CS536, Spring 2022

Midterm Exam #2

SOLUTIONS: DO NOT DISTRIBUTE

Name	
IIT Email	
Important notes:	
• This exam has 15 pages. Make sure you have	re them all.
• You have 75 minutes to complete the examto see where to focus your time.	. We suggest looking through the questions first
• Use only blue or black pen to complete the	his exam. If you don't have one, ask.
• Write your answers in the space provided. I can use the back of the page, but clearly ma	If you need more space for answers or scrap, you ark where your answers are.
• The last 5 pages of this exam are reference use them durng the exam. We do not need	material. You may (carefully) tear them off and to collect these pages.
your sheets of notes at the end of the exam,	ided 8.5" × 11" sheets of notes. We will collect so if you want them back, please make sure your for the first one if they're attached). No other notes are permitted.
• Sign the statement below:	
I have not used any unauthorized resources	or received or given help during this exam.
Signed	Data

Question	1	2	3	4	5	Total
Points	14	18	30	24	14	100
Score						
Grader						

1 Expanding Proof Outlines (14 points)

Expand the proof outline below into a full proof outline. List the resulting proof obligations. You do not need to prove these obligations (but they should be true statements!)

```
\{i \neq j \land m \neq i \land m \neq j \land a[j] \neq a[i]\}
       if (a[j] < a[i]) then {
           a[m] := a[j]
        } else {
           a[m] := a[i]
        }
                                         {a[m] < a[i] \lor a[m] < a[j]}
                                  \{i \neq j \land m \neq x \land a[j] \neq a[i]\}
 \text{if } (a[j] < a[i]) \text{ then } \{ \quad \{i \neq j \land m \neq i \land m \neq j \land a[j] \neq a[i] \land a[j] < a[i] \} \Rightarrow \{a[j] < (i = m \ ? \ a[j] : a[i]) \} \\
    a[m] := a[j] \qquad \qquad \{a[m] < a[i]\}
 } else {
                               \{i \neq j \land m \neq i \land m \neq j \land a[j] \neq a[i] \land a[j] \geq a[i]\} \Rightarrow \{a[i] < (j = m ? a[i] : a[j])\}
                             \{a[m] < a[j]\}
     a[m] := a[i]
                                  \{a[m] < a[i] \lor a[m] < a[j]\}
Obligations: \{i \neq j \land m \neq i \land m \neq j \land a[j] \neq a[i] \land a[j] < a[i]\} \Rightarrow \{a[j] < (i = m ? a[j] : a[i])\}
\{i \neq j \land m \neq i \land m \neq j \land a[j] \neq a[i] \land a[j] \geq a[i]\} \Rightarrow \{a[i] < (j = m ? a[i] : a[j])\}
```

2 Concepts (18 points)

Answer each question in 2-5 English sentences. Be as clear and to-the-point as possible.

(a) (6 points) If s has no loops, and $\vDash \{p\}$ s $\{q\}$, is it always the case that $\vDash [p \land D(s)]$ s [q]? Why or why not? If this is only sometimes the case, say when and briefly explain why.

This is the case for loop-free programs; for such programs, if D(s) holds, then there's no error and total correctness holds.

(b) (6 points) Consider the following triple, where we've left the condition and body of the loop unspecified.

$$\{T\} \text{ while } e \ \{s\} \ \{x = P(1,n) \wedge a[n] \neq y\}$$

Suggest at least two predicates (write down the predicates) we might want to consider as loop invariants, and explain briefly how you got each one.

- $x = P(i, n) \wedge a[n] \neq y$ (replace constant with a variable)
- $x = P(1, i) \wedge a[i] \neq y$ (replace constant with a variable)
- x = P(1, n) (delete a conjunct)

Consider the following triple, where we've left the condition and body of the loop unspecified.

$$\{T\}$$
 while $e\{s\}$ $\{x = P(0, n) \land a[n] > z\}$

Suggest at least two predicates (write down the predicates) we might want to consider as loop invariants, and explain briefly how you got each one.

```
x = P(i, n) \land a[n] > z (replace constant with a variable)

x = P(0, i) \land a[i] > z (replace constant with a variable)

x = P(0, n) (delete a conjunct)
```

(c) (6 points) You're trying to find the weakest precondition of the following program, whose postcondition is x = y:

if
$$x < y$$
 then $\{x := y\}$ else $\{\text{skip}\}$

Chaoqi tells you the weakest precondition is $x \leq y$. Zhenghao tells you it's $(x \geq y \rightarrow x = y)$. Then Stefan says they're both right. Explain how this is possible.

The two conditions are logically equivalent, so both can be the wp.

3 Proofs (30 points)

Do either proof A or proof B. For whichever one you choose:

- a) Give appropriate loop invariants for any loops.
- b) Write a **full** proof for the program. You may write a full proof outline or a Hilbert-style proof. (We would not recommend using a proof tree.)

If you start both, tell us which one you want us to grade: ____ Proof A ____ Proof B

Proof A

This program calculates the square root of n (if the square root isn't an integer, it returns the greatest integer less than the square root).

```
\begin{array}{ll} Precondition & \{n \geq 0\} \\ & i := \overline{1}; \\ & \text{while } i^2 \leq n \ \{ \\ Program & i := i + \overline{1} \\ & \} \\ & i := i - \overline{1} \\ Postcondition & \{i^2 \leq n < (i+1)^2\} \\ & \{n \geq 0\} \Rightarrow \{0^2 \leq n\} \\ i := \overline{1}; & \{(i-1)^2 \leq n\} \\ i := \overline{1}; & \{(i-1)^2 \leq n\} \\ \{\text{inv } (i-1)^2 \leq n\} & \{(i-1)^2 \leq n \land i^2 \leq n\} \Rightarrow \{i^2 \leq n\} \\ i := i + \overline{1} & \{(i-1)^2 \leq n < i^2\} \\ i := i - \overline{1} & \{i^2 \leq n < (i+1)^2\} \\ \end{array}
```

Proof B

This program sets r to true if all elements in a are even, and false otherwise. "mod" is the modulus operator (% in C), and $x \mod 2 = 0$ if and only if x is even.

 $\{T\}$ r := true;

Precondition

```
i := \overline{0}:
                                                               while i < size(a) {
                                                                  if a[i] \mod \overline{2} = \overline{0} then {
                                   Program
                                                                      skip
                                                                   } else {
                                                                      r := \mathsf{false}
                                                                   };
                                                                   i := i + \overline{1}
                                  Postcondition \{r \leftrightarrow (\forall j \in [0, |a| - 1].a[j] \mod 2 = 0)\}
                                                                                          \{T\} \Rightarrow \{(\forall j \in [0, -1].a[j] \mod 2 = 0) \land 0 \le |a|\}
                                                                                          \{r \leftrightarrow (\forall j \in [0, -1]. a[j] \mod 2 = 0) \land 0 \le |a|\}
r := \mathsf{true}:
                                                                                          \{r \leftrightarrow (\forall j \in [0, i-1].a[j] \mod 2 = 0) \land i \leq |a|\}
\{\mathbf{inv}\ r \leftrightarrow (\forall j \in [0, i-1].a[j] \bmod 2 = 0) \land i \le |a|\}
while i < size(a) {
                                                                                          \{r \leftrightarrow (\forall j \in [0, i-1].a[j] \mod 2 = 0) \land i+1 \le |a| \land a[i] \mod 2 = 0\}
    if a[i] \mod 2 = 0 then {
                                                                                          \{r \leftrightarrow (\forall j \in [0, i].a[j] \mod 2 = 0) \land i + 1 \le |a|\}
        skip
                                                                                          \{r \leftrightarrow (\forall j \in [0, i-1].a[j] \mod 2 = 0) \land i+1 \le |a| \land a[i] \mod 2 = 0\}
    } else {
                                                                                          \{r \leftrightarrow (\forall j \in [0, i]. a[j] \mod 2 = 0) \land i + 1 \le |a|\}
       r := \mathsf{false}
                                                                                          \{r \leftrightarrow (\forall j \in [0, i].a[j] \mod 2 = 0) \land i + 1 \le |a|\}
    i := i + \overline{1}
                                                                                          \{r \leftrightarrow (\forall j \in [0, i-1].a[j] \mod 2 = 0) \land i \le |a|\}
                                                                                          \{r \leftrightarrow (\forall j \in [0, i-1].a[j] \mod 2 = 0) \land i \le |a| \land i \ge |a|\} \Rightarrow \{a \in [a], a \in [a]\}
```

4 Weakest Preconditions and Strongest Postconditions (24 points)

Calculate the following. Be sure to note whether we're asking for wp, wlp or sp. For full credit, show your work (this will also let us potentially give you partial credit if your final answer is wrong). You do not need to simplify your answers further once you have calculated a valid wp, wlp or sp.

(a) (6 points)
$$wlp(\text{if } x < \overline{0} \text{ then } \{x := x + \overline{3}\} \text{ else } \{y := y - \overline{3}\}, x < y)$$

$$(x < 0 \to wlp(x := x + \overline{3}, x < y)) \land (x \ge 0 \to wlp(y := y - \overline{3}, x < y))$$

$$= (x < 0 \to x + 3 < y) \land (x \ge 0 \to x < y - 3)$$

$$\begin{split} wlp(\text{if } y>z \text{ then } \{x:=x+\overline{3}\} \text{ else } \{y:=y-\overline{3}\}, x< y) \\ & (y>z \to wlp(x:=x+\overline{3}, x< y)) \land (y \le z \to wlp(y:=y-\overline{3}, x< y)) \\ & = (y>z \to x+3 < y) \land (y \le z \to x < y-3) \end{split}$$

(b) (6 points)
$$wlp(j := i + \overline{2}, (\forall i.\overline{0} \le i < j \rightarrow a[i] > j))$$

 $(\forall z.0 \le z < i + 2 \rightarrow a[z] > i + 2)$

$$\begin{aligned} wlp(j := \overline{3} * i, (\forall i. \overline{0} \le i < j \to a[i] = b[j])) \\ (\forall z. 0 \le z < 3 * i \to a[z] = b[3 * i]) \end{aligned}$$

(c) (6 points)
$$wp(j:=x/y, \forall j.x \neq 2j)$$

$$[x/y/j](\forall j.x \neq 2j) \land D(j:=x/y)$$

$$= (\forall j.x \neq 2j) \land D(x/y)$$

$$= (\forall j.x \neq 2j) \land y \neq 0$$

(d) (6 points)
$$sp(0 \le x < y \land x = x_0 \land y = y_0, \text{if } y = \overline{5} \text{ then } \{x := x * \overline{2}\} \text{ else } \{y := y * \overline{2}\})$$

$$sp(0 \le x < y \land y = 5 \land x = x_0 \land y = y_0, x := x * \overline{2})$$

$$\land sp(0 \le x < y \land y \ne 5 \land x = x_0 \land y = y_0, y := y * \overline{2})$$

$$= (0 \le x_0 < y \land y = 5 \land x = 2x_0 \land y = y_0)$$

$$\land (0 \le x < y_0 \land y_0 \ne 5 \land y = 2y_0 \land x = x_0)$$

$$\begin{split} sp(0 \le x < y \land x = x_0 \land y = y_0, \text{if } x = \overline{2} \text{ then } \{x := x * \overline{5}\} \text{ else } \{y := y * \overline{5}\}) \\ sp(0 \le x < y \land x = 2 \land x = x_0 \land y = y_0, x := x * \overline{5}) \\ \land sp(0 \le x < y \land x \ne 2 \land x = x_0 \land y = y_0, y := y * \overline{5}) \\ = & (0 \le x_0 < y \land x_0 = 2 \land x = 5x_0 \land y = y_0) \\ \land (0 \le x < y_0 \land x \ne 2 \land y = 5y_0 \land x = x_0) \end{split}$$

5 Proof Rules and Trees (14 points)

(a) (4 points) Your friend suggests that we could just use the following proof rule for assignments:

$$\vdash \{p\} \ x := e \ \{[e/x]p\}$$

But, this rule is wrong. Give an invalid Hoare triple that could be proven using this rule.

$${x > 5} \ x := 0 \ {0 > 5}$$

(b) (10 points) Prove the following Hoare triple by writing a **proof tree**.

$$\vdash \{T\} \ x := y; x := x - 1 \ \{x < y\}$$

Prove the following Hoare triple by writing a **proof tree**.

$$\vdash \{T\} \ x := y; x := x + 1 \ \{x > y\}$$

A Logic Laws

Name Description $p \wedge q \Rightarrow p, q$ Modus Ponens $(p \rightarrow q), p \Rightarrow q$ Conjunction $p, q \Rightarrow p \wedge q$

Disjunction $p \Rightarrow p \lor q, q \lor p$ Definition of Conditional $p \Rightarrow q \Leftrightarrow \neg p \lor q$

Definition of Biconditional $p \leftrightarrow q \Leftrightarrow (p \rightarrow q) \land (q \rightarrow p)$

Law of the Excluded Middle (LEM) $p \lor \neg p \Leftrightarrow T$ Double Negation Elimination (DNE) $p \Leftrightarrow \neg \neg p$ Contradiction $p \land \neg p \Leftrightarrow F$

Identity $p \wedge T \Rightarrow p, p \vee F \Rightarrow p$

 $\neg(p \land q) \Leftrightarrow \neg p \lor \neg q$

 $\neg(\exists x. p(x)) \Leftrightarrow \exists x. \neg p(x)$ $\neg(\exists x. p(x)) \Leftrightarrow \forall x. \neg p(x)$

Distributivity $\begin{array}{c} (p \wedge q) \vee r \Leftrightarrow (p \vee r) \wedge (q \vee r) \\ (p \vee q) \wedge r \Leftrightarrow (p \wedge r) \vee (q \wedge r) \end{array}$

Commutativity $p \land q \Leftrightarrow q \land p, \ p \lor q \Leftrightarrow q \lor p$

Associativity $(p \land q) \land r \Leftrightarrow p \land (q \land r), (p \lor q) \lor r \Leftrightarrow p \lor (q \lor r)$

 $p \land p \Leftrightarrow p, \ p \lor p \Leftrightarrow p$ Domination $p \land T \Leftrightarrow T, \ p \land F \Leftrightarrow F$

B Language Syntax and Semantics

Expression and Statement Syntax

$$\begin{array}{ll} e & ::= & \overline{n} \mid \mathsf{true} \mid \mathsf{false} \mid x \mid a[e] \mid e \ op \ e \mid e \ ? \ e : e \mid size(a) \\ s & ::= & \mathsf{skip} \mid s; s \mid x := e \mid a[e] := e \mid \mathsf{if} \ e \ \mathsf{then} \ \{s\} \ \mathsf{else} \ \{s\} \mid \mathsf{while} \ e \ \{s\} \end{array}$$

Expression Semantics

$$\begin{split} \sigma(\overline{n}) &= n \\ \sigma(\mathsf{true}) &= T \\ \sigma(\mathsf{false}) &= F \\ \sigma(x) &= \sigma(x) \\ \sigma(a[e]) &= (\sigma(a))[\sigma(e)] \quad \sigma(e) \neq \bot_e \land 0 \leq \sigma(e) < |\sigma(a)| \\ \sigma(a[e]) &= \bot_e \quad \text{otherwise} \\ \sigma(e_1 \ op \ e_2) &= \sigma(e_1) \ op \ \sigma(e_2) \quad \sigma(e_1) \neq \bot_e \neq \sigma(e_2) \\ \sigma(e_1 \ op \ e_2) &= \bot_e \quad \sigma(e_1) = \bot_e \lor \sigma(e_2) = \bot_e \\ \sigma(e_1 \ ? \ e_2 \ : \ e_3) &= \sigma(e_2) \quad \sigma(e_1) = T \\ \sigma(e_1 \ ? \ e_2 \ : \ e_3) &= \sigma(e_3) \quad \sigma(e_1) = F \\ \sigma(e_1 \ ? \ e_2 \ : \ e_3) &= \bot_e \quad \sigma(e_1) = \bot_e \\ \sigma(size(a)) &= |\sigma(a)| \end{split}$$

Statement Semantics - Small-step

(while $e\{s\}, \sigma$) \rightarrow (if e then $\{s; \text{while } e\{s\}\}$) else $\{\text{skip}\}, \sigma$)

Statement Semantics - Big-step

$$M(\operatorname{skip},\sigma) \ = \ \{\sigma\}$$

$$M(s_1;s_2,\sigma) \ = \ \bigcup_{\sigma' \in M(s_1,\sigma)} M(s_2,\sigma')$$

$$M(x := e,\sigma) \ = \ \{\sigma[x \mapsto \sigma(e)]\} \qquad \sigma(e) \neq \bot_e$$

$$M(x := e,\sigma) \ = \ \{\bot_e\} \qquad \sigma(e) = \bot_e$$

$$M(a[e_1] := e_2,\sigma) \ = \ \{\sigma[a[\sigma(e_1)] \mapsto \sigma(e_2)]\} \qquad \sigma(e_1) \neq \bot_e \land \sigma(e_2) \neq \bot_e \land 0 \leq \sigma(e_1) < |\sigma(a)|$$

$$M(a[e_1] := e_2,\sigma) \ = \ \{\bot_e\} \qquad \text{otherwise}$$

$$M(\text{if } e \text{ then } \{s_1\} \text{ else } \{s_2\},\sigma) \ = \ M(s_1,\sigma) \qquad \sigma(e) = T$$

$$M(\text{if } e \text{ then } \{s_1\} \text{ else } \{s_2\},\sigma) \ = \ M(s_2,\sigma) \qquad \sigma(e) = F$$

$$M(\text{if } e \text{ then } \{s_1\} \text{ else } \{s_2\},\sigma) \ = \ \{\bot_e\} \qquad \sigma(e) = \bot_e$$

$$M(\text{while } e \ \{s\},\sigma) \ = \ \{\bot_d\} \qquad \text{no such } k \text{ such that if } \sigma \in \Sigma_k \text{ , then } \sigma(e) = F$$

$$M(\text{while } e \ \{s\},\sigma) \ = \ \{\bot_d\} \qquad \text{no such } k \text{ exists}$$
where
$$\Sigma_0 \ = \ \{\sigma\}$$

$$\Sigma_k \ + 1 \ = \ \bigcup_{\sigma \in \Sigma_k} M(s,\sigma)$$

C Hoare Triple Inference Rules

D Calculating wp, wlp, sp for loop-free programs

 \oplus stands for any binary operator that doesn't itself cause errors, e.g., +, -...

E Simplifying Conditional Expressions

$$T ? e_1 : e_2 \implies e_1$$
 Always $F ? e_1 : e_2 \implies e_2$ Always $e_0 ? e : e \implies e$ Always $e_0 ? e_1 : e_2 \implies e_2$ If $e_0 \Rightarrow e_1 = e_2$ $e_0 ? e_1 : e_2 \implies e_1$ If $\neg e_0 \Rightarrow e_1 = e_2$

Let Θ be a unary operator, \oplus be a binary operator or relation and f be any function.

$$\Theta(e ? e_1 : e_2) \implies e ? \Theta(e_1) : \Theta(e_2)$$

$$(e ? e_1 : e_2) \oplus e_3 \implies e ? e_1 \oplus e_3 : e_2 \oplus e_3$$

$$a[e ? e_1 : e_2] \implies e ? a[e_1] : a[e_2]$$

$$f(e ? e_1 : e_2) \implies e ? f(e_1) : f(e_2)$$

If e, e_1 , and e_2 are Boolean expressions, then