

## Math 325 Problem Set 9

Starred (\*) problems are due Friday, November 2.

(\*) 53. (Belding and Mitchell, p.100, #11) Suppose that  $f$  is differentiable on  $[a, b]$  with  $f'(a) > 0$  and  $f'(b) < 0$ . Show that:

(a) Neither  $f(a)$  nor  $f(b)$  is a maximum value for  $f$  on  $[a, b]$ ; that is, there is a  $c \in (a, b)$  so that  $f(a) < f(c)$  and  $f(b) < f(c)$ . [Hint: a previous problem will help...]

By Problem #47, since  $f'(a) > 0$  there is a  $\delta > 0$  so that  $x \in (a, a + \delta)$  implies that  $f(x) > f(a)$ . In particular,  $f(a + \delta/2) > f(a)$ , so  $f(a)$  is not a maximum value for  $f$  on  $[a, b]$ .

Also, setting  $g(x) = -f(x)$ ,  $g$  is differentiable on  $[a, b]$  and  $g'(x) = -f'(x)$ , so  $g'(b) = -f'(b) > 0$ , and so (again by Problem #47) there is a  $\delta > 0$  so that  $x \in (b - \delta, b)$  implies that  $g(x) < g(b)$ , and so  $-f(x) < -f(b)$ , so  $f(x) > f(b)$ . In particular,  $f(b - \delta/2) > f(b)$ , so  $f(b)$  is not a maximum value for  $f$  on  $[a, b]$ .

Therefore, neither  $f(a)$  nor  $f(b)$  is a maximum value for  $f$  on  $[a, b]$ .

(b) Use this and Rolle's Theorem to show that there is a point  $c \in (a, b)$  where  $f'(c) = 0$ .

By the Extreme Value Theorem,  $f$  achieves its maximum value on  $[a, b]$ , that is, there is a  $c \in [a, b]$  so that  $f(c) \geq f(x)$  for every  $x \in [a, b]$ . By part (a),  $c$  cannot equal  $a$  or  $b$ , so  $c \in (a, b)$ .

Applying Problem #47 again, it is not possible for  $f'(c) > 0$ , since then (as above!) there is a  $\delta > 0$  so that  $f(c + \delta/2) > f(c)$ , contradicting the choice of  $c$ . But it is also not possible for  $f'(c) < 0$ , since then (as above) there is a  $\delta > 0$  so that  $f(c - \delta/2) > f(c)$ , contradicting the choice of  $c$ . so  $f'(c) = 0$ .

Therefore,  $f$  is differentiable on  $[a, b]$  and  $f'(a) > 0$  and  $f'(b) < 0$  implies that there is a  $c \in (a, b)$  with  $f'(c) = 0$ .

(\*) 55. Use Rolle's Theorem to show, by induction, that a polynomial

$$p(x) = a_n x^n + \cdots + a_1 x + a_0$$

of degree  $n$  has at most  $n$  distinct roots (i.e., solutions to  $p(x) = 0$ ).

[Hint: If  $p$  has degree  $n$ , then  $p'$  has degree  $n - 1$  ...]

Working by induction, for  $n = 1$  we have  $p(x) = ax + b = 0$  only for  $x = -b/a$ ; so there is one root if  $a \neq 0$  and no root if  $a = 0$ . (But if  $a = 0$  the polynomial actually has degree 0, not 1...)

Now suppose that we know that every polynomial of degree  $n - 1$  has at most  $n - 1$  distinct roots. Suppose that  $p(x)$  is a polynomial of degree  $n$ ; we want to show that it has at most  $n$  distinct roots. Well, suppose it doesn't! Suppose that  $p(x)$  has

$n + 1$  distinct roots,  $x_1 < x_2 < \cdots < x_{n+1}$ . Then for every  $i = 1, \dots, n$  we have  $p(x_i) = p(x_{i+1})$ . But then  $p$  is continuous on  $[x_i, x_{i+1}]$  (it is a polynomial), and  $p$  is differentiable on  $(x_i, x_{i+1})$  (it is a polynomial!), so we can apply Rolle's Theorem. This tells us that there is a  $c_i \in (x_i, x_{i+1})$  with  $p'(c_i) = 0$ .

But! since  $x_i < c_i < x_{i+1}$ , all of the  $c_i$  are distinct! This is because if  $i \neq j$ , then WOLOG  $i < j$ , so  $i + 1 \leq j$ , so  $x_{i+1} \leq x_j$ , so  $x_i < c_i < x_{i+1} \leq x_j < c_j$ , so  $c_i < c_j$ . This means that  $p'(x)$ , which has degree  $n - 1$ , has roots the  $n$  distinct numbers  $c_i$ ,  $i = 1, \dots, n$  (and possibly more!). But this contradicts our inductive hypothesis. So it is impossible for  $p$  to have  $n + 1$  distinct roots, so  $p$  has at most  $n$  distinct roots.

This completes our inductive step; so every polynomial of degree  $n$  has at most  $n$  distinct roots.

N.B.: You have probably seen this result before, proved in a different way: if  $p$  has no (real) roots, then we are done. But if  $c$  is a root of the degree- $n$  polynomial  $p(x)$  then  $p(x) = (x - c)q(x)$  for some polynomial  $q$  having degree  $n - 1$ . Then  $q$  (by an inductive argument) has at most  $n - 1$  roots, and  $p(r) = (r - c)q(r) = 0$  only if either  $r - c = 0$  (so  $r = c$ ) or  $q(r) = 0$  (so  $r$  is a root of  $q$ ). So the roots of  $p$  are the roots of  $q$  (at most  $n - 1$  of them) plus  $c$ , so  $p$  has at most  $n$  roots.

- (\*) 57. Suppose that  $f, g : [0, 1] \rightarrow \mathbb{R}$  are both continuous on  $[0, 1]$ , differentiable on  $(0, 1)$ ,  $f(0) = g(0)$ , and  $f'(x) > g'(x)$  for every  $x \in (0, 1)$ . Show that  $f(x) > g(x)$  for all  $x \in (0, 1]$ .

Suppose, by way of contradiction, that  $a \in (0, 1]$  and  $f(a) \leq g(a)$ . Then, setting  $h(x) = f(x) - g(x)$ , we have that  $h$  is continuous on the interval  $[0, a]$ ,  $h$  is differentiable on  $(0, a)$ ,  $h(0) = 0$  and  $h(a) = f(a) - g(a) \leq 0$ . Therefore, by the Mean Value Theorem, there is a  $c \in (0, a)$  so that  $h'(c) = \frac{h(a) - h(0)}{a - 0} \leq 0$ . But since  $c \in (0, a) \subseteq (0, 1)$ , we have  $c \in (0, 1)$  and so  $0 \geq h'(c) = f'(c) - g'(c) > 0$ , and so  $0 > 0$ , a contradiction. Therefore, every  $a \in (0, 1)$  has  $f(a) > g(a)$ , as desired.

### A selection of further solutions.

54. (Belding and Mitchell, p.100, #12) Prove the **Intermediate Value Theorem for Derivatives**: If  $f$  is differentiable on  $[a, b]$  and  $f'(a) < k < f'(b)$ , then there is a  $c \in (a, b)$  with  $f'(c) = k$ .

[Hint: Consider the 'auxiliary' function  $h(x) = kx - f(x)$  and apply the results of the preceding problem. Note that  $f'(x)$  need not be continuous (although examples of this are tough to construct!), so we cannot 'just' apply IVT...!]

Following the hint, if we set  $h(x) = kx - f(x)$ , then  $h$  is differentiable on  $[a, b]$  and  $h'(x) = k - f'(x)$ . Then  $h'(a) = k - f'(a) > 0$ , and  $h'(b) = k - f'(b) < 0$ , and so by Problem #53(b) there is a  $c \in (a, b)$  so that  $h'(c) = k - f'(c) = 0$ . So  $f'(c) = k$ , as desired.