

Andrew Casson, On the Poincaré and Andrews-Curtis Conjectures

Poincaré Conj: Every closed simply-connected 3-manifold is homeomorphic to  $S^3$ .

So easy to state, still unknown  $\leadsto$  we don't really know much about 3-manifold topology?

-Promising approach to a proof: G. Perelman;  
differential geometric  $\rightarrow$  Ricci flow.

Andrews-Curtis Conjecture: (1965) [unstable version]

If  $P = \langle x_1, \dots, x_n \mid r_1, \dots, r_n \rangle$  is a (balanced) presentation of the trivial group, then  $P$  can be reduced to the trivial presentation  $\langle x_1, \dots, x_n \mid x_1, \dots, x_n \rangle$  by a sequence of the following moves:

$$(1) r_k \longleftrightarrow r_k^{-1}, r_i \longleftrightarrow r_i \quad (i \neq k)$$

$$(2) r_k \longleftrightarrow r_k r_l \quad (l \neq k), r_i \longleftrightarrow r_i \quad (i \neq k)$$

$$(3) r_k \longleftrightarrow w r_k w^{-1} \quad (w \in \langle x_1, \dots, x_n \rangle), r_i \longleftrightarrow r_i \quad i \neq k$$

Stable version: allow also:

$$(4) \langle x_1, \dots, x_n \mid r_1, \dots, r_n \rangle \longleftrightarrow \langle x_1, \dots, x_{n+1} \mid r_1, \dots, r_n, x_{n+1} \rangle$$

## Examples:

(1) Akbulut-Kirby examples:

$$\langle x, y \mid xyxy^{-1}x^{-1}y^{-1}, x^{n+1}y^{-n} \rangle \quad (n \in \mathbb{Z})$$

(initially studied  $n=4$ )

- they are unstably AC reducible to the trivial presentation for  $n=0,1$ , (and with more effort) 2

- unknown (stably or unstably) for  $n \geq 3$ .

(2) Miller-Schupp:

$\langle x, y \mid x^2y\bar{x}^3y^{-1}, w \rangle$  is the trivial group, for any ~~word~~ word  $w$  for which the abelianization is trivial (i.e., how total exponent  $\pm 1$  in  $y$ ).

[in fact  $\langle x, y \mid x^1y\bar{x}^{n+1}y^{-1}, w \rangle$  works, too.]

(idea:  $x^{2^{1/3}K} = \bar{x}^{2^{1/3}K} x^{2^{1/3}K} \bar{x}^{-1/3K} x^{2^{1/3}K}$  (b/c  $x x^{high} \bar{x}^{-1} = x^{high}$   
 $y x^{high} y^{-1} = x^{high \cdot \frac{1}{3}}$ )  
~~is exponentially~~

$w = y^3xy^{-3}\bar{x}^{-1}$  is not known to be AC reducible.

Topological motivations : smooth 4-diml Poincaré Conj.

Potential counterexamples to the AC conjecture can be used to build potential counterexamples to 4-d Poincaré.

$$P = \langle x_1, \dots, x_n \mid r_1, \dots, r_n \rangle \leadsto K^2 = \text{Cayley complex}$$

$$K^2 \hookrightarrow \mathbb{R}^5 \quad W = N(K^2) \subseteq \mathbb{R}^5$$

It is easy to show that  $P =$  presentation of trivial group  
 $\Rightarrow W$  is 1-connected,  $\chi(W) = 1$  (from balanced presentation)

$\Rightarrow W$  is contractible

$\Sigma = \partial W$  is 1-connected (b/c  $W$  has a 2-diml spine)

~~and~~  $\Sigma \cong S^4$ ,  $C^\infty$ -manifold

If  $P$  is stably AC reducible to the trivial presentation, then

$\Sigma \cong S^4$  (diffeomorphic)

The idea:  $W$  has a handle decomposition

$$W = B^5 \cup \underbrace{h_1^1 \cup \dots \cup h_n^1}_{1\text{-handles}} \cup \underbrace{h_1^2 \cup \dots \cup h_n^2}_{2\text{-handles}}$$

$$\partial(B^5 \cup h_1 \cup \dots \cup h_n) \cong \#(S^1 \times S^3) = M$$

C-1-4

2-handles attach by loops representing the relations in  $M$ .

(un-  
Stable) AC moves correspond to handle slides, and change of path to the base point.

AC reducibility  $\Rightarrow$  can slide handles to cancelling pairs, homotopically, but b/c in a 4-manifold, can isotope to true cancelling pairs,  $\Rightarrow$   ~~$W$~~   $W \cong B^5$ .

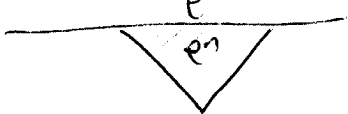
Stabilization  $\Leftrightarrow$  adding a cancelling pair of handles.

Akbulut-Kirby examples: all lead to the standard  $S^4$  (Gompf). Proof uses introduction of a cancelling pair of a 2- and a 3-handle.

## Andrew Casson, Lecture 2

JHC Whitehead (1939) Complexes  $L \subseteq K$   $\left\{ \begin{array}{l} \text{simplicial} \\ \text{CW} \end{array} \right.$

$K \searrow L$  (collapses) if  $K \setminus L = e^n \vee e^{n-1}$  (with  $e^{n-1}$  a face of  $e^n$ )  
 (a-collapse) ( $e^{n-1}$  = "free face" of  $e^n$ )



In particular,  $L \simeq K$ ; also write  $L \nearrow K$  (expands)

$K \searrow L$  if  $\exists$  sequence of expansions and collapses starting with  $K$  & ending with  $L$ . "It deforms to  $L$ ".

(warning: all expansions occur first)

$K$  n-deforms to  $L$   $K \searrow L$  if the expansions and collapses can be chosen to have dimension at most  $n$ .

$$K \searrow L \Rightarrow K \simeq L$$

Whitehead: the converse is not true, but

$K \searrow L \iff K \simeq L$  by a homotopy equivalence  $h$  with Whitehead torsion  $\tau(h) = 0$  is  $Wh(\pi_1(K))$

Corj:  $G$  torsion-free  $\Rightarrow Wh(G) = 0$ .

$h$  a h.e. with  $\tau(h) = 0$  is called a simple homotopy equiv.

$$K^k \wedge L^l \xrightarrow{\cong} K^k \overset{n}{\wedge} L^l \quad \text{provided } n \in \{k, l\} \\ n \geq \max\{k+1, l+1, 4\}$$

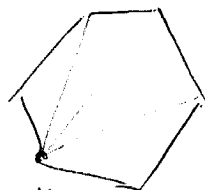
Question: If  $K^2 \wedge L^2$ , is  $K^2 \overset{3}{\wedge} L^2$ ?

This can be thought of as a generalization of the AC conj:

Th (P. Wright): Stable AC conj holds  $\Leftrightarrow$  for all contractible  $K^2$ ,  $K^2 \overset{3}{\wedge} *$ .

Analogue of AC conj is not true for presentations of non-trivial groups (cannot get from one to other by AC moves) - first example, the trefoil knot group, done by Dunwoody (1976).

Sketch proof:  $P = \langle x_1, \dots, x_n \mid r_1, \dots, r_n \rangle$ , from this, build a "simplicial" complex  $K$ , by building the Cayley complex and triangulating the 2-cells (however you want to do this)



Introduce new gens for added one-cells, get a new presentation, that is AC equivalent to  $P$ , having all relators of length 3.

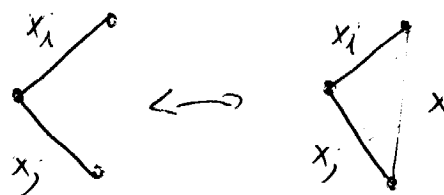
Idea: show  $\left. \begin{array}{l} \text{3-expansions} \\ \text{3-collapses} \end{array} \right\} \longleftrightarrow \text{stable AC moves}.$

such

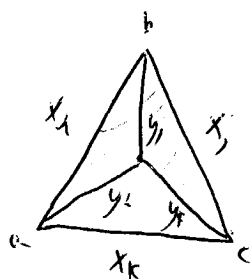
C-2-3

For  $\Delta$  ("triangulated") presentations, stable AC equiv is  
gen'd by:

(1) 2-expand/2-collapse



(2) tetrahedral moves:

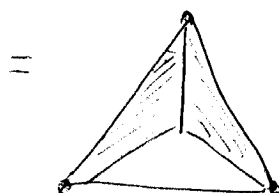


replace

replace one of  
the triangles  
by  $\Delta(a,b,c)$

(add a 3-simplex,  
collapse along the  
replaced face)

provided: it is distinct from the  
other two!



Q: Are the stable & unstable AC conjectures equivalent?  
of  $S^3$ !

Parallel Q in 3-mflds: All Heegaard splittings are standard.

(ie. if Heegaard splitting of  $S^3$  is stably equiv to a standard one, then  
it is unstably equiv to standard). True, by Waldhausen.

Also related to

Zeeman Conjecture: If  $K^2$  is a contractible polyhedron, then  
 $K^2 \times I \searrow *$ . (allowing further subdivision of  $K^2 \times I$ )

Zeeman C.  $\Rightarrow$  AC Conj (since  $K^2 \nearrow K^2 \times I$ )

$\Rightarrow$  Poincaré Conj.

( $K^2 \approx$  Spine of  $\Sigma^3 \setminus B^3$ ;  $K^2 \times I \searrow * \Rightarrow$

Gillman-Rolfsen: Poincaré Conj  $\Leftrightarrow$  Zeeman Conj for "special spines" of 3-manifolds.

(non-mlid pts of  $K$  ~~are~~  $\cong Y \times I$ )  
 have nbhd  $\cong Y \times I$

Matveev: The Stable AC Conj.  $\Leftrightarrow$  Zeeman Conj for "special" polyhedra that don't embed in 3-manifolds.

P =  $\langle x_1, \dots, x_n \mid r_1, \dots, r_n \rangle$  presents the trivial group  $\Leftrightarrow$

it has a trivialization  $t_1, \dots, t_n \in \langle x_1, \dots, x_n, y_1, \dots, y_n \rangle$

s.t.  $t_i(x_1, \dots, x_n, 1, \dots, 1) = 1$   $\uparrow$  free group

(ie.  $t_i$  = product of conjugates of the  $y_j$ 's)

and

$t_i(x_1, \dots, x_n, y_1, \dots, y_n) = x_i \in \langle x_1, \dots, x_n \rangle$



Ex:

For  $\langle x_1, \dots, x_n | x_1, \dots, x_n \rangle$ ,  $t_i = y_i$  is a trivialization

Defn: A trivialization  $t_1, \dots, t_n \in \langle x_1, \dots, x_n, y_1, \dots, y_n \rangle$  is good if  $\{x_1, \dots, x_n, t_1, \dots, t_n\}$  is a basis for  $F$ .

Thm:  $\langle x_1, \dots, x_n | r_1, \dots, r_n \rangle$  is <sup>(unstably)</sup> AC reducible  $\iff$  it has a good trivialization.

Pf:

$(\implies)$ :  $\langle x_1, \dots, x_n | x_1, \dots, x_n \rangle \xrightarrow{\text{AC moves}} \langle x_1, \dots, x_n | r_1, \dots, r_n \rangle$   
 Show by induction having a good trivialization is preserved.

Base case is above.

Show having a good trivialization is invariant under AC moves  
 — there is a "lazy" way to do this.

$(\impliedby)$ :  $F_n = \langle x_1, \dots, x_n, y_1, \dots, y_n \rangle$   $F_n = \langle x_1, \dots, x_n \rangle$

$$\pi: F_n \rightarrow F_n \quad \pi(x_i) = x_i, \quad \pi(y_i) = 1.$$

$$\pi_p: F_n \rightarrow F_n \quad \pi_p(x_i) = x_i, \quad \pi_p(y_i) = r_i$$

$$\varepsilon: F_n \rightarrow F_n \quad \varepsilon(x_i) = x_i$$

$$\pi_1: F_n \rightarrow F_n \quad \pi_1(x_i) = x_i, \quad \pi_1(y_i) = x_i$$

Have a good trivialization: then have a commuting diagram

$$\alpha: F_n \rightarrow F_n \quad \alpha(x_i) = x_i \quad \alpha(y_i) = t_i$$

$$\begin{array}{ccc}
 & F_n & \\
 \varepsilon \swarrow & \hookrightarrow & \searrow \varepsilon \\
 F_n & \xrightarrow{\alpha} & F_n \\
 \pi \times \pi_1 \searrow & \hookrightarrow & \swarrow \pi \times \pi_p \\
 & F_n \times F_n &
 \end{array}$$

Lemma: Any  $\alpha: F_n \rightarrow F_n$  with  $\alpha \circ \varepsilon = \varepsilon$ ,  $\pi \circ \alpha = \pi$  is a product of "Andrews-Curtis" generators (moves)

## Andrew Casson, Lecture 3

Andrews-Curtis Conjecture unstable  $\rightarrow$  stable

So if you're going to try to disprove it, it is (logically) easier to disprove the unstable one.

If you're going to try to prove it, it is easier to prove the stable one.

Proving Disproving the unstable ACC:

Build an invariant of balanced presentations of trivial group!  
(Mischenkov... use free solvable groups as a start.)

We have lots of potential counterexamples...

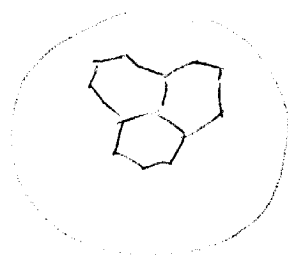
Miller-Schupp  $\langle x, y \mid x^{n+1} y x^{-n} y^{-1}, w \rangle$ ,  $\deg_y w = 1$   
 $|w| \sim 2n$ .

Mantra: to get trivial group, relations tend to need  
large overlaps with cyclic conjugates of itself and/or other relations.

First relation does  $x^n \parallel x^{-n}$ . But we can choose the second relation "randomly", so that it doesn't.  
whatever they mean?

Q: Is it true that all trivializations "resemble" the "standard" one?  
and none is "good"?

van Kampen diagrams for  $x=1$



(this approach is not amenable to stabilization; stabilization would destroy the combinatorial properties of the two relations, that we are trying to exploit.

Recall:

The  $\langle x_1, \dots, x_n \mid r_1, \dots, r_n \rangle$  is unstably AC trivializable  $\iff$  it has a good trivialization:

$$t_1, \dots, t_n \in F_n = \langle x_1, \dots, x_n, y_1, \dots, y_n \rangle \text{ s.t.}$$

$$t_i(x_1, \dots, x_n, 1, \dots, 1) = 1, \quad t_i(x_1, \dots, x_n, r_1, \dots, r_n) = x_i \quad (\text{trivial})$$

$$x_1, \dots, x_n, t_1, \dots, t_n = \text{basis for } F_n \quad (\text{good}).$$

Can change  $t_i$ 's by mult by elts of normal subgroup by commutators of  $y_i$ 's and of the  $y_{j_i}$ 's, without changing fact that you have a trivialization. But you can easily hide a good trivialization this way.

presentation of trivial group  
 $P = \langle x_1, \dots, x_n \mid r_1, \dots, r_n \rangle$  if we do not insist that we keep the defect of the presentation constant, then we can trivialize  $P$  by what look like AC moves...

$$\langle x_1, \dots, x_n \mid r_1, \dots, r_n \rangle \xrightarrow{\sim} \langle x_1, \dots, x_n \mid r_1, \dots, r_n, \underbrace{1, \dots, 1}_n \rangle$$

$$\stackrel{\sim}{AC} \langle x_1, \dots, x_n \mid r_1, \dots, r_n \cup \{x_1, \dots, x_n\} \rangle$$

(i.e. each  $x_i$  is a prod of conj's of  $g$ 's = sequence of AC moves applied to the relator 1).

$$\stackrel{\sim}{AC} \langle x_1, \dots, x_n \mid 1, \dots, 1, x_1, \dots, x_n \rangle \stackrel{\sim}{AC} \langle x_1, \dots, x_n \mid x_1, \dots, x_n, 1, \dots, 1 \rangle$$

works for (n-1) "illegal" relators too ( $x_n$  can be recovered from

$x_1, \dots, x_{n-1}, r_1, \dots, r_n$  by AC moves applied to  $r_1, \dots, r_n$

(homologically,  $x_n$  appears only once)

$$F = F_{S_{n-1}} = \langle x_1, \dots, x_n, y_1, \dots, y_n, z_1, \dots, z_{n-1} \rangle$$

Recall:

$$F_n = \langle x_1, \dots, x_n, y_1, \dots, y_n \rangle \quad F_n = \langle x_1, \dots, x_n \rangle$$

$$\pi: F_n \rightarrow F_n \quad \pi(x_i) = x_i, \pi(y_i) = 1$$

$$\pi_p: F_n \rightarrow F_n \quad \pi_p(x_i) = x_i, \pi_p(y_i) = r_i$$

$$\epsilon: F_n \rightarrow F_n$$

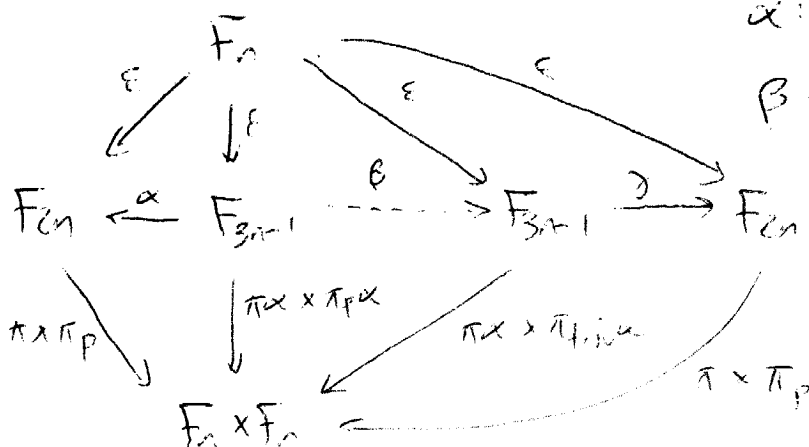
$$x_i \mapsto x_i$$

$$\pi_{triv}: F_n \rightarrow F_n \quad x_i \mapsto x_i, y_i \mapsto x_i$$

$$\alpha: x_i \mapsto x_i, y_i \mapsto y_i, z_i \mapsto 1.$$

$\beta$  = iso making bottom  $\Delta$  commute

$$\gamma: \text{kills } \alpha\beta(z_i) \text{ 's}$$



The data of this ~~data~~ diagram is completely captured by the middle iso  $\beta$ . In fact:

Thm: The unstable AC C holds for all  $n$ -gen presentations of the trivial group  $\Leftrightarrow$  for any  $\xi_1, \dots, \xi_{n-1} \in F_{3n-1} = \langle x_1, y_1, z_1, \dots, z_{n-1} \rangle$  such that  $\xi_i \in \ker(\pi \times \pi_{\text{triv}})$  and

$x_1, \dots, x_n, \xi_1, \dots, \xi_{n-1}$  are part of a basis of  $F_{3n-1}$  we have  $\exists \alpha \beta \in \text{Aut}(F_{3n-1})$  st.  $\xi_i = \alpha(z_i)$  and  $\pi\beta = \pi, \pi_{\text{triv}}\beta = \pi_{\text{triv}}$ .

[Part of a basis: Whitehead's algorithm will check this]

$$G_n = \{ \alpha \in \text{Aut}_{\mathbb{Z}}(F_{3n-1}) : \alpha\varepsilon = \varepsilon, \pi\alpha = \pi, \pi_{\text{triv}}\alpha = \pi_{\text{triv}} \}$$

Question is really about finding the  $G_n$ -orbits of  $z_1, \dots, z_{n-1}$ .

Ex:  $n=2$ . Looking for  $G_2$ -orbits of  $z_1$ .

Some examples

$$\begin{aligned} & \langle ab \mid abab^{-1}a^{-1}b^{-1}, a^3b^{-2} \rangle \\ \sim_{AC} & \langle ab \mid a^2bab^{-1}a^{-1}b, a^3b^{-2} \rangle \\ \sim_{AC} & \langle ab \mid a^2bab^{-1}a^{-1}b, ababab^{-1}a^{-1}b^{-1} \rangle \\ \sim_{AC} & \langle ab \mid ababab^{-1}a^{-1}b^{-1}, a^2bab \rangle \\ \sim_{AC} & \langle ab \mid a^2bab, a^2b \rangle \\ \sim_{AC} & \langle ab \mid a^2b, ab \rangle \\ \sim_{AC} & \langle ab \mid ab, a \rangle \sim_{AC} \langle ab \mid a, b \rangle \end{aligned}$$

relator is replaced by  
(conj of one) · (conj of other)

Can one use a computer to find examples of AC related presentations?

Idea: attempt to classify

{presentations} / AC relns and symmetries

Symmetry:

- (1) cyclic conjugation and/or inversion of relators
- (2) permutation of relators
- (3) inversions of some or all generators
- (4) permutation of generators

Havas, Ramsay: <sup>a common web page, U of Queensland.</sup>  
(appeared in ISAC)

Miasnikov:  $\exists$  no counterexample to embed 2-gen AC con'g with relators having <sup>(total?)</sup> length  $\leq 12$ .

Havas-Ramsay: Every presentation  $\langle x, y \mid r, s \rangle$  of trivial group with  $|r| + |s| \leq 13$  is AC reducible to either the trivial presentation or to Alexander-Kirby presentation

$$\langle x, y \mid xyxy^{-1}x^{-1}y^{-1}, x^4y^{-3} \rangle.$$

Short list of AC equiv classes with  $|r| + |s| \leq 14$  - 11

(that we can find the pres's of the trivial group is not new; nearly all perfect (=trivial approximation?) presentations with  $|r| + |s| = 14$  present the trivial group.

## Andrew Casson, Lecture 4

Andrew Curtis equiv classes of pre's  $\langle x, y | r, s \rangle$  of non-trivial perfect groups  $1 \leq |S| \leq 7$  (modulo symmetries) fall into a few (55?) classes. (have small #, real equiv classes are unions (Casson) of ones computed.)

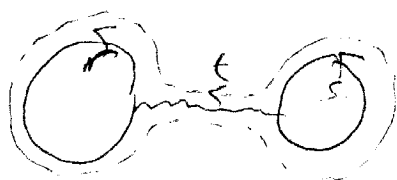
(all are the binary icosahedral group)

For  $1 \leq |S| \leq 8$  have reduced to a rather larger lot of classes of perfect groups (no attempt made to decide which to decide which perfects are trivial?)

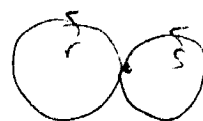
Approach: Consider chains of AC moves, no limit on length of chain, but limited lengths of relations to 25 each. (all such chains were explored.)

Hw:  $\langle x, y | x^2 y^{-1} x y^{-1} x^{-1} y, x^2 y^2 x^{-1} y^{-2} \rangle$  is AC trivial

Moves  $(r, s) \rightarrow (r, u^{-1} r^{\pm 1} u v^{-1} s^{\pm 1} v) = (r, t)$

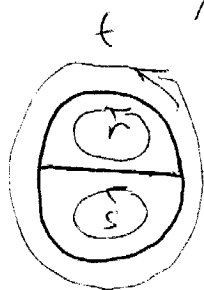


special case  $uv^{-1} = 1$



[don't really use this very much...]



$\Theta$ -moves

(looks for common segments of pair of relators)

(inverse is often a "barbell" move)

Sometimes one insists that both move and its inverse is a  $\Theta$ -move.

Followed a fairly straightforward branching algorithm, with a ceiling imposed. This is inefficient, b/c there may be several paths to the same presentation.

& keep a lot of presentations noted to prune the branching tree. Very memory intensive.

Calculus are not bad: keep a hash table (with lex ordering of relators?)

Ranked pres by complexity, always noted on pres of least complexity that had not been explored before.

## Poincaré Conjecture:

Stallings' "How not to prove the Poincaré Conjecture".

Thm: (Papadimitriopoulos, Stallings, Waldhausen, Jaco):

The Poincaré Conjecture holds for manifolds of the genus  $\leq g$   
 $\iff \forall$  surjection  $p: \pi_1(\Sigma_g) \rightarrow F_g \times F_g$  s.t.,  $\exists$   
 automorphisms  $\alpha: \pi_1(\Sigma_g) \hookrightarrow$ ,  $\beta: F_g \times F_g \hookrightarrow$  s.t.

$$\pi_1(\Sigma_g) \xrightarrow{\alpha} \pi_1(\Sigma_g) = \langle x_1, \dots, x_g, y_1, \dots, y_g \mid \prod [x_i, y_i] = 1 \rangle$$

$$\downarrow p \quad \left( \uparrow \pi \right) \downarrow \text{standard} = (S_1 \times S_2) \quad \begin{array}{l} S_1 \text{ kills } y_i\text{'s} \\ S_2 \text{ kills } x_i\text{'s} \end{array}$$

$$F_g \times F_g \xrightarrow{\beta} F_g \times F_g \quad \pi \alpha = \beta p.$$

[follows from: all Heegaard splittings of  $S^3$  are standard.] //

## Andrew Casson, Lecture 5

$S_g$  = closed orientable surface of genus  $g$   $\Gamma_g = \pi_1(S_g) = \langle x_1, \dots, x_g, y_1, \dots, y_g \mid \prod_{i=1}^g [x_i, y_i] \rangle$

Standard surjection  $\Gamma_g \xrightarrow{\pi} F_g \times F_g = \langle x_1, \dots, x_g \rangle \times \langle y_1, \dots, y_g \rangle$

(Poincaré Conjecture for manifolds of Heegaard genus  $\leq g$  is true  $\iff \forall$  surjection  $p: \Gamma_g \rightarrow F_g \times F_g$ ,  $\exists$  automorphism

$\alpha: \Gamma_g \rightarrow \Gamma_g$ ,  $\beta: F_g \times F_g \rightarrow F_g \times F_g$  st.  $\Gamma_g \xrightarrow{\alpha} \Gamma_g$  commutes.

Poppen: <sup>Determining</sup> ~~deciding~~ whether or not a collection  $u_1, \dots, u_g, v_1, \dots, v_g$  generates  $F_g \times F_g$  is undecidable.

$$\begin{array}{ccc} \Gamma_g & \xrightarrow{\alpha} & \Gamma_g \\ p \downarrow & \circlearrowleft & \downarrow \pi \\ F_g \times F_g & \xrightarrow{\beta} & F_g \times F_g \end{array}$$

So goal: replace surjectivity hypothesis by something more manageable.

First step: we can (almost) replace  $\beta$  by  $\text{Id}$ .

Lemma:  $\Gamma_g \xrightarrow{\pi} F_g \times F_g$   $\beta$  lifts to an auto of  $F_g \Gamma_g$ ?  
 Look at maps on level of  $H_2$

$$K(F_g \times F_g, 1) = (\mathcal{O}_3)^2 \quad H_2 = \mathbb{Z}^g \otimes \mathbb{Z}^g = \mathbb{Z}^{g^2}$$

$$K(\Gamma_g, 1) = \mathbb{Z}_g \quad H_2 = \mathbb{Z}.$$

$\beta$  lifts  $\iff \beta_* (\pi_* [\sum \mathbb{Z}_g]) = \pm \pi_* [\sum \mathbb{Z}_g] \in H_2 \cong \mathbb{Z}^{g^2}.$

So can reformulate algebraic reformulation of PC:

$$\begin{aligned} \text{PC}_{S_g} \text{ true} &\iff \forall \text{ surj } \rho: \Gamma_g \rightarrow \overset{F_g \times F_g}{\tilde{\Gamma}_g} \text{ s.t. } \rho_*[\overset{S}{\tilde{\Gamma}_g}] = \pm \pi_*[\overset{S}{\tilde{\Gamma}_g}] \\ &\exists \text{ aut } \alpha: \Gamma_g \rightarrow \Gamma_g \text{ s.t. } \begin{array}{ccc} \Gamma_g & \xrightarrow{\alpha} & \Gamma_g \\ \rho \downarrow & & \downarrow \pi \\ & F_g \times F_g & \end{array} \text{ commutes.} \end{aligned}$$

Pf. of lemma  $\beta: F_g \times F_g \rightarrow F_g \times F_g$   $g \geq 2 \implies \beta$  either preserves the factors or reverses them.

(If  $\beta(x_1) = y_1, \beta(y_2) = x_2$  then can build a lift by hand, so focus on preserving factors). So  $\beta = (\beta_1, \beta_2)$ ,  $\beta_i \in \text{Aut}(F_g)$

$$\begin{aligned} \text{Set } G &= \left\{ \alpha \in \text{Aut}(\Gamma_g) : \pi \alpha = (\beta_1, \beta_2) \pi \right\} \\ G &\longrightarrow \text{Aut}(F_g) \times \text{Aut}(F_g) \end{aligned}$$

Using handle slides, can show that  $(1, \beta_2) \in \text{image of } G$ .  
( $\{ \text{flips} \}$ )

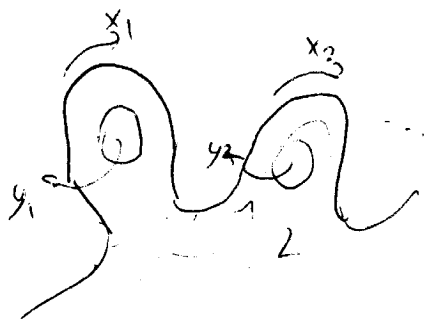
(Think:  $\pi: \Gamma_g \rightarrow F_g \times F_g$  represents the standard Heegaard splitting of  $S^3$ , the standard repr for inclusion  $(\mathbb{D}_g, H_g) \hookrightarrow H_g$ .)

$H_2$  hypothesis  $\implies \beta_2 \in \text{IA}_g = \ker(\text{Aut } F_g \rightarrow \text{GL}_g(\mathbb{Z}))$

$$\{ \beta_2 : (1, \beta_2) \in \text{image of } G \} \triangleleft \text{Aut}(F_g).$$

(Nielsen)

Classical result:  $IA_g$  is normally generated by a single element.  
 & it is enough to lift that one element.



~~sketches one handle as above~~

$$\begin{aligned} x_1 &\mapsto x_2^{-1} x_1 x_2 \\ x_i &\mapsto x_i \quad i \neq 1 \\ y_j &\mapsto y_j \quad \forall j \end{aligned}$$

[& this is really a  
 $(\beta_1, 1)$  : but element is  
 symmetric

Then just show that this lifts!

Reformulation:  $\Sigma_g = S_g \setminus D^2$   $\pi_1(\Sigma_g) = \langle x_1, y_1, \dots, x_g, y_g \rangle$

standard map  $\pi: \pi_1(\Sigma_g) \rightarrow F_g \times F_g$  (via  $\pi_1(\Sigma_g) \rightarrow \pi_1(S_g) \xrightarrow{\pi} F_g \times F_g$ )

$$K = \ker(\pi_1(\Sigma_g) \xrightarrow{\pi} \pi_1(F_g \times F_g)) \quad \pi_1(\Sigma_g) / K \cong F_g \times F_g$$

↑ normally generated by commutators  
 of  $x_i$ 's with  $y_j$ 's.

Thm: P.C.Sg is true  $\iff$

$\forall$  homomorphism  $\varphi: \pi_1(\Sigma_g) \rightarrow \pi_1(\Sigma_g)$  with

$\varphi(\partial \Sigma_g) \equiv \partial \Sigma_g$  modulo  $K' = [K, K]$ ,  $\exists$  homom

$\psi: \pi_1(\Sigma_g) \rightarrow \pi_1(\Sigma_g)$  st.  $\psi \equiv \varphi$  modulo  $K$  (i.e.,  $\pi\psi = \pi\varphi$ )

and  $\psi(\partial \Sigma_g) = \partial \Sigma_g$ .

Remarks:  $\psi(\partial\Sigma_g) \equiv \partial\Sigma_g \iff \psi$  is an auto

$\psi \equiv \psi \text{ modulo } K \iff \pi\psi = \pi\psi : \pi_1(\Sigma_g) \rightarrow F_g \times F_g$ .

Note: To check  $\phi(\partial\Sigma_g) \equiv \partial\Sigma_g \text{ mod } K'$ , need  $\phi(\partial\Sigma_g) \in K$

(ie. projects trivially to  $F_g \times F_g$ ; checkable) and need to check its value in  $K/K' \cong \mathbb{Z}[F_g \times F_g]^{\otimes 2}$ , bases  $[x_i, y_i]$ , checkable!  
(as a left module)

Lemma: For a homom  $\phi : \pi_1(\Sigma_g) \rightarrow \pi_1(\Sigma_g)$ , TFAE:

- (1)  $\pi\phi : \pi_1(\Sigma_g) \rightarrow F_g \times F_g$  is onto and  $\phi(\partial\Sigma_g) \equiv \partial\Sigma_g \text{ modulo } K$
- (2)  $\exists$  homom  $\psi : \pi_1(\Sigma_g) \rightarrow \pi_1(\Sigma_g)$  with  $\psi \equiv \phi \text{ mod } K$  and  $\psi(\partial\Sigma_g) \equiv \partial\Sigma_g \text{ modulo } K'$ .

pf:

$$\begin{array}{ccccc}
 1 & \rightarrow & K & \rightarrow & \pi_1(\Sigma_g) & \xrightarrow{\pi} & F_g \times F_g & \rightarrow & 1 \\
 & & & & \uparrow \phi & \nearrow \partial & \uparrow p & & \\
 & & & & \pi_1(\Sigma_g) & \xrightarrow{\pi} & \Gamma_g & & 
 \end{array}$$

(standard)

with  $p_*(\partial\Sigma_g) = \pi_*(\partial\Sigma_g)$

(2)  $\Rightarrow$  (1)  $\psi$  is induced by a map on the surface,  $\psi : \Sigma_g \rightarrow \Sigma_g$   
 $\psi(\partial\Sigma_g) \equiv \partial\Sigma_g \text{ modulo } K'$ .

(or represent a product of commutators as image of  $\partial$  in the body of

a pinched surface  $\Sigma_k$ , & <sup>have</sup>  $\psi_k: \Sigma_k \rightarrow \Sigma_g$   $(\psi_k)_*(\pi_1(\Sigma_k)) \subseteq k$

$\psi \# \psi_k: \Sigma_g \# \Sigma_k \rightarrow \Sigma_g$  maps  $\partial(\Sigma_g \# \Sigma_k)$  to  $\partial \Sigma_g$   
 $\Rightarrow$   $\psi$  has degree 1  $\Rightarrow$  onto on level of  $\pi_1$ . <sup>homom</sup>

$\therefore \pi \psi$  maps  $\pi_1(\Sigma_g)$  onto  $\pi_1(\Sigma_g)$ .

(1)  $\Rightarrow$  (2) argument is an homological calculation  
 - calculate in  $K/K'$ .

≡

Questions: ~~Given  $\phi: \Sigma_g \rightarrow \Sigma_g$  s.t.~~

(1) Given  $\phi: \pi_1(\Sigma_g) \rightarrow \pi_1(\Sigma_g)$  s.t.  $\phi(\partial \Sigma_g) \equiv \partial \Sigma_g$  modulo  $K'$ .

Is  $\phi \equiv \psi$  (modulo  $K$ ) s.t.  $\psi(\partial \Sigma_g) \equiv \partial \Sigma_g$  modulo  $K''$ ?

(2) Is  $\psi$  as above  $\equiv \psi$  with  $\psi(\partial \Sigma_g) \equiv \partial \Sigma_g$  modulo  $[K', \pi_1(\Sigma_g)]$

(3) " " " "  $\equiv \psi$  s.t.  $\psi_*: H_1(\Sigma_g; \mathbb{Z}[F_g \times F_g]) \rightarrow K/K'$  is onto?  
 $\uparrow$  twisted coeffs.

Lemma: (2) & (3)  $\Rightarrow$  (1).