

### Cpt S 450 Homework #4 Solutions

1. First, we need basics in Probability Theory: Assume that we have  $n$  coins to toss where, for each coin, it has probability  $p$  of falling into a bag and probability  $(1 - p)$  of falling out of the bag. We use  $r$  to denote the number of coins in the bag after all the  $n$  coins tossed. Then, this random variable has this distribution:  $\text{Prob}(r) = C_n^r \cdot p^r \cdot (1 - p)^{n-r}$ . This is called binomial distribution derived from Bernoulli formula. In this hw, we take  $p = .5$ . Notice also that, when  $n$  gets big, the distribution can be approximated by a normal distribution with mean  $\mu = \frac{n}{2}$  and standard deviation  $\sigma = \frac{\sqrt{n}}{2}$ . In particular,  $\text{Prob}(\mu)$  can be approximated by the density of the normal distribution at the mean which is  $\frac{1}{\sigma\sqrt{2\pi}}$ , and  $\text{Prob}(\mu - \sigma) = \text{Prob}(\mu + \sigma) = \frac{1}{\sigma\sqrt{2\pi}} \cdot e^{-\frac{1}{2}}$ .

There is a very important property about normal distributions. The probability of  $r$  staying close to the mean  $\mu$  is very high. That is,

$$\sum_{\mu-\sigma \leq r \leq \mu+\sigma} \text{Prob}(r) \geq \frac{2}{3}. \quad (1)$$

Now, we are ready to start with the solution.

Step1. Write a formula. We use  $T(n)$  to denote the average case complexity that we are looking for. Clearly,

$$T(n) = \sum_{0 \leq r \leq n} \text{Prob}(r) \cdot (T(r) + T(n-r) + O(r(n-r))).$$

Step2. Guess a solution:  $T(n) = O(n^2 \log n) = cn^2 \log n$ .

Step3. Check the solution.

We set  $X(r) := T(r) + T(n-r) + O(r(n-r))$ .

We divide all the  $r$ 's into two sets:  $B_2 = \{r : \mu - \sigma \leq r \leq \mu + \sigma\}$  and  $B_1$  is the rest of  $r$ 's. Clearly,

$$T(n) = \sum_{r \in B_1} \text{Prob}(r) \cdot X(r) + \sum_{r \in B_2} \text{Prob}(r) \cdot X(r).$$

First, notice that  $X(r)$  grows at least quadratic in  $r$  (on the left half of  $B_1$ ); hence we can observe the following:

$$X(\mu - \sigma) \geq \cancel{9} \cdot X\left(\frac{1}{6} \cdot (\mu - \sigma)\right).$$

From (1) and the fact that  $X$  is convex, we have,

$$T(n) \leq 2 \cdot X\left(\frac{1}{6} \cdot (\mu - \sigma)\right) + \sum_{r \in B_2} \text{Prob}(r) \cdot X(r). \quad (2)$$

Notice that,  $X(\mu - \sigma) \leq X(r)$  for every  $r \in B_2$ . It is not hard to show that

$$\begin{aligned} X\left(\frac{1}{6} \cdot (\mu - \sigma)\right) &\leq \frac{1}{6} \cdot \frac{2}{3} \cdot X(\mu - \sigma) \\ &\leq \frac{1}{6} \cdot \sum_{r \in B_2} \text{Prob}(r) \cdot X(r). \end{aligned}$$

Therefore, (2) can be written into:

$$T(n) \leq \left(1 + \frac{1}{3}\right) \cdot \sum_{r \in B_2} \text{Prob}(r) \cdot X(r). \quad (3)$$

From (3) and the fact that  $\text{Prob}(r) \leq \text{Prob}(\mu)$  for all  $r$ , we have,

$$T(n) \leq \left(1 + \frac{1}{3}\right) \cdot \frac{1}{\sigma \sqrt{2\pi}} \sum_{r \in B_2} X(r). \quad (4)$$

That is,

$$T(n) \leq \left(1 + \frac{1}{3}\right) \cdot \frac{1}{\sigma \sqrt{2\pi}} \sum_{r \in B_2} T(r) + T(n - r) + O(r(n - r)). \quad (5)$$

To make a little simplification, we obtain:

$$T(n) \leq \left(1 + \frac{1}{3}\right) \cdot \frac{1}{\sigma \sqrt{2\pi}} \cdot \left(2 \cdot \sum_{r \in B_2} T(r) + \sum_{r \in B_2} O(r(n - r))\right). \quad (6)$$

One can easily compute the  $\sum_{r \in B_2} O(r(n - r))$  which is  $O(n^{2.5})$  by taking integral etc. (Note: I always have a secret weapon in hand: to compute  $f(x + \delta) - f(x - \delta)$ , I use the approximation  $f'(x) \cdot 2\delta$ , when  $\delta$  is small.) So, now, (6) is changed into:

$$T(n) \leq \left(1 + \frac{1}{3}\right) \cdot \frac{1}{\sigma \sqrt{2\pi}} \cdot 2 \cdot \sum_{r \in B_2} T(r) + \frac{O(n^{2.5})}{\sigma}. \quad (7)$$

Recalling the definition of  $\sigma$ , we have

$$T(n) \leq \left(1 + \frac{1}{3}\right) \cdot \frac{1}{\sigma \sqrt{2\pi}} \cdot 2 \cdot \sum_{r \in B_2} T(r) + O(n^2). \quad (8)$$

You can  
use Taylor  
expansion  
to check it,  
by ignoring the  
second order terms.

Now, we really start the checking step. Plug-in the assumption that  $T(r) \leq cr^2 \log r$  and the definition of  $B_2$ , we have

$$\sum_{r \in B_2} T(r) \leq \sum_{\mu-\sigma \leq r \leq \mu+\sigma} cr^2 \log r. \quad (9)$$

The right hand side can be easily calculated using intergral and my secret weapon mentioned earlier:  $\sum_{\mu-\sigma \leq r \leq \mu+\sigma} cr^2 \log r$  can be arroximated by

$$\cancel{c \cdot \left(\frac{n}{2}\right)^3 \cdot \log \frac{n}{2} \cdot \frac{3 \cdot 2}{\sqrt{n}}} \quad \frac{1}{4} C \cdot n^2 \log(2n) = \sqrt{n}$$

Hence, (8) can now be written into:

$$T(n) \leq \left(1 + \frac{1}{3}\right) \cdot \frac{1}{\sigma \sqrt{2\pi}} \cdot 2 \cdot \cancel{c \cdot \left(\frac{n}{2}\right)^3 \cdot \log \frac{n}{2} \cdot \frac{3 \cdot 2}{\sqrt{n}}} + O(n^2). \quad (11)$$

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Using the definition of  $\sigma$  over (11) and one can easily show that, directly from (11),

$$T(n) \leq c \cdot n^2 \cdot \log n. \quad (12)$$

Hence, our guess is checked.

Finally, the average time complexity is  $O(n^2 \log n)$ .

2. When  $i = 2$ , the step in the for-loop takes time  $O(n^{1.59})$ . When  $i = 3$ , the step involves the product of a  $2n$ -length bit string and a  $n$ -length bit string, which takes time  $O((2n)^{1.59})$ . When  $i = 4$ , the step involves the product of a  $3n$ -length bit string and a  $n$ -length bit string, which takes time  $O((3n)^{1.59})$ , and so on. Hence, in worst cases, the naiveKaratsuba takes time,

$$\begin{aligned} & \sum_{k=1}^{n-1} O((kn)^{1.59}) \\ &= O(n^{1.59}) \sum_{k=1}^{n-1} k^{1.59} \text{ (use integral)} \\ &= O(n^{1.59} \cdot n^{2.59}) \\ &= O(n^{4.18}). \end{aligned}$$

3. We use  $BK(m, n)$  to denote the worst-case complexity of betterKaratsuba running on  $m$  number of  $n$ -bit strings. Clearly, the desired worst-case complexity in the problem is to compute  $BK(n, n)$ .

Notice that  $BK(n, n)$  should be bounded by the summation of

- the complexity of betterKaratsuba over the first group; i.e.,  $BK(\frac{n}{2}, n)$ ;
- the complexity of betterKaratsuba over the second group; i.e.,  $BK(\frac{n}{2}, n)$ ;

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$$\sum_{\mu-\sigma \leq r \leq \mu+\sigma} Cr^2 \log r$$

$$\leq C \cdot \int_{\mu-\sigma}^{\mu+\sigma} x^2 \log x \, dx$$

$$= C \cdot \left( \underbrace{\frac{x^3}{3} \cdot \log x - \frac{x^3}{9 \ln 2}}_{\text{Remainder as } F(x)} \right) \Big|_{\mu-\sigma}^{\mu+\sigma}$$

$$\approx C \cdot F'(\mu) \cdot 2\sigma$$

$\leq$

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$$\leq 2c \cdot \mu^2 \cdot \log \mu \cdot 6$$

Recalling that  $\mu = \frac{n}{2}$   
 $\sigma = \frac{\sqrt{n}}{2}$ .

$$= 2c \cdot \frac{n^2}{4} \cdot \log \frac{n}{2} \cdot \frac{\sqrt{n}}{2}$$
$$\leq \frac{1}{4} c \cdot n^2 \log n \cdot \sqrt{n}$$

- the complexity of Karatsuba over the results of the first and the second groups – noticing that each result in worst cases is a  $\frac{n}{2} \cdot n$ -length bit string. Hence, the complexity is  $O((\frac{n}{2} \cdot n)^{1.59})$ .

To sum up,  $BK(n, n) \leq 2 \cdot BK(\frac{n}{2}, n) + O((\frac{n}{2} \cdot n)^{1.59})$ . In above, let us put the constant in the  $O$  back, say some  $b$ . That is,

$$BK(n, n) \leq 2 \cdot BK(\frac{n}{2}, n) + b \cdot (\frac{n}{2} \cdot n)^{1.59}.$$

It is hard to guess a solution now. But let us transform  $BK(\cdot, n)$  into  $G(\cdot)$  (i.e., try to ignore the second parameter – this is because it does not change in both sides of the inequality above):

$$G(n) \leq 2 \cdot G(\frac{n}{2}) + b \cdot (\frac{n}{2} \cdot n)^{1.59}.$$

Now, we guess the solution for  $G(n) = O((n^2)^{1.59})$ . Let  $G(n) = c \cdot (n^2)^{1.59}$ . We have,

$$\begin{aligned} LHS &\leq \\ 2 \cdot c \cdot (\frac{n}{2})^{2 \cdot 1.59} + b \cdot (\frac{n}{2} \cdot n)^{1.59} &\leq \\ (\text{you need to verify, by taking } c \text{ large}) \\ c \cdot (n^2)^{1.59}. \end{aligned}$$

Hence, the worst case complexity of betterKaratsuba runs in  $O((n^2)^{1.59})$  (i.e.,  $O(n^{3.18})$ ).

4. Each cube, shown in Figure 1, in a 3-dimension space can be specified by a pair  $(d, l)$ ; the corner point  $d$  and its size  $l$

The corner point  $d$  is specified by its three coordinates  $(x, y, z)$ .

For the problem set-up, one can assume, without loss of generality, that all the airplanes are located in the cube whose corner point is the origin and whose size is  $n$ , we call this cube as the entire space  $S$ .

A cube is a *unit-cube* if

- the size  $l = 1$ ,
- each of  $x, y, z$  is an integer in  $\{0, 1, \dots, n\}$ ,
- the cube is contained in the entire space  $S$ .

A cube is a *half-cube* if

- the size  $l = .5$ ,
- each of  $x, y, z$  is either an integer  $k$ , or  $k + .5$ , for some  $k \in \{0, 1, \dots, n\}$ .

A cube is a *eight-cube* if

- the size  $l = 8$ ,
- each of  $x, y, z$  is an integer in  $\{0, 1, \dots, n\}$ .

There are exactly  $n^3$  unit-cubes and there are  $O(n^3)$  eight-cubes. Since there are only  $n^3$  airplanes, we have two cases to consider:

- there is exactly one airplane in each of the  $n^3$  unit-cubes. In this case, the closest pair can not stay farther than  $2 \cdot \sqrt{3} < 4$ .
- there are at least two airplanes in some unit-cube. In this case, the closest pair can not stay farther than  $\sqrt{3} < 4$ .

Hence, the closest pair can not stay farther than 4. This says that the closest pair can be found in one of the  $O(n^3)$  eight-cubes. How many airplanes in any eight-cube? Notice that there is at most one airplane in a half-cube. Hence, there are at most  $16^3$  airplanes in any eight-cube. Hence, identifying the closest pair in a eight-cube takes constant time. Since there are at most  $O(n^3)$  eight-cubes, the total time is bounded by  $O(n^3)$ .

5. For each string  $\alpha$ , one first compute a point  $(\#_a(\alpha), \#_b(\alpha))$  in the two-dimension plane. Hence, from the array  $A$  of  $n$  strings, one come up with  $n$  points. One can run the closestpair algorithm on the  $n$  points – this will give you the closest distance  $dist$  between two points in the  $n$  points. Then, the smallest difference of all the distinct pairs in  $A$  is simply  $dist^2$ . Up to now, the total time is the sum of  $O(nm)$  (to compute the points for each string in  $A$ ) and  $O(n \log n)$  (the time in running the closestpair algorithm).

Notice that, when  $m < \log n$ , there must be some  $i \neq j$  satisfying  $A[i] = A[j]$  (i.e., there are repeated elements in  $A$ ) and this will make the smallest difference of all the distinct pairs in  $A$  be 0. (no closestpair algorithm needs to be run; i.e., the term  $O(n \log n)$  need not be included in the sum)

Hence, the total running time is bounded by  $O(nm)$ .