

# Math 971 Algebraic Topology

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We have so far introduced two homologies; simplicial,  $H_*^\Delta$ , whose computation “only” required some linear algebra, and singular,  $H_*$ , which is formally less difficult to work with, and which, you may suspect by now, is also becoming less difficult to compute... For  $\Delta$ -complexes, these homology groups are the same,  $H_n^\Delta(X) \cong H_n(X)$  for every  $X$ . In fact, the isomorphism is induced by the inclusion  $C_n^\Delta(X) \subseteq C_n(X)$ . And we have now assembled all of the tools necessary to prove this. Or almost; we need to note that most of the edifice we have built for singular homology could have been built for simplicial homology, including relative homology (for a sub- $\Delta$ -complex  $A$  of  $X$ ), and a SES of chain groups, giving a LES sequence for the pair,

$$\cdots \rightarrow H_n^\Delta(A) \rightarrow H_n^\Delta(X) \rightarrow H_n^\Delta(X, A) \rightarrow H_{n-1}^\Delta(A) \rightarrow \cdots$$

The proof of the isomorphism between the two homologies proceeds by first showing that the inclusion induces an isomorphism on  $k$ -skeleta,  $H_n^\Delta(X^{(k)}) \cong H_n(X^{(k)})$ , and this goes by induction on  $k$  using the Five Lemma applied to the diagram

$$\begin{array}{ccccccccc} H_{n+1}^\Delta(X^{(k)}, X^{(k-1)}) & \rightarrow & H_n^\Delta(X^{(k-1)}) & \rightarrow & H_n^\Delta(X^{(k)}) & \rightarrow & H_n^\Delta(X^{(k)}, X^{(k-1)}) & \rightarrow & H_{n-1}^\Delta(X^{(k-1)}) \\ \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ H_{n+1}(X^{(k)}, X^{(k-1)}) & \rightarrow & H_n(X^{(k-1)}) & \rightarrow & H_n(X^{(k)}) & \rightarrow & H_n(X^{(k)}, X^{(k-1)}) & \rightarrow & H_{n-1}(X^{(k-1)}) \end{array}$$

The second and fifth vertical arrows are, by an inductive hypothesis, isomorphisms. The first and fourth vertical arrows are isomorphisms because, essentially, we can, in each case, identify these groups.  $H_n(X^{(k)}, X^{(k-1)}) \cong H_n(X^{(k)}/X^{(k-1)}) \cong \tilde{H}_n(\vee S^k)$  are either 0 (for  $n \neq k$ ) or  $\oplus \mathbb{Z}$  (for  $n = k$ ), one summand for each  $n$ -simplex in  $X$ . But the same is true for  $H_n^\Delta(X^{(k)}, X^{(k-1)})$ ; and for  $n = k$  the generators are precisely the  $n$ -simplices of  $X$ . The inclusion-induced map takes generators to generators, so is an isomorphism. So by the Five Lemma, the middle rows are also isomorphisms, completing our inductive proof.

Returning to  $H_n^\Delta(X) \xrightarrow{I_*} H_n(X)$ , we wish now to show that this map is an isomorphism. Any  $[z] \in H_n(X)$  is represented by a cycle  $z = \sum a_i \sigma_i$  for  $\sigma_i : \Delta^n \rightarrow X$ . But each  $\sigma_i(\Delta^n)$  is a compact subset of  $X$ , and so meets only finitely-many cells of  $X$ . This is true for every singular simplex, and so there is a  $k$  for which all of the simplices map into  $X^{(k)}$ , and so we may treat  $z \in C_n(X^{(k)})$ . Thought of in this way, it is still a cycle, and so  $[z] \in H_n(X^{(k)}) \cong H_n^\Delta(X^{(k)})$  so there is a  $z' \in C_n^\Delta(X^{(k)})$  and a  $w \in C_{n+1}(X^{(k)})$  with  $i_{\#} z' - z = \partial w$ . But thinking of  $z' \in C_n^\Delta(X)$  and  $w \in C_{n+1}(X)$ , we have the same equality, so  $[z'] \in H_n^\Delta(X)$  and  $i_*[z'] = [z]$ . So  $i_*$  is surjective. If  $i_*([z]) = 0$ , then the cycle  $z = \sum a_i \sigma_i$  is a sum of characteristic maps of  $n$ -simplices of  $X$ , and so can be thought of as an element of  $C_n^\Delta(X^n)$ . Being 0 in  $H_n(X)$ ,  $z = \partial w$  for some  $w \in C_{n+1}(X)$ . But as before,  $w \in C_n(X^r)$  for some  $r$ , and so thought of as an element of the image of the isomorphism  $i_* : H_n^\Delta(X^r) \rightarrow H_n(X^r)$ ,  $i_*([z]) = 0$ , so  $[z] = 0$ . So  $z = \partial u$  for some  $u \in C_{n+1}^\Delta(X^r) \subseteq C_{n+1}^\Delta(X)$ . So  $[z] = 0$  in  $H_n^\Delta(X)$ . Consequently, simplicial and singular homology groups are isomorphic.

One consequence of this fact is that we can prove the topological invariance of the **Euler characteristic** of a space  $X$ . If  $X$  is a  $\Delta$ -complex made up of a finite number of simplices, then we can count the number  $m_i$  of  $i$ -simplices in the  $\Delta$ -complex structure of  $X$ . The Euler characteristic of  $X$  is then defined to be

the alternating sum  $\chi(X) = \sum_{i=0}^{\infty} (-1)^i m_i$ . Now, as a topological space,  $X$  can be given many different

$\Delta$ -complex structures, and  $\chi(X)$  is a priori a number which depends on the structure, not just on  $X$ . But once we note that  $m_i$  is the rank of the (simplicial) chain group  $C_i^\Delta(X)$  (there is one generator for each  $i$ -simplex), we find that  $\chi(X) = \sum_{i=0}^N (-1)^i \text{rank}(C_i(X))$ , and then the following result from homological algebra establishes the topological invariance of this number:

**Proposition:** If  $\cdots 0 \rightarrow C_n \rightarrow \cdots \rightarrow C_1 \rightarrow C_0 \rightarrow 0$  is a chain complex, with every chain group having finite rank, then

$$\sum_{i=0}^n (-1)^i \text{rank}(C_i) = \sum_{i=0}^n (-1)^i \text{rank}(H_i(C)) .$$

The proof follows from cleverly applying the fact that since  $H_i(\mathcal{C}) = \ker \partial_i / \text{im} \partial_{i+1}$ ,  $z_i = \text{rank}(\ker \partial_i) = \text{rank}(H_i(\mathcal{C})) + \text{rank}(\text{im} \partial_{i+1}) = h_i + b_{i+1}$ , so  $h_i = z_i - b_{i+1}$ , together with the fact that since (by Noether)  $\text{im}(\partial_i) \cong C_i / \ker(\partial_i)$ , so  $c_i = \text{rank}(C_i) = z_i + b_i$ . We therefore have

$$\sum_{i=0}^n (-1)^i \text{rank}(H_i(\mathcal{C})) = \sum_{i=0}^n (-1)^i h_i = \sum_{i=0}^n (-1)^i (z_i - b_{i+1}) = \sum_{i=0}^n (-1)^i z_i - \sum_{i=0}^n (-1)^i b_{i+1} = \sum_{i=0}^n (-1)^i z_i + \sum_{i=0}^n (-1)^i b_i = \sum_{i=0}^n (-1)^i (z_i + b_i) = \sum_{i=0}^n (-1)^i \text{rank}(C_i) \quad \text{as desired.}$$

Consequently,  $\chi(X) = \sum_{i=0}^N (-1)^i \text{rank}(C_i^\Delta(X)) = \sum_{i=0}^N (-1)^i \text{rank}(H_i^\Delta(X)) = \sum_{i=0}^N (-1)^i \text{rank}(H_i(X))$ , which is an invariant of  $X$ , since the singular homology groups are!

The fact that this number has two different interpretations leads to some non-trivial results. First, it tells us that the Euler characteristic calculation is independent of how we express a space  $X$  as a  $\Delta$ -complex.  $\chi$  is also actually invariant under homotopy equivalence, since the homology groups are; so homotopy equivalent spaces have the same Euler *chi*. Consequently, all contractible spaces, for example, must have Euler characteristic = 1.

Next, by the lifting criterion, if  $p : \tilde{X} \rightarrow X$  is a  $k$ -fold covering space of a  $\Delta$ -complex  $X$ , then  $\tilde{X}$  can be given a  $\Delta$ -complex structure with  $k$  times as many  $i$ -simplices as  $X$ , for every  $i$  (lift the characteristic maps of the simplices of  $X$ ...). So  $\chi(\tilde{X}) = k \cdot \chi(X)$ . This gives a necessary condition for one space to be a covering of another; its Euler  $\chi$  must be a multiple of the other. For example, from our homology calculations, it follows that for a closed orientable surface  $F_g$  of genus  $g$ ,  $\chi(F_g) = 2 - 2g$ . So a  $k$ -fold covering of  $F_g$  will have Euler  $\chi$  equal to  $k(2 - 2g) = 2k - 2kg = 2 - 2(kg - k + 1)$ , and so is a surface of genus  $kg - k + 1$ . [The converse, that a surface with this genus  $k$ -fold covers  $F_g$ , can be established by building the coverings directly.] Consequently,  $F_5$  is a 2-fold covering of  $F_3$ , so there is a subgroup of index 2 of  $\pi_1(F_3)$  isomorphic to  $\pi_1(F_5)$ , but  $F_6$  is not a finite-sheeted cover of  $F_3$ , because  $-4 \nmid -10$ . [It is also not an infinite-sheeted covering, because their total spaces are non-compact...] Consequently,  $\pi_1(F_6)$  is not isomorphic to a subgroup of  $\pi_1(F_3)$ .

Some more topological results with homological proofs: The Klein bottle and real projective plane cannot embed in  $\mathbb{R}^3$ . This is because a surface  $\Sigma$  embedded in  $\mathbb{R}^3$  has a (the proper word is *normal*) neighborhood  $N(\Sigma)$ , which deformation retracts to  $\Sigma$ ; literally, it is all points within a (uniformly) short distance in the normal direction from the point on the surface  $\Sigma$ . Our non-embeddedness result follows (by contradiction) from applying Mayer-Vietoris to the pair  $(A, B) = (\overline{N(\Sigma)}, \mathbb{R}^3 \setminus N(\Sigma))$ , whose intersection is the boundary  $F = \partial N(\Sigma)$  of the normal neighborhood. The point, though, is that  $F$  is an orientable surface; the outward normal (pointing away from  $N(\Sigma)$ ) at every point, taken as the first vector of a right-handed orientation of  $\mathbb{R}^3$  allows us to use the other two vectors as an orientation of the surface. So  $F$  is one of the surface  $F_g$  above whose homologies we just computed. This gives the LES  $\tilde{H}_2(\mathbb{R}^3) \rightarrow \tilde{H}_1(F) \rightarrow \tilde{H}_1(A) \oplus \tilde{H}_1(B) \rightarrow \tilde{H}_1(\mathbb{R}^3)$  which renders as  $0 \rightarrow \mathbb{Z}^{2g} \rightarrow \tilde{H}(\Sigma) \oplus G \rightarrow 0$ , i.e.,  $\mathbb{Z}^{2g} \cong \tilde{H}(\Sigma) \oplus G$ . But for the Klein bottle and projective plane (or any closed, non-orientable surface for that matter),  $\tilde{H}_1(\Sigma)$  has torsion, so it cannot be the direct summand of a torsion-free group! So no such embedding exists. This result holds more generally for any 2-complex  $K$  whose (it turns out it would have to be first) homology has torsion; any embedding into  $\mathbb{R}^3$  would have a neighborhood deformation retracting to  $K$ , with boundary a (for the exact same reasons as above) closed orientable surface.

Another: if  $\mathbb{R}^n \cong \mathbb{R}^m$ , via  $h$ , then  $n = m$ . This is because we can arrange, by composing with a translation, that  $h(0) = 0$ , and then we have  $(\mathbb{R}^n, \mathbb{R}^n \setminus 0) \cong (\mathbb{R}^m, \mathbb{R}^m \setminus 0)$ , which gives

$$\begin{aligned} \tilde{H}_i(S^{n-1}) &\cong H_{i+1}(\mathbb{D}^n, \partial \mathbb{D}^n) \cong H_{i+1}(\mathbb{D}^n, \mathbb{D}^n \setminus 0) \cong H_{i+1}(\mathbb{R}^n, \mathbb{R}^n \setminus 0) \cong H_{i+1}(\mathbb{R}^m, \mathbb{R}^m \setminus 0) \\ &\cong H_{i+1}(\mathbb{D}^m, \mathbb{D}^m \setminus 0) \cong H_{i+1}(\mathbb{D}^m, \partial \mathbb{D}^m) \cong \tilde{H}_i(S^{m-1}) \end{aligned}$$

Setting  $i = n - 1$  gives the result, since  $\tilde{H}_{n-1}(S^{n-1}) \cong \mathbb{Z}$  implies  $n - 1 = m - 1$ .

More generally, we can establish a result which is known as *invariance of domain*, which is useful in both topology and analysis.

**Invariance of Domain:** If  $\mathcal{U} \subseteq \mathbb{R}^n$  and  $f : \mathcal{U} \rightarrow \mathbb{R}^n$  is continuous and injective, then  $f(\mathcal{U}) \subseteq \mathbb{R}^n$  is open.

We will defer this proof for awhile (perhaps permanently?).

Note it is enough to prove this for our favorite open set, which in this context will be  $\mathcal{V} = (-1, 1)^n \subseteq \mathbb{R}^n$ , since given any open  $\mathcal{U}$  and  $x \in \mathcal{U}$ , we can find an injective linear map  $h : (-1, 1)^n \rightarrow \mathcal{U}$  taking 0 to  $x$ . If we can show that  $f \circ h$  has open image, then  $f(x) \in f \circ h(\mathcal{V}) \subseteq f(\mathcal{U})$  shows that  $f(x)$  has an open neighborhood in  $f(\mathcal{U})$ . Since  $x$  is arbitrary,  $f(\mathcal{U})$  is open.

This in turn implies the “other” invariance of domain; if  $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$  is continuous and injective, then  $n \leq m$ , since if not, then composition of  $f$  with the inclusion  $i : \mathbb{R}^m \rightarrow \mathbb{R}^n$ ,  $i(x_1, \dots, x_m) = (x_1, \dots, x_m, 0, \dots, 0)$  is injective and continuous with non-open image (it lies in a hyperplane in  $\mathbb{R}^n$ ), a contradiction.