Haskell, Part 5

CS 440: Programming Languages and Translators, Spring 2020

2/3 p.12,13-14 (activity solution)

Chapter 5: Recursion

A. Tail Recursion

- You've seen / used recursion before, so I won't go over that. There is one topic to mention, because it can affect efficiency: Tail calls and tail recursion
- A **tail call** for procedure *P* is a call to some routine *R* where when *R* returns to *P*, then *P* returns immediately.
- A **tail-recursive call** is a recursive call that is a tail call.
- Example: In factorial n = if n > 1 then n * factorial(n-1) ..., the recursive call is not in tail position because there's a multiplication after the call. With f n = if n > 1 then f(expr) ..., the recursive call to f is a tail call because f n just returns what f(expr) returns.
- In Haskell, since all functions return values, a tail call is a call whose result we immediately return.
- The reason tail recursion is interesting is that tail-recursive calls can be optimized: Since all f n does is return f (*expr*), it doesn't need to keep the argument data or local data in the runtime execution stack frame, so for the recursive call, instead of opening a whole new empty frame for the recursive call, we can reuse the stack frame for f n.

Example: Tail-Recursive Factorial

• The tail-recursive version of factorial below uses a helper routine factorial'. The key is that one of the parameters for factorial' is a running partial result.

```
factorial n = factorial' n 1 -- initialize partial result to factorial' n pr \mid n <= 1 = pr \mid otherwise factorial' (n-1) (n*pr)
```

• Let me abbreviate factorial' to just f', then if we take factorial 5 as an example,

5!/4! = 5, 5!/3! = 20, 5!/2! = 60, and 5!/1! = 120.

- A savvy compiler can detect a tail recursive function like factorial' and optimize it so that it uses the same memory locations for n and pr for every recursive call, and when the first return from factorial' occurs, instead a sequence of returns from n = 1 to n = 2 to ... to n = 5, we can use just one return from n = 1 to n = 5.

Example: Tail Recursive List Reversal

 Here are two definitions for routines that reverse a list: rev1 is the reverse 'function on p.4 of Ch.5 of LYaH, and rev2 (with rev2') is a tail-recursive reversal routine.

Execution speed: rev2 is asymptotically faster than rev1

- A list concatenation list1 + + list2 takes time linear to the length of list1: If list1 is of length n, it takes O(n) time to traverse list1 and then O(n) as you go backward through list1 adding elements to your result.
- So rev1 takes quadratic time: The recursive calls of rev1 take $O(1) + O(2) + O(3) + ... + O(n-1) = O(n^2)$ time.
- But rev2 takes linear time. The rev2' routine takes O(n) to go through the elements of the list, and with each element it's taking O(1) time to add that element to the head of the partial result.
- You can really see the difference in Haskell. On my laptop,
 - For 10,000 elements: last(rev1[1..10000]) and last(rev2[1..10000]) take almost the same time, with rev1 being noticeably a bit slower.
 - However, on 40,000 elements, rev1 takes about 90 seconds while rev2 is almost instantaneous.
 - On a million-element list, rev2 is still almost instantaneous but with rev1, I gave up (my guess is that it would take 15 minutes).
 - On a ten-million-element list rev2 takes about 4 seconds. I don't want to think about rev1.
- The moral of the story is that when people say a recursive program is slow, it's worth looking for a tail-recursive phrasing of its algorithm.

Tail Recursion is How You Write a Loop in Haskell

Programs that use a loop in an assignment-oriented language can be phrased as tail recursive in a functional
language like Haskell. If the compiler does tail-recursion optimization, then the tail-recursive routine does the
same amount of work with its recursive calls and base-case testing as the loop-using program does with its
top-of-loop jump and termination tests.

• Here's a very rough sketch of a general loop-using routine in a C-like language.

• Here is a tail-recursive equivalent. Claim: the *n*'th iteration of the while loop above and the *n*'th call of f' below have the same values for k, a, x. (Provable by induction on *n*.)

• Notes:

- In the C version, if *expr*₃ uses k, then in the tail-recursive routine, *expr*₃', will have to take that into account. Similarly, if *expr*₄ uses k or a, then *expr*₄' must take that into account.
- In the tail-recursive routine, the name k' is used instead of k because if k also appears in $expr_2$, then Haskell's lazy evaluation makes the two k's the same and the new k is defined recursively. E.g., let k = 'a' : k does not make a new k that's one character longer than the old k, it defines a k that's an infinitely long string of k's. Similarly, the names k' are used to avoid clashing with an k' or k in k or k or k in k or k or k or k in k or k

Chapter 8: Making Our Own Types and Typeclasses

B. Datatype Declarations

Quick Overview of What You Can Do With data Declarations

- In Haskell, data declarations let you define types like
 - Enumerations: data Color = Red | Blue
 - Tuple-like structures: data Point = MkPoint Float Float
 - Unions (alternatives): data IorC = IntVal Int | CharVal Char

- Nested structures: data Triangle = MkTriangle Point Point
- Parameterized structures:

```
• data Either a b = Left a | Right b
```

- data Maybe a = Nothing | Just a
- Recursive structures: data Intlist = Node Int Intlist | Nil

The deriving Clause for data Declarations

- When you define a datatype, you often want to print them or test them for equality or other things.
- You can attach a deriving clause to a data definition that tells the compiler to generate code for some stock typeclasses: Read, Show, Eq are very common; Ord, Bounded, and Enum are for datatypes that are more number-like..
- Examples:

```
data Color = Red | Blue deriving (Read, Show, Eq, Ord, Bounded, Enum)
data Point = MkPoint Float Float deriving (Read, Show, Eq, Ord)
```

• With the declarations above, you can build Color and Point values and print them out at top level, and you get tests like Red < Blue, and MkPoint 1 2 == MkPoint 1.0 2.0.

Enumeration Types

• Enumerations are probably the simplest datatypes you can declare.

```
data Color = Red | Green | Blue
    deriving (Read, Show, Eq, Ord, Enum)
```

• The names Red, Green, and Blue are **data constructor constants**; you can use them as expressions and in patterns. E.g., each case of next below has the form next *pattern* = *expression*

```
next :: Color -> (Color, Color)
next Red = (Green, Blue)
next Green = (Blue, Red)
next Blue = (Red, Green)
```

C. Simple Tuple-like Structure Types

• Here's a declaration for an RGB color datatype. On the left, RGB is being defined as the name of the type; on the right, MakeRGB is a **data constructor function**, MakeRGB :: Int -> Int -> RGB.

```
:{
    data RGB = MakeRGB Int Int Int -- Red, Green, Blue
    deriving (Read, Show, Eq)
:}
```

```
> :t MakeRGB
MakeRGB :: Int -> Int -> RGB
```

- People often make the type name and constructor name the same. (The LYaH book does this, for example.)
 - E.g., data RGB = RGB Int Int Int ... etc.
 - You don't have to do this; people do it because it saves them from thinking up a name for the
 constructor.
 - If it's been done, we have to use the context in which RGB appears to determine whether we want the type or the constructor. E.g., RGB is a type in f:: RGB -> Int, but it would be a function in let c = RGB 64 64 128 in
- Syntax note: The names of types (like RGB) and type constructors always begins in upper case. Data constructor constants (like Blue) and data constructor functions (like MakeRGB) also begin in upper case whereas everyday id and function names begin in lower case.

D. How Do I Get the Parts of an RGB?

- Question: Say c = MakeRGB 10 20 30. Given c, how do you get, say, the redness of c?
- Answer: You must use pattern matching to directly get the parts of a data value.
- In patterns, data constructor functions tell the compiler what type of value to match with. (E.g., we use MakeRGB if we want the parts of an RGB.)
- Also, we need to write patterns in the parameter positions of the data constructor function. E.g., the
 constructor function name MakeRGB gets three patterns for the three components of an RGB; IntVal and
 CharVal both get one pattern.

- You can't write something like c. *fieldname* to retrieve one of the fields of c. Since dot means function composition and c isn't a function, you get an error message. (Of course, you can write a function that takes a data value and returns one of its parts: Above, redness of an RGB was an example.
- Pattern matching also gets used if you want functions that take multiple data values.

MakeRGB 20 40 60

• Of course, there's no law that says you have to do the pattern match in the function header:

```
:{
    | addRGB :: RGB -> RGB -> RGB
    | addRGB c1 c2 =
    | let MakeRGB r1 g1 b1 = c1 in
    | let MakeRGB r2 g2 b2 = c2 in
    | let r = r1 + r2;
    | g = g1 + g2;
    | b = b1 + b2 in
    | MakeRGB r g b
    | :}
> addRGB c c
MakeRGB 20 40 60
```

E. Unions: data Declarations With Alternatives

- In CS generally, union types give you a choice between multiple alternatives. Technically, enumeration types are a kind of union (Red *or* Blue *or* Green), but people generally use the term to refer to types that include data within a structure.
 - E.g., in C, union types are written like struct types (except with union instead of struct).
 - In struct types, the fields are laid out in memory sequentially.
 - In union types, fields are allocated on top of each other; e.g., a union of integer and float stores either an integer or float but not both. In C unions, there's no way to know which alternative is actually stored; you have to know by looking at other data or by where you are in your program. Because of this, C unions are said to be **non-disjoint unions**.
- In Haskell, the data declaration we can types with alternatives by separating them with vertical bar. (Hence Red | Blue | Green for Color.)
- Haskell unions are disjoint: We can always look at a value and see which alternative it comes from by doing a
 pattern match. (So we take a Color value and match against Red, Blue, or Green.)
- To get alternatives with data, we add types after a constructor name. Here's code that declares a datatype IorC and then uses it. An IorC value holds either an Int or a Char.

```
> data IorC = IntVal Int | CharVal Char
> kindOfIorC (IntVal _) = "an int" ; kindOfIorC (CharVal _) = "a char"
> ioc1 = IntVal 17
> ioc2 = CharVal 'r'
> iocs = [ioc1, ioc2]
> map kindOfIorC iocs
["an int","a char"]
> :t iocs
iocs :: [IorC]
```

- Recall that a list can only contain one type of data, so [17, 'r'] produces an error. By declaring IorC, we can use [IntVal 17, CharVal 'r'] to create a value of type [IorC] and get the same effect.
 - This is an example how a strict type system needs a rich type structure to be more usable: Without alternatives, there'd be no way to get the effect of "list of integers or characters".
 - Since you can look at an IorC value and find out which alternative if comes from, Haskell unions are **disjoint**. This also helps with a strict type system because it enables the compiler to be sure that it's accessing the right kind of embedded data (Int or Char).

F. Nested structures

 A data declaration doesn't have to use only primitive data; it can also include data built by already-declared data types. For example,

```
> data Point = MkPoint Float Float deriving (Read, Show, Eq, Ord)
> data Triangle = MkTriangle Point Point Point deriving (Read, Show, Eq)
> p1 = MkPoint 1.0 2.5
> p2 = MkPoint 3.5 1.25
> p3 = MkPoint 2.0 4.0
> t1 = MkTriangle p1 p2 p3
> t2 = MkTriangle (MkPoint 1.0 2.5) (MkPoint 3.5 1.25) (MkPoint 2.0 4.0)
> t1 == t2
True
```

- If we write a function that takes a Triangle, we can (if we want) write a pattern in any of the Point positions, to get quick access to the coordinates.
 - Below, the mvRight function takes a triangle and adds a delta_x to the x-coordinates of its three points. Just to show the difference, the first two points are given names and the third point is calculated in-line.

 As before, we can write this routine using variables as function parameters and use the patterns in case expressions.

G. Parameterized structures

• We've seen data declarations create types (like IorC or Point or Triangle). Often, the idea behind a type is interesting enough that we generalize it.

The Either type constructor

As an example, the idea behind IorC is that we have either an Int or a Char; if we decide we want either
an Int or String, rather than define a second type IorString, we can make use of the library type
constructor Either, defined as

```
data Either a b = Left a | Right b
```

• The a and b to the left of the equal are type variables, parameters for Either. Either is not a type, it's a type constructor: To get a type, you substitute types for a and b:

```
> ival = Left 12 :: Either Int Char
> cval = Right 'z' :: Either Int Char
> :t [ival,cval]
[ival,cval] :: [Either Int Char]
```

• If we hadn't explicitly annotated types for ival and cval, the types would have been polymorphic:

```
> (ival2, cval2) = (Left 12, Right 'z')
> :t (ival2, cval2)
(ival2, cval2) :: Num a1 => (Either a1 b, Either a2 Char)
```

• We can define functions that take Either Int Char parameters in the same way we defined functions on IorC. In kindOfIorC below, we explicitly say that the parameter is an Either Int Char

```
> :{
    | kindOfIorC :: Either Int Char -> String
    | kindOfIorC (Left n) = "integer " ++ show n
    | kindOfIorC (Right c) = "character " ++ show c
    | :}
> :t kindOfIorC
kindOfIorC :: Either Int Char -> String
> map kindOfIorC [Left 17, Right 'c']
["integer 17", "character 'c'"]
```

• The kind2 function shows what Haskell infers if we leave off the specific type annotation. We have to use showable types of values for the left and right alternatives of Either a b.

The Maybe Type Constructor

• A very useful built-in type constructor is Maybe; it's used when you have computations that might fail to produce a value, but you don't want this to cause runtime errors.

```
data Maybe a = Nothing | Just a
```

• E.g., here's a safer square root routine: If its argument is negative, it produces Nothing; if the argument is nonnegative, it produces Just (sqrt arg).

• When using safesqrt, we can do a pattern match. (Below, the safeneg functions do the same thing; they're just declared differently.)

• Just for fun, here's some runs of safeneg on mostly the same list:

```
> map safeneg [Nothing, Just 1, Just 4, Just 9, Just 16, Just 25]
[Nothing, Just (-1), Just (-4), Just (-9), Just (-16), Just (-25)]
> map safeneg (Nothing: map (Just . (\n -> n*n)) [1..5])
[Nothing, Just (-1), Just (-4), Just (-9), Just (-16), Just (-25)]
> [safeneg x | x <- Nothing: [Just (n*n) | n <- [1..5]]]
[Nothing, Just (-1), Just (-4), Just (-9), Just (-16), Just (-25)]
> map (safeneg . Just) [1,4,9,16,25]
```

```
[Just (-1), Just (-4), Just (-9), Just (-16), Just (-25)] -- fixed 3 2020-01-30
```

H. Recursive Structures

• If the body of a data declaration uses the type being defined, we get a recursive datatype.

```
:{
    | data List a = Node a (List a) | Nil deriving (Show, Read, Eq)
    |
    | null Nil = True
    | null (Node _ _) = False
    |
    | len Nil = 0
    | len (Node _ x) = 1 + len x
    |
    | len1 x = len1' 0 x
    | len1' res Nil = res
    | len1' res (Node _ t) = len1' (res+1) t
    :}
> x = Node 1 (Node 2 (Node 3 (Node 4 (Node 5 Nil))))
> len x
5
> len1 x
```

• For another example of a recursive datatype, here's a simple binary tree type, where the nodes and leafs are labeled by values.

```
> data Tree a = Leaf a | Node a (Tree a) (Tree a) deriving (Read, Show, Eq)
> t1 = Leaf "abc"
> t2 = Node "ab" t1 (Leaf "de") -- using t1, a leaf
> :t t1
t1 :: Tree [Char]
> :t t2
t2 :: Tree [Char]
```

• Here's a function that checks to see if a given value is \geq every value in the tree. (Note we can only run this function on trees where the values are of a type that supports Ord.)

```
:{
    | ge_tree :: Ord t => t -> Tree t -> Bool
    | ge_tree val (Leaf val') = val >= val'
    | ge_tree val (Node val' t1 t2) =
    | val >= val' && ge_tree val t1 && ge_tree val t2
:}
> t2
Node "ab" (Leaf "abc") (Leaf "de")
```

```
> ge_tree "z" t2
True
```

I. Type Declarations

• The type declaration is the other way to declare a type, but it doesn't declare a new kind of structure the way a data declaration does. A type declaration lets you give an alternate name to a type.

```
type name = type\_expression
```

- As with typedef in C, a type declaration lets you give a more descriptive name to a type expression that
 could otherwise be misinterpreted. For example, an integer serving as an identifier looks like any other
 integer, but by declaring type Id = Int, we can use Id in places where we intend an identifier instead of,
 say, a street number.
- A type declaration only declares a synonym: Formally, Id and Int have **structural equivalence** because they're built using the same type structure. Haskell uses structural equivalence on type-declared types when checking equality. E.g.,

```
> type Id = Int
> type Id' = Int
> x = 5 :: Id
> y = 5 :: Id'
> z = 5 :: Int
> x == y && y == z && z == x -- Not a type error
True
> (5 :: Id) == (5 :: Id') -- more briefly
True
```

• data-declared types use **name equivalence** for equality: Values of data-declared types that have the same structure are not equal. E.g.,

```
> data Temp1 = Temp1 Int
> data Temp2 = Temp2 Int
> x1 = Temp1 77
> x2 = Temp2 77
> x1 == x2
[Error message Couldn't match expected type 'Temp1' with actual type 'Temp2' ]
```

Activity Questions, Lecture 5

Tail Recursion

- 1. What is tail-recursion and tail-recursion optimization?
- 2. Write a tail recursive version of sum(n) = 0 if $n \le 1$ and n + sum(n-1) otherwise.
- 3. Using tail-recursion, write a linear-time version of a function add_total $[v_1, v_2, ..., v_n]$ that returns $[v_1 + s, v_2 + s, ..., v_n + s]$ where s is the sum $(v_1 + v_2, + ... + v_n)$. E.g., add_total [1..5] = [16,17,18,19,20].

(Hint: Write one function that traverses a list and returns its reverse and the sum of its values, then write a function that takes a list and a value and returns the reverse of that list with the value added to every element.)

Algebraic types

- 1. The declaration data $T1 = A1 \mid B1$ declares what kind of type? List the values of type T1.
- 2. The declaration data $T2 = A2 \mid B2$ Int declares what kind of type? Give examples of values of type T2.
- 3. Repeat, on data T3 = A3 Int | B3 Int T3. Also, what kind of data structure does T3 model?
- 4. Repeat, on data T4 = A3 Int | B3 Int T4 | C3 Int T4. What kind of data structure does T4 model?
- 5. Is there anything weird about the type data T5 = A5 T5?
- 6. Is there anything weird about the type data T6 = A6 | B6 T6?
- 7. What does the clause deriving (Eq. Read, Show) do when added to a data declaration?

For Problem 8 and 9, look back to a datatype declared earlier:

```
data Tree a = Leaf a | Node a (Tree a) (Tree a)
    deriving (Read, Show, Eq)
```

- 8. Define a recursive function that returns the height of a binary tree. (A tree that's just a leaf has height zero [2/3].)
- 9. Repeat Problem 8 using a helper function height' tree n that returns n + height(tree). (Since you need two recursive calls, the routine won't be fully tail-recursive.)

Solutions to Selected Activity Questions

Tail Recursion

```
sum n = sum' n 0
sum' 0 s = s
                                 -- sum n s = sum of [1..n], plus s
sum n s = sum (n-1) (s+n)
-- add_total_list [x1, x2, ..., xn]
       = [x1+tot, x2+tot, ..., xn+tot] where tot = x1+x2+...+xn
--
add total list =
    let (_, list_plus_total, total) =
            rev and inc (rev and sum ([], list, 0))
    in list_plus_total
-- rev_and_sum(rpt, [x1, x2, ..., xn], ptot)
        = ([xn, ..., x2, x1] ++ rpt, [], x1+x2+...+xn + ptot)
-- variables: rpt = "reverse of partial tail", ptot = "partial total"
rev_and_sum(rpt, [], ptot) = (rpt, [], ptot) ; rev_and_sum(rpt, val:vals,
    ptot) = rev and sum(val:rpt, vals, ptot+val)
-- rev_and_inc([x1, x2, ..., xn], ript ,tot) =
      ([], [xn+val, ..., x2+val, x1+val] ++ ript, tot)
-- variable ript = "reverse of incremented partial tail"
rev_and_inc([], ript, tot) = ([], ript, tot); rev_and_inc(val:vals, ript,
    tot) = rev_and_inc(vals, (val+tot):ript, tot)
```

Algebraic types

- 1. Enumerated, A1, B1.
- 2. Structured. Values of type T2 are A2 (a constant) and B2 *int* for any int.
- 3. Structured. We get A3 *int* and B3 *int*. We basically have a set of two different kinds of integers, so we tag them with A3 or B3 to say which kind.
- 4. Same as 3 but with three different kinds of integers.
- 5. data T5 = A5 T5 is a legal declaration, but there's no way to create a value of type T5 (unless you already have one, which we don't). This type is sometimes called *empty*, *void*, or *false*.
- 6. No, nothing weird about data T6 = A6 | B6 T6 aside from it being recursive. data T6 = A6 T6 | B6 T6 would be similar to T5 except we now we'd have two ways to not create a value.

7. Adding deriving (Eq, Read, Show) makes the new type instances of those classtypes. Haskell will automatically generate the functions for the classtypes (==, /=, <, <=, etc., show). (Using :info classtype in ghci will list all the items provided by that classtype.)

For Problems 8 and 9, we have

```
data Tree a = Leaf a | Node a (Tree a) (Tree a)
    deriving (Read, Show, Eq)
```

I'll name the functions height1 and height2 just to make them different

(There isn't much difference between the two definitions, since we need two recursive calls and we're doing work after the calls.)