

# IIT CS536: Science of Programming

## Homework 7: Parallelism

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Out: Monday, Apr. 18  
Due: Thursday, Apr. 28, 11:59pm CDT

*Updated Apr. 25*

**This assignment contains 9 written task(s) for a total of 50 points.**

## **SOLUTIONS**

## Logistics

### Submission Instructions

Please read and follow these instructions carefully.

- Submit your homework on Blackboard under the correct assignment by the deadline (or the extended deadline if taking late days).
- You may submit multiple times, but we will only look at your last submission. Make sure your last submission contains all necessary files.
- Email the instructor and TAs ASAP if
  - You submit before the deadline but then decide to take (more) late days.
  - You accidentally resubmit after the deadline, but did not intend to take late days.

Otherwise, you do not need to let us know if you're using late days; we'll count them based on the date of your last submission.

- Submit your written answers in a single PDF or Word document. Typed answers are preferred (You can use any program as long as you can export a .pdf, .doc or .docx; LaTeX is especially good for typesetting logic and math, and well worth the time to learn it), but *legible* handwritten and scanned answers are acceptable as well.
- Your Blackboard submission should contain only the file with your written answers. Do not compress or put any files in folders.

## Collaboration and Academic Honesty

Read the policy on the website and be sure you understand it.

# 1 A simple parallel program

Remember our favorite “sum” program? We loved verifying it so much that now we’ll verify two of them... in parallel.  $s_1$  calculates the sum of the numbers from 0 to  $n/2$  and  $s_2$  calculates the sum from  $n/2$  to  $n$ . Then, *after that* (remember,  $[s_1 \parallel s_2]$  is just a statement we can sequence with another statement), we add up the two results to get the final sum.

$$\begin{aligned}
 & i1 := \bar{0}; \\
 & r1 := \bar{0}; \\
 s_1 \triangleq & \text{while } (i1 < n / \bar{2}) \{ \\
 & \quad r1 := r1 + i1; \\
 & \quad i1 := i1 + \bar{1} \\
 & \} \\
 & i2 := n / \bar{2}; \\
 & r2 := \bar{0}; \\
 s_2 \triangleq & \text{while } (i2 < n) \{ \\
 & \quad r2 := r2 + i2; \\
 & \quad i2 := i2 + \bar{1} \\
 & \} \\
 s \triangleq & [s_1 \parallel s_2]; r := r1 + r2
 \end{aligned}$$

## Task 1.1 (Written, 4 points).

Show that  $s$  from above is a disjoint parallel program by showing that  $s_1$  and  $s_2$  are disjoint. One way to do this is with a table, like in class.

$i$	$j$	Change( $i$ )	Vars( $j$ )	$i$ interferes with $j$ ?
1	2	$r1, i1$	$i2, r2, n$	No
2	1	$r2, i2$	$i1, r1, n$	No

## Task 1.2 (Written, 7 points).

Now that we know  $s$  is a DPP, we can prove it using the Sequentialize rule. Fill in the blanks in the following proof outline, which is for the program  $s_1; s_2; r := r1 + r2$ . Recall that for  $b \geq a$ ,  $sum(a, b) = a + \dots + b - 1$ .

$$\begin{array}{ll}
 & \{n \geq 0\} \\
 i1 := \bar{0}; & \\
 r1 := \bar{0}; & \{ \rule{10cm}{0.4pt} \} \\
 \{\text{inv } \rule{10cm}{0.4pt}\} & \\
 \text{while } (i1 < n / \bar{2}) \{ & \\
 \quad r1 := r1 + i1; & \\
 \quad i1 := i1 + \bar{1} & \\
 \}; & \{ \rule{10cm}{0.4pt} \} \\
 i2 := n / \bar{2}; & \\
 r2 := \bar{0}; & \{ \rule{10cm}{0.4pt} \} \\
 \{\text{inv } \rule{10cm}{0.4pt}\} & \\
 \text{while } (i2 < n) \{ & \\
 \quad r2 := r2 + i2; & \\
 \quad i2 := i2 + \bar{1} & \\
 \}; & \{ \rule{10cm}{0.4pt} \} \\
 & \Rightarrow \{r1 + r2 = sum(0, n)\} \\
 r := r1 + r2 & \\
 & \{r = sum(0, n)\}
 \end{array}$$

$i1 := \overline{0};$	$\{n \geq 0\}$
$r1 := \overline{0};$	$\{i1 = 0 \wedge r1 = 0\}$
$\{\mathbf{inv} \ 0 \leq i1 \leq n/2 \wedge r1 = \mathit{sum}(0, i1)\}$	
$\mathbf{while} \ (i1 < n / \overline{2}) \ \{$	
$r1 := r1 + i1;$	
$i1 := i1 + \overline{1}$	
$\};$	$\{q \equiv i1 \geq n/2 \wedge 0 \leq i1 \leq n/2 \wedge r1 = \mathit{sum}(0, i1)\}$
$i2 := n / \overline{2};$	
$r2 := \overline{0};$	$\{q \wedge i2 = n/2 \wedge r2 = 0\}$
$\{\mathbf{inv} \ q \wedge n/2 \leq i2 \leq n \wedge r2 = \mathit{sum}(n/2, i2)\}$	
$\mathbf{while} \ (i2 < n) \ \{$	
$r2 := r2 + i2;$	
$i2 := i2 + \overline{1}$	
$\};$	$\{q \wedge i2 \geq n \wedge n/2 \leq i2 \leq n \wedge r2 = \mathit{sum}(n/2, i2)\}$
	$\Rightarrow \{r1 + r2 = \mathit{sum}(0, n)\}$
$r := r1 + r2$	$\{r = \mathit{sum}(0, n)\}$

**Task 1.3 (Written, 11 points).**

We can also prove this program using the Par rule. Fill in the blanks in the following proof outline with pre- and post-conditions for the two threads, as well as a postcondition for  $[s_1 || s_2]$ .

**Then, make sure you can use the Par rule to do this proof by showing that the two threads have disjoint conditions.** One way to do this is with a table, like we did in class.

$[$ $i1 := \bar{0};$ $r1 := \bar{0};$ $\{\text{inv } \underline{\hspace{10em}}\}$ $\text{while } (i1 < n / \bar{2}) \{$ $r1 := r1 + i1;$ $i1 := i1 + \bar{1}$ $\}$ $  $ $i2 := n / \bar{2};$ $r2 := \bar{0};$ $\{\text{inv } \underline{\hspace{10em}}\}$ $\text{while } (i2 < n) \{$ $r2 := r2 + i2;$ $i2 := i2 + \bar{1}$ $\}$ $];$ $r := r1 + r2$	$\{n \geq 0\}$ $\{p1 \equiv \underline{\hspace{10em}}\}$ $\{q1 \equiv \underline{\hspace{10em}}\}$ $\{p2 \equiv \underline{\hspace{10em}}\}$ $\{q2 \equiv \underline{\hspace{10em}}\}$ $\{\underline{\hspace{10em}}\} \Rightarrow \{r1 + r2 = \text{sum}(0, n)\}$ $\{r = \text{sum}(0, n)\}$
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$[$ $i1 := \bar{0};$ $r1 := \bar{0};$ $\{\text{inv } 0 \leq i1 \leq n/2 \wedge r1 = \text{sum}(0, i1)\}$ $\text{while } (i1 < n / \bar{2}) \{$ $r1 := r1 + i1;$ $i1 := i1 + \bar{1}$ $\}$ $  $ $i2 := n / \bar{2};$ $r2 := \bar{0};$ $\{\text{inv } n/2 \leq i2 \leq n \wedge r2 = \text{sum}(0, i2)\}$ $\text{while } (i2 < n) \{$ $r2 := r2 + i2;$ $i2 := i2 + \bar{1}$ $\}$ $];$ $r := r1 + r2$	$\{n \geq 0\}$ $\{n \geq 0\}$ $\{r1 = \text{sum}(0, n/2)\}$ $\{n \geq 0\}$ $\{r2 = \text{sum}(n/2, n)\}$ $\{r1 = \text{sum}(0, n/2) \wedge r2 = \text{sum}(n/2, n)\} \Rightarrow \{r1 + r2 = \text{sum}(0, n)\}$ $\{r = \text{sum}(0, n)\}$
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$i$	$j$	Change( $i$ )	Vars( $j$ )	FV( $p_j, q_j$ )	$i$ interferes with $j$ ?	$i$ interferes with conditions of $j$ ?
1	2	$r1, i1$	$i2, r2, n$	$i2, r2, n$	No	No
2	1	$r2, i2$	$i1, r1, n$	$i1, r1, n$	No	No

## 2 A More Realistic Parallel Program

Most low-level threading libraries make joining threads back together a little annoying, so if you were to actually write the program above, you'd probably write it more like this:

*Updated 4/25:* Put the body of the Thread 1 while loop in an atomic region. This isn't necessary for correctness, but makes Task 2.2 easier. Also updated the preconditions of the two threads.

<pre> [   while (i1 &lt; n / 2) {     &lt; r1 := r1 + i1;     i1 := i1 + 1 &gt;   }        while (i2 &lt; n) {     r2 := r2 + i2;     i2 := i2 + 1   };   {inv i1 ≤ n/2 ∧ r1 = sum(0, i1) ∧ r2 = sum(n/2, n)}   while i1 &lt; n / 2 {skip};   r := r1 + r2 ]</pre>	$\{n \geq 0 \wedge i1 = 0 \wedge r1 = 0\}$ $\{0 \leq i1 \leq n/2 \wedge i2 = n/2 \wedge r1 = \text{sum}(0, i1) \wedge r2 = 0\}$ $\{r1 = \text{sum}(0, n/2) \wedge r2 = \text{sum}(n/2, n)\}$ $\Rightarrow \{r1 + r2 = \text{sum}(0, n)\}$ $\{r = \text{sum}(0, n)\}$
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Here:

$$s_1 \triangleq \begin{array}{l} \text{while } (i1 < n / 2) \{ \\ \quad < r1 := r1 + i1; \\ \quad i1 := i1 + 1 > \\ \} \end{array}$$

and

$$s_2 \triangleq \begin{array}{l} \text{while } (i2 < n) \{ \\ \quad r2 := r2 + i2; \\ \quad i2 := i2 + 1 \\ \}; \\ \text{while } i1 < n / 2 \{ \text{skip} \}; \\ r := r1 + r2 \end{array}$$

### Task 2.1 (Written, 10 points).

Write full proof outlines for  $s_1$  and  $s_2$ . We've helped you out with some of the loop invariants and intermediate conditions above.

Let  $p_1 \equiv 0 \leq i1 \leq n/2 \wedge r1 = \text{sum}(0, i1)$   
 $p_2 \equiv i1 \leq n/2 \wedge n/2 \leq i2 \leq n \wedge r1 = \text{sum}(0, i1) \wedge r2 = \text{sum}(n/2, i2)$   
 $p_3 \equiv i1 \leq n/2 \wedge r1 = \text{sum}(0, i1) \wedge r2 = \text{sum}(n/2, n)$

	$\{n \geq 0 \wedge i1 = 0 \wedge r1 = 0\}$
$\{\text{inv } p_1\}$ $\text{while } (i1 < n / 2) \{$ $\quad < r1 := r1 + i1;$ $\quad i1 := i1 + 1 >$ $\}$	$\{p_1 \wedge i1 < n/2\} \Rightarrow \{0 \leq i1 + 1 \leq n/2 \wedge r1 + i1 = \text{sum}(0, i1 + 1)\}$ $\{0 \leq i1 + 1 \leq n/2 \wedge r1 = \text{sum}(0, i1 + 1)\}$ $\{p_1\}$ $\{p_1 \wedge i1 \geq n/2\} \Rightarrow \{r1 = \text{sum}(0, n/2)\}$

```


$$\{0 \leq i1 \leq n/2 \wedge i2 = n/2 \wedge r1 = \text{sum}(0, i1) \wedge r2 = 0\}$$

{inv  $p_2$ }
while ( $i2 < n$ ) {
   $r2 := r2 + i2;$ 
   $i2 := i2 + 1$ 
};
{inv  $p_3$ }
while  $i1 < n / 2$  {
  skip
};
 $r := r1 + r2$ 

```

$\{p_2 \wedge i2 < n\} \Rightarrow \{i1 \leq n/2 \wedge n/2 \leq i2 + 1 \leq n \wedge r1 = \text{sum}(0, i1) \wedge r2 + i2 = \text{sum}(n/2, i2 + 1)\}$   
 $\{i1 \leq n/2 \wedge n/2 \leq i2 + 1 \leq n \wedge r1 = \text{sum}(0, i1) \wedge r2 = \text{sum}(n/2, i2 + 1)\}$   
 $\{p_2\}$   
 $\{p_2 \wedge i2 \geq n\} \Rightarrow \{p_3\}$   
 $\{p_3\}$   
 $\{p_3 \wedge i1 \geq n/2\} \Rightarrow \{r1 = \text{sum}(0, n/2) \wedge r2 = \text{sum}(n/2, n)\}$   
 $\Rightarrow \{r1 + r2 = \text{sum}(0, n)\}$   
 $\{r = \text{sum}(0, n)\}$

**Task 2.2 (Written, 6 points).**

Let  $s_1^*$  and  $s_2^*$  be the full proof outlines you wrote in the previous task. Explain why these two proof outlines are interference-free (which means we can use the Par-OG rule to prove this program correct!) You don't need to prove it formally, but give a careful explanation of how you know.

$s_2$  can't interfere with  $s_1^*$  because the conditions of  $s_1^*$  involve only variables  $i1$ ,  $r1$  and  $n$ , which aren't changed by  $s_2$ .  $s_2^*$  involves  $i1$  and  $r1$ , but the only conditions involving  $i1$  are  $i1 < n/2$  and  $i1 \geq n/2$  which, once they become true, are never made false by  $s_1$ . The conditions involving  $r1$  are  $r1 = \text{sum}(0, i1)$ , which is always true since  $r1$  and  $i1$  are updated atomically, and  $r1 = \text{sum}(0, n/2)$ , which is not changed by  $s_1$  once it becomes true.

**Bonus Task 2.3 (Written, 2 points).**

Repeat Tasks 2.1 and 2.2 **without** the atomic region around the body of Thread 1's while loop (i.e., the loop body is  $r1 := r1 + i1; i1 := i1 + 1$  as two separate assignments with interleaving allowed between them.) You'll need to change the precondition and loop invariants in Thread 2.

Let  $p_1 \equiv 0 \leq i1 \leq n/2 \wedge r1 = \text{sum}(0, i1)$   
 $p_2 \equiv i1 \leq n/2 \wedge n/2 \leq i2 \leq n \wedge (i1 = n/2 \rightarrow r1 = \text{sum}(0, n/2)) \wedge r2 = \text{sum}(n/2, i2)$   
 $p_3 \equiv i1 \leq n/2 \wedge (i1 = n/2 \rightarrow r1 = \text{sum}(0, n/2)) \wedge r2 = \text{sum}(n/2, n)$

```

 $\{n \geq 0 \wedge i1 = 0 \wedge r1 = 0\}$ 
{inv  $p_1$ }
while ( $i1 < n / 2$ ) {
   $r1 := r1 + i1;$ 
   $i1 := i1 + 1$ 
};
 $\{p_1 \wedge i1 \geq n/2\} \Rightarrow \{r1 = \text{sum}(0, n/2)\}$ 

```

$\{0 \leq i1 \leq n/2 \wedge i2 = n/2 \wedge (i1 = n/2 \rightarrow r1 = \text{sum}(0, n/2)) \wedge r2 = 0\}$   
{inv  $p_2$ }  
while ( $i2 < n$ ) {  
 $r2 := r2 + i2;$   
 $i2 := i2 + 1$   
};  
{inv  $p_3$ }  
while  $i1 < n / 2$  {  
 skip  
};  
 $r := r1 + r2$ 

$\{p_2 \wedge i2 < n\} \Rightarrow \{i1 \leq n/2 \wedge n/2 \leq i2 + 1 \leq n \wedge (i1 = n/2 \rightarrow r1 = \text{sum}(0, n/2)) \wedge r2 + i2 = \text{sum}(n/2, i2 + 1)\}$   
 $\{i1 \leq n/2 \wedge n/2 \leq i2 + 1 \leq n \wedge (i1 = n/2 \rightarrow r1 = \text{sum}(0, n/2)) \wedge r2 = \text{sum}(n/2, i2 + 1)\}$   
 $\{p_2\}$   
 $\{p_2 \wedge i2 \geq n\} \Rightarrow \{p_3\}$   
 $\{p_3\}$   
 $\{p_3 \wedge i1 \geq n/2\} \Rightarrow \{r1 = \text{sum}(0, n/2) \wedge r2 = \text{sum}(n/2, n)\}$   
 $\Rightarrow \{r1 + r2 = \text{sum}(0, n)\}$   
 $\{r = \text{sum}(0, n)\}$

$s_2$  can't interfere with  $s_1^*$  because the conditions of  $s_1^*$  involve only variables  $i1$ ,  $r1$  and  $n$ , which aren't changed by  $s_2$ .  $s_2^*$  involves  $i1$  and  $r1$ , but the only conditions involving  $i1$  are  $i1 < n/2$  and  $i1 \geq n/2$

which, once they become true, are never made false by  $s_1$ . The conditions involving  $r1$  are  $(i = n/2 \rightarrow r1 = \text{sum}(0, n/2))$ , which is always true: once  $i1 = n/2$ ,  $r1$  is already the final sum and is not updated, and  $r1 = \text{sum}(0, n/2)$ , which is also not changed by  $s_1$  once it becomes true.

### 3 Even More Realistic with Await

#### Task 3.1 (Written, 3 points).

Rewrite the program from the previous section, but use an `await` instead of the second While loop in thread 2.

```

                                while ( $i2 < n$ ) {
                                     $r2 := r2 + i2$ ;
                                     $i2 := i2 + 1$ 
                                };
 $s_2 \triangleq$ 
                                await  $i1 < n / 2$  then {skip};
                                 $r := r1 + r2$ 

```

(It's also fine if you put the final assignment inside the `await` but it doesn't need to be. `wait  $i1 < n / 2$ ; ...` is equivalent to the above and also fine. )

#### Task 3.2 (Written, 2 points).

Why might you prefer to use `await` instead of the loop? **Hint:** Does total correctness hold for the original code with the loop? (If you choose to use this hint, explain why or why not and also why that leads you to prefer the new code.)

The code with the loop busy-waits, which is quite inefficient, and also could result in nontermination if a very unfair scheduler decides to run thread 2 every time (so we just keep going around the while loop) instead of running thread 1 to set the condition to be false. The semantics of `await` don't allow this.

### 4 A Buggy (and therefore even more realistic) Parallel Program

Consider the code from Section 2 again but suppose we didn't include all of the initial conditions in the precondition.

<pre> [   <math>i1 := 0</math>;   <math>r1 := 0</math>;   while (<math>i1 &lt; n / 2</math>) {     <math>r1 := r1 + i1</math>;     <math>i1 := i1 + 1</math>   }        <math>i2 := n / 2</math>;   <math>r2 := 0</math>;   while (<math>i2 &lt; n</math>) {     <math>r2 := r2 + i2</math>;     <math>i2 := i2 + 1</math>   };   {<b>inv</b> <math>i1 \leq n/2 \wedge r1 = \text{sum}(0, i1) \wedge r2 = \text{sum}(n/2, n)</math>}   while <math>i1 &lt; n / 2</math> {skip};   <math>r := r1 + r2</math> ] </pre>	<pre> <math>\{n \geq 0\}</math>  <math>\{n \geq 0\}</math>  <math>\{r1 = \text{sum}(0, n/2) \wedge r2 = \text{sum}(n/2, n)\}</math>  <math>\{r = \text{sum}(0, n)\}</math> </pre>
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Let  $\sigma = \{n = 26, i1 = 8285, i2 = 283472, r1 = 523752, r2 = 105892\}$  (we haven't initialized some of the variables yet, so they have whatever values where in memory before).



If  $s$  is the program immediately above,  $|M(s, \sigma)| > 1$ .

**Task 4.1 (Written, 7 points).**

- a) Explain how we could interleave the two threads in  $s$ , starting with state  $\sigma$ , to terminate in a final state where  $r \neq \text{sum}(0, 26)$ .  
**Hint 1:** There are approximately  $3n = 78$  execution paths that will have this behavior, or slightly fewer steps than thread 1 takes total.  
**Hint 2:** The loop invariant for the second loop of thread 2 can no longer be proven to hold when we enter the loop, and indeed, it may not hold. Why?
- b) You're testing the code above. Assume that every time you run the program, the processor chooses an interleaving randomly<sup>a</sup>. Also assume that the code always starts in a state, like the one above, that allows the bug from (a) to occur. The expected number of times you'd have to run the program to find the bug in testing is  $\frac{\# \text{ of possible execution paths}}{\# \text{ of incorrect execution paths}}$ . Approximating wildly, there are at least  $\approx \frac{50!}{25! \cdot 25!} \approx 1.3 \times 10^{14}$  possible terminating execution paths<sup>b</sup>. Assuming you have a script that can run the program 1000 times per second, how long would it take to find one of the buggy execution paths you described in part a), in expectation?
- c) Now, you get a job with a popular Web service and put the above code into their code base, where it is used by one billion people, who each run it an average of 100 times a day (i.e., the code is run  $100 \text{ billion} = 10^{11}$  times per day)<sup>c</sup>. How long will it take for a user (any user, not one particular user) to discover the bug, in expectation? Use the same formula from c), the estimate of the number of possible interleavings from c) and your estimate from a).
- d) With the above answers, explain why it is good for your job prospects that you took CS 536 and learned about program verification.

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<sup>a</sup>this assumption is incredibly false, but that doesn't necessarily make it more likely you'll find the bug in testing

<sup>b</sup>There are also some that diverge, but let's not count those.

<sup>c</sup>If you think this is an unrealistic assumption, think about how many times, e.g., the routine to print a timestamp on a Facebook news feed item is called per day.

- a) We need to run thread 2 through the second while loop before stepping thread 1 at all. We can run any amount of thread 1 up to the last assignment to  $r$  between finishing that while loop and assigning to  $r$ .
- b)  $\frac{1.3 \times 10^{14}}{78} \text{ runs} \times \frac{1 \text{ second}}{1000 \text{ runs}} \approx 1.7 \times 10^9 \text{ seconds} \approx 4.6 \times 10^5 \text{ hours} \approx 1.9 \times 10^4 \text{ days} \approx 53 \text{ years}$
- c)  $\frac{1.3 \times 10^{14}}{78} \text{ runs} \times \frac{1 \text{ day}}{10^{11} \text{ runs}} \approx 17 \text{ days}$

## 5 One more wrap-up question

**Task 5.1 (Written, 0 points).**

How long (approximately) did you spend on this homework, in total hours of actual working time? Your honest feedback will help us with future homeworks.