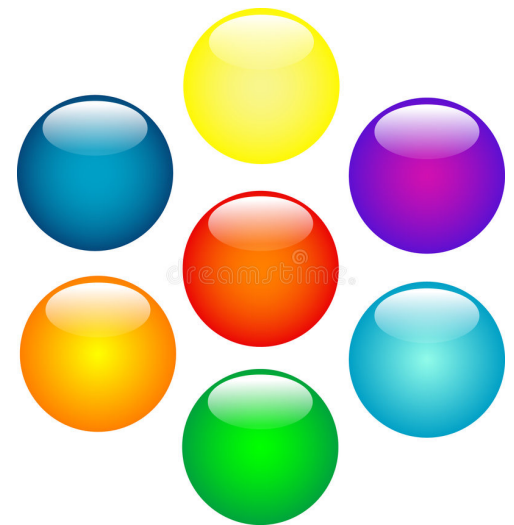




# Undecidability, part II

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# Warm-up question (4/15/22)

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What is your favorite walking area in Pullman (on or off campus)?

Send me your response by Canvas email.



# HW 7 is out today

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- Last homework set!
- Has 8 problems
  - 7 problems (mostly on decidability and reducibility)
    - 1 game-inspired problem
    - One of the problems involves learning about Cantor
  - 1 reflection question
- You have 10 days
  - Out: 4/15/22
  - Due: 4/25/22



# In last lecture, we saw...

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$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}$$

- $A_{TM}$  is Turing-Recognizable
  - Universal Turing Machine
- Countable and Uncountable sets
  - Correspondence
- The set of real numbers  $R$  is uncountable
  - The diagonalization method (Cantor)



# Today, we will...

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- Show that some languages are not Turing-recognizable
- Prove that  $A_{TM}$  is undecidable
- Exhibit an example of a language that is not Turing-recognizable



# Some languages are not Turing-recognizable

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- Underlying reason:
  - There are **uncountably many languages**
  - And only **countably many Turing Machines**
- To show that **the set of all TMs is countable**
  - First, observe that the set of all strings  $\Sigma^*$  is countable for any alphabet  $\Sigma$ 
    - With only finitely many strings of each length, we may form a list  $\Sigma^*$  by writing down all strings of length 0, length 1, length 2, and so on
  - Each Turing TM  $M$  has an encoding into a string  $\langle M \rangle$
- To show that the **set of all languages is uncountable** (well, we need another slide, or two; see next)



## The set of all languages is uncountable

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- First, observe that the set of all infinite binary sequences is uncountable
  - (An *infinite binary sequence* is an unending sequence of 0s and 1s)
  - Let  $B$  be the set of all infinite binary sequences
  - We can show that  $B$  is uncountable by using a proof by diagonalization similar to the one used to prove the set of real  $R$  is uncountable

# The set of all languages is uncountable

- Let  $L$  be the set of all languages over alphabet  $\Sigma$
- We show that  $L$  is uncountable by giving a correspondence with  $B$
- Let  $\Sigma^* = \{s_1, s_2, \dots\}$
- Each  $A \in L$  has a unique sequence in  $B$
- The  $i$ th bit of that sequence is a 1 if  $s_i \in A$  and is a 0 if  $s_i \notin A$
- This is called the **characteristic sequence** of  $A$
- For example, if  $A$  were the language of all strings starting with a 0 over the alphabet  $\{0,1\}$ , its characteristic sequence  $\chi_A$  would be

$$\begin{array}{l} \Sigma^* = \{ \epsilon, \quad 0, \quad 1, \quad 00, \quad 01, \quad 10, \quad 11, \quad 000, \quad 001, \quad \dots \} ; \\ A = \{ \quad \quad 0, \quad \quad \quad 00, \quad 01, \quad \quad \quad 000, \quad 001, \quad \dots \} ; \\ \chi_A = \quad 0 \quad 1 \quad 0 \quad 1 \quad 1 \quad 0 \quad 0 \quad 1 \quad 1 \quad \dots \quad . \end{array}$$





# The set of all languages is uncountable

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- The function  $f: L \rightarrow B$ , where  $f(A)$  equals the characteristic sequence of  $A$ , is one-to-one and onto (hence is a correspondence)
- Therefore, as  $B$  is uncountable,  $L$  is uncountable as well
- Thus we have shown that the set of all languages cannot be put into a correspondence with a set of all Turing machines
- We conclude that some languages are not recognized by any TM



# $A_{TM}$ is undecidable: proof

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- We assume that  $A_{TM}$  is decidable and obtain a contradiction
- Suppose  $H$  is a decider for  $A_{TM}$  and  $w$  is a string
- $H$  halts and accepts if  $M$  accepts  $w$ ;  
 $H$  halts and rejects if  $M$  fails to accept  $w$
- In other words, we assume that  $H$  is a TM, where

$$H(\langle M, w \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ accepts } w \\ \text{reject} & \text{if } M \text{ does not accept } w. \end{cases}$$



# $A_{TM}$ is undecidable: proof

- Now we construct a new TM  $D$  with  $H$  as a subroutine
- This new TM calls  $H$  to determine what  $M$  does when the input to  $M$  is its own description  $\langle M \rangle$
- Once  $D$  has determined this information, it does the opposite (i.e. it rejects if  $M$  accepts and accepts if  $M$  does not accept)

$D =$  “On input  $\langle M \rangle$ , where  $M$  is a TM:

1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
2. Output the opposite of what  $H$  outputs. That is, if  $H$  accepts, *reject*; and if  $H$  rejects, *accept*.”



# $A_{TM}$ is undecidable: proof

In summary, we have:

$$D(\langle M \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \text{reject} & \text{if } M \text{ accepts } \langle M \rangle. \end{cases}$$

What happens when we run **D** with its own description **<D>** as input?

In that case, we get:

$$D(\langle D \rangle) = \begin{cases} \text{accept} & \text{if } D \text{ does not accept } \langle D \rangle \\ \text{reject} & \text{if } D \text{ accepts } \langle D \rangle. \end{cases}$$

No matter what **D** does, it is forced to do the opposite, a contradiction. **Thus neither TM D nor TM H can exist.**



## Let us review the steps of the proof we just saw

- Assume that a TM  $H$  decides  $A_{TM}$
- Use  $H$  to build a TM  $D$  that takes an input  $\langle M \rangle$ , where  $D$  accepts its input  $\langle M \rangle$  exactly when  $\langle M \rangle$  does not accept its input  $\langle M \rangle$
- Finally, run  $D$  on itself
- Thus, the machine takes the following actions, with the last line being the contradiction.

- $H$  accepts  $\langle M, w \rangle$  exactly when  $M$  accepts  $w$ .
- $D$  rejects  $\langle M \rangle$  exactly when  $M$  accepts  $\langle M \rangle$ .
- $D$  rejects  $\langle D \rangle$  exactly when  $D$  accepts  $\langle D \rangle$ .



# But where is the diagonalization in the proof?

- Becomes apparent when we examine the tables of behavior for TMs H and D
- In these tables, we list all TMs down the rows, and all their descriptions across the columns
- The entries tell whether the machine in a given row accepts the input in a given column
- The entry is *accept* if the machine accepts the input, but is blank if it rejects or loops on that input
- Example:

	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\dots$
$M_1$	<i>accept</i>		<i>accept</i>		
$M_2$	<i>accept</i>	<i>accept</i>	<i>accept</i>	<i>accept</i>	
$M_3$					$\dots$
$M_4$	<i>accept</i>	<i>accept</i>			
$\vdots$			$\vdots$		



# But where the diagonalization in the proof?

---

Entry  $i,j$  is the value of  $H$  on input  $\langle M_i, \langle M_j \rangle \rangle$

	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\dots$
$M_1$	<i>accept</i>	<i>reject</i>	<i>accept</i>	<i>reject</i>	
$M_2$	<i>accept</i>	<i>accept</i>	<i>accept</i>	<i>accept</i>	$\dots$
$M_3$	<i>reject</i>	<i>reject</i>	<i>reject</i>	<i>reject</i>	
$M_4$	<i>accept</i>	<i>accept</i>	<i>reject</i>	<i>reject</i>	
$\vdots$			$\vdots$		



# But where the diagonalization in the proof?

If **D** is in the figure, a contradiction occurs at ?

	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\dots$	$\langle D \rangle$	$\dots$
$M_1$	<u>accept</u>	reject	accept	reject		accept	
$M_2$	accept	<u>accept</u>	accept	accept	$\dots$	accept	$\dots$
$M_3$	reject	reject	<u>reject</u>	reject		reject	
$M_4$	accept	accept	reject	<u>reject</u>		accept	
$\vdots$			$\vdots$		$\ddots$		
$D$	reject	reject	accept	accept		<u>?</u>	
$\vdots$			$\vdots$				$\ddots$





# A Turing-unrecognizable language

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## Definition:

A language is **co-Turing-recognizable** if it is the complement of a Turing-recognizable language.

## Theorem:

A language is decidable iff  
it is Turing-recognizable and co-Turing-recognizable.

IOW: a language is decidable exactly when **both it and its complement** are Turing-recognizable.



# Proof

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- First direction
  - If  $A$  is decidable, we can easily see that both  $A$  and its complement  $A^c$  are Turing-recognizable
    - Any decidable language is Turing-recognizable, and the complement of a decidable language also is decidable
- Second direction
  - If both  $A$  and its complement  $A^c$  are Turing-recognizable, we let  $M_1$  be the recognizer for  $A$  and  $M_2$  be the recognizer for  $A^c$ .
  - The following TM  $M$  is decider for  $A$



# Proof

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$M =$  “On input  $w$ :

1. Run both  $M_1$  and  $M_2$  on input  $w$  in parallel.
2. If  $M_1$  accepts, *accept*; if  $M_2$  accepts, *reject*.”

- Running the two machines in parallel means that  $M$  has two tapes, one for simulating  $M_1$  and another for simulating  $M_2$
- Next, we show that  $M$  decides  $A$ 
  - Every string  $w$  is either in  $A$  or  $A^c$
  - Therefore, either  $M_1$  or  $M_2$  must accept  $w$
  - Because  $M$  halts whenever  $M_1$  or  $M_2$  accepts,  $M$  always halts, and so it is a decider
  - Furthermore, it accepts all strings in  $A$  and rejects all strings not in  $A$ . So  $M$  is decider for  $A$ , and thus  $A$  is decidable.



## A Turing-unrecognizable language: exhibit

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### Corollary:

The complement of  $A_{TM}$  is not Turing-recognizable

### Proof:

- We know that  $A_{TM}$  is Turing-recognizable.
- If  $A_{TM}$  complement also were Turing-recognizable,  $A_{TM}$  would be decidable.
- But we know that  $A_{TM}$  is not decidable.
- So  $A_{TM}$  complement must not be Turing-recognizable.