

## Math 871 Problem Set 1 Solutions

1. [Munkres, p.14, #2 (part)] For each statement below, determine whether or not it is true. If true, show why; if not, give an example demonstrating this.

(\*) (a) For any sets  $A, B$ ,  $A \setminus (A \setminus B) = B$ .

Since  $A \setminus (\text{anything})$  is contained in  $A$ , this statement is false whenever  $B$  is not contained in  $A$ . For example, if  $A = \{1, 2\}$  and  $B = \{3\}$ , then  $A \setminus B = A$ , and so  $A \setminus (A \setminus B) = A \setminus A = \emptyset$ , not  $B$ .

(\*) (f) For any sets  $A, B, C, D$ ,  $(A \times B) \cap (C \times D) = (A \cap C) \times (B \cap D)$ .

This is true; if  $(a, b) \in (A \times B) \cap (C \times D)$ , then  $(a, b) \in A \times B$  and  $(a, b) \in C \times D$ . So  $a \in A$ ,  $b \in B$ ,  $a \in C$ , and  $b \in D$ , and so  $a \in A \cap C$  and  $b \in B \cap D$ . Therefore  $(a, b) \in (A \cap C) \times (B \cap D)$ , and so  $(A \times B) \cap (C \times D) \subseteq (A \cap C) \times (B \cap D)$ . For the opposite inclusion, if  $(a, b) \in (A \cap C) \times (B \cap D)$ , then  $a \in A \cap C$  and  $b \in B \cap D$ . So  $a \in A$ ,  $a \in C$ ,  $b \in B$ ,  $b \in D$ , and so  $(a, b) \in A \times B$  and  $(a, b) \in C \times D$ , and so  $(a, b) \in (A \times B) \cap (C \times D)$ , as desired. With both inclusions established, we know that the two sets are equal.

4. [Munkres, p.20, #2 (part)] If  $f : A \rightarrow B$  is a function,  $A_0, A_1 \subseteq A$ , and  $B_0, B_1 \subseteq B$ , then

(\*) (a)  $f^{-1}(B_0 \cap B_1) = f^{-1}(B_0) \cap f^{-1}(B_1)$

$$\begin{aligned} f^{-1}(B_0 \cap B_1) &= \{x \in A : f(x) \in B_0 \cap B_1\} \\ &= \{x \in A : f(x) \in B_0 \text{ and } f(x) \in B_1\} \\ &= \{x \in A : f(x) \in B_0\} \cap \{x \in A : f(x) \in B_1\} \\ &= f^{-1}(B_0) \cap f^{-1}(B_1). \end{aligned}$$

Or: If  $x \in f^{-1}(B_0 \cap B_1)$ , then  $f(x) \in B_0 \cap B_1$ , so  $f(x) \in B_0$  and  $f(x) \in B_1$ , so  $x \in f^{-1}(B_0)$  and  $x \in f^{-1}(B_1)$ , and so  $x \in f^{-1}(B_0) \cap f^{-1}(B_1)$ . This shows that  $f^{-1}(B_0 \cap B_1) \subseteq f^{-1}(B_0) \cap f^{-1}(B_1)$ .

For the reverse inclusion, if  $x \in f^{-1}(B_0) \cap f^{-1}(B_1)$ , then  $x \in f^{-1}(B_0)$  and  $x \in f^{-1}(B_1)$ , so  $f(x) \in B_0$  and  $f(x) \in B_1$ . This implies that  $f(x) \in B_0 \cap B_1$  and so  $x \in f^{-1}(B_0 \cap B_1)$ . This gives  $f^{-1}(B_0) \cap f^{-1}(B_1) \subseteq f^{-1}(B_0 \cap B_1)$ . Taken together the two inclusions give  $f^{-1}(B_0 \cap B_1) = f^{-1}(B_0) \cap f^{-1}(B_1)$ .

(\*) (c)  $f(A_0 \cap A_1) \subseteq f(A_0) \cap f(A_1)$ , but equality does not always hold.

If  $x \in f(A_0 \cap A_1)$ , then  $x = f(a)$  for some  $a \in A_0 \cap A_1$ , and so  $a \in A_0$  and  $a \in A_1$ . Then  $f(a) \in f(A_0)$  and  $f(a) \in f(A_1)$ , and so  $x = f(a) \in f(A_0) \cap f(A_1)$ . So everything that is in  $f(A_0 \cap A_1)$  is also in  $f(A_0) \cap f(A_1)$ , giving containment.

In general, these sets are not equal; in the most extreme case, we may have  $A_0 \cap A_1 = \emptyset$ , and then  $f(A_0 \cap A_1) = f(\emptyset) = \emptyset$ , even though  $f(A_0)$  and  $f(A_1)$  may intersect. For example, if  $f : \mathbb{R} \rightarrow \mathbb{R}$  is given by  $f(x) = 12$ , then for  $A_0 = [0, 1]$  and  $A_1 = [2, 3]$ , we have  $f(A_0) = \{12\} = f(A_1)$ , but  $A_0 \cap A_1 = \emptyset$ .

6. [Munkres, p.51, #5 (part)] For each of the following sets, determine whether or not it is countable:

(\*) (c)  $F = \{f : \mathbb{Z}_+ \rightarrow \mathbb{Z}_+ : \text{there is } N \in \mathbb{Z}_+ \text{ with } f(n) = 1 \text{ for all } n \geq N\}$ , all eventually-1 functions.

$F$  is the union of the sets  $F_N = \{f : \mathbb{Z}_+ \rightarrow \mathbb{Z}_+ : f(n) = 1 \text{ for all } n \geq N\}$ , for  $N \in \mathbb{Z}_+$ ; that is,  $F$  is the union of countably many sets  $\{F_N\}$ . If we show that each of the sets  $F_N$  is countable, then as a countable union,  $F$  will be countable.

But each of the sets  $F_N$  is countable: this can be established either by building a surjective function from  $\mathbb{N}$  to  $F_N$  or by building an injective function from  $F_N$  to  $\mathbb{N}$ . In the first case, it is quicker to build a function from an  $N$ -fold cartesian product of  $\mathbb{N}$ 's to  $F_N$ , as  $g(n_1, \dots, n_N) = f$ , where  $f(k) = n_k$  if  $k \leq N$  and  $f(k) = 1$  for  $k > N$ . But then we can use the fact that such a cartesian product is countable to build a surjective function  $h : \mathbb{N} \rightarrow \mathbb{N} \times \dots \times \mathbb{N}$ ; the composition  $g \circ h : \mathbb{N} \rightarrow F_N$  is then surjective.

For an injective function  $g : F_N \rightarrow \mathbb{N}$ , we can steal from number theory (again): letting  $p_1, \dots, p_N$  be a set of distinct prime numbers greater than 1, we can define  $g(f) = p_1^{f(1)} \dots p_N^{f(N)}$ . Since prime factorizations of integers are unique, if  $f_1, f_2 \in F_N$  have  $f_1 \neq f_2$ , then  $f_1(n) \neq f_2(n)$  for some  $n$ , and therefore  $n \leq N$  (since  $f_1(n) = 1 = f_2(n)$  for  $n > N$ ). Therefore  $g(f_1)$  and  $g(f_2)$  are integers with different prime factorizations, and so  $g(f_1) \neq g(f_2)$ .

## A selection of further solutions

1. [Munkres, p.14, #2 (part)] For each statement below, determine whether or not it is true. If true, show why; if not, give an example demonstrating this.

(d) For any sets  $A, B, C, D$ ,  $\{A \subseteq C \text{ and } B \subseteq D\}$  implies that  $A \times C \subseteq B \times D$ .

We know that  $A \subseteq C$  and  $B \subseteq D$ . Suppose that  $(x, y) \in A \times B$ , then  $x \in A$  and  $y \in B$ . But since  $A \subseteq C$  this means that  $x \in C$ , and since  $B \subseteq D$  we have  $y \in D$ . So  $(x, y) \in C \times D$ . Consequently,  $(x, y) \in A \times B$  implies that  $(x, y) \in C \times D$ , so  $A \times B \subseteq C \times D$ .

(e) For any sets  $A, B, C, D$ ,  $A \times C \subseteq B \times D$  implies that  $\{A \subseteq C \text{ and } B \subseteq D\}$ .

This is not true! Although it is almost true.

Suppose that  $A \times B \subseteq C \times D$ , and suppose we pick  $x \in A$  and  $y \in B$ . Then  $(x, y) \in A \times B$ , and so since  $A \times B \subseteq C \times D$ , we have  $(x, y) \in C \times D$ , so  $x \in C$  and  $y \in D$ . This appears to show that  $A \subseteq C$  and  $B \subseteq D$ , but it doesn't!

There is a subtle difference between “ $\{x \in A \text{ and } y \in B\}$  implies  $\{x \in C \text{ and } y \in D\}$ ” and “ $\{x \in A \text{ implies } x \in C\}$  and  $\{y \in B \text{ implies } y \in D\}$ ”. The first is what we've shown, the second is what we want. The difference is that if, for example,  $A$  is empty ( $A = \emptyset$ ), then the first statement is always true; you can't pick points in  $A$  and  $B$ , or to put it differently,  $\emptyset \times B = \emptyset \subseteq C \times D$ , no matter what  $B$  is. So, for example, if  $A = \emptyset$ ,  $C = B = \{0, 1\}$  and  $D = \{0\}$ , then  $A \times B = \emptyset \subseteq C \times D$ , but  $B = \{0, 1\} \not\subseteq \{0\} = D$ .

Put still differently, if  $A \neq \emptyset$ , we can pick an  $x \in A$ . Then for any  $y \in B$  we have  $(x, y) \in A \times B$ , so  $(x, y) \in C \times D$ , so  $y \in D$ , and so  $B \subseteq D$ . Similarly, if  $B \neq \emptyset$ , then  $A \times B \subseteq C \times D$  implies that  $A \subseteq C$ . But without knowing that  $A$  and  $B$  are nonempty, we cannot establish our desired conclusion.

3. [Munkres, p.20, #1] Show that if  $f : A \rightarrow B$  is a function, then

(a) If  $A_0 \subseteq A$ , then  $A_0 \subseteq f^{-1}(f(A_0))$ ; the sets are equal, if  $f$  is injective.

If  $a \in A_0$ , then  $f(a) \in f(A_0)$  and so  $a$  is in the set  $\{x \in A : f(x) \in f(A_0)\} = f^{-1}(f(A_0))$ . So  $A_0 \subseteq f^{-1}(f(A_0))$ .

5. [Munkres, p.44, #7] If  $A$  and  $B$  are finite sets, show that the set  $B^A = \{f : A \rightarrow B\}$  of all functions from  $A$  to  $B$  is also finite.

The notation  $B^A$  almost gives away the idea; the set has  $|B|^{|A|}$  elements. One way to see this is to build a bijective correspondence with an  $|A|$ -fold Cartesian product of copies of  $B$ ; induction on  $|A|$  together with the finiteness of  $B$  shows that this Cartesian product is finite, so  $B^A$  is finite.

We can build an injective/surjective map to/from  $\{1, \dots, |B|^{|A|}\}$  by, essentially, writing integers in base  $b = |B|$ . For example, given an injective map  $\varphi : B \hookrightarrow \{1, \dots, b\} \hookrightarrow \{0, \dots, b-1\}$  (the second map is “subtract one”) and a bijection  $\theta : \{1, \dots, |A|\} \rightarrow A$ , then the map

$$\Phi : B^A \rightarrow \{1, \dots, |B|^{|A|}\}$$

given by  $\Phi(f) = \sum_{i=1}^{|A|} \varphi(f(\theta(i)))b^{i-1}$  is an injection; any two such sums (corresponding to functions  $f$  and  $g$ ) representing the same number, since  $0 \leq \varphi(f(\theta(i))) \leq b-1$  for each

$i$ , must have  $\varphi(f(\theta(i))) = \varphi(g(\theta(i)))$ , so  $f(\theta(i)) = g(\theta(i))$  for each  $i$ . Since  $\theta$  is surjective, this means that  $f = g$ . A surjective map  $\{1, \dots, |B|^{|A|}\} \twoheadrightarrow B^A$  can similarly be built using the  $i$ -th ‘digit’ of the representation of a number in base  $b$  to determine the image of the  $i$ -th element of  $A$ .

6. [Munkres, p.51, #5 (part)] For each of the following sets, determine whether or not it is countable:

(a)  $A = \{f : \{0, 1\} \rightarrow \mathbb{Z}\}$ , all functions from  $0, 1$  to  $\mathbb{Z}$

Since a function is determined its values - its graph is all pairs  $(a, f(a))$  - the function is completely determined by the set of pairs  $\{(0, f(0)), (1, f(1))\}$ , which in turn can be recovered from the ordered pair  $(f(0), f(1))$ . This means, really, that we can build a surjective map  $\mathbb{Z} \times \mathbb{Z} \twoheadrightarrow A$  by send the pair  $(a, b)$  to the function  $f$  with  $f(0) = a$  and  $f(1) = b$ . But  $\mathbb{Z} \times \mathbb{Z}$  is countable; the map  $\mathbb{Z}_+ \times \{-1, 0, 1\} \rightarrow \mathbb{Z}$  given by  $(n, s) \mapsto sn$  is onto. But  $\mathbb{Z}_+$  and  $\{-1, 0, 1\}$  are countable, so  $\mathbb{Z}_+ \times \{-1, 0, 1\}$  is countable, so there is a surjection  $\mathbb{Z}_+ \twoheadrightarrow \mathbb{Z}_+ \times \{-1, 0, 1\}$  which via composition gives a surjection  $\mathbb{Z}_+ \twoheadrightarrow \mathbb{Z}$ , so  $\mathbb{Z}$  is countable. Then  $\mathbb{Z} \times \mathbb{Z}$  is countable, meaning there is a surjection from  $\mathbb{Z}_+$  to  $\mathbb{Z} \times \mathbb{Z}$ ; composing with the surjection above gives a surjection from  $\mathbb{Z}_+$  to  $A$ , so  $A$  is countable.

(e)  $P = \{f : \mathbb{Z}_+ \rightarrow \mathbb{Z}_+ : n > m \text{ implies } f(n) > f(m)\}$ , all increasing functions.

This set is not countable; we can show this, since we know, for example, that the set  $2^{\mathbb{Z}_+} = \{f : \mathbb{Z}_+ \rightarrow \{0, 1\}\}$  is not countable, by building an injective map  $2^{\mathbb{Z}_+} \hookrightarrow P$ . [If  $P$  were countable, composing this injection with an injection from  $P$  to  $\mathbb{Z}_+$  would show that  $2^{\mathbb{Z}_+}$  is countable, a contradiction.]

We can build the desired injection in many ways; perhaps the shortest is to define  $\Phi(f) = g$  where  $g(n) = 10^n + f(n)$ . This is an injective map; if  $\Phi(f_1) = \Phi(f_2)$ , then  $10^n + f_1(n) = 10^n + f_2(n)$  for all  $n$ , so  $f_1(n) = f_2(n)$  for all  $n$  and  $f_1 = f_2$ .  $\Phi(f) = g$  is an increasing function, since  $m > n$  implies that  $g(m) = 10^m + f(m) = 10^{m-n}10^n + f(m) > 10 \cdot 10^n + f(m) > 2 \cdot 10^n + f(m) = 10^n + 10^n + f(m) \geq 10 + 10^n + f(m) > 10^n + f(n) = g(n)$ , where the inequality towards the end follows from  $10 + f(m) > f(n)$ , since  $f(n) - f(m) \leq 1$ .

[From the proof, it would seem that  $g(n) = 2^n + f(n)$  would actually suffice...]

(f) The set  $F$  of all finite subsets of  $\mathbb{N}$ .

This is countable; it is the union, over all integers  $n \geq 0$ , of the set  $F_n$  of all subsets of  $\mathbb{N}$  of size at most  $n$ . Treat size 0 differently, for  $n \geq 1$  the function  $f_n : \mathbb{N}^n \rightarrow F_n$  which sends  $(k_1, \dots, k_n)$  to  $\{k_1, \dots, k_n\}$  is surjective, so each  $F_n$  is countable. So their (countable) union is countable, as well.