

# CARDINALITY AND THE AXIOM OF CHOICE

Fall, 1981

Definition. Two sets,  $A$  and  $B$ , have the same cardinality iff there exists a 1 - 1, onto function  $f : A \rightarrow B$ .

The cardinality of a set  $A$  is denoted  $|A|$ .

Let  $N$  be the set of positive integers,  $Z$  the set of all integers,  $Q$  the set of rational numbers,  $R$  the set of real numbers.

Theorem Car. 1.  $|N| = |Z|$ .

Theorem Car. 2. Every subset of  $N$  is either finite or has the same cardinality as  $N$ .

Definition. A set which is finite or has the same cardinality as  $N$  is countable or has countable cardinality.

Theorem Car. 3.  $Q$  is countable.

Theorem Car. 4. The countable union of countable sets is countable.

Definition. For any set  $A$ ,  $2^A$  denotes the set of all subsets of  $A$ . (The empty set, denoted  $\emptyset$ , is a subset of any set.)  $2^A$  is called the power set of  $A$ .

Theorem Car. 5. For any set  $A$ , there is a 1 - 1, function  $f$  from  $A$  into  $2^A$ .

Theorem Car. 6. For a set  $A$ , let  $P$  be the set of all functions from  $A$  to the two point set  $\{0,1\}$ . Then  $|P| = |2^A|$ .

Theorem Car. 7.(Cantor). There is no one-to-one function from a set  $A$  to  $2^A$ .

Definition. A set is infinite iff it contains a subset with the same cardinality as  $N$ .

Theorem Car. 8. A set is infinite if and only if there is a one-to-one function from the set into a proper subset of itself.

Theorem Car 9. (Schroeder-Bernstein) If  $A$  and  $B$  are sets so that there exist one-to-one functions  $f$  from  $A$  into  $B$  and  $g$  from  $B$  into  $A$ , then  $|A| = |B|$ .

Below are listed Zorn's Lemma, the Axiom of Choice, and the Well-Ordering Principle. These three statements are equivalent and are used freely in most standard mathematics. We will use them freely in this course.

Definitions 1. A set  $X$  is partially ordered by the relation

$\leq$  iff, for any elements  $x, y$ , and  $z$  in  $X$ ,

(i) if  $x \leq y$  and  $y \leq z$ , then  $x \leq z$ , and

(ii) if  $x \leq y$  and  $y \leq x$ , then  $x = y$ .

2. Let  $X$  be a set partially ordered by  $\leq$ . Then an element  $m$  in  $X$  is a maximal element iff for any  $x$  in  $X$ ,  $m \leq x$  implies that  $m = x$ .

3. A set is totally ordered iff it is partially ordered and every two elements are comparable.

Car.3.

4. A set is well-ordered iff it is totally ordered and every non-empty subset has a least element.

Theorem Car. 10.  $\mathbb{R}$  with the usual ordering is totally ordered, but not well-ordered.  $\mathbb{N}$  is well-ordered.

Example. For any set  $A$ , the set  $2^A$  is partially ordered by set inclusion. The set  $A$  is a maximal element, and, in fact, the only maximal element in this ordering.

Theorem Car. 11. Any subset of a well-ordered set is well-ordered by the same ordering restricted to the subset.

Zorn's Lemma. Let  $X$  be a partially ordered set in which each totally ordered subset has an upper bound. Then  $X$  has a maximal element.

Axiom of Choice. Let  $\{A_\alpha\}_{\alpha \in \lambda}$  be a collection of non-empty sets. Then there is a function  $f: \lambda \rightarrow \bigcup_{\alpha \in \lambda} A_\alpha$  so that for each  $\alpha$  in  $\lambda$ ,  $f(\alpha)$  is an element of  $A_\alpha$ .

Well-ordering Principle. Every set can be well-ordered.

Definition. The ordinal numbers with which everyone is familiar are the non-negative integers:  $0, 1, 2, 3, \dots$

We can continue to count beyond the finite ordinals by the following method. Let the set of non-negative integers be

given a name, namely  $\omega_0$ . The next ordinal will be defined to be the set of its predecessors (which have already been defined). In this manner the ordinals are defined, each as a set, namely its predecessors. Below are written the first ordinals:

$$0, 1, 2, \dots \omega_0, \omega_0+1, \omega_0+2, \dots 2\omega_0, 2\omega_0+1, \dots \omega_1, \omega_1+1, \dots \omega_2, \dots$$

The ordinal  $\omega_1$  is the first ordinal whose cardinality is greater than the cardinality of  $\omega_0$ . Likewise,  $\omega_2$  is by definition, the first ordinal whose cardinality is greater than  $\omega_1$ , etc.

$\omega_0, \omega_1, \omega_2, \dots$  are called cardinal numbers and the bars are omitted when referring to them as cardinalities, even though technically they should be there.

Theorem Car. 12. If  $A$  is an infinite set, then the countable union of sets of  $|A|$  has  $|A|$ .

Definition\*. Let  $X$  be a set totally ordered by  $\leq$  and let  $x \in X$ . Then  $I(x) = \{y \in X \mid y \neq x, y \leq x\}$  is called an initial segment.

Theorem Car. 13. Let  $X$  and  $Y$  be well-ordered sets. Then precisely one of the following is true:

(i) There is a function  $f$  from  $X$  to  $Y$  which is one-to-one, onto, and order preserving.

(ii) There is a  $y$  in  $Y$  and a function  $f$  from  $X$  to  $I(y)$  which is one-to-one, onto, and order preserving.

Car. 5.

(iii) There is an  $x$  in  $X$  and a function  $f$  from  $Y$  to  $I(x)$  which is one-to-one, onto and order preserving.

Theorem Car. 14. Let  $A$  and  $B$  be sets. Then either there is a one-to-one function from  $A$  to  $B$  or from  $B$  to  $A$ .

Definition. Cardinalities are ordered. We write  $|A| \leq |B|$  iff there is a one-to-one function from  $A$  to  $B$ .

Theorem Car. 15. Cardinalities are well-ordered by  $\leq$  above.

## General Topology

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Definitions. 1. Suppose  $X$  is a set. Then  $\mathcal{T}$  is a topology for  $X$  if and only if  $\mathcal{T}$  is a collection of subsets of  $X$  such that

- i)  $\emptyset \in \mathcal{T}$ ,
- ii)  $X \in \mathcal{T}$ ,
- iii) if  $A \in \mathcal{T}$  and  $B \in \mathcal{T}$ , then  $A \cap B \in \mathcal{T}$ ,
- iv) if  $\{A_\alpha\}_{\alpha \in \lambda}$  is any collection of sets each of which is in  $\mathcal{T}$ ,  
then  $\bigcup_{\alpha \in \lambda} A_\alpha \in \mathcal{T}$ .

2. A topological space is an ordered pair  $(X, \mathcal{T})$  where  $X$  is a set and  $\mathcal{T}$  is a topology for  $X$ .

3. If  $(X, \mathcal{T})$  is a topological space, then  $U$  is an open set in  $(X, \mathcal{T})$  if and only if  $U \in \mathcal{T}$ .

Several examples of topological spaces are listed below.

Example 1. For a set  $X$ , let  $2^X$  be the set of all subsets of  $X$ . Then  $2^X$  is called the discrete topology on  $X$ . The space  $(X, 2^X)$  is called a discrete topological space.

Example 2. For a set  $X$ ,  $\{\emptyset, X\}$  is called the indiscrete topology for  $X$ . So  $(X, \{\emptyset, X\})$  is an indiscrete topological space.

Example 3. For any set  $X$ , the finite complement topology for  $X$  is described as follows: a subset  $U$  of  $X$  is open if and only if  $U = \emptyset$  or  $X - U$  is finite.

Example 4. Let  $R^n$  be the set of all  $n$ -tuples of real numbers. We will define the distance  $d(x,y)$  between points  $x = (x_1, x_2, \dots, x_n)$  and  $y = (y_1, y_2, \dots, y_n)$  by the equation  $d(x,y) = (\sum_{i=1}^n (x_i - y_i)^2)^{\frac{1}{2}}$ . A topology  $T$  for  $R^n$  is defined as follows: a subset  $U$  of  $R^n$  belongs to  $T$  if and only if for each point  $p$  of  $U$  there is a positive number  $\epsilon$  so that  $\{x | d(p,x) < \epsilon\}$  is a subset of  $U$ . This topology  $T$  is called the usual topology for  $R^n$ .

Definitions. Let  $(X, \mathcal{T})$  be a topological space,  $A$  be a subset of  $X$ , and  $p$  be a point in  $X$ . Then:

1.  $p$  is a limit point of  $A$  if and only if for each open set  $U$  containing  $p$ ,  $(U - \{p\}) \cap A \neq \emptyset$ . Notice that  $p$  may or may not belong to  $A$ .

2. If  $p \in A$  but  $p$  is not a limit point of  $A$ , then  $p$  is an isolated point of  $A$ .

3. The closure of  $A$  (denoted  $\bar{A}$  or  $Cl(A)$ ) is  $A$  together with all limit points of  $A$ .

4. The set  $A$  is closed iff  $A$  contains all its limit points, i.e.  $\bar{A} = A$ .

Theorem 1. For any topological space  $(X, \mathcal{T})$  and subset  $A$  of  $X$ ,  $\bar{A}$  is closed.

Theorem 2. Let  $X$  be a topological space, i.e.,  $(X, \mathcal{T})$  is really the topological space but the topology is not named. Then a subset  $A$  of  $X$  is closed if and only if  $X - A$  is open.

Theorem 3. The union of finitely many closed sets in a topological space is closed.

Theorem 4. Let  $\{A_\alpha\}_{\alpha \in \Lambda}$  be a collection of closed subsets of a topological space  $X$ . Then  $\bigcap_{\alpha \in \Lambda} A_\alpha$  is closed.

Theorem 5. Suppose  $A$  is a subset of  $X$ , a topological space. Then  $\bar{A}$  = the intersection of all closed sets containing  $A$ .

Theorem 6. Let  $A, B \subset X^{\text{top.sp.}}$ . Then

- a) if  $A \subset B$ ,  $\bar{A} \subset \bar{B}$  and
- b)  $\overline{A \cup B} = \bar{A} \cup \bar{B}$ .

Definition. Let  $\mathcal{T}$  be a topology on a set  $X$  and let  $\mathcal{B}$  be a subset of  $\mathcal{T}$ . Then  $\mathcal{B}$  is a basis for the topology  $\mathcal{T}$  if and only if every element of  $\mathcal{T}$  is the union of elements in  $\mathcal{B}$ .

Theorem 7. Let  $(X, \mathcal{T})$  be a topological space and  $\mathcal{B}$  be a collection of subsets of  $X$ . Then  $\mathcal{B}$  is a basis for  $\mathcal{T}$  if and only if  $\mathcal{B} \subset \mathcal{T}$  and for each set  $U$  in  $\mathcal{T}$  and point  $p$  in  $U$  there is a set  $V$  in  $\mathcal{B}$  such that  $p \in V \subset U$ .

Theorem 8. Let  $\mathcal{B} = \{(a, b) \subset \mathbb{R}^1 \mid a \text{ and } b \text{ are rational numbers}\}$ . Then  $\mathcal{B}$  is a basis for the usual topology on  $\mathbb{R}^1$ .

Suppose you are given a set  $X$  and a collection  $\mathcal{B}$  of subsets of  $X$ . Under what circumstances is  $\mathcal{B}$  a basis for a topology on  $X$ ? This question is answered in the following theorem.



Theorem 9. Suppose  $X$  is a set and  $\mathcal{B}$  is a collection of subsets of  $X$ . Then  $\mathcal{B}$  is a basic for a topology for  $X$  if and only if the following conditions hold.

- i)  $\emptyset \in \mathcal{B}$
- ii) for each point  $p$  in  $X$  there is a set  $U$  in  $\mathcal{B}$  with  $p \in U$ , and
- iii) if  $U$  and  $V$  are sets in  $\mathcal{B}$  and  $p$  is a point in  $U \cap V$ , there is a set  $W$  in  $\mathcal{B}$  so that  $p \in W \subset (U \cap V)$ .

Theorem 9 allows one to describe topological spaces by first specifying a set  $X$  and then a collection  $\mathcal{B}$  of subsets of  $X$  which satisfy the conditions of Theorem 9. The topology  $\mathcal{T}$  whose basis is  $\mathcal{B}$  is thereby described.

Definitions. Suppose  $X$  is a set. A function  $d$  from  $X \times X$  into  $\mathbb{R}_+^1$ , the non-negative reals, is a metric for  $X$  if and only if the following conditions are satisfied.

- (i)  $d(x, y) = 0$  if and only if  $x = y$
- (ii)  $d(x, y) = d(y, x)$ , and
- (iii)  $d(x, z) \leq d(x, y) + d(y, z)$ .

If  $d$  is a metric for  $X$ , then  $d(x, y)$  is called the distance from  $x$  to  $y$ .

Suppose  $X$  is a set,  $d$  is a metric for  $X$ ,  $p \in X$ , and  $\epsilon \in \mathbb{R}_+^1$ . Then the open  $\epsilon$  ball about  $p$  is defined by  $B_\epsilon(p) = \{x \in X \mid d(x, p) < \epsilon\}$ . The  $d$ -metric topology for  $X$  is the topology whose basis is all the  $B_\epsilon(p)$ 's. (Check that the collection of all open  $\epsilon$  balls is a basis.)

Now suppose that  $(X, \mathcal{T})$  is a topological space. Then  $(X, \mathcal{T})$  is a metric space (or metrizable) iff there is a metric  $d$  on  $X$  for which  $\mathcal{T}$  is the  $d$ -metric topology. If  $X$  is a metric space, then the statement that  $d$  is a metric for  $X$  means that the  $d$ -metric topology is the topology for  $X$ .

Notice that the same metric space may have many different metrics. As an exercise find several metrics for  $\mathbb{R}^n$ .

Theorem 10. If  $X$  is a metric space, then there is a metric  $d$  for  $X$  so that for each  $x, y \in X$ ,  $d(x, y) < 1$ .

Example 5. Let  $X$  be a set totally ordered by  $<$ . Let  $\mathcal{B}$  be the collection of all subsets of  $X$  of one of the following three forms:  $\{x \in X \mid x < a \text{ for some } a \in X\}$ ,  $\{x \in X \mid a < x \text{ for some } a \in X\}$ , or  $\{x \in X \mid a < x < b \text{ for some } a, b \in X\}$ . Then  $\mathcal{B}$  is a basis for a topology  $\mathcal{T}$  on  $X$ . The topology  $\mathcal{T}$  is called the order topology for  $X$ .

Example 6. The usual topology on  $\mathbb{R}^1$  is the order topology given by the usual order.

Example 7. For each ordinal  $\alpha$ , the predecessors of  $\alpha$  with the order topology form a space called  $\alpha$ .

Definition. Let  $(X, \mathcal{T})$  be a topological space and let  $\mathcal{S}$  be a collection of subsets of  $X$ . Then  $\mathcal{S}$  is a sub-basis of  $\mathcal{T}$  if and only if the collection  $\mathcal{B}$  of all finite intersections of sets in  $\mathcal{S}$  is a basis for  $\mathcal{T}$ .

Theorem 11. Let  $(X, \mathcal{T})$  be a topological space and let  $\mathcal{S}$  be a collection of subsets of  $X$ . Then  $\mathcal{S}$  is a sub-basis for  $\mathcal{T}$  if and only if each element of  $\mathcal{S}$  is in  $\mathcal{T}$  and for each set  $U$  in  $\mathcal{T}$  and point  $p$  in  $U$  there is a finite collection  $\{V_i\}_{i=1}^n$  of elements of  $\mathcal{S}$  so that  $p \in \bigcap_{i=1}^n V_i$  and  $\bigcap_{i=1}^n V_i \subset U$ .

Theorem 12. Let  $\mathcal{S}$  be the collection of all subsets of  $\mathbb{R}^1$  of one of the following two forms:  $\{x \mid x < a \text{ for some } a \in \mathbb{R}^1\}$ . Then  $\mathcal{S}$  is a sub-basis for  $\mathbb{R}^1$  with the usual topology.

Once again we seek to answer the question of when a given collection  $\mathcal{S}$  of subsets of a set  $X$  is a sub-basis for a topology on  $X$ .

Theorem 13. Let  $\mathcal{S}$  be a collection of subsets of a set  $X$ . Then  $\mathcal{S}$  is a sub-basis for a topology on  $X$  if and only if every point of  $X$  is in some element of  $\mathcal{S}$  and there are sets  $\{U_i\}_{i=1}^n$  in  $\mathcal{S}$  so that  $\bigcap_{i=1}^n U_i = \emptyset$ .

Theorem 13 can be used to describe topologies by presenting a sub-basis for them.

Example 8. Let  $2^X$  be the set of all functions from the set  $X$  into the two point set  $\{0,1\}$ . Let  $\mathcal{S}$  be the collection of all subsets of  $2^X$  of the form  $U(x, \epsilon) = \{f \in 2^X \mid f(x) = \epsilon\}$ . Let  $\mathcal{T}$  be the topology on  $2^X$  with sub-basis  $\mathcal{S}$ . (This topology is really the product topology, but we will not give a general definition of product topology until later.)

Theorem 14. Suppose  $(X, \mathcal{T})$  is a topological space,  $Y \subset X$ , and  $\mathcal{T}_Y = \{U \mid \text{for some } V \text{ in } \mathcal{T}, U = V \cap Y\}$ . Then  $\mathcal{T}_Y$  is a topology for  $Y$ .

Theorem 14 allows us to define a topology on a subset  $Y$  of  $X$  when  $(X, \mathcal{T})$  is a topological space. The topology  $\mathcal{T}_Y$  of  $Y$  of Theorem 14 is called the relative topology or subspace topology. The topological space  $(Y, \mathcal{S})$  is a subspace of  $(X, \mathcal{T})$  if and only if  $Y$  is a subset of  $X$  and  $\mathcal{S}$  is the relative topology on  $Y$ .

Theorem 15. If  $X$  is a metric space and  $Y \subset X$ , then  $Y$  is a metric space.

### Separation Properties

Definitions. Let  $(X, \mathcal{T})$  be a topological space:

- 1)  $X$  is  $T_1$  iff every point in  $X$  is a closed set.
- 2)  $X$  is Hausdorff (or  $T_2$ ) iff for each pair of points  $x, y$  in  $X$ , there are disjoint open sets  $U$  and  $V$  in  $\mathcal{T}$  so that  $x \in U$  and  $y \in V$ .
- 3)  $X$  is regular iff for each  $x \in X$  and closed set  $A$  in  $X$  with  $x \notin A$ , there are open sets  $U, V$  so that  $x \in U$ ,  $A \subset V$  and  $U \cap V = \emptyset$ .
- 4)  $X$  is normal iff for each pair of disjoint closed sets  $A$  and  $B$  in  $X$ , there are open sets  $U, V$  so that  $A \subset U$ ,  $B \subset V$ , and  $U \cap V = \emptyset$ .

Theorem 16. A topological space  $X$  is regular if and only if for each point  $p$  in  $X$  and open set  $U$  containing  $p$  there is an open set  $V$  so that  $p \in V$  and  $\bar{V} \subset U$ .

Theorem 17. A topological space  $X$  is normal if and only if for each closed set  $A$  in  $X$  and open set  $U$  containing  $A$  there is an open set  $V$  so that  $A \subset V$ , and  $\bar{V} \subset U$ .

Theorem 18. A topological space  $X$  is normal if and only if for each pair of disjoint closed sets  $A$  and  $B$ , there are disjoint open sets  $U$  and  $V$  so that  $A \subset U$ ,  $B \subset V$ , and  $\bar{U} \cap \bar{V} = \emptyset$ .

Theorem 19. A metric space is normal.

Definition. Let  $P$  be a property of a topological space (such as  $T_1$ , Hausdorff, etc.). A topological space  $X$  is hereditarily  $P$  iff for each subspace  $Y$  of  $X$ ,  $Y$  has property  $P$ .

Theorem 20. A Hausdorff space is hereditarily Hausdorff.

Theorem 21. A regular space is hereditarily regular.

Theorem 22. Let  $A$  be a closed subset of a normal space  $X$ . Then  $X$  is normal when given the relative topology.

✓ Normality Lemma 23. Let  $A$  and  $B$  be subsets of a topological space  $X$  and let  $\{U_i\}_{i \in \omega_0}$  and  $\{V_i\}_{i \in \omega_0}$  be two collections of open sets such that

- (i)  $A \subset \bigcup_{i \in \omega_0} U_i$ ,
- (ii)  $B \subset \bigcup_{i \in \omega_0} V_i$ ,
- (iii) for each  $i$  in  $\omega_0$ ,  $\bar{U}_i \cap B = \emptyset$  and  $\bar{V}_i \cap A = \emptyset$ .

Then there are open sets  $U$  and  $V$  so that  $A \subset U$ ,  $B \subset V$ , and  $U \cap V = \emptyset$ .

Definitions. 1) A subset  $B$  of a topological space  $X$  is a  $G_\delta$  iff  $B$  is the intersection of countably many open sets.

2) A subset  $B$  of a topological space  $X$  is an  $F_\sigma$  iff  $B$  is the union of countably many closed sets.

✓ Theorem 24. An  $F_\sigma$  subset of a normal space is normal.

### Countability Properties

Definitions. 1) Let  $A$  be a subset of a topological space  $X$ . Then  $A$  is dense in  $X$  iff  $\bar{A} = X$ .

2) A space  $X$  is separable iff  $X$  has a countable dense subset.

3) A space  $X$  is 2nd countable iff  $X$  has a countable basis.

4) Let  $p$  be a point in a space  $X$ . A collection of open sets  $\{U_\alpha\}_{\alpha \in \lambda}$  in  $X$  is a neighborhood basis for  $p$  iff for each  $\alpha \in \lambda$ ,  $p \in U_\alpha$ , and for open set  $U$  in  $X$  with  $p \in U$ , there is an  $\alpha$  in  $\lambda$  so that  $U_\alpha \subset U$ .

5) A space  $X$  is 1st countable iff for each point  $x$  in  $X$ ,  $x$  has a neighborhood basis consisting of a countable number of sets.

6) A space  $X$  has the Souslin property iff  $X$  does not contain uncountably many disjoint open sets.

✓ Theorem 25. A 2nd countable space is separable.

Theorem 26. A 2nd countable space is 1st countable.

Theorem 27. A 2nd countable space is hereditarily 2nd countable.

✓ Theorem 28. A separable space has the Souslin property.

✓ Theorem 29. If  $X$  is a separable, Hausdorff space, then  $|X| \leq |2^{2^\omega}|$ .

✓ Theorem 30. For any  $X$ ,  $2^X$  has the Souslin property.

Theorem 31. The space  $2^{\mathbb{R}^1}$  is separable.

Definition. Let  $P = \{p_i\}_{i \in \omega_0}$  be a sequence of points in a space  $X$ . Then the sequence  $P$  converges to a point  $x$  iff for every open set  $U$  containing  $x$  there is an integer  $M$  so that for each  $m > M$ ,  $p_m \in U$ .

Theorem 32. Suppose  $x$  is a limit point of the set  $A$  in a 1st countable space  $X$ . Then there is a sequence of points in  $A$  which converges to  $x$ .

Theorem 33. Every uncountable set in a 2nd countable space has a limit point.

### Covering Properties

Definition. 1) Let  $A$  be a subset of  $X$  and let  $\mathcal{B} = \{B_\alpha\}_{\alpha \in \lambda}$  be a collection of subsets of  $X$ . Then  $\mathcal{B}$  is a cover of  $A$  iff  $A \subset \bigcup_{\alpha \in \lambda} B_\alpha$ . Also,  $\mathcal{B}$  is an open cover iff each  $B_\alpha$  is open.

2) A space  $X$  is compact iff every open cover  $\mathcal{B}$  of  $X$  has a finite subcover  $\mathcal{C}$ . That is,  $\mathcal{C}$  is an open cover of  $X$  each of whose elements is a set in  $\mathcal{B}$ .

3) A space  $X$  is countably compact iff every countable open cover of  $X$  has a finite subcover.

4) A space  $X$  is Lindelöf iff every open cover of  $X$  has a countable subcover.

5) A collection  $\mathcal{B} = \{B_\alpha\}_{\alpha \in \lambda}$  of subsets of a space  $X$  is locally finite iff for each point  $p$  in  $X$  there is an open set  $U$  containing  $p$  so that  $U$  intersects only finitely many elements of  $\mathcal{B}$ .

Example. Let  $\mathcal{B} = \{[n, n+1] \subset \mathbb{R}^1 \mid n \text{ is an integer}\}$ . Then  $\mathcal{B}$  is a locally finite collection in  $\mathbb{R}^1$  (usual).

Definition. 6) Let  $\mathcal{B} = \{B_\alpha\}_{\alpha \in \lambda}$  be a cover of  $X$ . Then  $\mathcal{C} = \{C_\beta\}_{\beta \in \mu}$  is a refinement of  $\mathcal{B}$  iff (i)  $\mathcal{C}$  is a cover of  $X$  and (ii) for each  $\beta \in \mu$  there is an  $\alpha \in \lambda$  such that  $C_\beta \subset B_\alpha$ . The collection  $\mathcal{C}$  is an open refinement iff each  $C_\beta$  is an open set.

7) A space  $X$  is paracompact iff every open cover of  $X$  has a locally finite open refinement and  $X$  is Hausdorff.

Theorem 34. Every countably compact and Lindelöf space is compact.

Theorem 35. Every compact, Hausdorff space is paracompact.

Theorem 36. Let  $A$  be a closed subspace of a compact space (respectively, countably compact, Lindelöf, paracompact). Then  $A$  is compact (resp., countably compact, Lindelöf, paracompact).

Theorem 37. The closed subspace  $[0,1]$  in the  $\mathbb{R}^1$  (usual) topology is compact.

Theorem 39. Let  $A$  be a compact subspace of a Hausdorff space  $X$ . Then  $A$  is closed.

Theorem 40. If  $X$  is a Lindelöf space, then every uncountable subset of  $X$  has a limit point.

Theorem 41. Let  $X$  be a  $T_1$  space. Then  $X$  is countably compact if and only if every infinite subset of  $X$  has a limit point.

Theorem 42.  $\omega_1$  is countably compact but not compact.

Theorem 43. Let  $\mathcal{B}$  be a basis for a space  $X$ . Then  $X$  is compact if and only if every cover of  $X$  by basic open sets has a finite subcover.

✓ Theorem 44. (The Alexander Sub-basis Theorem) Let  $\mathcal{S}$  be a sub-basis for a space  $X$ . Then  $X$  is compact if and only if every sub-basic open cover has a finite subcover. (A sub-basic open cover is a cover of  $X$  each element of which is a set in the sub-basis.)

Theorem 45. A compact, Hausdorff space is normal. *Show regular then normal*

✓ Theorem 46. A regular, Lindelöf space is normal. *use Lindelöf property*



Theorem 47. A regular,  $T_1$ , Lindelöf space is paracompact.

Theorem 48. Let  $\mathcal{B} = \{B_\alpha\}_{\alpha \in \lambda}$  be a locally finite collection of subsets of a space  $X$ . Let  $C$  be a subset of  $\lambda$ . Then  $\text{Cl}(\bigcup_{\alpha \in C} B_\alpha) = \bigcup_{\alpha \in C} \overline{B_\alpha}$ .

Theorem 49. A paracompact space is normal.

Theorem 50. A metric space is paracompact.

Theorem 51. In a metric space  $X$ , the following are equivalent:

- (a)  $X$  is separable,
- (b)  $X$  is 2nd countable,
- ✓ (c)  $X$  has the Souslin property,
- (d)  $X$  is Lindelöf,
- (e) every uncountable set in  $X$  has a limit point.

## Continuity and homeomorphisms

Definition. Let  $X$  and  $Y$  be topological spaces. A function  $f : X \rightarrow Y$  is a continuous function or map if and only if for every open set  $U$  in  $Y$ ,  $f^{-1}(U)$  is open in  $X$ .

✓ Theorem 52. Let  $f : X \rightarrow Y$  be a function. Then the following are equivalent:

- (a)  $f$  is continuous,
- (b) for every closed set  $K$  in  $Y$ ,  $f^{-1}(K)$  is closed in  $X$ ,
 

$Y-K$  open  
 $f^{-1}(Y-K)$  open.  
 claim  $X - f^{-1}(Y-K) = f^{-1}(K)$   
 $x \notin f^{-1}(Y-K) \Rightarrow f(x) \in Y-K \Rightarrow f(x) \in K \Rightarrow x \in f^{-1}(K)$   
 $\Rightarrow f^{-1}(Y-K) = X - f^{-1}(K)$
- (c) if  $p$  is a limit point of  $A$  in  $X$ , then  $f(p)$  belongs to  $\text{Cl}(f(A))$ .
 

$K \subset \text{Cl}(f(A)) \Rightarrow \exists x \in K$   
 $\Rightarrow x \in f^{-1}(K)$   
 $\Rightarrow x \in X - f^{-1}(Y-K)$   
 $\Rightarrow x \notin f^{-1}(Y-K)$

Theorem 53. Let  $X$  be a compact (resp. Lindelöf, countably compact) space and let  $f : X \rightarrow Y$  be a continuous function that is onto. Then  $Y$  is compact (resp. Lindelöf, countably compact).

Theorem 54. Let  $X$  be a separable space and let  $f : X \rightarrow Y$  be a continuous, onto map. Then  $Y$  is separable.

✓ Theorem 55. Let  $A$  and  $B$  be disjoint closed sets in a normal space  $X$ . Then there exist open sets  $U_r$  for each dyadic rational  $r$  (that is,  $r$  can be written as a quotient of integers with denominator a power of 2) so that  $A \subset U_0$ ,  $B \subset (X - U_1)$ , and for  $r < s$ ,  $\text{Cl}(U_r) \subset U_s$ .

✓ Theorem 56 (Urysohn's Lemma). A space  $X$  is normal if and only if for each pair of disjoint open sets  $A$  and  $B$  in  $X$ , there exists a continuous function  $f : X \rightarrow [0,1]$  so that  $A \subset f^{-1}(0)$  and  $B \subset f^{-1}(1)$ .

✓ Theorem 57 (The Tietze Extension Theorem). A space  $X$  is normal if and only if every continuous function  $f$  from a closed set  $A$  in  $X$  into  $[0,1]$  can be extended to a continuous function  $F : X \rightarrow [0,1]$ . ( $F$  extends  $f$  means for each point  $x$  in  $A$ ,  $F(x) = f(x)$ .)

✓ Theorem 58 (The Tietze Extension Theorem). A space  $X$  is normal if and only if every continuous function  $f$  from a closed set  $A$  in  $X$  into  $(0,1)$  can be extended to a continuous function  $F : X \rightarrow (0,1)$ .

5-1-7

Theorem 59. If  $X$  and  $Y$  are metric spaces with metrics  $d_X$  and  $d_Y$  respectively, then a function  $f: X \rightarrow Y$  is continuous if and only if for each point  $x$  in  $X$  and  $\varepsilon > 0$ , there is a  $\delta > 0$  so that for each  $y \in X$  with  $d_X(x, y) < \delta$ ,  $d_Y(f(x), f(y)) < \varepsilon$ .

Definition. A function  $f$  from a metric space  $(X, d_X)$  to a metric space  $(Y, d_Y)$  is uniformly continuous if and only if for each  $\varepsilon > 0$  there is a  $\delta > 0$  so that if  $d_X(x, y) < \delta$ , then  $d_Y(f(x), f(y)) < \varepsilon$ .

✓ Theorem 60. Let  $f: X \rightarrow Y$  be a map from a compact metric space to a metric space  $Y$ . Then  $f$  is uniformly continuous for any choice of metrics for  $X$  and  $Y$ .

Theorem 61. Let  $f_i: (X, d_X) \rightarrow (Y, d_Y)$  ( $i \in \omega$ ) be a sequence of maps so that for each  $i \in \omega$ , and point  $x$  in  $X$ ,  $d_Y(f_i(x), f_{i+1}(x)) < 1/2^i$ . Then  $\lim_{i \rightarrow \infty} f_i$  exists and is continuous.

Definition. A map  $f: X \rightarrow Y$  is closed (resp. open) if and only if for every closed (resp. open) set  $A$  in  $X$ ,  $f(A)$  is closed (resp. open) in  $Y$ .

✓ Theorem 62. Let  $X$  be compact and  $Y$  Hausdorff. Then any map  $f: X \rightarrow Y$  is a closed map.

*A closed set  $A$  in  $X$  is compact.  $f(A)$  is compact in  $Y$  Hausdorff iff closed.*

Definition. A map  $f: X \rightarrow Y$  is a homeomorphism if and only if  $f$  is continuous, 1 - 1 and onto and  $f^{-1}: Y \rightarrow X$  is also continuous.

Theorem 63. For a map  $f: X \rightarrow Y$ , the following are equivalent:

(a)  $f$  is a homeomorphism.

(b)  $f$  is 1 - 1, onto and closed.

(c)  $f$  is 1 - 1, onto and open.

$a) \Rightarrow b)$  closed

$$f(A) = \overline{f(A)} - \overline{f(B)} = \overline{f(A \setminus B)}$$

$$f(A) = \overline{f(A)}$$

$$R_2 (f^{-1})^{-1} (A) \text{ closed}$$

$$\overline{f(A)} = f(A)$$

$$= f(A) \text{ closed}$$

Definition. Spaces  $X$  and  $Y$  are homeomorphic if and only if there is a homeomorphism  $f: X \rightarrow Y$  which is onto.

Theorem 64. For points  $a < b$  in  $E^1$ , the interval  $(a, b)$  is homeomorphic to  $E^1$ .

Theorem 65. Suppose  $f: X \rightarrow Y$  is a 1 - 1 and onto <sup>continuous</sup> map,  $X$  is compact and  $Y$  is Hausdorff. Then  $f$  is a homeomorphism.

see 6.3 (b)

## Products

Let  $\{X_\alpha\}_{\alpha \in \lambda}$  be a collection of spaces. The product  $\prod_{\alpha \in \lambda} X_\alpha$ , or Cartesian product, is a generalization of the familiar n-tuples. Define  $\prod_{\alpha \in \lambda} X_\alpha$  to be  $\{f: \lambda \rightarrow \bigcup_{\alpha \in \lambda} X_\alpha \mid f(\alpha) \in X_\alpha\}$ . So a point in  $\prod_{\alpha \in \lambda} X_\alpha$  can be thought of as a function from the indexing set into  $\bigcup_{\alpha \in \lambda} X_\alpha$ . So if  $f \in \prod_{\alpha \in \lambda} X_\alpha$ ,  $f(\alpha)$  is the  $\alpha^{\text{th}}$  coordinate of  $f$ . We could write  $f$  as  $\{f_\alpha\}_{\alpha \in \lambda}$  where  $f(\alpha) = f_\alpha$ .

For each  $\beta$  in  $\lambda$ , define the projection function  $\pi_\beta: \prod_{\alpha \in \lambda} X_\alpha \rightarrow X_\beta$  by  $\pi_\beta(f) = f(\beta)$ . A subbasis for the product topology on  $\prod_{\alpha \in \lambda} X_\alpha$  is the collection of all sets of the form  $\pi_\beta^{-1}(U_\beta)$  where  $U_\beta$  is open in  $X_\beta$ . Why is it appropriate to refer to this topology as the finite gate topology?

Theorem 66. The space  $2^X$  described before is really the product,  $\prod_{x \in X} \{0,1\}_x$ .

Theorem 67. The function  $\pi_\beta: \prod_{\alpha \in \lambda} X_\alpha \rightarrow X_\beta$  is a continuous, open, onto map.

Theorem 68. The function  $\pi_\beta: \prod_{\alpha \in \lambda} X_\alpha \rightarrow X_\beta$  need not be closed.

Theorem 69. A function  $g: Y \rightarrow \prod_{\alpha \in \lambda} X_\alpha$  is continuous if and only if  $\prod_{\beta \in \lambda} g_\beta$  is continuous for each  $\beta \in \lambda$ .

✓ Theorem 70. Let  $\{X_i\}_{i \in \omega}$  be a collection of metric spaces. Then  $\prod_{i \in \omega} X_i$  is a metric space.

Theorem 71. The space  $\mathbb{R}^n$  is homeomorphic to  $\prod_{i=1}^n \mathbb{R}_i^1$  where  $\mathbb{R}_i^1 = \mathbb{R}^1$ .

Theorem 72. Let  $\{X_\beta\}_{\beta \in \mu}$  be a collection of Hausdorff (resp. regular) spaces. Then  $\prod_{\beta \in \mu} X_\beta$  is Hausdorff (resp. regular).

✓ Theorem 73. Let  $\{X_\beta\}_{\beta \in \mu}$  be a collection of separable spaces where  $|\mu| \leq 2^{\omega_0}$ , then  $\prod_{\beta \in \mu} X_\beta$  is separable.

✓ Theorem 74. Let  $\{X_\beta\}_{\beta \in \mu}$  be a collection of separable spaces. Then  $\prod_{\beta \in \mu} X_\beta$  has the Souslin property.

Theorem 75. Let  $\{X_\beta\}_{\beta \in \mu}$  be a collection of compact spaces. Then  $\prod_{\beta \in \mu} X_\beta$  is compact. *The Alexander Subbase Theorem*

## Connectedness

### Definitions.

1. Subsets  $A, B$  of  $X$  are separated if and only if  $\bar{A} \cap B = A \cap \bar{B} = \emptyset$ .

2. A space  $X$  is connected if and only if  $X$  is not the union of two non empty separated sets. The notation  $X = A|B$  means  $X = A \cup B$  and  $A$  and  $B$  are separated sets.

Theorem 76. A space  $X$  is connected if and only if there is not a continuous function  $f: X \rightarrow \mathbb{R}^1$  so that  $f(x) = \{0,1\}$ .

Theorem 77. The space  $\mathbb{R}^1$  is connected.

Theorem 78. Let  $A, B$  be separated subsets of a space  $X$ . If  $C$  is a connected subset of  $A \cup B$ , then  $C \subset A$ , or  $C \subset B$ .

Theorem 79. Let  $C$  be a connected subset of  $X$ . If  $D$  is a subset of  $X$  so that  $C \subset D \subset \bar{C}$ , then  $D$  is connected.

Example. Let

$$X = \{(x,y) \in \mathbb{R}^2 \mid x = 0, y \in [-1,1]\} \cup \{(x,y) \in \mathbb{R}^2 \mid x \in (0,1], y = \sin \frac{1}{x}\}.$$

This example is the closure of the  $\sin 1/x$  curve.

Theorem 80. The closure of the  $\sin 1/x$  curve is connected.

Theorem 81. Let  $\{C_\alpha\}_{\alpha \in \lambda}$  be a collection of connected subsets of  $X$  and  $E$  be another connected subset of  $X$  so that for each  $\alpha$  in  $\lambda$ ,



$E \cap C_\alpha \neq \emptyset$ . Then  $E \cup (\bigcup_{\alpha \in \lambda} C_\alpha)$  is connected.

Theorem 82. Let  $f: X \xrightarrow{\text{onto}} Y$  be a continuous function. If  $X$  is connected, then  $Y$  is connected.

Theorem 83. For spaces  $X$  and  $Y$ ,  $X \times Y$  is connected if and only if each of  $X$  and  $Y$  is connected.

Theorem 84. For spaces  $\{X_\alpha\}_{\alpha \in \lambda}$ ,  $\prod_{\alpha \in \lambda} X_\alpha$  is connected if and only if for each  $\alpha$  in  $\lambda$ ,  $X_\alpha$  is connected.

Theorem 85. Let  $A$  be a countable subset of  $\mathbb{R}^n$  ( $n \geq 2$ ). Then  $\mathbb{R}^n - A$  is connected.

✓ Theorem 86. Let  $X$  be a countable, regular,  $T_1$  space. Then  $X$  is not connected.

✓ Theorem 87. Let  $X$  be a connected space,  $C$  a connected subset of  $X$ , and  $X - C = A \cup B$ . Then  $A \cup C$  and  $B \cup C$  are each connected.

Definition. Let  $X$  be a space and  $p \in X$ . The component of  $p$  in  $X$  is the union of all connected subsets of  $X$  which contain  $p$ .

Theorem 88. Each component of  $X$  is connected and closed.

✓ Theorem 90. Let  $A$  and  $B$  be closed subsets of a compact, Hausdorff space  $X$  so that no component intersects both  $A$  and  $B$ . Then  $X = H \cup K$  where  $A \subset H$  and  $B \subset K$ .

Example. This example will demonstrate the necessity of the "compactness" hypothesis of Theorem 90. Let  $X$  be the subset of  $\mathbb{R}^2$  equal to  $([0,1] \times \bigcup_{i \in \omega} \{1/i\}) \cup \{(0,0), (1,0)\}$ . Show that the conclusion to Theorem 90 fails when  $A = \{(0,0)\}$  and  $B = \{(1,0)\}$ .

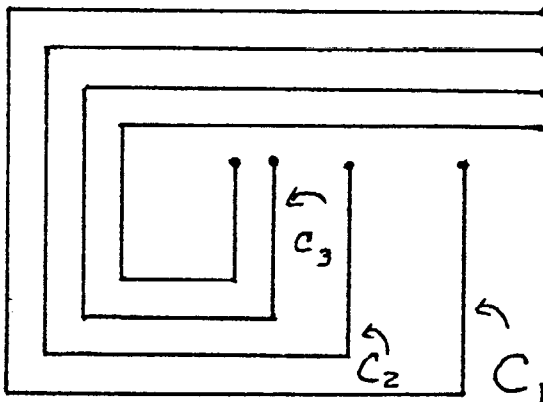
Definition. A continuum is a connected, compact, Hausdorff space.

Theorem 91. Let  $U$  be a proper, open subset of a continuum  $X$ . Then each component of  $\bar{U}$  contains a point of  $\text{Bd } U$ . (Note:  $\text{Bd } U = \bar{U} - U$ .)

Theorem 92. ("To the boundary" theorem). Let  $U$  be a proper, open subset of a continuum  $X$ . Then each component of  $U$  has a limit point on  $\text{Bd } U$ .

Theorem 93. No continuum  $X$  is the union of a countable number ( $>1$ ) of disjoint closed subsets.

Example. This example shows the necessity of the compactness hypothesis on  $X$



The example  $X$  pictured above is a subset of the plane which is the union of a countable number of arcs as shown. Show that  $X$  is connected.

Theorem 94. Let  $\{C_i\}_{i \in \omega}$  be a collection of continua so that for each  $i$ ,  $C_{i+1} \subset C_i$ . Then  $\bigcap_{i \in \omega} C_i$  is a continuum.

Theorem 95. Let  $\{C_\alpha\}_{\alpha \in \lambda}$  be a collection of continua indexed by a well-ordered set  $\lambda$  so that if  $\alpha < \beta$ , then  $C_\beta \subset C_\alpha$ . Then  $\bigcap_{\alpha \in \lambda} C_\alpha$  is a continuum.

Definition. Let  $X$  be a connected set. A point  $p$  in  $X$  is a non-separating point iff  $X - \{p\}$  is connected. Otherwise  $p$  is a separating point.

Theorem 96. Let  $X$  be a continuum,  $p$  be a point of  $X$ , and  $X - \{p\} = H \cup K$ . Then  $H \cup \{p\}$  is a continuum and if  $q \neq p$  is a non-separating point of  $H \cup \{p\}$ , then  $q$  is a non-separating point of  $X$ .

Theorem 97. Let  $X$  be a metric continuum. Then  $X$  has at least two non-separating points.

Theorem 98. Let  $X$  be a continuum. Then  $X$  has at least two non-separating points.

Theorem 99. Let  $X$  be a metric continuum with exactly two non-separating points. Then  $X$  is homeomorphic to  $[0,1]$ .

Definition. A space  $X$  is locally connected at the point  $p$  of  $X$  if and only if for each open set  $U$  containing  $p$ , there is a connected open set  $V$  so that  $p \in V \subset U$ . A space  $X$  is locally connected if and only if it is locally connected at each point.

Theorem 100. The following are equivalent:

- (i)  $X$  is locally connected.
- (ii)  $X$  has a basis of connected open sets.
- (iii) For each  $\rho$  in  $X$  and open set  $U$  containing  $\rho$ , the component of  $\rho$  in  $U$  is open.
- (iv) For each  $\rho$  in  $X$  and open set  $U$  containing  $\rho$ , there is a connected set  $C$  so that  $\rho \in \text{Int } C \subset C \subset U$ .
- (v) For each  $\rho$  in  $X$  and open set  $U$  containing  $\rho$ , there is an open set  $V$  containing  $\rho$  and  $V \subset (\text{the component of } \rho \text{ in } U)$ .

Theorem 101. Let  $X$  be a locally connected space and  $f: X \rightarrow Y$  be an onto, closed or open map. Then  $Y$  is locally connected.

Definition. A Peano Continuum is a locally connected metric continuum.

Theorem 102. A Hausdorff space  $X$  is a Peano Continuum if and only if  $X$  is the image of  $[0,1]$  under a continuous function.

Definitions. A space  $X$  is arc-wise connected iff for each pair of points  $\rho, q \in X$  there is an embedding  $h: [0,1] \rightarrow X$  so that  $h(0) = \rho$  and  $h(1) = q$ .

A space  $X$  is locally arc-wise connected at  $\rho$  iff for each open set  $U$  containing  $\rho$  there is an open set  $V$  containing  $\rho$  so that for each pair of points  $x, y \in V$ , there is an arc in  $U$  which contains  $x$  and  $y$ . (Note: "an arc" means the homeomorphic image of  $[0,1]$ ).

A space is locally arc-wise connected iff it is locally arc-wise connected at each point.

Theorem 103. An arc-wise connected space is connected.

Theorem 104. A locally arc-wise connected space is locally connected.

Theorem 105. A Peano Continuum is arc-wise connected and locally arc-wise connected.

g/c. Theorem 106. An open, connected subset of a Peano continuum is arc-wise connected.

## Metric Spaces

Definitions. Suppose  $X$  is a set. A function  $d$  from  $X \times X$  into  $\mathbb{R}_+^1$ , the non-negative reals, is a metric for  $X$  if and only if the following conditions are satisfied.

- (i)  $d(x, y) = 0$  if and only if  $x = y$
- (ii)  $d(x, y) = d(y, x)$ , and
- (iii)  $d(x, z) \leq d(x, y) + d(y, z)$ .

If  $d$  is a metric for  $X$ , then  $d(x, y)$  is called the distance from  $x$  to  $y$ .

Suppose  $X$  is a set,  $d$  is a metric for  $X$ ,  $p \in X$ , and  $\epsilon \in \mathbb{R}_+^1$ . Then the open  $\epsilon$  ball about  $p$  is defined by  $B_\epsilon(p) = \{x \in X \mid d(x, p) < \epsilon\}$ . The  $d$ -metric topology for  $X$  is the topology whose basis is all the  $B_\epsilon(p)$ 's. (Check that the collection of all open  $\epsilon$  balls is a basis.)

Now suppose that  $(X, \mathcal{T})$  is a metric space (or metrizable) iff there is a metric  $d$  on  $X$  for which  $\mathcal{T}$  is the  $d$ -metric topology. If  $X$  is a metric space, then the statement that  $d$  is a metric for  $X$  means that the  $d$ -metric topology is the topology for  $X$ .

Notice that the same metric space may have many different metrics. As an exercise find several different metrics for  $\mathbb{R}^n$ .

Example. For any set  $X$ , define a metric  $d$  on  $X$  by  $d(x, y) = 1$  if  $x \neq y$ ,  $d(x, x) = 0$ . What is the  $d$ -metric topology on  $X$ ?

Theorem M.1. If  $X$  is a metric space and  $Y \subset X$ , then  $Y$  is a metric space.

Theorem M.2. If  $X$  is a metric space, then there is a metric  $d$  for  $X$  so that for each  $x, y \in X$ ,  $d(x, y) < 1$ .

Theorem M.3. Let  $X$  be a metric space. Then  $X$  is perfectly normal.

Definition. A collection  $\{B_\alpha\}_{\alpha \in \lambda}$  is a discrete collection of subsets of  $X$  if and only if for each point  $p$  in  $X$  there is an open set  $U$  containing  $p$  so that  $U$  intersects at most one  $B_\alpha$ .

Definition. A space  $X$  is collectionwise normal if and only if for each discrete collection of closed sets  $\{H_\alpha\}_{\alpha \in \lambda}$  in  $X$ , there is a collection of disjoint open sets  $\{U_\alpha\}_{\alpha \in \lambda}$  so that for each  $\alpha$  in  $\lambda$ ,  $H_\alpha \subset U_\alpha$ .

Theorem M.4. Every metric space is collectionwise normal.

Theorem M.5. If  $X$  is metrizable and  $Y$  is metrizable, then  $X \times Y$  is metrizable.

Theorem M.6. If  $\{X_i\}_{i \in \omega_0}$  is a collection of metric spaces, then  $\prod_{i \in \omega_0} X_i$  is metrizable.

Theorem M.7. Let  $d_1$  be a metric for  $X$  and  $d_2$  be a metric for  $Y$ . A function  $f: X \rightarrow Y$  is continuous if and only if for each  $x \in X$  and  $\epsilon > 0$ , there is a  $\delta > 0$  so that  $d_1(x, y) < \delta$  implies that  $d_2(f(x), f(y)) < \epsilon$ .

Theorem M.8. In a metric space  $X$ , the following are equivalent:

- (a)  $X$  is 2nd countable,
- (b)  $X$  has the Souslin property,
- (c)  $X$  is Lindelöf,
- (d)  $X$  is separable,
- (e) every uncountable set in  $X$  has a limit point.

Theorem M.9. If a metric space is countably compact, it is compact.

Theorem M.10. Let  $C$  be a compact subset of a metric space  $X$  and  $\{U_\alpha\}_{\alpha \in \lambda}$  be a collection of open sets in  $X$  so that  $C \subset \bigcup_{\alpha \in \lambda} U_\alpha$ . Then there is an  $\varepsilon > 0$  so that for every set  $S$  with diameter less than  $\varepsilon$  in  $X$  where  $S \cap C \neq \emptyset$ , there is a  $U_\alpha$  so that  $S \subset U_\alpha$ .

Definition. Let  $S$  be a subset of a metric space  $X$ . Then the diameter of  $S$  equals  $\sup\{d(x,y) \mid x,y \in S\}$ .

Definition. In the situation described in Theorem M.10, any number  $\varepsilon$  satisfying the conclusion is called a Lebesgue number.

Definition. Let  $X$  be a metric space with metric  $d$ . A sequence  $\{x_i\}_{i \in \omega_0}$  of points in  $X$  is a Cauchy sequence if and only if for each  $\varepsilon > 0$ , there is an integer  $M$  so that for all  $m,n > M$ ,  $d(x_m, x_n) < \varepsilon$ .

Definition. Let  $d$  be a metric for  $X$ . Then  $d$  is a complete metric for  $X$  if and only if every  $d$ -Cauchy sequence in  $X$  converges.

Definition. A space  $X$  is complete or is a complete metric space iff there is a complete metric for  $X$ .

Theorem M.11. The space  $\mathbb{R}^n$  is complete.

Theorem M.12. There is a metric for  $\mathbb{R}^1$  which is not complete.

Theorem M.13. A closed subset of a complete space is complete.

Theorem M.14. An open set  $U$  of a metric space  $X$  can be embedded as a closed subset of  $X \times \mathbb{R}^1$ .



Theorem M.15. If  $X$  and  $Y$  are complete metric spaces, then  $X \times Y$  is complete.

Theorem M.16. If  $\{X_i\}_{i \in \omega_0}$  is a collection of complete spaces, then  $\prod_{i \in \omega_0} X_i$  is complete.

Theorem M.17. An open set  $U$  of a complete space  $X$  is complete.

Theorem M.18. Let  $X$  be a complete metric space and  $Y \subset X$ . Then  $Y$  is complete if and only if  $Y$  is a  $G_\delta$  subset of  $X$ .

Theorem M.19. Let  $X$  be a compact metric space. Then every metric for  $X$  is a complete metric for  $X$ .

Theorem M.20. Let  $X$  be a metric space. If  $X$  is not compact, there is a metric for  $X$  which is not complete.

Definition. Let  $Y$  be a space with metric  $d$ . A sequence of continuous maps  $f_i: X \rightarrow Y$  converges uniformly iff for every  $\varepsilon > 0$ , there is an integer  $M$  so that for every  $x \in X$  and  $m, n > M$ ,  $d(f_m(x), f_n(x)) < \varepsilon$ .

Theorem M.21. Let  $Y$  be a metric space with a complete metric  $d$ . If a sequence of continuous maps  $f_i: X \rightarrow Y$  converges uniformly, then  $\lim_{i \rightarrow \infty} f_i = f$  exists and is continuous.

Theorem M.22. Let  $X$  be a complete metric space and  $\{U_i\}_{i \in \omega_0}$  be a collection of dense open sets. Then  $\bigcap_{i \in \omega_0} U_i$  is a dense set.

Definition. A subset  $Y$  of a space  $X$  is nowhere dense if and only if  $\text{Int}(\bar{Y}) = \emptyset$ .

Theorem M.23. Let  $X$  be a complete metric space. Then  $X$  is not the union of countably many nowhere dense sets.

Note M.24. For a space  $X$  the following are equivalent:

- (a) no open subset of  $X$  is the union of countably many nowhere dense sets, and
- (b) if  $\{U_i\}_{i \in \omega_0}$  is a collection of dense open sets in  $X$ , then  $\bigcap_{i \in \omega_0} U_i$  is dense.

Definition. Let  $\{U_\alpha\}_{\alpha \in \lambda}$  be an open cover of a space  $X$ . Then  $\mathcal{W} = \bigcup_{i \in \omega_0} \mathcal{W}_i$  is a  $\sigma$ -discrete open (resp. closed) refinement of  $\{U_\alpha\}_{\alpha \in \lambda}$  iff  $\mathcal{W}$  is an open (resp. closed) refinement and for each  $i$ ,  $\mathcal{W}_i$  is a discrete collection of open (resp. closed) sets.

Theorem M.25. Let  $X$  be a regular,  $T_1$  space in which every open cover has a  $\sigma$ -discrete open refinement. Then  $X$  is paracompact.

Theorem M.26. Let  $X$  be a collectionwise normal,  $T_1$  space in which every open cover has a  $\sigma$ -discrete closed refinement. Then  $X$  is paracompact.

Lemma M.27. Let  $\{U_\alpha\}_{\alpha \in \lambda}$  be an open cover of  $X$  where  $\lambda$  is a well-ordered set, for each  $\alpha \in \lambda$ ,  $U_\alpha = \bigcup_{i \in \omega_0} F_{\alpha,i}$  where each  $F_{\alpha,i}$  is a closed set, and for each  $\alpha \in \lambda$  and  $i \in \omega_0$ ,  $\text{Cl}(\bigcup_{\beta < \alpha} F_{\beta,i}) \subset \bigcup_{\beta < \alpha} U_\beta$ .

Then  $\{U_\alpha\}_{\alpha \in \lambda}$  has a  $\sigma$ -discrete closed refinement.

Theorem M.28. Every metric space is paracompact.

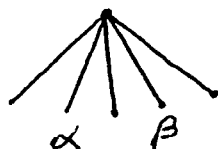
Definition. A collection  $\mathcal{B} = \bigcup_{i \in \omega_0} \mathcal{B}_i$  is  $\sigma$ -locally finite (resp.  $\sigma$ -discrete) if and only if each  $\mathcal{B}_i$  is locally finite (resp. discrete). Note: In general

a collection is  $\sigma$ -something if and only if it can be broken into a countable number of pieces each of which is something.

Theorem M.29. If  $X$  is a metric space, then  $X$  has a  $\sigma$ -locally finite basis.

Theorem M.30. If  $X$  is a metric space, then  $X$  has a  $\sigma$ -discrete basis.

Example M.1.



For a set  $\lambda$  consider the set of all ordered pairs  $(\alpha, t)$  where  $\alpha \in \lambda$  and  $0 < t \leq 1$ . Then one additional point  $0$  is added. Think of the cone pictured above. A metric  $d$  is put on this space as follows:  $d((\alpha, t), (\beta, s)) = s + t$  if  $\alpha \neq \beta$ ,  $d((\alpha, t), (\alpha, s)) = |t - s|$ , and  $d((\alpha, t), 0) = t$ . This space is called a hedgehog.

Theorem M.31. Every metric space can be embedded in a countable product of hedgehogs.

Theorem M.32. Every separable metric space can be embedded in a countable product of intervals.

Theorem M.33. <sup>Urysohn's metrization</sup> A 2nd countable, regular,  $T_1$  space is metrizable.

Theorem M.34. Let  $X$  be a regular,  $T_1$  space with a  $\sigma$ -discrete basis. Then  $X$  is metrizable.

Theorem M.25. Let  $X$  be a regular  $T_1$  space with a  $\sigma$ -locally finite basis. Then  $X$  is a metrizable space.

Metrization Theorem. For a regular,  $T_1$  space the following are equivalent:

- (a)  $X$  is metrizable,
- (b)  $X$  has a  $\sigma$ -discrete basis,
- (c)  $X$  has a  $\sigma$ -locally finite basis,
- (d)  $X$  can be embedded in a countable product of hedgehogs.

Theorem M.36. Let  $X$  be a metric space and  $f: X \rightarrow Y$  be a closed, onto map so that for each  $y \in Y$ ,  $f^{-1}(y)$  is compact. Then  $Y$  is metrizable.

Theorem M.37. Let  $X$  be a compact metric space,  $Y$  be a Hausdorff space, and  $f: X \rightarrow Y$  be an onto map. Then  $Y$  is a compact metric space.

Theorem M.38. Let  $X$  be a compact metric space. Then there is a continuous function from the Cantor set onto  $X$ .

### The Cantor Set

Definition. Let  $A_0 = [0,1]$ ,  $A_1 = [0,1/3] \cup [2/3,1]$ ,  $A_2 = [0,1/9] \cup [2/9,3/9] \cup [6/9,7/9] \cup [8/9,1]$ , ... . Then  $\bigcap_{i \in \omega_0} A_i$  is the Standard Cantor Set. A space homeomorphic to the Standard Cantor Set is called a Cantor Set.

Theorem C.S.1. Let  $C$  be a Cantor Set. Then  $|C| = |\mathbb{R}| = 2^{\omega_0}$ .

Theorem C.S.2. The Standard Cantor Set has measure 0. And for any  $\lambda \in [0,1]$  there is a Cantor Set in  $[0,1]$  with measure  $\lambda$ . ?

Theorem C.S.3.  $\prod_{i \in \omega_0} \{0,1\}$  is a Cantor Set. ✓

Theorem C.S.4. Let  $C$  be a Cantor Set in  $\mathbb{R}^1$ ,  $A$  a countable subset of  $\mathbb{R}^1$  and  $\varepsilon > 0$ . Then  $\exists$  a rigid translation  $h$  of  $\mathbb{R}^1$  of distance less than  $\varepsilon$  so that  $h(C) \cap A = \emptyset$ .

Theorem C.S.5. Let  $X$  be a compact, metric space and let  $\{A_i\}_{i \in \omega_0}$  be a collection of closed sets in  $X$  such that

- (i)  $A_{i+1} \subset A_i$ ,
- (ii)  $A_i = \bigcup_{k=1}^{n_i} A_{ik}$ , where  $\{A_{ik}\}_{k=1}^{n_i}$  is a collection of disjoint closed sets.
- (iii)  $A_0 \neq \emptyset$  and each  $A_{ik}$  contains at least two  $A_{(i+1)k}$ 's,
- (iv) no  $A_{ik}$  has an isolated point,
- (v)  $\text{diam } A_{ik} < 1/2^i$ , for each  $i \in \omega_0$  and  $k = 1, \dots, n_i$ . Then  $\bigcap_{i \in \omega_0} A_i$  is a Cantor Set.

✓ Theorem C.S.6. There is a Cantor Set in  $\mathbb{R}^2 - \{(0,0)\}$  such that every ray from the origin intersects it.

Theorem C.S.7. There is a Cantor Set  $C$  in  $\mathbb{R}^2$  so that the graph of every continuous function from  $[0,1] \rightarrow [0,1]$  intersects  $C$ .

Theorem C.S.8. A space  $X$  is a Cantor Set if and only if  $X$  is <sup>non- $\emptyset$</sup>  compact, metric, 0-dimensional and has no isolated points.

Theorem C.S.9. Let  $X$  be 2nd countable. Then  $\exists$  a countable set  $B \subset X$  so that every open set in  $X$  containing a point in  $X - B$  contains an uncountable number of points in  $X - B$ .

Theorem C.S.10. Let  $X$  be a compact, metric space. Then  $|X| \leq \omega_0$  or  $|X| = 2^{\omega_0}$ . Also if  $|X| > \omega_0$ , then  $X$  contains a Cantor Set.

Theorem C.S.11. Let  $X$  be a countable, compact, metric space. Then  $X$  has an isolated point.

Theorem C.S.12. There is a map from the Cantor Set onto  $[0,1]$ .

Theorem C.S.13. Let  $X$  be a compact, metric space. Then there is a map from the Cantor Set onto  $X$ .

Definition. Let  $C$  be the Standard Cantor Set. Then a point in  $C$  of the form  $k/3^n$  where  $n=0,1,\dots$  and  $k=0,1,\dots,3^n$  is called an accessible point. All other points are non-accessible or inaccessible.

Theorem C.S.14. If  $C$  is the Standard Cantor Set, then there is a homeomorphism  $h: C \rightarrow C$  which takes all the accessible points to non-accessible points.

Definition. Let  $C$  be a Cantor Set in some space  $X$ . Suppose  $C = \bigcap_{i \in \omega_0} A_i$ , where  $A_{i+1} \subset \text{Int } A_i$ , each  $A_i$  has a finite number of components, and each component of each  $A_i$  is a cell (respectively, \_\_\_\_\_). Then  $C$  is definable by cells (respectively, by \_\_\_\_\_).

Definition. Let  $C$  be a Cantor Set in  $\mathbb{R}^n$ . Then  $C$  is tame iff  $\exists$  a homeomorphism  $H: \mathbb{R}^n \rightarrow \mathbb{R}^n$  such that  $H(C)$  lies on a straight line. Otherwise,  $C$  is wild.

Theorem C.S.15. Every Cantor Set in  $\mathbb{R}^n$  is definable by PL  $n$ -manifolds with boundary.

Theorem C.S.16. Let  $C$  be a Cantor Set in  $\mathbb{R}^n$ . Then  $C$  is tame iff  $C$  is definable by  $n$ -cells.

Theorem C.S.17. Let  $C$  be a Cantor Set in  $\mathbb{R}^2$ . Then  $C$  is tame.

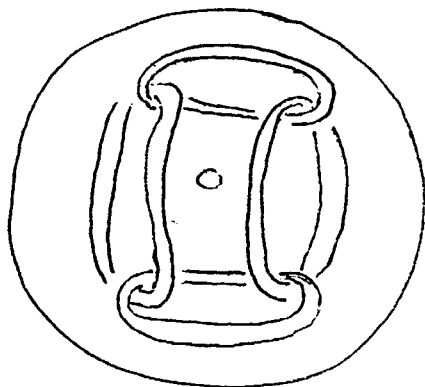
Theorem C.S.18. Let  $C$  be a Cantor Set in  $\mathbb{R}^n \times \{0\}$ . Then  $C$  is tame in  $\mathbb{R}^{n+1}$ .

Theorem C.S.19. Let  $C$  be a Cantor Set in  $\mathbb{R}^n$ . Then  $\exists$  an embedding  $h$  of the arc  $[0,1]$  into  $\mathbb{R}^n$  so that  $C \subset h([0,1])$ .

Theorem C.S.20. Let  $C$  be a Cantor Set in  $\mathbb{R}^n$ . Then  $\exists$  an embedding  $h$  of the  $n$ -cell  $B$  into  $\mathbb{R}^n$  such that  $C \subset h(\text{Bd } B)$ .

Theorem C.S.21. Let  $C$  be a Cantor Set in  $\mathbb{R}^n$  and let  $X$  be a non-degenerate continuum in  $\mathbb{R}^n$ . Then  $\exists$  a re-embedding  $h$  of  $X$  into  $\mathbb{R}^n$  so that  $C \subset h(X)$ .

Theorem C.S.22. Let  $T_0$  be a standard solid torus and  $T_1$  be the union of the four solid tori in  $T_0$  (see picture). Let  $T_{i+1}$  be obtained from  $T_i$  as  $T_i$



is obtained from  $T_0$ . Then  $\bigcap_{i \in \omega_0} T_i$  is a wild Cantor Set in  $\mathbb{R}^3$  called Antoine's Necklace.

Theorem C.S.23. There is a wild Cantor Set  $C$  in  $\mathbb{R}^3$  with  $\pi_1(\mathbb{R}^3 - C) = 1$ .

Theorem C.S.24. There exist wild arcs,  $n$ -cells and  $(n-1)$ -spheres in  $\mathbb{R}^n$  for  $n \geq 3$ .

Theorem C.S.25. Let  $C$  be a Cantor Set in  $\mathbb{R}^n$  ( $n \geq 2$ ),  $x, y \in \mathbb{R}^n - C$ ,  $\epsilon > 0$  and  $\overline{xy}$  the straight line segment joining  $x$  and  $y$ . Then  $\exists$  a homeomorphism  $h: \mathbb{R}^n \rightarrow \mathbb{R}^n$  such that

$$(i) \quad h(x) = x \quad \text{and} \quad h(y) = y$$

(ii)  $h$  equals the identity outside an  $\epsilon$ -nbhd of  $\overline{xy}$  and  $h$  moves points less than a distance of  $\epsilon$ .

$$(iii) \quad h(\overline{xy}) \cap C = \emptyset.$$



## Classification of 2-manifolds

Definition. An  $n$ -manifold is a separable metric space  $M^n$  so that for each  $p \in M^n$ , there is an open set  $U$  containing  $p$  so that  $U$  is homeomorphic to  $\mathbb{R}^n$ .

Theorem 1. Let  $v_0, v_1$  be two points in  $\mathbb{R}^n$ . Then  $\sigma^1 = \{\mu v_0 + (1-\mu)v_1 \mid 0 \leq \mu \leq 1\}$  is the straight line segment between  $v_0$  and  $v_1$ .

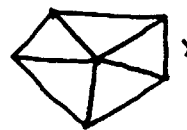
Definition. A set  $\sigma^1$  as above is called a 1-simplex or edge with vertices  $v_0$  and  $v_1$ .

Theorem 2. Let  $v_0, v_1, v_2$  be non-colinear points in  $\mathbb{R}^n$ . Then  $\sigma^2 = \{\lambda_0 v_0 + \lambda_1 v_1 + \lambda_2 v_2 \mid \lambda_0 + \lambda_1 + \lambda_2 = 1 \text{ and } 0 \leq \lambda_i \leq 1 \text{ for each } i=0,1,2\}$  is a triangle with edges  $v_0 v_1, v_1 v_2, v_0 v_2$  and vertices  $v_0, v_1$ , and  $v_2$ .

Definitions. 1. A set  $\sigma^2$  as above is a 2-simplex with vertices  $v_0, v_1$ , and  $v_2$  and edges  $v_0 v_1, v_1 v_2$ , and  $v_0 v_2$ .

2. A triangulated compact 2-manifold is a space homeomorphic to a subset  $M^2$  of  $\mathbb{R}^n$  so that  $M^2 = \bigcup_{i=1}^k \sigma_i$  so that:

- (a) each  $\sigma_i$  is a 2-simplex,
- (b) for  $i \neq j$ ,  $\sigma_i \cap \sigma_j$  is either  $\emptyset$ , an edge of each  $\sigma_i$  and  $\sigma_j$ , or a vertex of each,
- (c) each edge of any  $\sigma_i$  is an edge of exactly two  $\sigma_i$ 's, and
- (d) for each vertex  $v$  of a  $\sigma_i$ , the union of all  $\sigma_i$ 's containing  $v$  is homeomorphic to a polygonal disk, where  $v$  goes to the center and each simplex containing  $v$  goes linearly to one of the sectors.

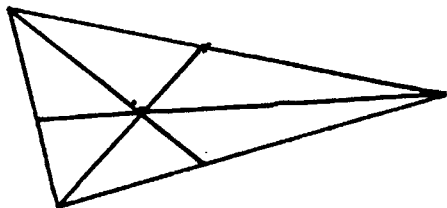


The set of 2-simplexes  $\{\sigma_i\}_{i=1}^k$  above is called a triangulation of the 2-manifold.

Theorem 3. A triangulated, compact 2-manifold is a 2-manifold.

Definitions. 1. Let  $\sigma^2$  be a 2-simplex with vertices  $v_0, v_1$  and  $v_2$ . Then  $p = 1/3v_0 + 1/3v_1 + 1/3v_2$  is the barycenter of  $\sigma^2$ .

2. Let  $T = \{\sigma_i\}_{i=1}^k$  be a triangulation for a triangulated, compact 2-manifold  $M^2$ . The first derived subdivision of  $T$ , denoted  $T'$ , is a collection of 2-simplexes obtained from  $T$  by breaking each  $\sigma_i$  in  $T$  into six pieces as shown:



where the new vertices are the barycenter of  $\sigma_i$  and the centers of each edge. The 2nd derived subdivision, denoted  $T''$ , is  $(T')'$ .

Theorem 4. The first derived subdivision of a triangulation of a 2-manifold is also a triangulation of the 2-manifold.

Definitions. 1. Let  $M^2$  be a 2-manifold with triangulation  $T = \{\sigma_i\}_{i=1}^k$ . Let  $A$  be the union of any subset of the elements of  $T$  or their edges or their vertices. The regular neighborhood of  $A$ , denoted  $N(A)$ , equals  $\bigcup \{\sigma_j'' \mid \sigma_j'' \in T'' \text{ and } \sigma_j'' \cap A \neq \emptyset\}$ .

2. The 1-skeleton of a triangulation  $T$  equals  $\bigcup \{\sigma_j \mid \sigma_j \text{ is an edge of a 2-simplex in } T\}$  and is denoted  $T^{(1)}$ .

3. The dual 1-skeleton of a triangulation  $T$  equals  $\bigcup \{\sigma_j \mid \sigma_j \text{ is an edge of a 2-simplex in } T' \text{ and neither vertex of } \sigma_j \text{ is a vertex of a 2-simplex of } T\}$ .

Exercise. The boundary of a tetrahedron is naturally triangulated with four 2-simplexes. On the boundary of a tetrahedron locate the first and second derived subdivisions, the 1-skeleton, and its regular neighborhood, and the dual 1-skeleton for the natural triangulation.

Definitions 1. A graph  $G$  is the union of 1-simplexes  $\{\sigma_i\}_{i=1}^k$  in  $R^n$  so that for  $i \neq j$ ,  $\sigma_i \cap \sigma_j$  is empty or an endpoint of each of  $\sigma_i$  and  $\sigma_j$ . The  $\sigma_i$ 's are the edges of  $G$ .

2. A tree is a connected graph with no circuits.

3. Given a connected graph  $G$  with edges  $\{\sigma_i\}_{i=1}^k$ , a subgraph  $T$  of  $G$  is a maximal tree if and only if  $T$  is a tree and for any edge  $e$  of  $G$  not in  $T$ ,  $T \cup e$  has a circuit.

Theorem 5. Let  $G$  be a connected graph. Then  $G$  contains a maximal tree and every maximal tree for  $G$  contains every vertex of  $G$ .

Theorem 6. Let  $A_0$  and  $A_1$  be two subsets of a Hausdorff space  $X$  and let  $h_0$  and  $h_1$  be homeomorphisms of  $A_0$  and  $A_1$ , respectively to  $D^2$  ( $= [0,1] \times [0,1]$ ). Suppose  $A_0 \cap A_1$  is homeomorphic to an arc of the form  $h_0^{-1}(\alpha) = h_1^{-1}(\beta)$  where  $\alpha$  and  $\beta$  are arcs on  $\text{Bd } D^2$ . Then  $A_0 \cup A_1$  is homeomorphic to  $D^2$ .

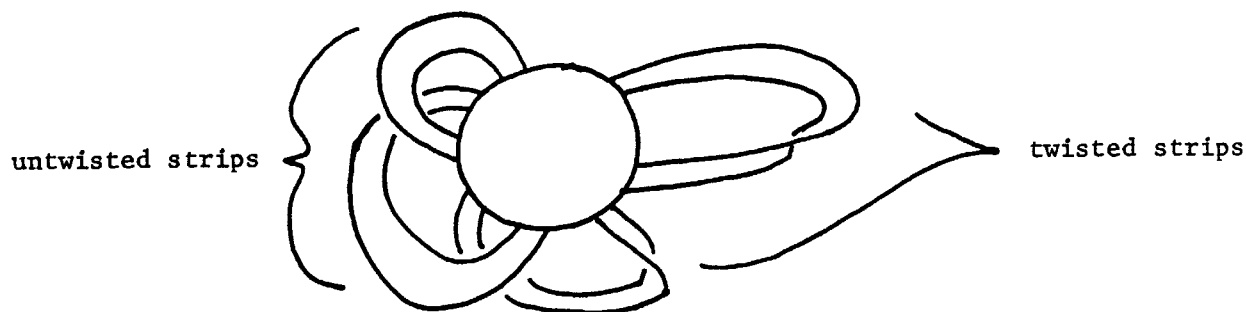
Theorem 7. Let  $M^2$  be a compact, triangulated 2-manifold with triangulation  $T$ . Let  $S$  be a tree whose edges are 1-simplexes in the 1-skeleton of  $T$ . Then  $N(S)$ , the regular neighborhood of  $S$ , is homeomorphic to  $D^2$ .

Theorem 8. Let  $M^2$  be a compact, triangulated 2-manifold with triangulation  $T$ . Let  $S$  be a tree whose edges are edges in the dual 1-skeleton of  $T$ . Then  $\bigcup \{\sigma'_j \mid \sigma'_j \in T'' \text{ and } \sigma'_j \cap S \neq \emptyset\}$  is homeomorphic to  $D^2$ .

Theorem 9. Let  $M^2$  be a connected, compact, triangulated 2-manifold with triangulation  $T$ . Let  $S$  be a maximal tree in the 1-skeleton of  $T$ . Let  $S'$  be the subgraph of the dual 1-skeleton of  $T$  whose edges do not intersect  $S$ . Then  $S'$  is connected.

Theorem 10. Let  $M^2$  be a connected, compact, triangulated 2-manifold. Then  $M^2 = D_0 \cup D_1 \cup (\bigcup_{i=1}^k H_i)$  where  $D_0, D_1$ , and each  $H_i$  is homeomorphic to  $D^2$ ,  $\text{Int } D_0 \cap D_1 = \emptyset$ , the  $H_i$ 's are disjoint,  $\bigcup_{i=1}^k \text{Int } H_i \cap (D_0 \cup D_1) = \emptyset$ , and for each  $i$ ,  $H_i \cap D_1 = 2$  disjoint arcs each arc on the boundary of each of  $H_i$  and  $D_1$ .

Theorem 11. Let  $M^2$  be a connected, compact, triangulated 2-manifold. Then there is a disk  $D_0$  in  $M^2$  so that  $M^2 - (\text{Int } D_0)$  is homeomorphic to the following subset of  $R^3$ : a disk  $D_1$  with a finite number of disjoint strips attached to boundary of  $D_1$  where each strip has no twist or  $1/2$  twist. (See Example below.)

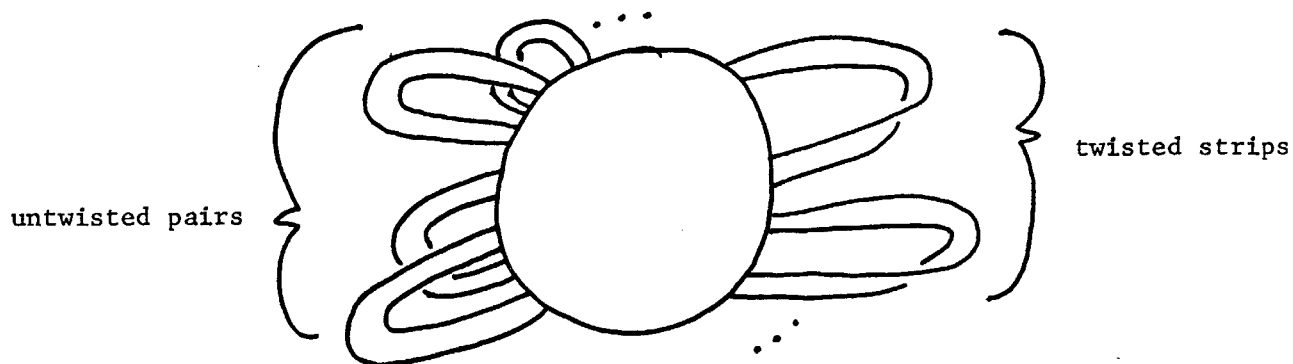


Figure

Note that the boundary of the disk with strips is one simple closed curve.

(Why?)

Theorem 12. Let  $M^2$  be a connected, compact, triangulated 2-manifold. Then there is a disk  $D_0$  in  $M^2$  so that  $M^2 - \text{Int } D_0$  is homeomorphic to a disk  $D_1$  with strips attached as follows: first come a finite number of strips with  $1/2$  twist each whose attaching arcs are consecutive along  $\text{Bd } D_1$ , next come a finite number of pairs of untwisted strips, each pair with attaching arcs entwined as pictured with the four arcs from each pair consecutive along  $\text{Bd } D_1$ .



Theorem 13. Let  $M^2$  be a connected compact, triangulated 2-manifold. Then there is a disk  $D_0$  in  $M^2$  so that  $M^2 - \text{Int } D_0$  is homeomorphic to one of the following:

- (a) a disk  $D_1$ ,
- (b) a disk  $D_1$  with  $k$   $1/2$  twisted strips with consecutive attaching arcs, or
- (c) a disk  $D_1$  with  $k$  pairs of untwisted strips, each pair in entwining position with the four attaching arcs from each pair consecutive.