

# SYMBOLIC POWERS AND FREE RESOLUTIONS

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# BACKGROUND

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## Symbolic Power

The  $n$ -th **symbolic power** of a radical ideal  $I$  in a regular ring  $R$  is

$$I^{(n)} = \bigcap_{P \in \text{Min}(R/I)} (I^n R_P \cap R).$$

## How do symbolic powers compare to ordinary powers?

- (1)  $I^n \subseteq I^{(n)}$  for all  $n \geq 1$ .
- (2)  $I^{(n+1)} \subseteq I^{(n)}$  for all  $n \geq 1$ .

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- (3) If  $I$  is generated by a regular sequence, then  $I^n = I^{(n)}$  for all  $n$ .
- (4) In general,  $I^n \neq I^{(n)}$ .

## Containment Problem (Schenzel)

When is  $I^{(b)} \subseteq I^a$ ?

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### DOES THE QUESTION MAKE SENSE?

For every  $a$  there exists a  $b$  such that  $I^{(b)} \subseteq I^a$  if and only if the  $I$ -adic and  $I$ -symbolic topologies are equivalent.



## Theorem (Swanson, 2000)

*Let  $I$  be a radical ideal in a noetherian local ring. If the  $I$ -adic and  $I$ -symbolic topologies are equivalent, there exists a constant  $k$  such that  $I^{(kn)} \subseteq I^n$  for all  $n$ .*

Theorem (Ein-Lazarsfeld-Smith, 2001, Hochster-Huneke, 2002, Ma-Schwede, 2017)

*Let  $I$  be a radical ideal in a regular ring  $R$  and  $h$  be the maximal height of a minimal prime of  $I$ . Then for all  $n \geq 1$ ,  $I^{(hn)} \subseteq I^n$ .*

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### EXAMPLE

$P \subseteq R = k[x, y, z]$  the defining ideal of  $k[t^3, t^4, t^5]$ .

$$h = 2 \Rightarrow P^{(2n)} \subseteq P^n \Rightarrow P^{(4)} \subseteq P^2.$$

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In fact,  $P^{(3)} \subseteq P^2$ .

### Question (Huneke, 2000)

Let  $P$  be a height 2 prime in a regular local ring. Is  $P^{(3)} \subseteq P^2$ ?

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### Conjecture (Harbourne, $\leq$ 2008)

Let  $I$  be a radical ideal in a regular ring, and let  $h$  be the maximal height of a minimal prime of  $I$ . For all  $n \geq 1$ ,

$$I^{(hn-h+1)} \subseteq I^n.$$

## Theorem (Hochster–Huneke)

*Let  $I$  be a radical ideal of big height  $h$  in a regular ring of characteristic  $p > 0$ . Then for all  $q = p^e$ ,*

$$I^{(hq)} \subseteq I^{[q]} \subseteq I^q.$$

Notation:  $I^{[q]} = (f^q \mid f \in I)$ .

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## Harbourne's Conjecture

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DUMNICKI, SZEMBERG, TUTAJ-GASIŃSKA, 2015

There exists a radical ideal in  $\mathbb{C}[x, y, z]$  such that  $I^{(3)} \not\subseteq I^2$ :

$$I = (z(x^3 - y^3), x(y^3 - z^3), y(z^3 - x^3)).$$

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## When does Harbourne's Conjecture hold?

- For general points in  $\mathbb{P}^2$  (Harbourne–Huneke),  $\mathbb{P}^3$  (Dumnicki).
- If  $R/I$  is an  $F$ -pure ring (G–Huneke).  
Eg, when  $I$  is a squarefree monomial ideal, or when  $R/I$  is direct summand of a polynomial ring.

# AN HOMOLOGICAL QUESTION

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## Huneke's Question

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If  $P$  is a prime of height 2 in a  $k[[x, y, z]]$ , is  $P^{(3)} \subseteq P^2$ ?

## Theorem (–)

*Let  $k$  be a field of characteristic not 3, let  $a$ ,  $b$  and  $c$  be integers, and let  $P$  be the defining ideal of  $k[[t^a, t^b, t^c]]$ . Then*

$$P^{(3)} \subseteq P^2.$$

## Monomial space curves

Let  $k$  be a field. The kernel of the map

$$k[[x, y, z]] \longrightarrow k[[t^a, t^b, t^c]] \subseteq k[[t]]$$

is a prime ideal of height 2, generated by the maximal minors of

$$\begin{pmatrix} x^{\alpha_3} & y^{\beta_1} & z^{\gamma_2} \\ z^{\gamma_1} & x^{\alpha_2} & y^{\beta_3} \end{pmatrix}.$$

## And the ideals are...

We want to study the height 2 ideals  $I = I_2(M) \subseteq R = k[[x, y, z]]$  generated by the  $2 \times 2$  minors of

$$M = \begin{pmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \end{pmatrix}$$

We write

$$I = \left( \underbrace{a_2 b_3 - a_3 b_2}_{f_1}, \underbrace{a_3 b_1 - a_1 b_3}_{f_2}, \underbrace{a_1 b_2 - a_2 b_1}_{f_3} \right)$$



## Fermat configurations

The ideal

$$I = (x(y^3 - z^3), y(z^3 - x^3), z(x^3 - y^3)) = I_2 \begin{pmatrix} x^2 & y^2 & z^2 \\ yz & xz & xy \end{pmatrix}$$

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Alexandra Seceleanu found conditions that imply  $I^{(3)} \not\subseteq I^2$ . We are going to follow her strategy to find conditions that imply  $I^{(a)} \subseteq I^b$ .

We know the symbolic powers of our ideals!

For all  $n \geq 1$ ,  $I^{(n)} = (I^n : \mathfrak{m}^\infty) = \bigcup_{k \geq 1} (I^n : \mathfrak{m}^k)$ . So

$$H_{\mathfrak{m}}^0(R/I^n) = I^{(n)}/I^n.$$

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An homological criterion

For  $a \geq b$ , consider  $I^a \subseteq I^b$  and  $R/I^a \twoheadrightarrow R/I^b$ . TFAE:

- $I^{(a)} \subseteq I^b$ .
- $H_{\mathfrak{m}}^0(R/I^a) \rightarrow H_{\mathfrak{m}}^0(R/I^b)$  vanishes.
- $\text{Ext}_R^3(R/I^b, R) \rightarrow \text{Ext}_R^3(R/I^a, R)$  vanishes.
- $\text{Ext}_R^2(I^b, R) \rightarrow \text{Ext}_R^2(I^a, R)$  vanishes.

## An homological criterion

$I^{(a)} \subseteq I^b$  if and only if  $\text{Ext}_R^2(I^b, R) \rightarrow \text{Ext}_R^2(I^a, R)$  vanishes.

## One possible approach

$$\begin{array}{ccccccc} 0 & \longrightarrow & F_2 & \longrightarrow & F_1 & \longrightarrow & F_0 \longrightarrow I^b \longrightarrow 0 \\ & & \uparrow & & \uparrow & & \uparrow \\ & & | & & | & & | \\ 0 & \longrightarrow & G_2 & \longrightarrow & G_1 & \longrightarrow & G_0 \longrightarrow I^a \longrightarrow 0 \end{array}$$

## Rees algebra

The Rees algebra of  $I$  is the graded algebra

$$\mathcal{R}(I) = \bigoplus I^n t^n \subseteq R[t].$$

There is a graded map

$$\begin{array}{ccc} R[T_1, T_2, T_3] & \twoheadrightarrow & \mathcal{R}(I) \\ T_i & \longmapsto & f_i t \end{array}$$

but determining what the kernel of this map is can be a very difficult task. Thankfully, things are easy in our setting.

When

$$I = I_2 \begin{pmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \end{pmatrix}$$

we have

$$\mathcal{R}(I) \cong R[T_1, T_2, T_3]/(a_1 T_1 + a_2 T_2 + a_3 T_3, b_1 T_1 + b_2 T_2 + b_3 T_3).$$

This is a complete intersection, so the Koszul complex is a resolution of  $\mathcal{R}(I)$  over  $R[T_1, T_2, T_3]$ . The strand in degree  $n$  gives a resolution of  $I^n$ .

$$0 \longrightarrow R^{\binom{n}{2}} \longrightarrow R^{\binom{n+1}{2}} \oplus R^{\binom{n+1}{2}} \longrightarrow R^{\binom{n+2}{2}} \longrightarrow I^n \longrightarrow 0$$



$$0 \longrightarrow R^{(n)}_2 \longrightarrow R^{(n+1)}_2 \oplus R^{(n+1)}_2 \longrightarrow R^{(n+2)}_2 \longrightarrow I^n \longrightarrow 0$$

## The Euler operator

The differential  $D = f_1 \frac{\partial}{\partial T_1} + f_2 \frac{\partial}{\partial T_2} + f_3 \frac{\partial}{\partial T_3}$  on  $R[T_1, T_2, T_3]$  induces the map  $n\iota : I^n t^n \rightarrow I^{n-1} t^{n-1}$ , where  $\iota$  is the map induced by the inclusion  $I^n \subseteq I^{n-1}$ .

$$\begin{array}{ccccccc}
 0 & \longrightarrow & R^{(n-1)}_2 & \longrightarrow & R^{(n)}_2 \oplus R^{(n)}_2 & \longrightarrow & R^{(n+1)}_2 \longrightarrow I^{n-1} \longrightarrow 0 \\
 & & \uparrow D_{n-2} & & \uparrow D_{n-1} & & \uparrow D_n \\
 0 & \longrightarrow & R^{(n)}_2 & \longrightarrow & R^{(n+1)}_2 \oplus R^{(n+1)}_2 & \longrightarrow & R^{(n+2)}_2 \longrightarrow I^n \longrightarrow 0 \\
 & & & & & & \uparrow n\iota
 \end{array}$$

$$\begin{array}{ccccccccc}
0 & \longleftarrow & R_{(2)}^{(b)} & \longleftarrow & R_{(2)}^{(b+1)} \oplus R_{(2)}^{(b+1)} & \longleftarrow & F_0 & \longleftarrow & I^b & \longleftarrow & 0 \\
& & \downarrow C & & \downarrow & & \downarrow & & \downarrow & & \\
0 & \longleftarrow & R_{(2)}^{(a)} & \xleftarrow{E} & R_{(2)}^{(a+1)} \oplus R_{(2)}^{(a+1)} & \longleftarrow & R_{(2)}^{(a+2)} & \longleftarrow & I^a & \longleftarrow & 0
\end{array}$$

$I^{(a)} \subseteq I^b$  if and only if all the columns of  $C$  are in the image of  $E$ .

We need to solve an explicit linear algebra question.

### Theorem (Seceleanu)

*The containment  $I^{(3)} \subseteq I^2$  is equivalent to*

$$\begin{pmatrix} f_1 \\ f_2 \\ f_3 \end{pmatrix} \in \text{im} \begin{pmatrix} a_1 & a_2 & a_3 & 0 & 0 & 0 & b_1 & b_2 & b_3 & 0 & 0 & 0 \\ 0 & a_1 & 0 & a_2 & a_3 & 0 & 0 & b_1 & 0 & b_2 & b_3 & 0 \\ 0 & 0 & a_1 & 0 & a_2 & a_3 & 0 & 0 & b_1 & 0 & b_2 & b_3 \end{pmatrix}.$$

$$I = I_2 \begin{pmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \end{pmatrix}.$$

## Lemma (-)

$$\begin{pmatrix} f_1 \\ f_2 \\ f_3 \end{pmatrix} \in \operatorname{im} E \Leftrightarrow \begin{pmatrix} f_1 \\ 0 \\ 0 \end{pmatrix} \in \operatorname{im} E \Leftrightarrow \begin{pmatrix} 0 \\ f_2 \\ 0 \end{pmatrix} \in \operatorname{im} E \Leftrightarrow \begin{pmatrix} 0 \\ 0 \\ f_3 \end{pmatrix} \in \operatorname{im} E.$$

## Theorem (G–Huneke–Mukundan)

*Let  $k$  be a field of characteristic not 3, and  $I \subseteq k[[x, y, z]]$  be the height 2 ideal generated by the maximal minors of*

$$M = \begin{pmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \end{pmatrix}$$

*If  $I_1(M)$  is generated by 5 or less elements, then  $I^{(3)} \subseteq I^2$ .*

## Fermat configurations

For  $R = k[x, y, z]$ , and

$$\begin{aligned} I &= (x(y^3 - z^3), y(z^3 - x^3), z(x^3 - y^3)) \\ &= I_2 \begin{pmatrix} x^2 & y^2 & z^2 \\ yz & xz & xy \end{pmatrix} \end{aligned}$$

Fun fact: if we switch the order of the entries, we get an ideal  $I$  with  $I^{(3)} \subseteq I^2$ .

## Theorem (–)

*Let  $k$  be a field of characteristic not 3, let  $a$ ,  $b$  and  $c$  be integers, and let  $P$  be the defining ideal of  $k[[t^a, t^b, t^c]]$ . Then*

$$P^{(3)} \subseteq P^2 \text{ and } P^{(5)} \subseteq P^3.$$

## Theorem (–)

*Let  $k$  be a field of characteristic not 2 nor 3,  $a \leq b \leq c$  integers,  $a = 3$  or 4, and let  $P$  be the defining ideal of  $k[[t^a, t^b, t^c]]$ . Then*

$$P^{(4)} \subseteq P^3.$$

*As a consequence,  $P^{(2n-2)} \subseteq P^n$  for all  $n \gg 0$ .*



## EXAMPLE

The defining ideal  $P$  of  $k[t^9, t^{11}, t^{14}]$  has  $P^{(4)} \not\subseteq P^3$ , but according to Macaulay2 computations,

$$P^{(2 \times 4 - 2 = 6)} \subseteq P^4,$$

so  $P^{(2n-2)} \subseteq P^n$  for all  $n \gg 0$ .

Obrigada!