

Introduction to the Analysis of Neural Electrophysiology Data

NESS Short Course
Saturday, June 3, 2023

Mark Kramer, Boston University

Outline

Part 1 (Prof. Kramer)

- Rhythms & spectrum
- Coherence
- Spike-field coherence

Part 2 (Prof. Eden)

- Point processes
- Latent state models

<https://github.com/Mark-Kramer/NESS-Short-Course-2023>

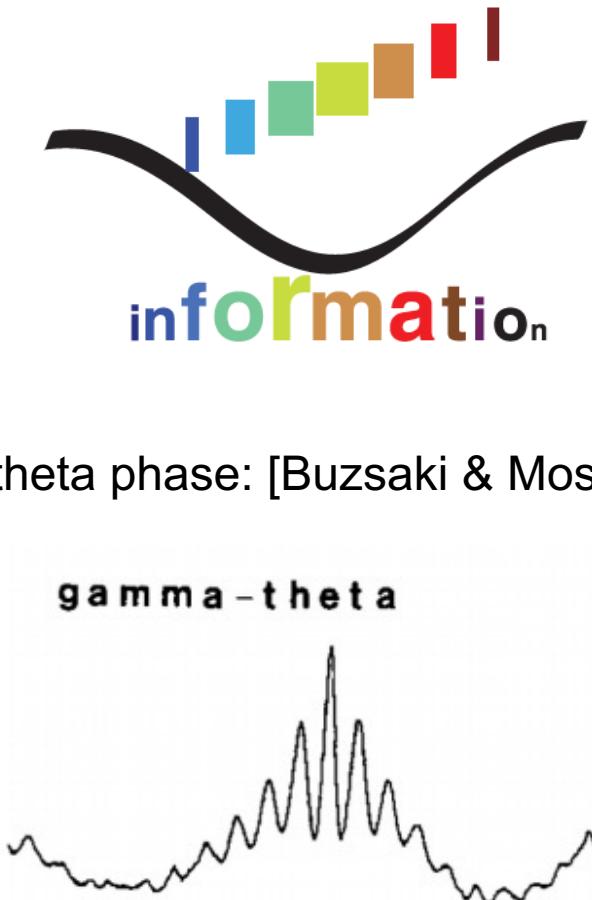
NESS-Short-Course-2023

This repository contains code discussed in the short course *Introduction to the analysis of neural electrophysiology data* at [NESS 2023](#).

Lecture slides (Part 1) 

Notebook	Run It
Spectrum	 Open in Colab
Coherence	 Open in Colab
Spike-field coherence	 Open in Colab

Rhythms do something in the brain ...

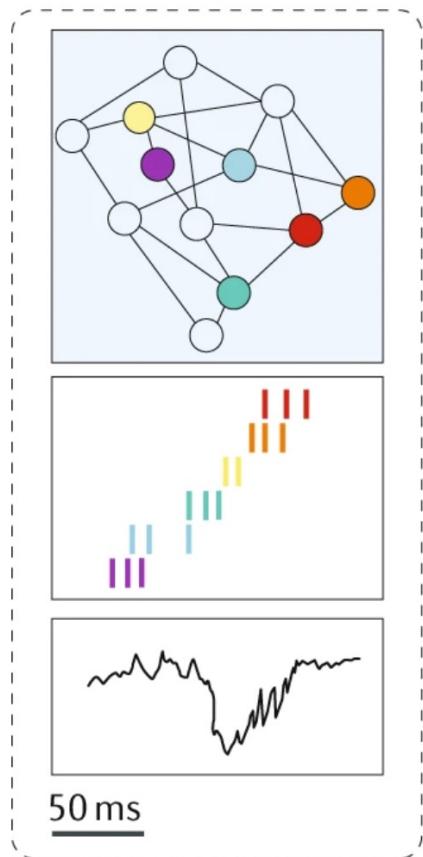


theta phase: [Buzsaki & Moser, 2013]

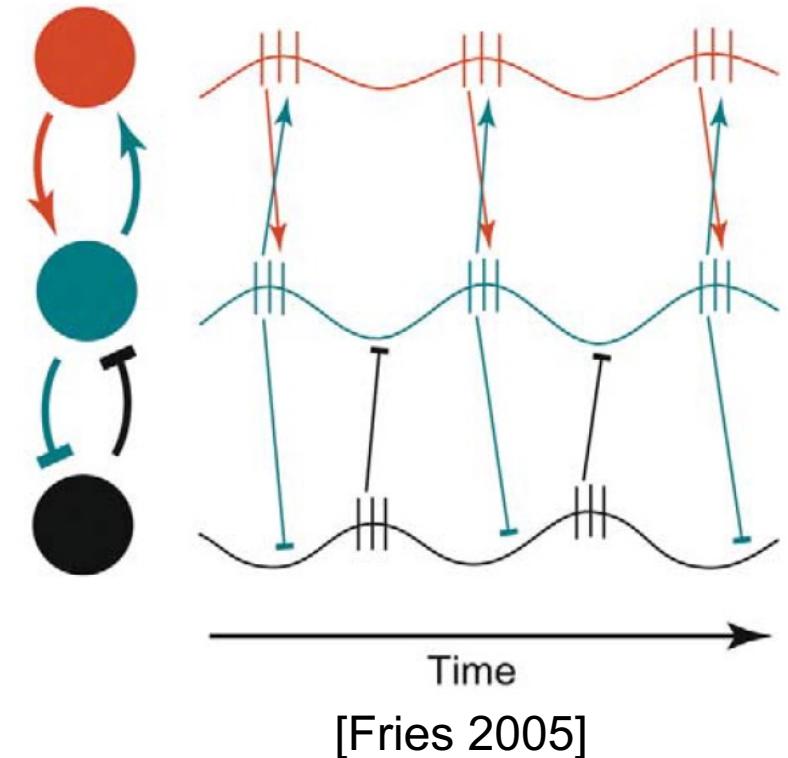


[Colgin J Neurosci, 2019]

Hippocampal retrieval



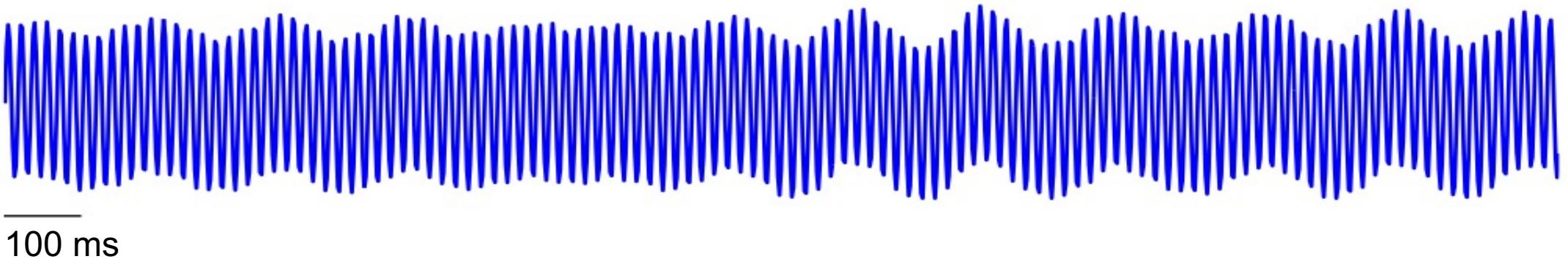
[Joo & Frank, 2018]



[Fries 2005]

Rhythms

Consider these (experimental data)

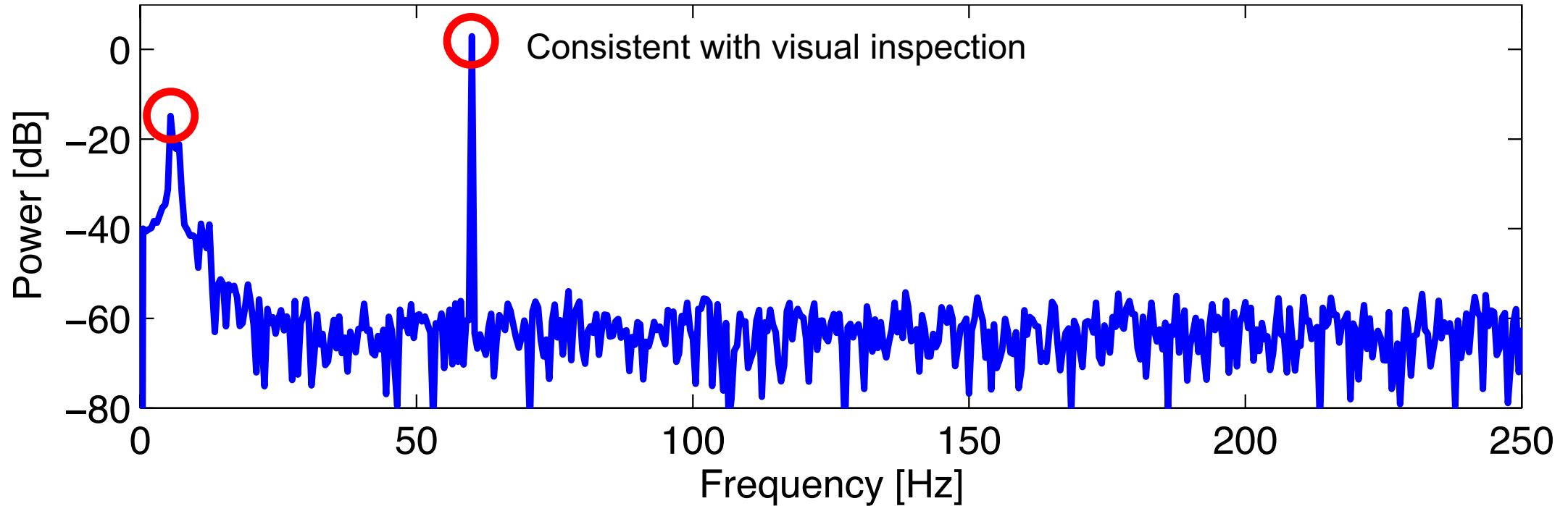


Visual inspection

- Rhythmic (dominant fast rhythm)
- It's complicated
- Beyond visual inspection . . . quantitative characterization?

Spectrum

Beyond visual inspection . . .



Axes: Power [dB] vs Frequency [Hz]

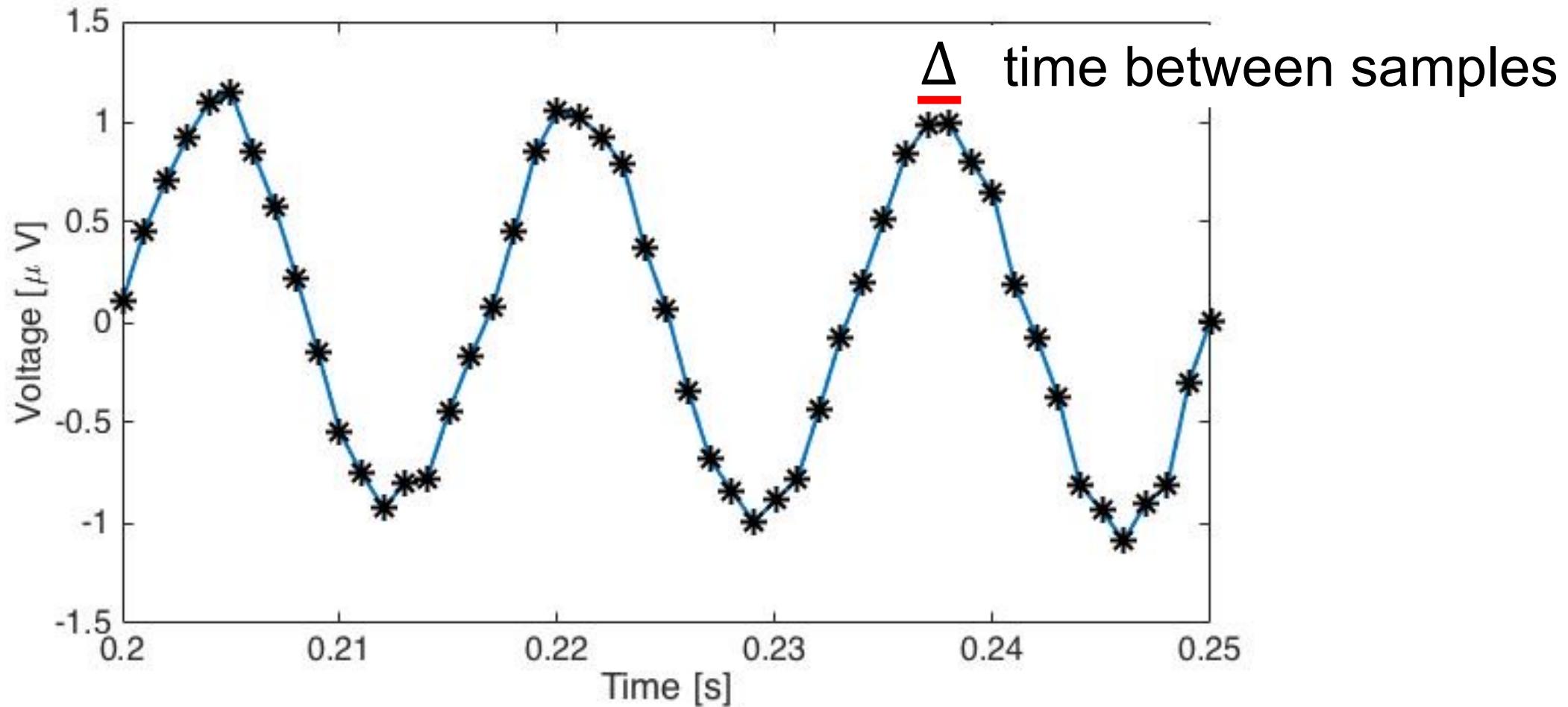
A simpler representation in frequency domain. Two peaks at ~5-8 Hz, 60 Hz

An improved understanding of rhythmic activity.

How?

Spectrum

To start, consider activity recorded from a single trial:



Notation: x_n = Data at index n

Spectrum

So, x is activity recorded from a single trial:

$$S_{xx,j} = \frac{2\Delta^2}{T} |X_j|^2$$

(Auto-)spectrum of signal x

Δ = sampling interval

T = total time of observation

X_j = Fourier transform of the data (x) at frequency j



x (LFP, EEG, ...)

Note: Time is discrete

x_n = Data at index n

complex conjugate

Spectrum

$$X_j = \sum_{n=1}^N x_n \exp(-2\pi i f_j t_n) . \quad \text{Fourier transform of the data } x.$$

x_n = Data at index n



$$i = \sqrt{-1}$$

t_n = Time at index n

$$t_n = \Delta n \quad \text{where } \Delta = \text{sampling interval}$$

f_j = Frequency at index j $f_j = j/T$ where T = total time of observation

Spectrum: idea

Fourier transform intuition:

Data as a function of
frequency index j

$$X_j = \sum_{n=1}^N x_n \exp(-2\pi i f_j t_n).$$

Data as a function of time index n

Sinusoids at frequency f_j

Euler's formula:

$$\exp(-2\pi i f_j t_n) = \cos(-2\pi f_j t_n) + i \sin(-2\pi f_j t_n).$$

So, at each time (index n) multiply data x_n by sinusoids at frequency f_j
Then sum up over all time.

Spectrum: idea

Fourier transform intuition:

Data as a function of
frequency index j

$$X_j = \sum_{n=1}^N x_n \exp(-2\pi i f_j t_n).$$

Data as a function of time index n

Sinusoids at frequency f_j

Idea: compare our data x_n to sinusoids at frequency f_j and see how well they “match”.

Good match: $X_j = \text{big}$

Bad match: $X_j = \text{small}$

X_j reveals the frequencies f_j that match our data.

Spectrum: idea

Fourier transform intuition: “Compare” data to sinusoids at different frequencies

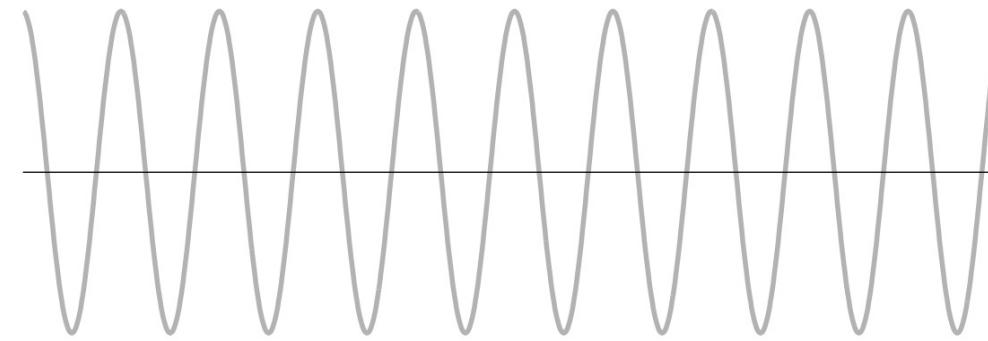
Match:

X_j at frequency f_j is large

Mismatch:

X_j at frequency f_j is small

Example:

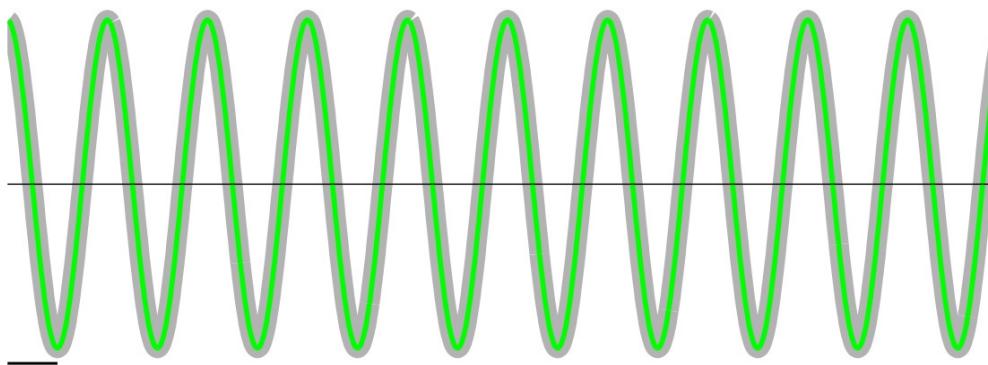


“Data”
10 Hz cosine

4 Hz

Multiply $(+,-,+,-,\dots)$ & add
... small value

4 Hz does not match data



10 Hz

Multiply $(+,+,-,-,\dots)$ & add
... large value

10 Hz matches data

Spectrum: idea

More spectrum intuition ...

$$S_{xx,j} = \frac{2\Delta^2}{T} X_j X_j^*$$

Fourier transform of the data x .

$$X_j = \sum_{n=1}^N x_n \exp(-2\pi i f_j t_n).$$

X_j can be complex

- the Fourier transform of x_n can have both real and imaginary parts.

So, X_j lives in the complex-plane ...

Spectrum: idea (part 2)

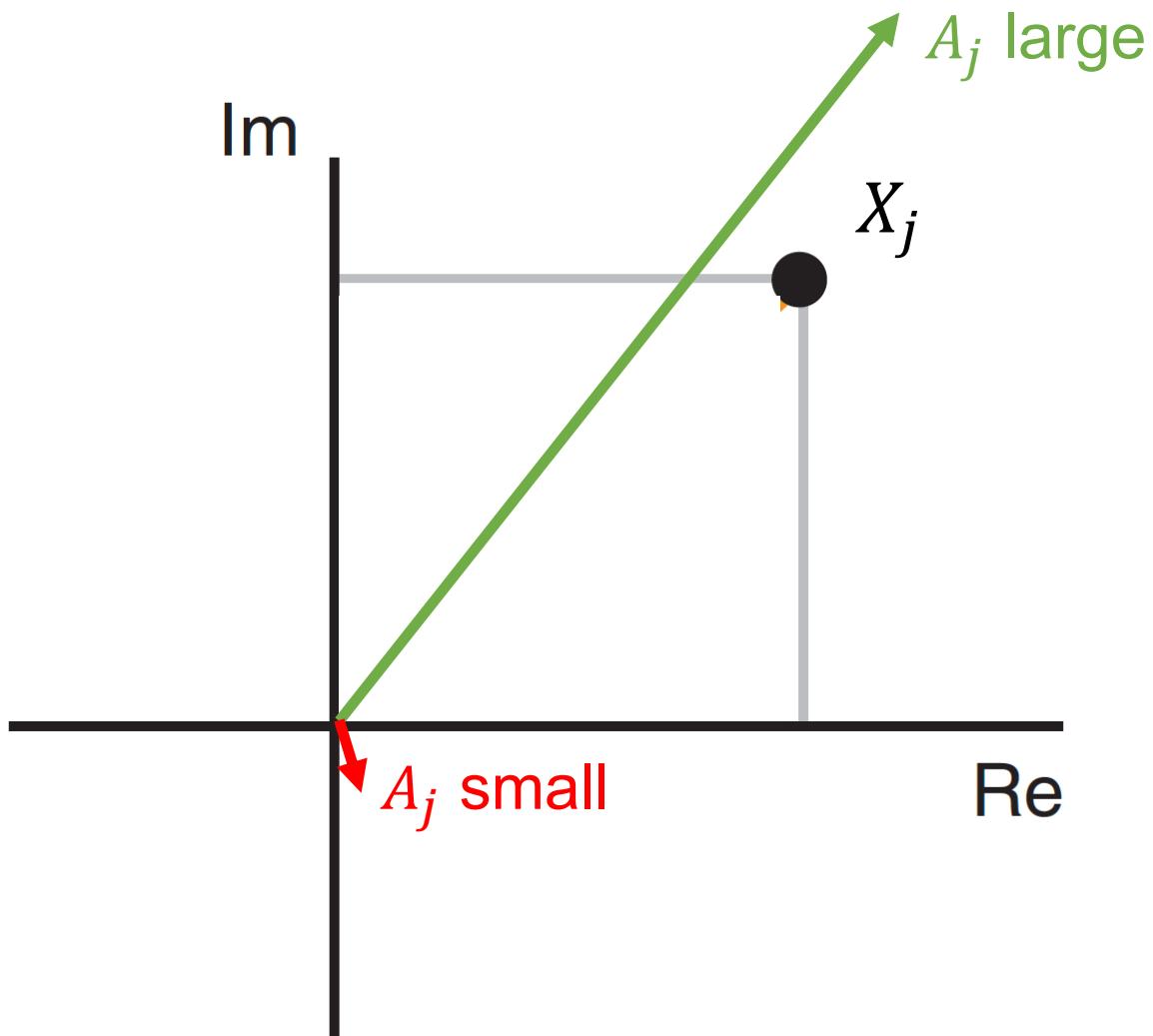
X_j lives in the complex-plane:

Express X_j in polar coordinates:

$$X_j = A_j \exp(i\phi_j)$$

A_j = Amplitude at frequency index j

ϕ_j = Phase at frequency index j



Match: X_j at frequency f_j is large

Mismatch: X_j at frequency f_j is small

Spectrum: idea (part 2)

Consider the spectrum of x_n :

$$S_{xx,j} = \frac{2\Delta^2}{T} X_j X_j^* = \frac{2\Delta^2}{T} (A_j \exp(i\phi_j)) (A_j \exp(-i\phi_j))$$

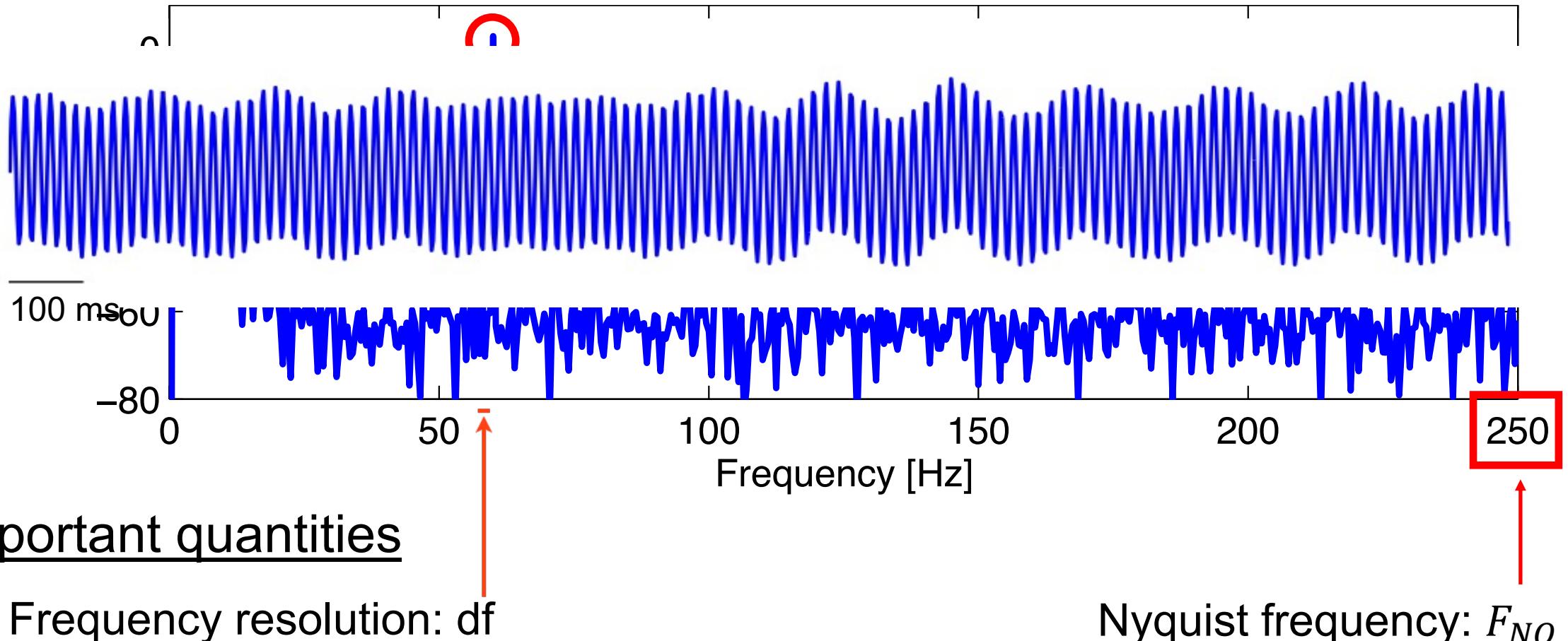
Express X_j in polar coordinates:

$$= \frac{2\Delta^2}{T} A_j^2 \exp(i\phi_j - i\phi_j) = \boxed{\frac{2\Delta^2}{T} A_j^2}.$$

More direct interpretation of the spectrum at frequency f_j :
better match \rightarrow larger amplitude of X_j in the complex plane \rightarrow more power

Spectrum: idea (summary)

... reveals the dominant frequencies that “match” the data.



Define these two quantities.

Spectrum: df

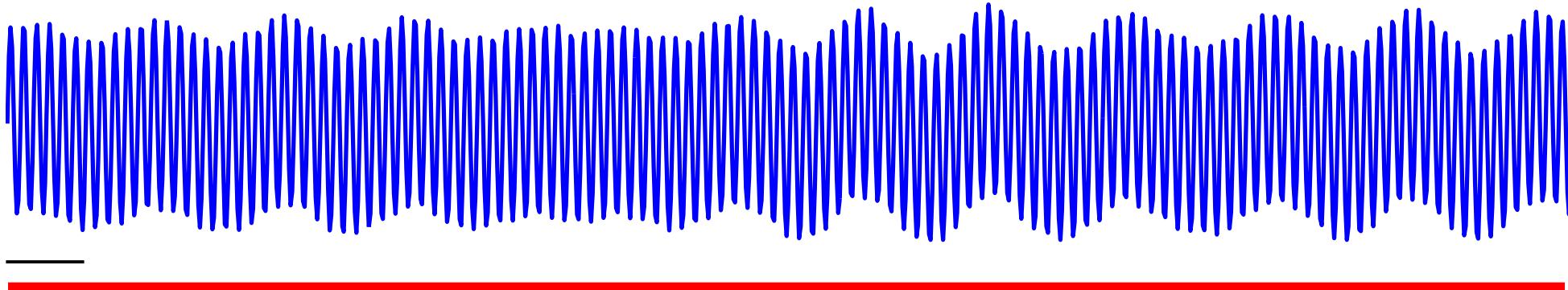
- What is df ?

$$df = \frac{1}{T}$$

frequency resolution

where T = Total duration of recordings.

Ex.



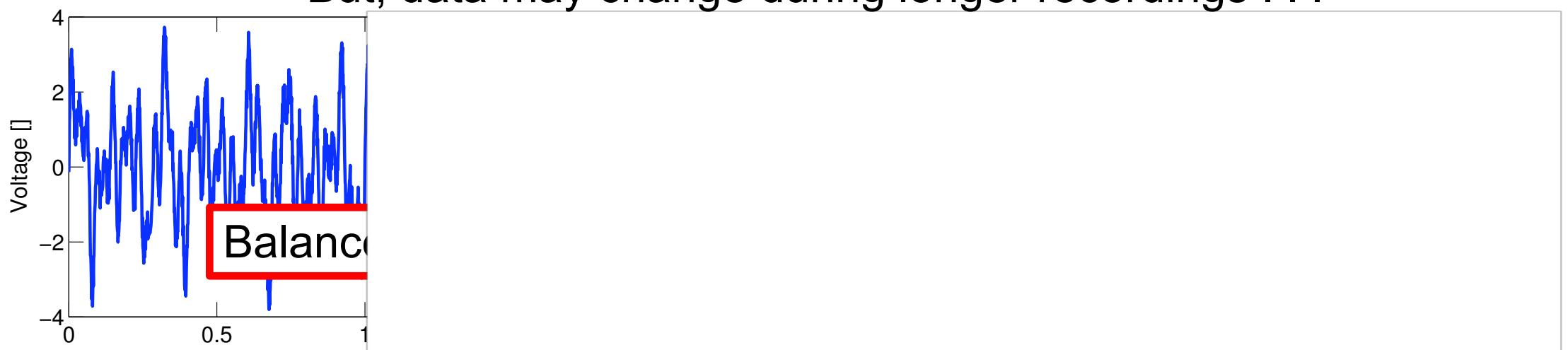
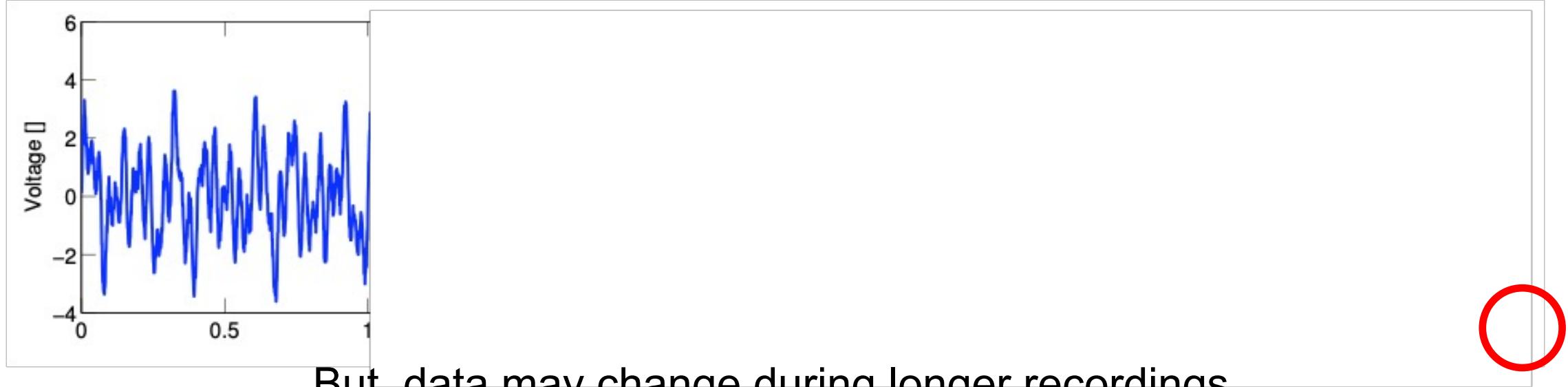
$$T = 2 \text{ s} \quad \text{so } df = 0.5 \text{ Hz}$$

Q: How do we improve frequency resolution?

A: Increase T or record for longer time.

Spectrum: df

- Demand 0.2 Hz frequency resolution $df = 0.2 \text{ Hz} = 1/T$, so $T = 5 \text{ s}$



Spectrum: F_{NQ}

- What is F_{NQ} ?

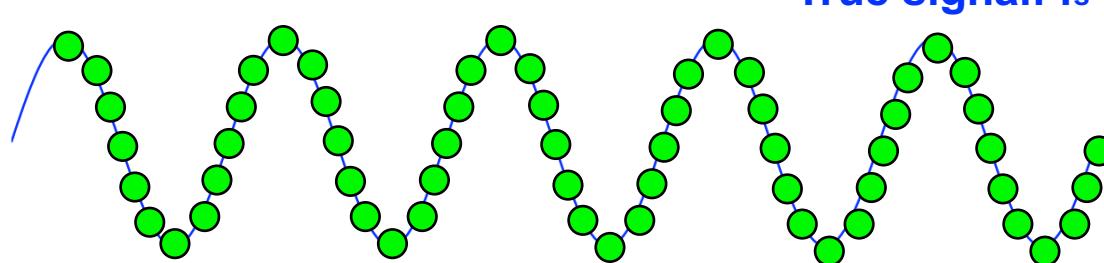
$$F_{NQ} = f_0/2$$

Nyquist frequency
where f_0 = sampling frequency.

The **highest** frequency we can observe.

Sample:

$$f_0 \gg 2 f_s$$

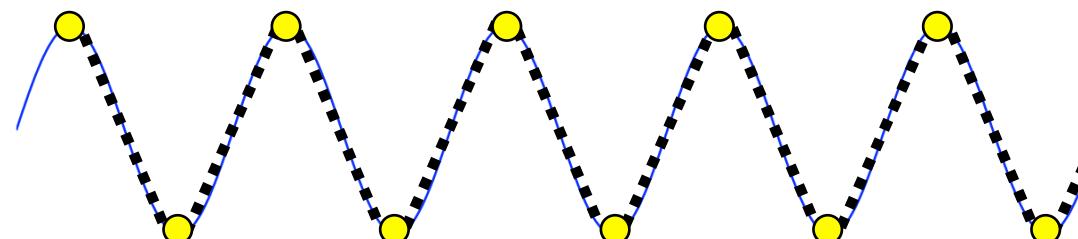


True signal: f_s

Accurate reconstruction

$$f_0 = 2 f_s$$

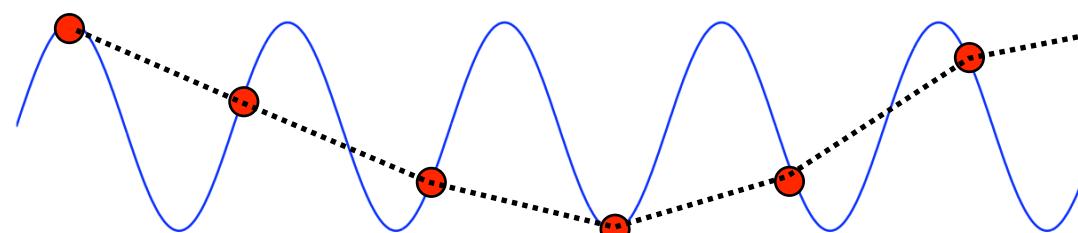
Max freq we can observe at this sample rate!



2 samples/cycle

Enough to reconstruct signal, but just barely.

$$f_0 < 2 f_s$$



High frequency (in data) mapped to low frequency (**aliased**).

All hope lost! Indistinguishable from true low frequency signals.

Spectrum: df , F_{NQ}

Summary

Frequency resolution

$$df = \frac{1}{T} \quad \text{← Duration of recording}$$

Nyquist frequency

$$f_{NQ} = \frac{f_0}{2} \quad \text{← Sampling frequency}$$

For finer frequency resolution:

record more data.

To observe higher frequencies:

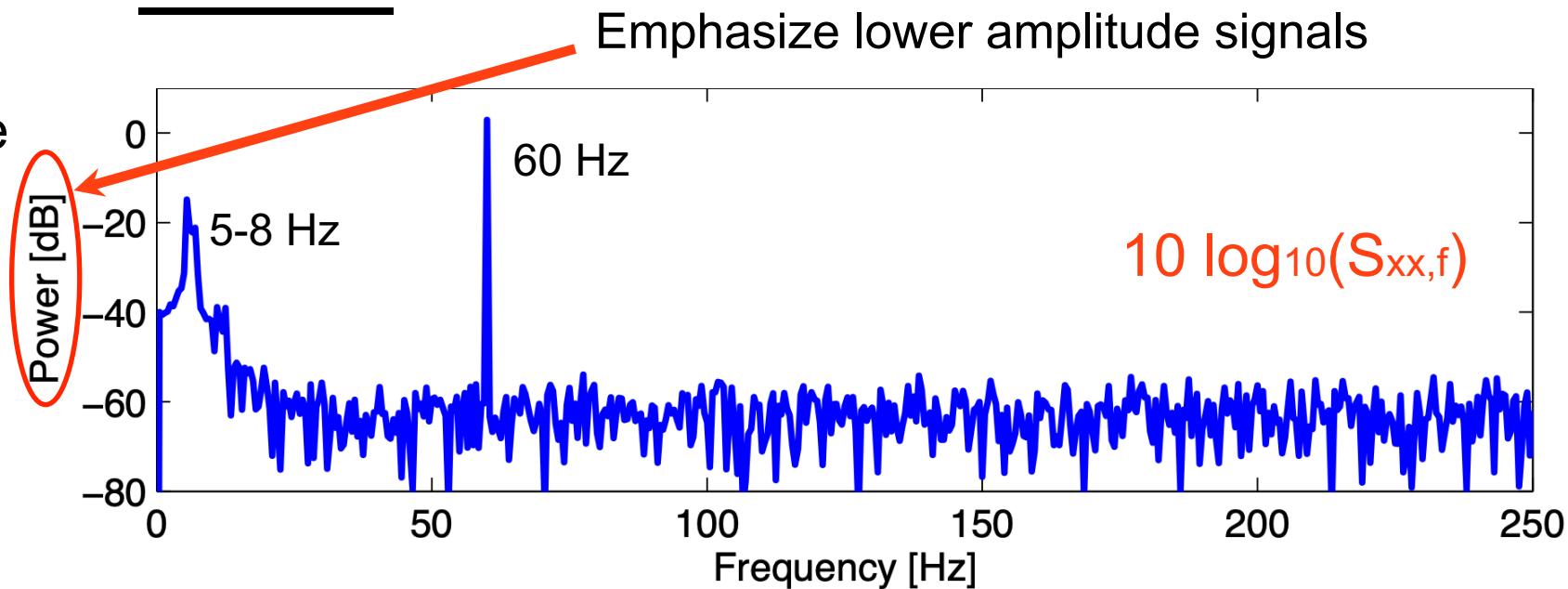
increase sampling rate.

Spectrum: three (important) asides

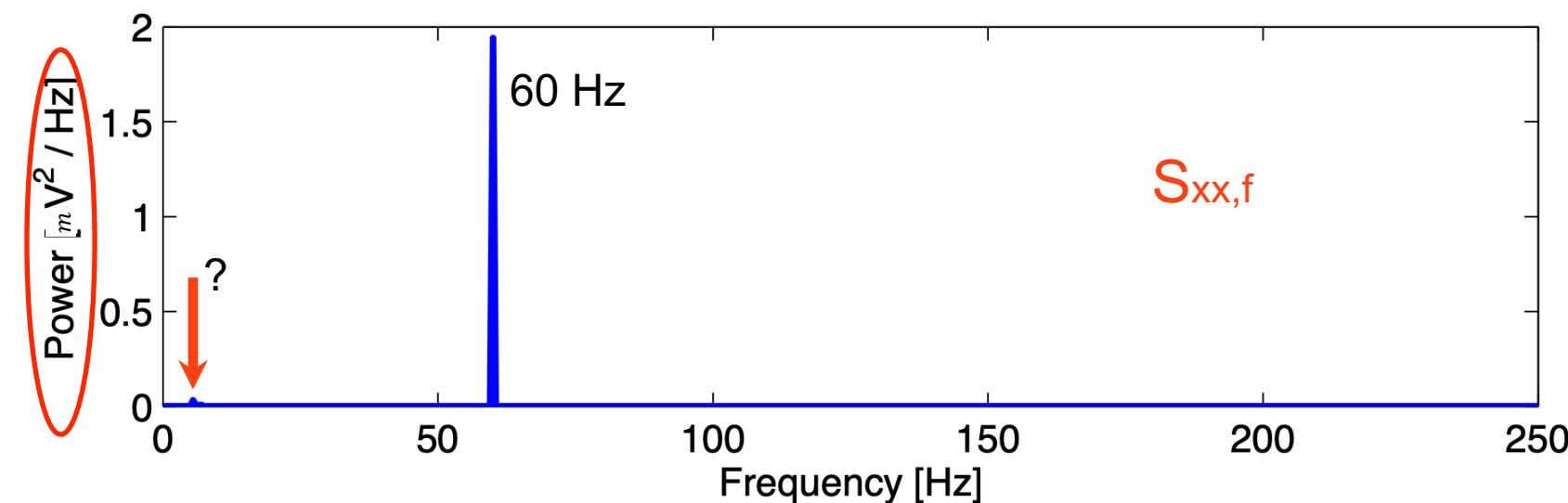
- Scale
- Tapers
- Multiple trials

Spectrum: scale

A note on scale



Without the decibel scale . . .



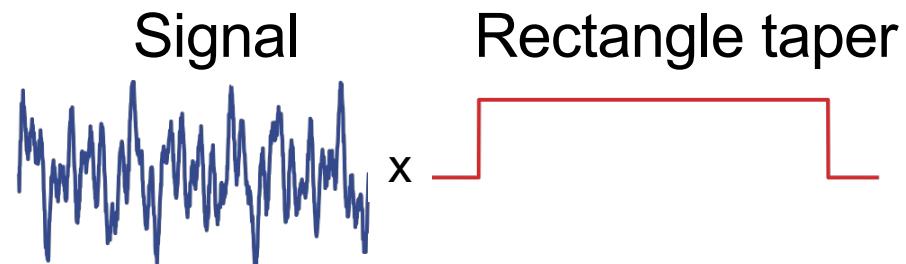
Spectrum: tapers

Doing nothing, we make an implicit taper choice . . .

. . . Data goes on forever . . .



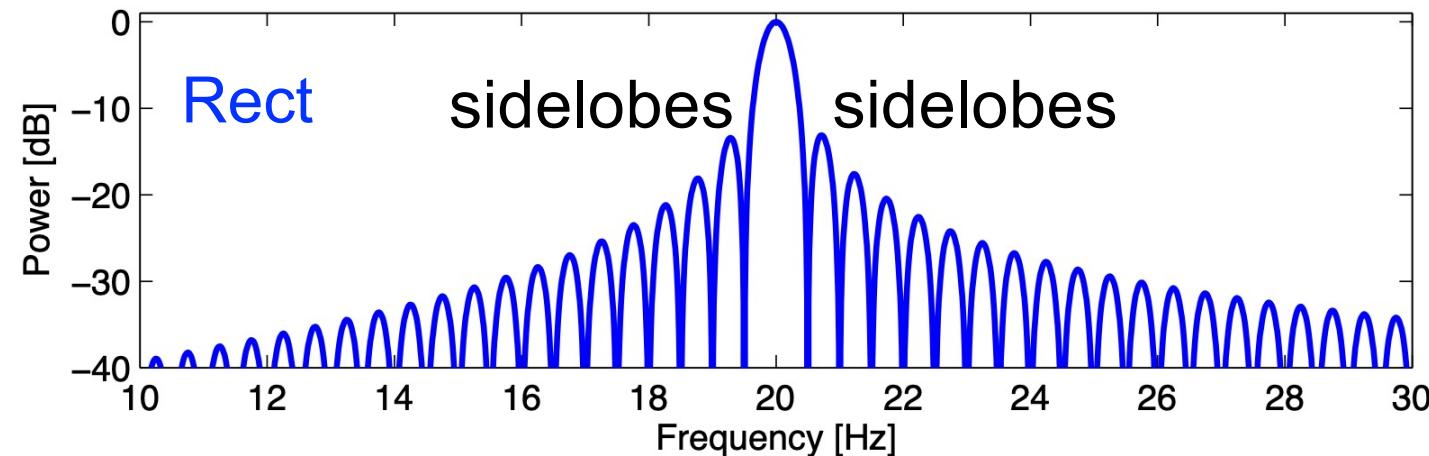
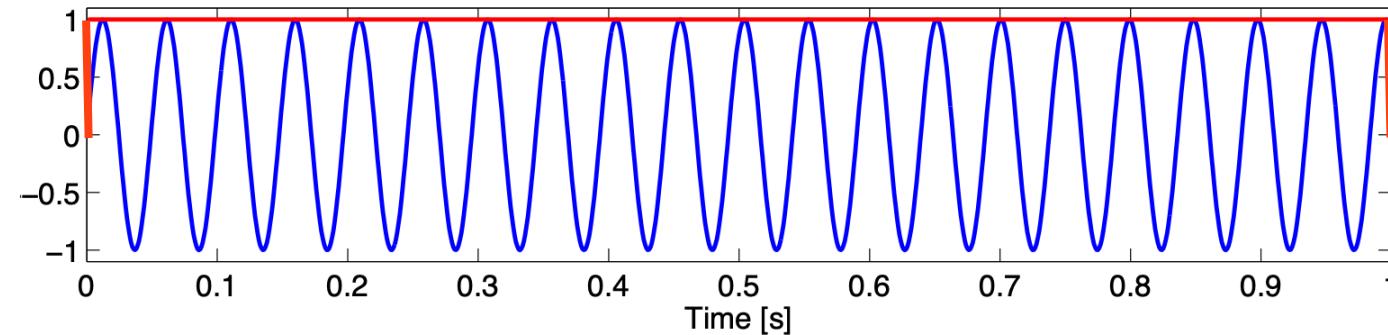
What we're observing:



Spectrum: tapers

The rectangle taper impacts the power spectrum.

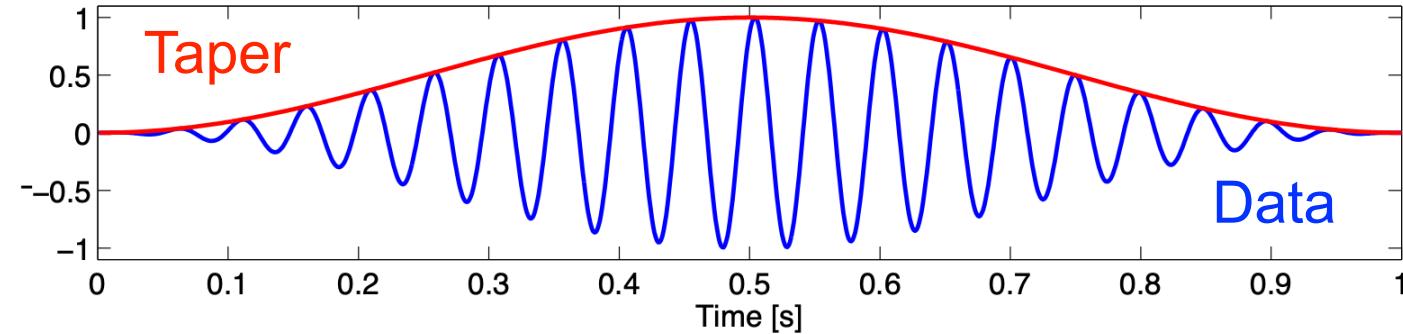
Pure
sinusoid
at 20 Hz



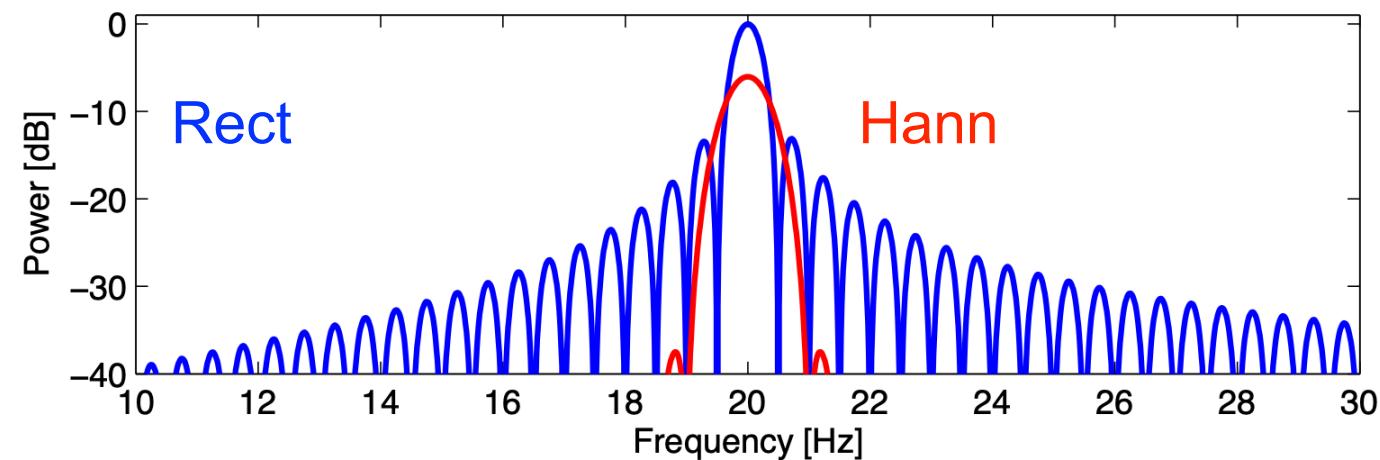
Sharp peak is “smeared out” . . .

Spectrum: tapers

Idea: smooth the sharp edges of rectangle taper.

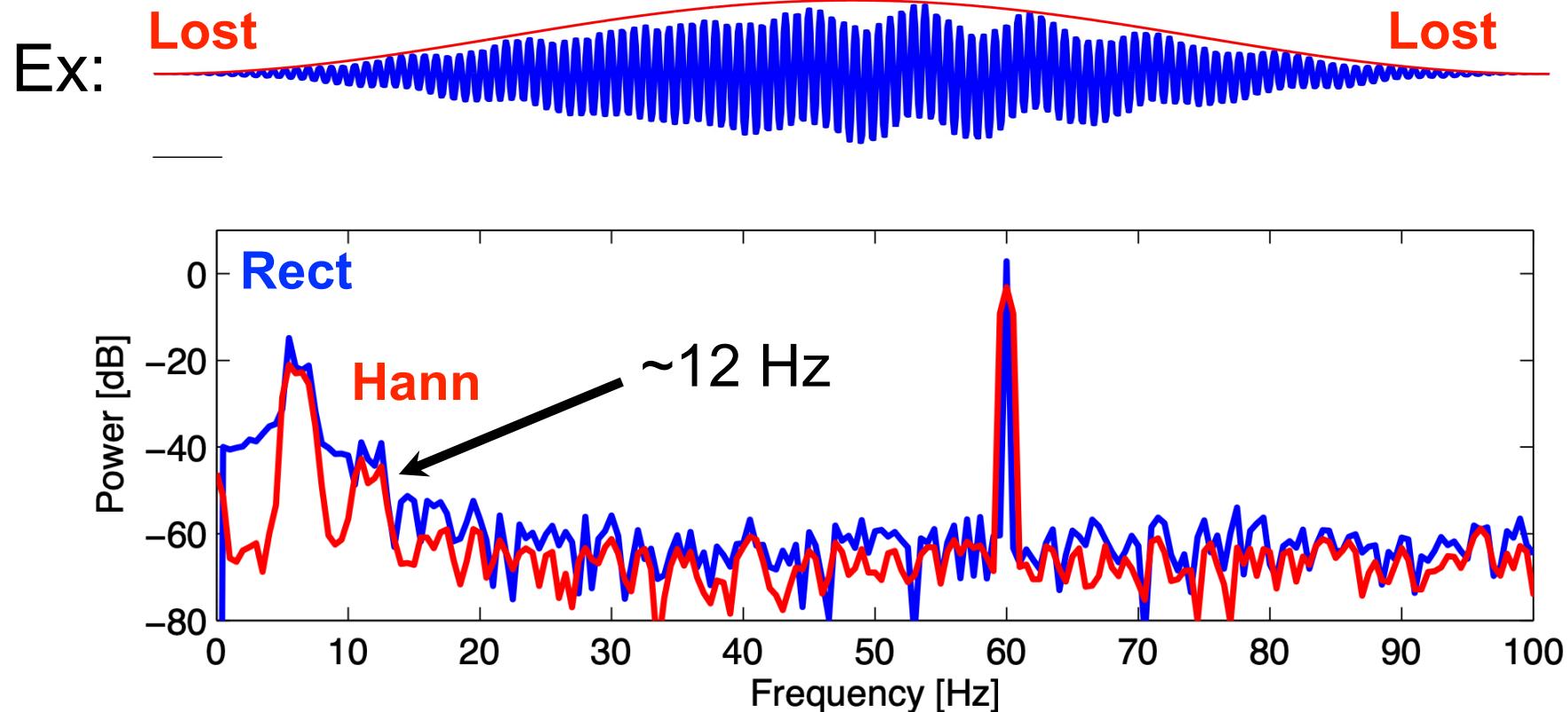


Compute spectrum of tapered data.



Taper reduces the sidelobes.

Spectrum: tapers

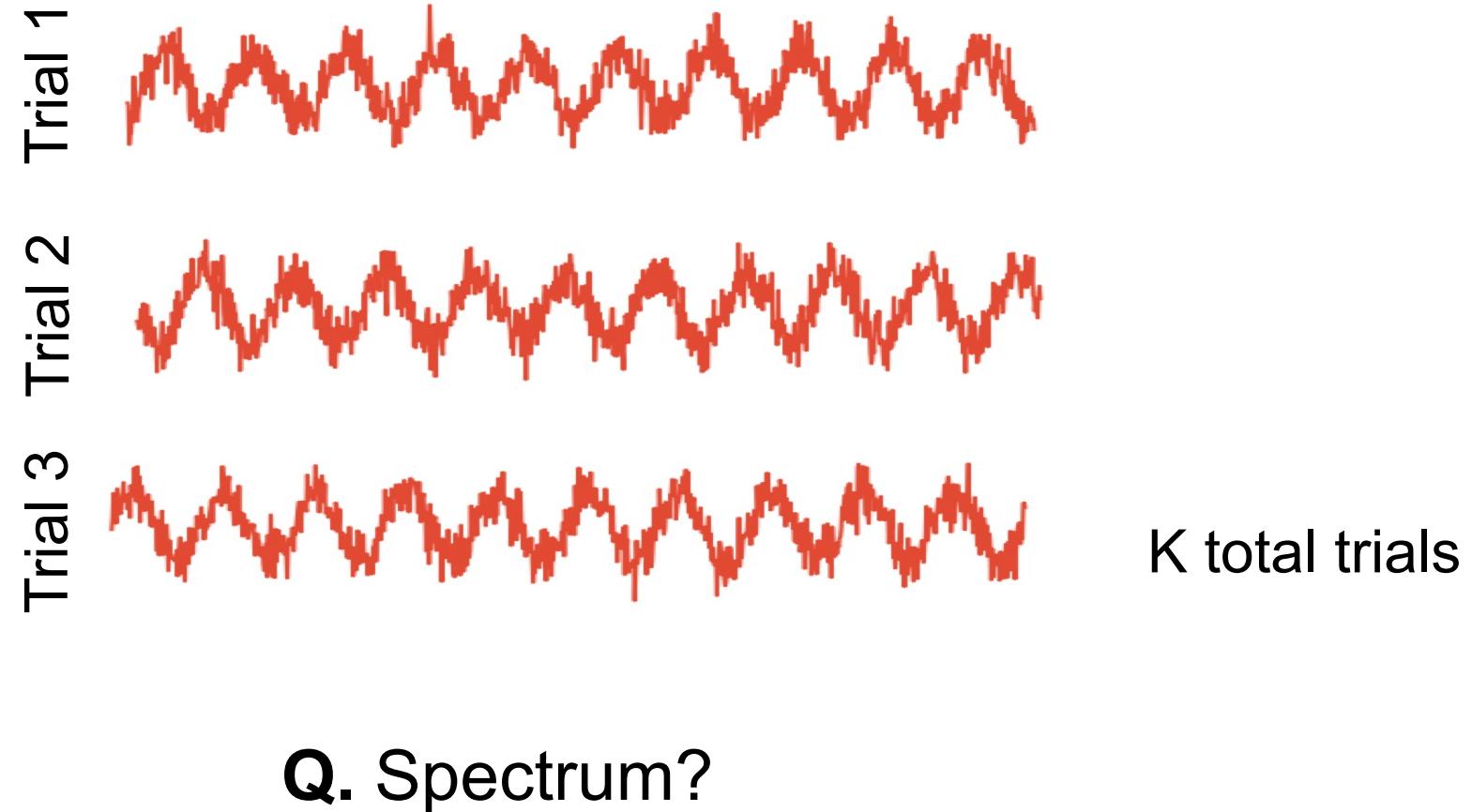


Good: Reduced sidelobes reveals a new peak.
Bad: Broader peaks & lose data at edges.

“More lives have been lost looking at the [rectangular tapered spectrum] than by any other action involving time series.” [Tukey 1980]

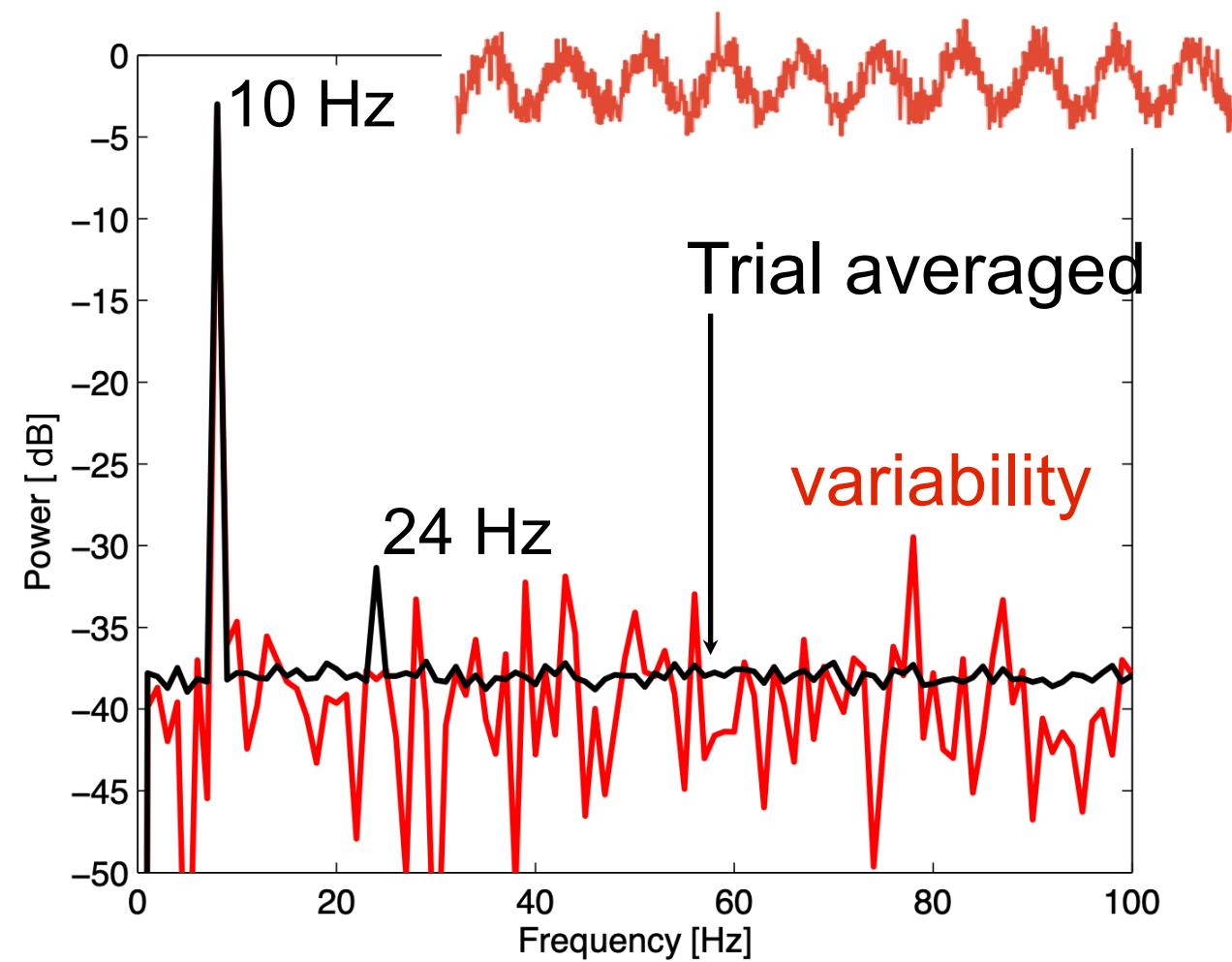
Spectrum: multiple trials

Ex: Record data across multiple trials



Spectrum: multiple trials

Single trial:



Trial averaged spectrum:

reduced variability.
reveals another peak . . .

Spectrum: summary

$$S_{xx,j} = \frac{2\Delta^2}{T} X_j X_j^*$$

$$X_j = \sum_{n=1}^N x_n \exp(-2\pi i f_j t_n).$$

Data as a function of time index n

Sinusoids at frequency f_j

Frequency resolution

$$df = \frac{1}{T}$$

Nyquist frequency

$$f_{\text{NQ}} = \frac{f_0}{2}$$

Decibel scale, tapers, multiple trials, ...

Spectrum: example

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Outline

Part 1 (Prof. Kramer)

- Rhythms & spectrum
- Coherence
- Spike-field coherence

Part 2 (Prof. Eden)

- Point processes
- Latent state models

Coherence: words

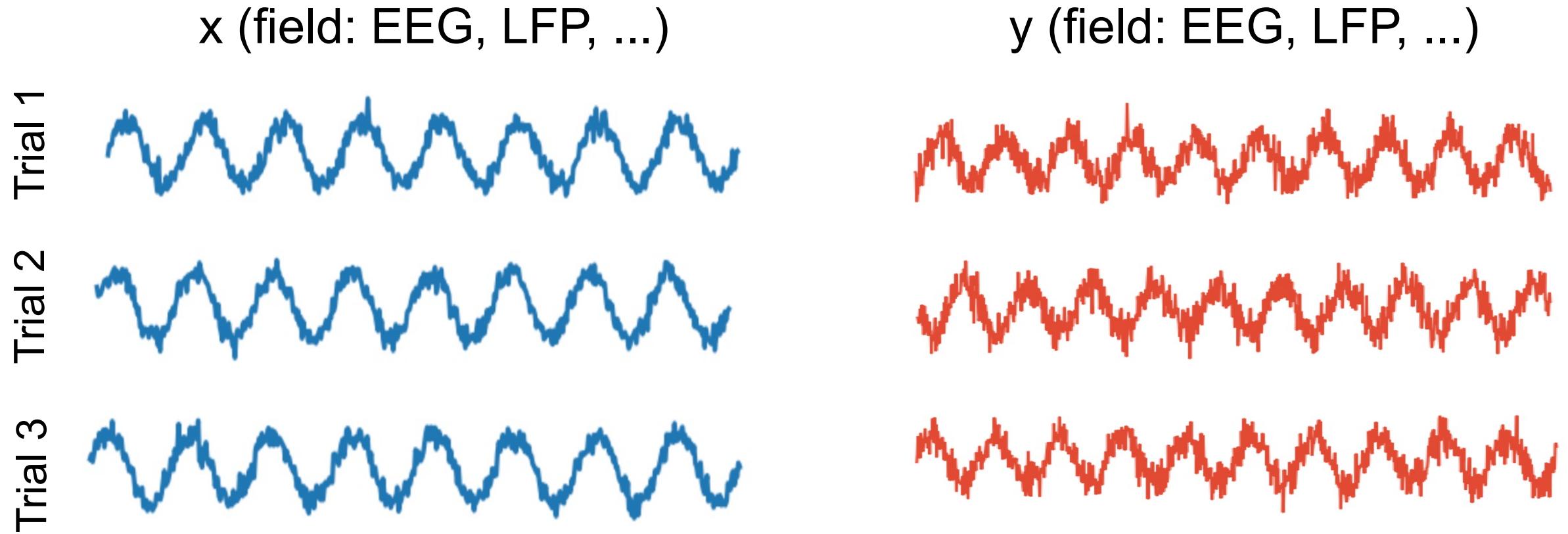
A constant phase relationship between two signals, at the same frequency, across trials.

Note

- “*same frequency*”
- “*across trials*”

Coherence: idea

Ex: Record data simultaneously from two sensors, across multiple trials

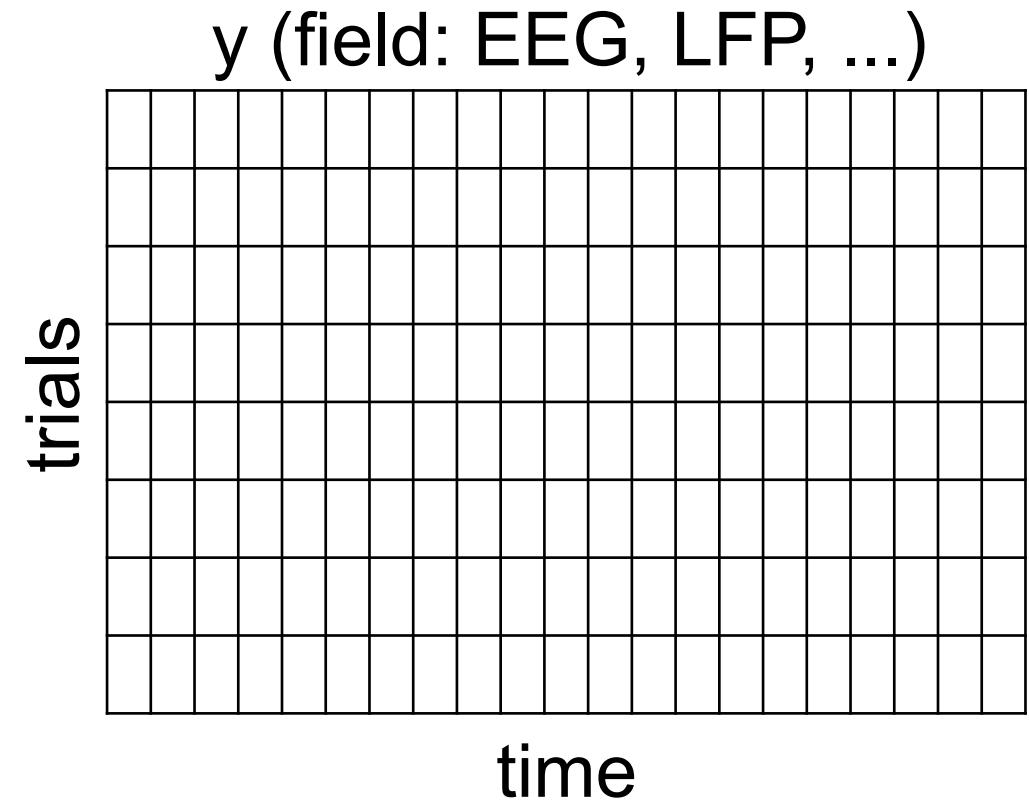
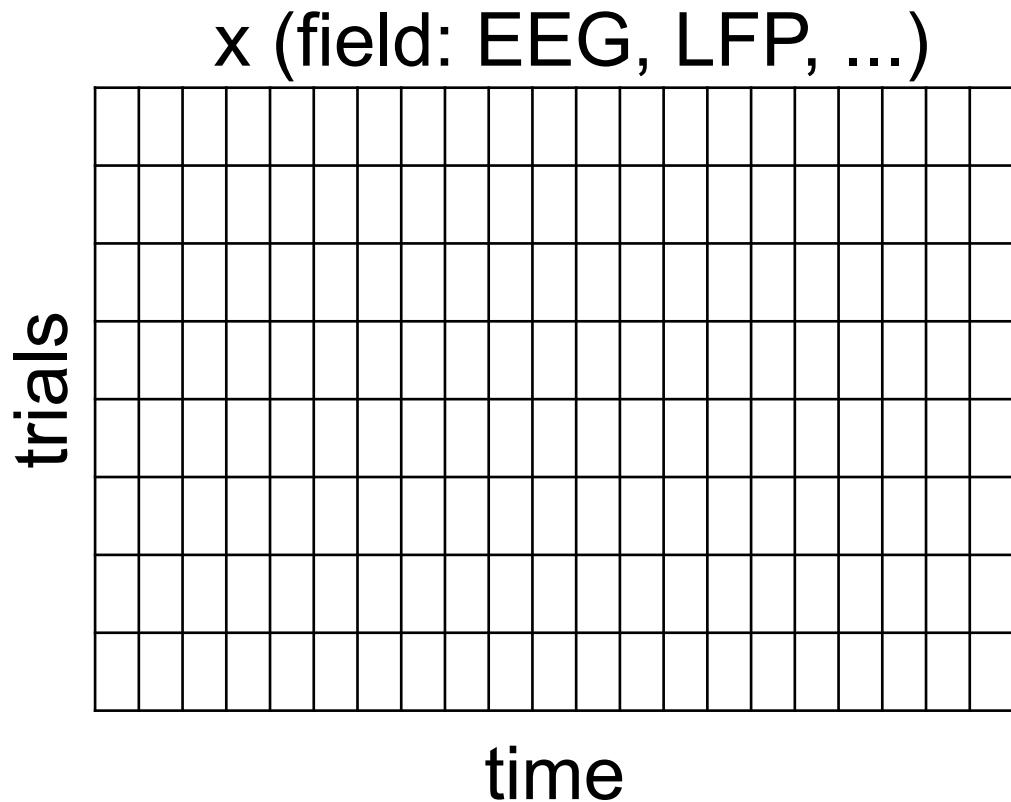


Is there a *constant phase relationship between x & y, at the same f, across trials?*

Coherence: idea

Ex: Record data simultaneously from two sensors, across multiple trials

Organize the data ...



Each row is a trial, each column is a time point, organize data in matrices.

Coherence: equations

This is what we'll compute:

$$\kappa_{xy, j} = \frac{|\langle S_{xy, j} \rangle|}{\sqrt{\langle S_{xx, j} \rangle} \sqrt{\langle S_{yy, j} \rangle}}$$

$S_{xy, j}$ = Cross-spectrum at frequency index j

$S_{xx, j}, S_{yy, j}$ = Auto-spectra at frequency index j

$\langle S \rangle$ = Average of S over trials

Define each piece ...

Coherence: equations (reminder)

$$\kappa_{xy, j} = \frac{|\langle S_{xy, j} \rangle|}{\sqrt{\langle S_{xx, j} \rangle} \sqrt{\langle S_{yy, j} \rangle}}$$



$$S_{xx, j} = \frac{2\Delta^2}{T} |X_j X_j^*|$$

(Auto-)spectrum of signal x

$$X_j = \sum_{n=1}^N x_n \exp(-2\pi i f_j t_n).$$

Fourier transform of the data x.

Coherence: equations

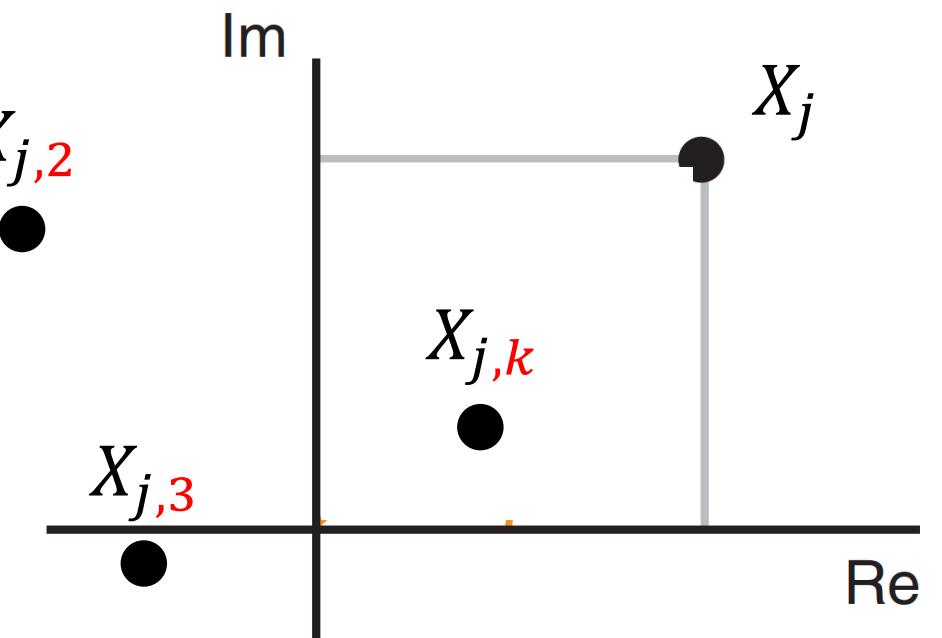
Remember, X_j lives in the complex-plane:

Fourier transform for each trial lives in the complex-plane:

In polar coordinates:

$A_{j,k}$ = Amplitude at frequency index j
and trial index k

$\phi_{j,k}$ = Phase at frequency index j
and trial index k



Coherence: equations

To compute coherence, we need the trial-averaged spectrum:

Single trial spectrum

$$\langle S_{xx,j} \rangle = \frac{2\Delta^2}{T} A_j^2 \cdot \overbrace{\sum_{k=1}^K A_{j,k}^2}^{\text{Average squared amplitude over trials}}$$

$A_{j,k}$ = the amplitude of the signal x, at frequency index j, and trial index k .

K = total number of trials

Coherence: equations

Similarly, for signal y_n . Fourier transform of y at frequency j, and trial k:

$$Y_{j,k} = B_{j,k} \exp(i \theta_{j,k})$$

$B_{j,k}$ = the amplitude of the signal y at frequency index j and trial index k .

$\theta_{j,k}$ = the phase of the signal y at frequency index j and trial index k .

The trial-averaged
spectrum of y at
frequency index j

$$\langle S_{yy,j} \rangle = \frac{2\Delta^2}{T} \frac{1}{K} \sum_{k=1}^K B_{j,k}^2$$

Coherence: equations

$$\kappa_{xy, j} = \frac{|\langle S_{xy, j} \rangle|}{\sqrt{\langle S_{xx, j} \rangle} \sqrt{\langle S_{yy, j} \rangle}}$$

✓

✓

$$\langle S_{xx, j} \rangle = \frac{2\Delta^2}{T} \frac{1}{K} \sum_{k=1}^K A_{j,k}^2$$

$$\langle S_{yy, j} \rangle = \frac{2\Delta^2}{T} \frac{1}{K} \sum_{k=1}^K B_{j,k}^2$$

Consider the trial averaged cross-spectrum ...

Coherence: equations

The trial averaged cross-spectrum at frequency index j:

$$\langle S_{xy,j} \rangle = \frac{2\Delta^2}{T} \frac{1}{K} \sum_{k=1}^K X_{j,k} Y_{j,k}^*$$

Like the auto-spectrum, but use X and Y.

In polar coordinates:

$$\langle S_{xy,j} \rangle = \frac{2\Delta^2}{T} \frac{1}{K} \sum_{k=1}^K A_{j,k} B_{j,k} \exp(i\Phi_{j,k})$$

Phase of x Phase of y

where $\Phi_{j,k} = \phi_{j,k} - \theta_{j,k}$ is the phase difference between the two signals, at frequency index j and trial k.

Coherence: equations

Put it all together ...

$$\kappa_{xy, j} = \frac{|\langle S_{xy, j} \rangle|}{\sqrt{\langle S_{xx, j} \rangle} \sqrt{\langle S_{yy, j} \rangle}}$$

In polar coordinates

cross-spectrum of x & y,
depends on trial averaged
amplitudes, phase differences.

x trial averaged spectrum,
at frequency index j

$$= \frac{\left| \sum_{k=1}^K A_{j,k} B_{j,k} \exp(i\Phi_{j,k}) \right|}{\sqrt{\sum_{k=1}^K A_{j,k}^2} \sqrt{\sum_{m=1}^K B_{j,m}^2}}$$

y trial averaged spectrum,
at frequency index j

Coherence: intuition

To build intuition, assume: the amplitude is identical for both signals and all trials.

$$A_{j,k} = B_{j,k} = C_j \quad \text{Note: no trial dependence}$$

then

$$\mathcal{K}_{xy,j} = \left| \frac{\sum_{k=1}^K A_{j,k} B_{j,k} \exp(i\Phi_{j,k})}{\sqrt{\sum_{k=1}^K A_{j,k}^2} \sqrt{\sum_{m=1}^K B_{j,m}^2}} \right|$$

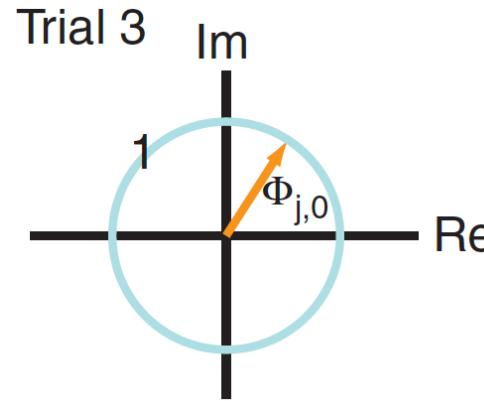
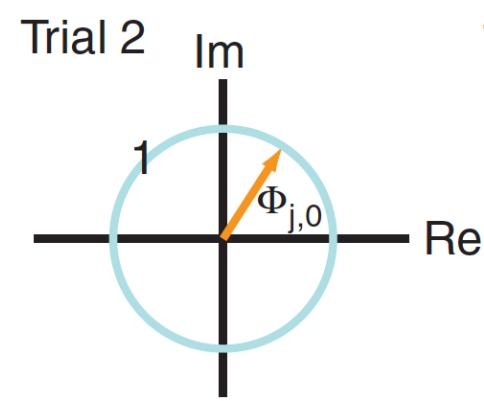
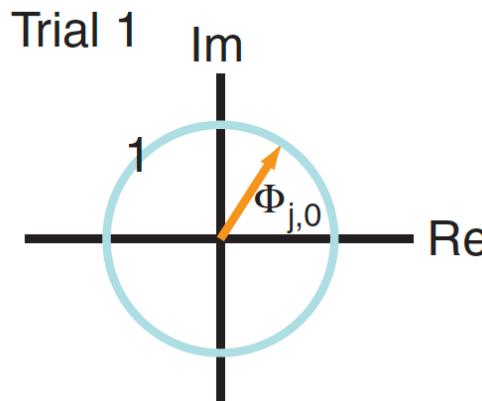
only involves the phase difference between the two signals averaged across trials.

Coherence: intuition

Case 1: Phases align across trials. $\Phi_{j,k} = \Phi_{j,0}$

$$\kappa_{xy,j} = \frac{1}{K} \left| \sum_{k=1}^K \exp(i\Phi_{j,k}) \right|$$

Plot $\exp(i\Phi_{j,k})$ in the complex plane.

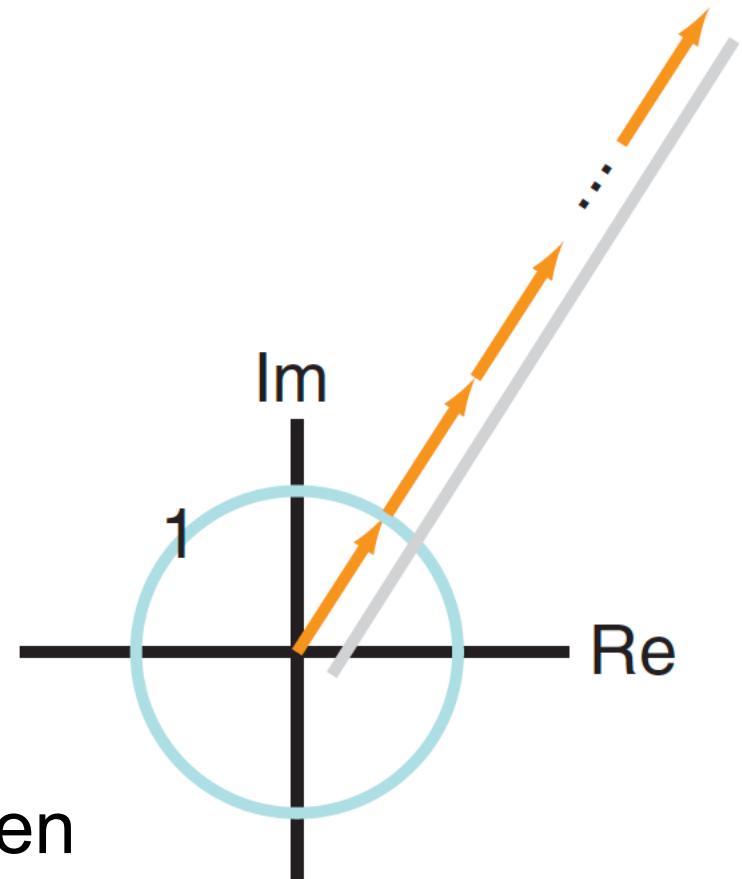


sum these vectors end to end across trials

divide by K

$$\kappa_{xy,j} \approx 1$$

strong coherence - constant phase relation between the two signals across trials at frequency index j.

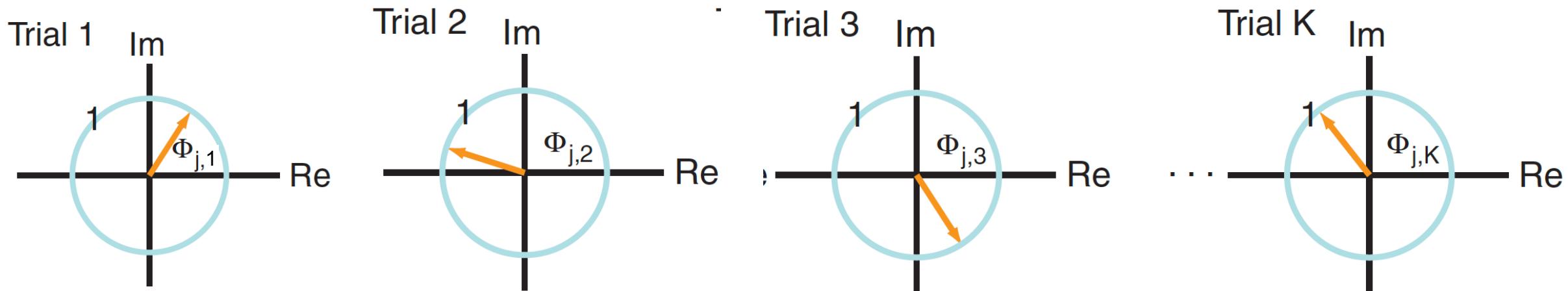


Coherence: intuition

Case 2: Random phase differences across trials.

$$\kappa_{xy,j} = \frac{1}{K} \left| \sum_{k=1}^K \exp(i\Phi_{j,k}) \right|$$

Plot $\exp(i\Phi_{j,k})$ in the complex plane.

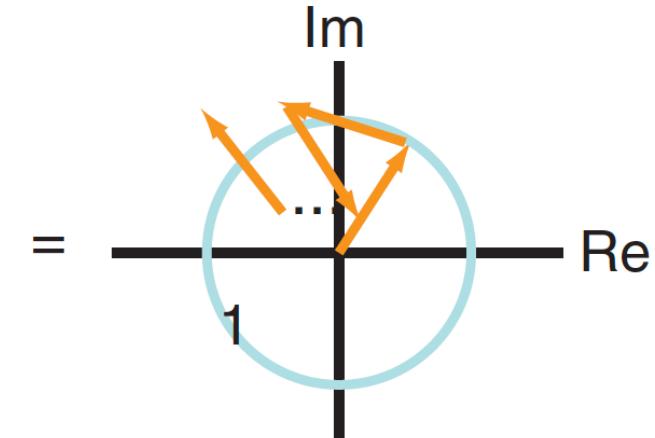


sum these vectors end to end across trials

divide by K

$$\kappa_{xy,j} \approx 0$$

weak coherence - random phase relation between
the two signals across trials at frequency index j.



Coherence: summary

$$0 \leq \kappa_{xy,j} \leq 1$$

0: no coherence between signals x and y at frequency index j

1: strong coherence between signals x and y at frequency index j .

The coherence is a measure of the phase consistency between two signals at frequency index j across trials.

Coherence: example

<https://github.com/Mark-Kramer/NESS-Short-Course-2023>

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[Lecture slides \(Part 1\)](#)

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Spike-field coherence	 Open in Colab

Outline

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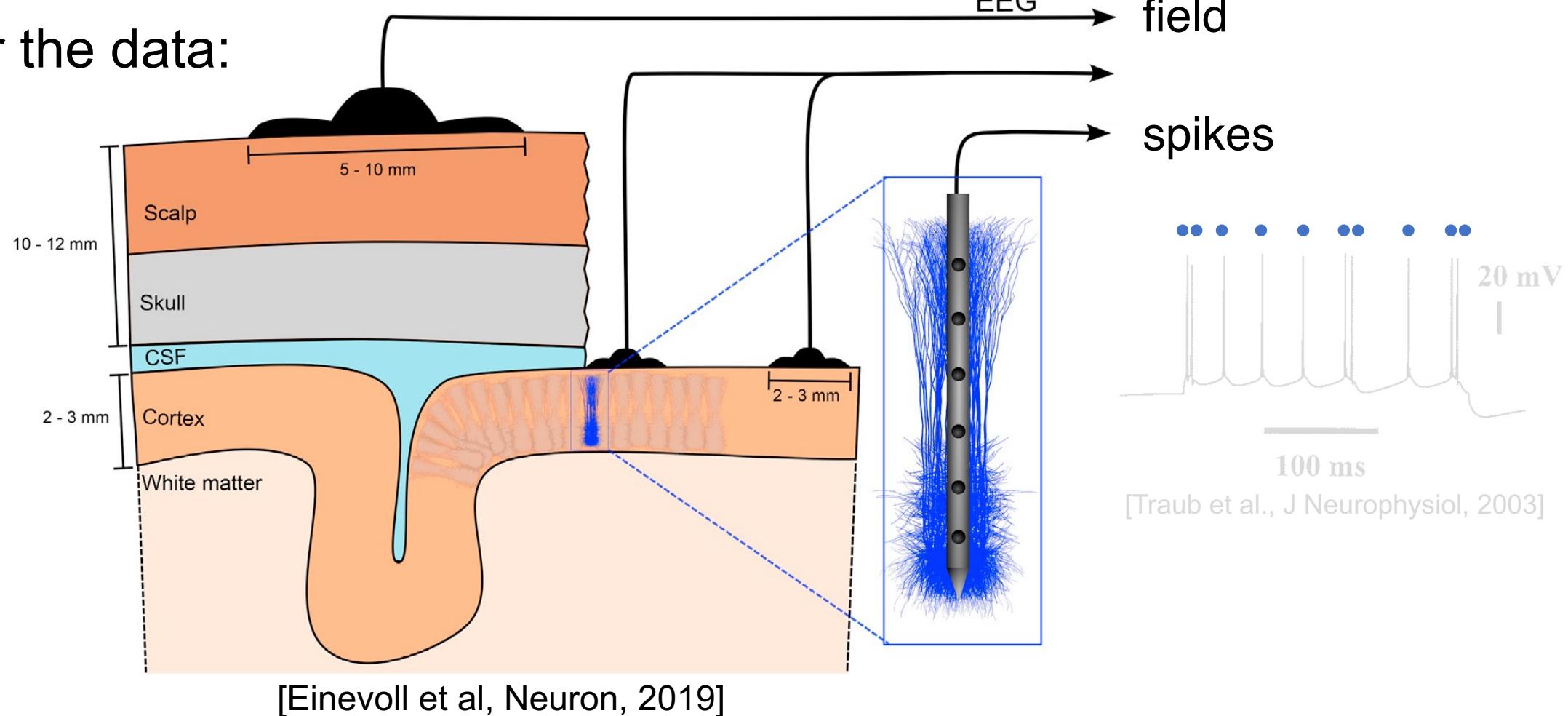
- Rhythms & spectrum
 - Coherence
 - Spike-field coherence
- ... (a riddle)

Part 2 (Prof. Eden)

- Point processes
- Latent state models

Spike-field coherence

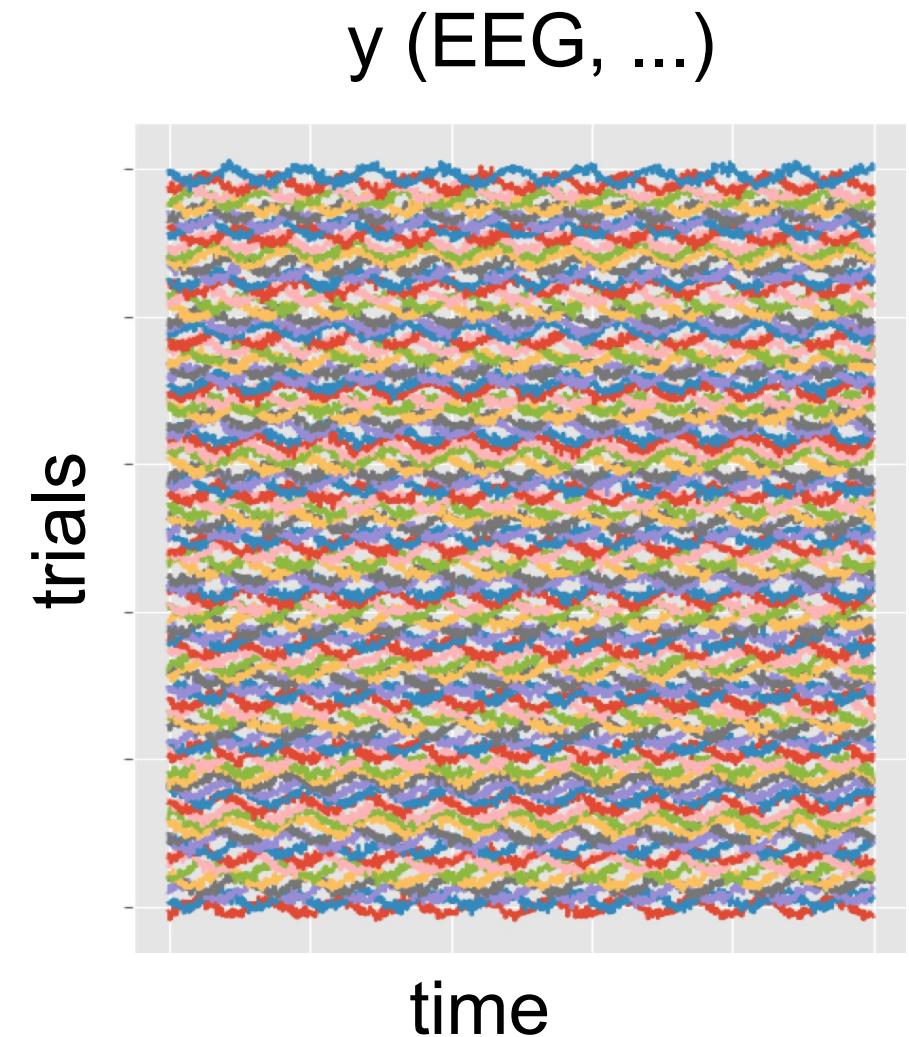
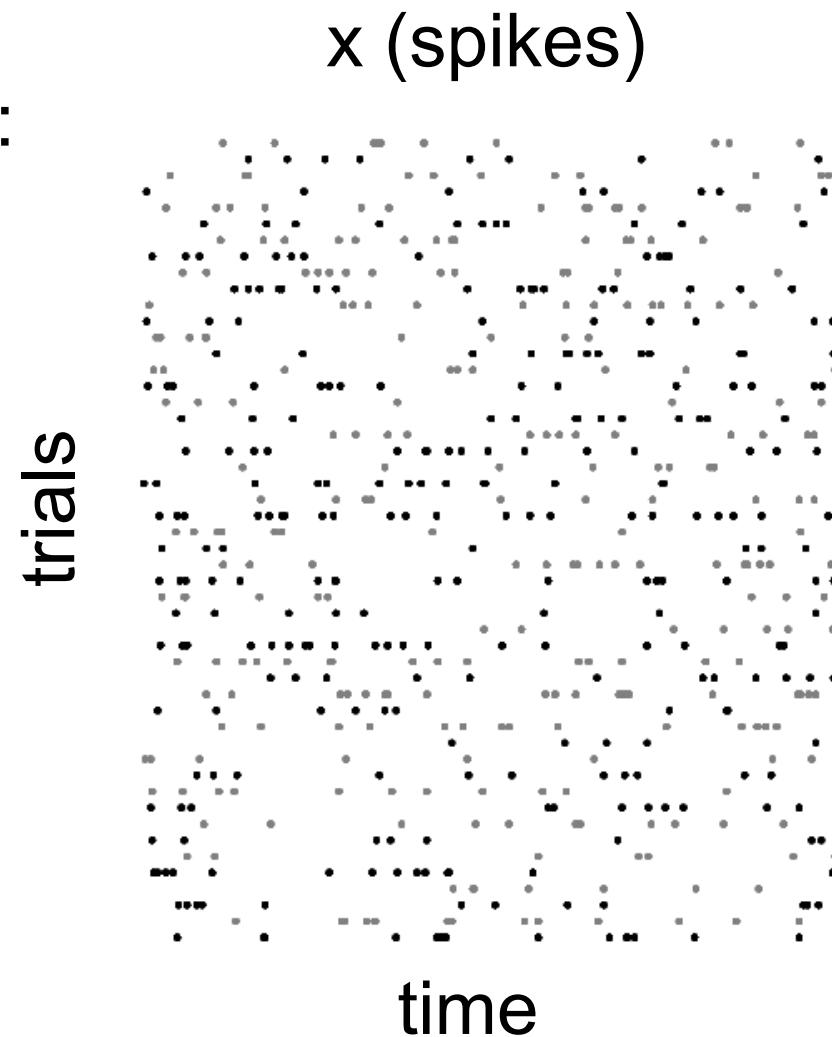
Consider the data:



Q: Is there consistent neural spiking at a specific phase of the field rhythms?

Spike-field coherence

Consider the data:



Q: Is there consistent neural spiking at a specific phase of the field rhythms?

Spike-field coherence

$$\kappa_{ny, j} = \frac{|\langle S_{ny, j} \rangle|}{\sqrt{\langle S_{nn, j} \rangle} \sqrt{\langle S_{yy, j} \rangle}}$$

trial averaged cross spectrum
trial averaged spike spectrum trial averaged field spectrum

n = spike train (e.g., [0 0 0 0 0 0 1 0 0 0 1 0 0 0 0 ...])

y = field signal (e.g., EEG, ...)

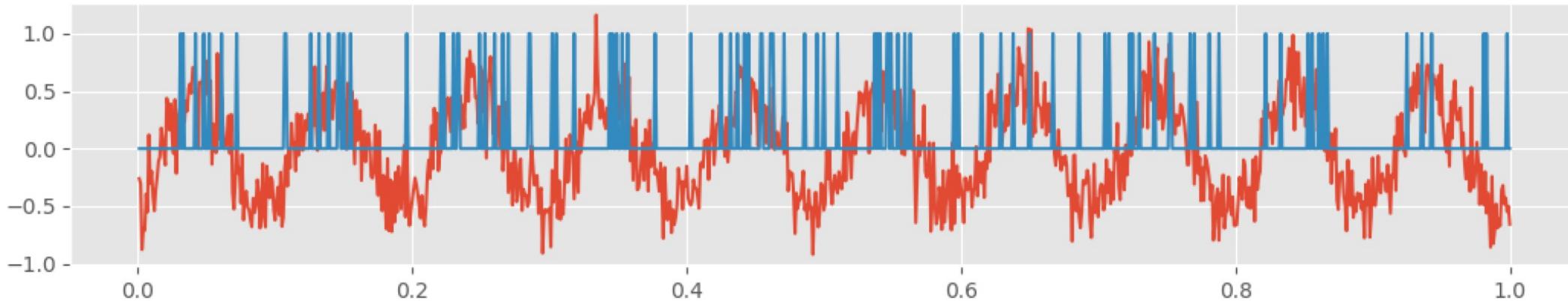


Same equations ... but new problems

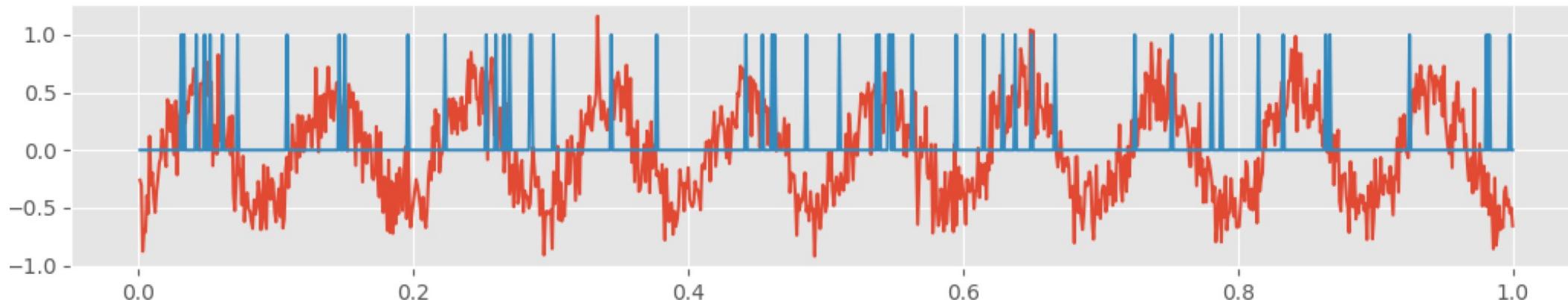
Spike-field coherence: dependence on rate

Q: Does the spike-field coherence depend on the firing rate of the neuron?

Original **spike** & **field** data for 1 trial



“Thinned” **spike** & **field** (remove 50% of spikes, chosen at random)



Spike-field coherence: dependence on rate

Q: Does the spike-field coherence depend on the firing rate of the neuron?

Here, rate: expected number of spikes in a given duration

Try it ... <https://github.com/Mark-Kramer/NESS-Short-Course-2023>

NESS-Short-Course-2023

This repository contains code discussed in the short course *Introduction to the analysis of neural electrophysiology data* at NESS 2023.

[Lecture slides \(Part 1\)](#)

Notebook	Run It
Spectrum	 Open in Colab
Coherence	 Open in Colab
Spike-field coherence	 Open in Colab

Spike-field coherence: dependence on rate

Q: Does the spike-field coherence depend on the firing rate of the neuron?

Observations:

greater thinning → fewer spikes → lower coherence

as the rate tends to 0, so does the spike-field coherence

The spike-field coherence reflects

- (1) the relationship between spiking activity and the phase of field, and
- (2) the mean firing rate.

[Lepage et al., Neural Comp, 2011]

Spike-field coherence: dependence on rate

Q: So what next?

Q: If, in your experiment, the overall spike rate differs between two neurons, then how do you compare the spike-field coherence?

- include a rate adjustment factor in the coherence measure to account for rate dependence.
- build a generalized linear model to separate overall neural activity from spike train-LFP oscillatory coupling.

Journal of Neuroscience Methods 240 (2015) 141–153



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Computational Neuroscience

Rate-adjusted spike-LFP coherence comparisons from spike-train statistics

Mikio C. Aoi^{a,*}, Kyle Q. Lepage, Mark A. Kramer, Uri T. Eden

Department of Mathematics & Statistics, Boston University, Boston, MA 02215, USA

Journal of Neuroscience Methods 213 (2013) 43–62



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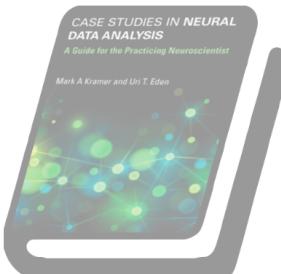
Computational Neuroscience

A procedure for testing across-condition rhythmic spike-field association change

Kyle Q. Lepage^{a,*}, Georgia G. Gregorios^{b,c}, Mark A. Kramer^a, Mikio Aoi^a, Stephen J. Gotts^d, Uri T. Eden^a, Robert Desimone^e

Additional resources

<https://mark-kramer.github.io/Case-Studies-Python/intro.html>



Case Studies in Neural Data Analysis

Search this book...

1. Python for the practicing neuroscientist
2. The Event-Related Potential
3. The Power Spectrum (Part 1)
4. The Power Spectrum (Part 2)
5. Analysis of Coupled Rhythms
6. Filtering Field Data
7. Cross-Frequency Coupling
8. Basic Analysis of Spike Train Data

←

Case-Studies-Python

This repository is a companion to the textbook [Case Studies in Neural Data Analysis](#), by Mark Kramer and Uri Eden. That textbook uses MATLAB to analyze examples of neuronal data. The material here is similar, except that we use Python.

The intended audience is the *practicing neuroscientist* - e.g., the students, researchers, and clinicians collecting neuronal data in the hospital or lab. The material can get pretty math-heavy, but we've tried to outline the main concepts as directly as possible, with hands-on implementations of all concepts. We focus on only two main types of data: spike trains and electric fields (such as the local field potential [LFP], or electroencephalogram [EEG]). If you're interested in other data (e.g., calcium imaging, or BOLD), you may still find the examples indirectly useful (for example, demonstrations of how to compute and interpret a power spectrum of a signal).

This repository was created by Emily Schlafly and Mark Kramer, with important contributions from Dr. Anthea Cheung.

Thank you to:

- [MIT Press](#) for publishing the MATLAB version of this material.
- [NIH NIGMS R25GM114827](#) and [NSF DMS #1451384](#) for support.

Quick start to learning Python for neural data analysis:

- Visit the [web-formatted version of the book](#).
- Watch this [2 minute video](#).
- Read and interact with the Python code in your web browser.

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Prof. Uri Eden