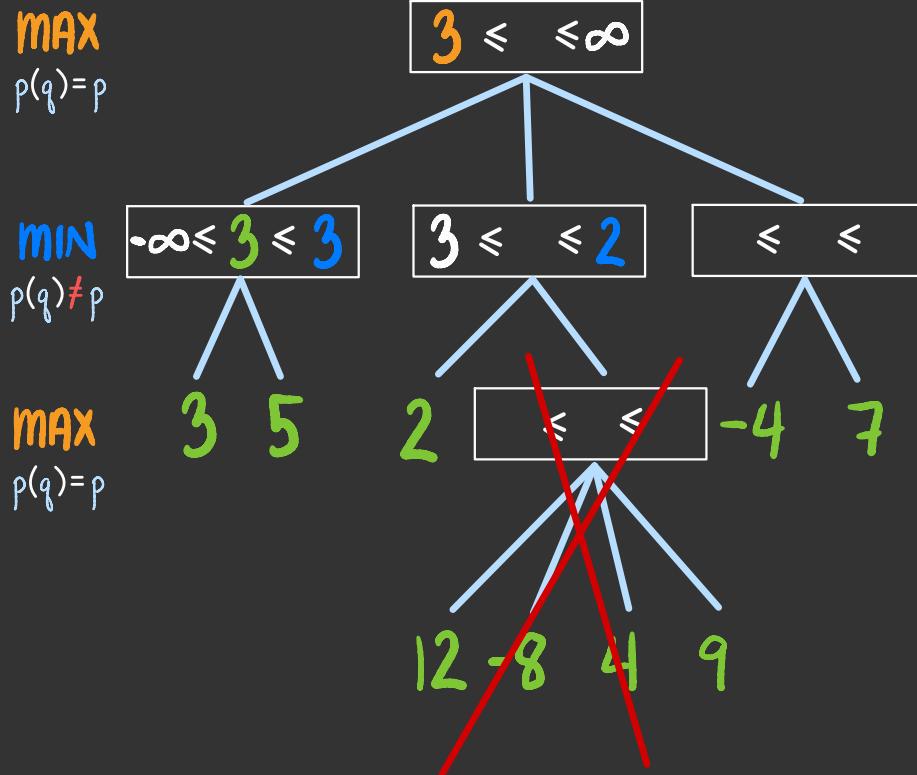
**3**  $\leq$   $\leq \infty$

**MIN**

$p(q) \neq p$

**MAX**

$p(q) = p$



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**MAX**

$p(q) = p$

**MIN**

$p(q) \neq p$

**MAX**

$p(q) = p$

**3**  $\leq \leq \infty$

**3**  $\leq \leq 2$

**2**  $\leq \leq -4$

**3**  $\leq \leq \infty$

**-4**  $\leq \leq 7$

**3** **5**

**2**

**12** **-8** **4** **9**

**MAX**

$p(q) \neq p$

**2**  $\leq \leq -4$

**12** **-8** **4** **9**

**MAX**

$p(q) = p$

**2**  $\leq \leq -4$

**12** **-8** **4** **9**

**MAX**

$p(q) = p$

**2**  $\leq \leq -4$

**12** **-8** **4** **9**

**MAX**

$p(q) = p$

**2**  $\leq \leq -4$

**12** **-8** **4** **9**

**MAX**

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**MAX**

$p(q) = p$

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**12** **-8** **4** **9**

**MAX**

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**MAX**

$p(q) = p$

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**12** **-8** **4** **9**

**MAX**

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**MAX**

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**12** **-8** **4** **9**

**MAX**

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**MAX**

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**MAX**

$p(q) = p$

**MIN**

$p(q) \neq p$

**MAX**

$p(q) = p$

**3**  $\leq$   $\leq \infty$

**3**  $\leq$   $\leq 2$

**3**  $\leq$   $\leq -4$

**3** **5**

**2** **-4** **7**

**12** **-8** **4** **9**

~~12~~ ~~-8~~ ~~4~~ ~~9~~

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**3**  $\leq \leq \infty$

**3**  $\leq \leq 2$

**3**  $\leq \leq -4$

**2**

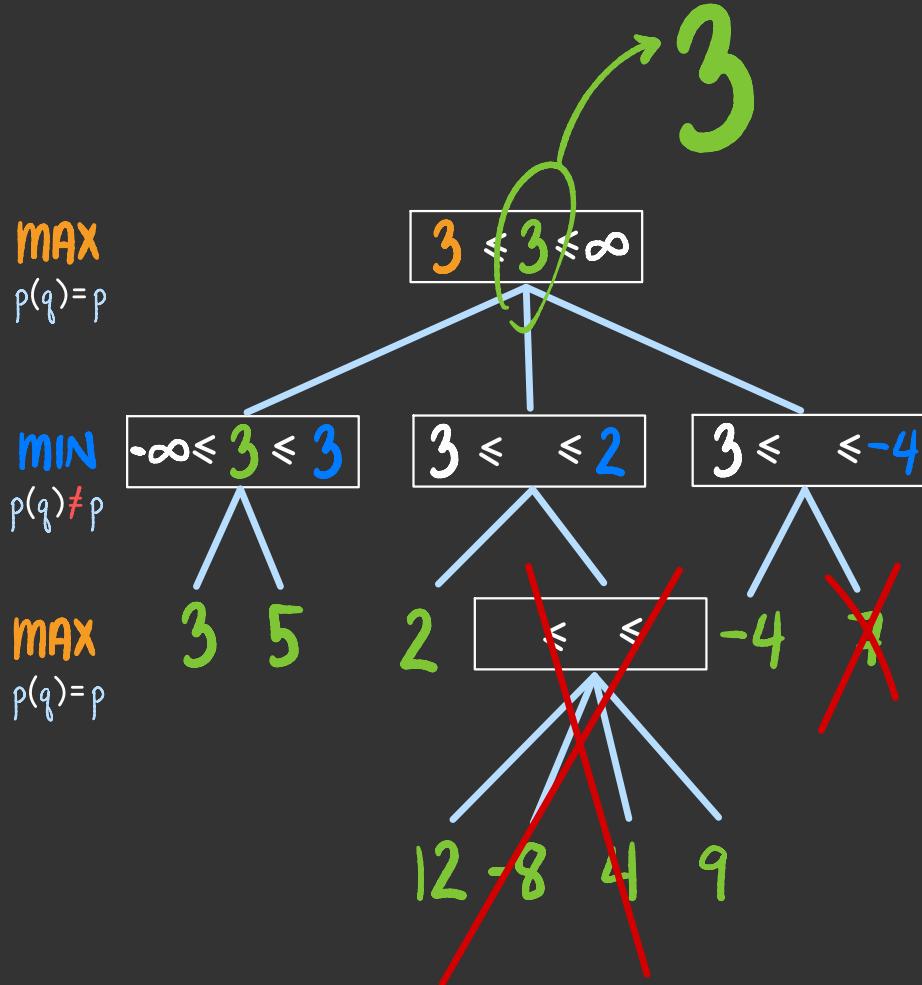
**3** **5**

**-4**

**12** **-8** **4** **9**

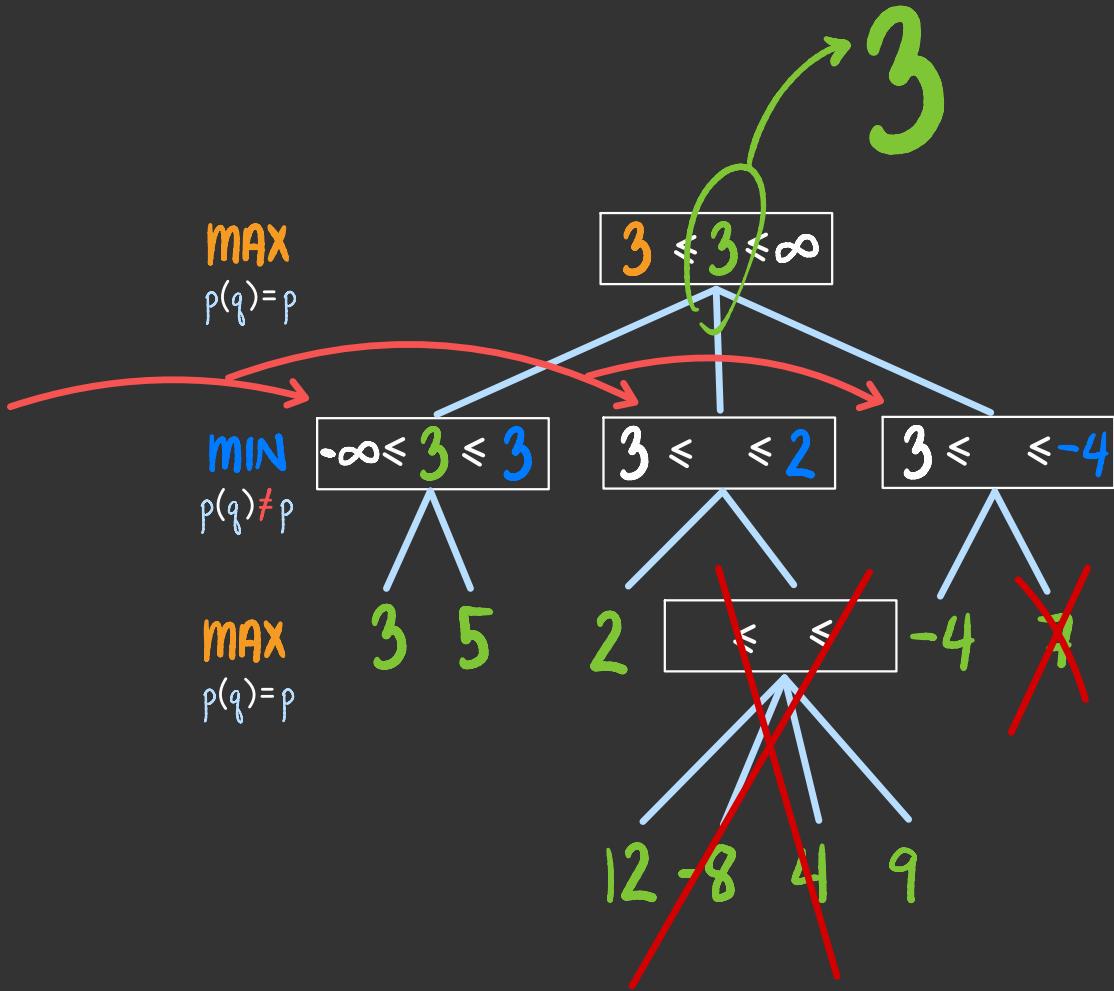
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- return bestvalue



what do these  
bounds  
describe?

your answer  
here



what do these  
bounds  
describe?

conditions under which  
this subtree can affect  
the value of its parent

