

香港中文大學(深圳)
The Chinese University of Hong Kong

CSC3100 Data Structures Mid-term Exam

School of Data Science (SDS)
The Chinese University of Hong Kong, Shenzhen



Overview

- ▶ #1 and #2 **Asymptotic notation** (10 * 2)
- ▶ #3 linked list (remove duplicates & find middle node) (20)
- ▶ #4 postfix expression (stack) (15)
- ▶ #5 Traversal of binary trees (20)
- ▶ #6 BST (Determine BST & merge BSTs) (25)



First read these notes

Notes:

First, your bound should be as tight as possible (as if you are using Θ notation) when using use O notation.
Second, you could reuse the following definition for Node, Stack, Queue, and BST in your pseudocodes or codes. You could assume that the data to be stored is only a single integer (for Node, Stack, Queue) for simplicity.

```
class Node { int value;
            Node next; }

Class Stack{
    int pop();
    void push(int num); }

class Queue{
    int dequeue();
    void enqueue(int num); }

class BSTNode{
    BSTNode left;
    BSTNode right;
    int value;
    BSTNode parent; // (optional)
    void insert(int key);
    void delete(int key);
}
```

Last, hope that you could enjoy solving these problems.



#1 Asymptotic notation

1.[10 marks] For a log function $f(n) = \log_b n$ with a base $b>1$, state and prove whether $f(n) = \Theta(\log_2 n)$.

Hint: $\log_a n = \log_b n / \log_b a$; prove both $f(n) = O(\log_2 n)$ and $f(n) = \Omega(\log_2 n)$.

2. [10 marks] check and prove $g(n) = (0.1n^2 + n \log n) \cdot (n \log n + \sqrt{n}) = \Theta(n^3 \cdot \log n)$.



Asymptotic notation

For a log function $f(n) = \log_b n$ with a base $b > 1$, state and prove whether $f(n) = \Theta(\log_2 n)$. Hint: $\log_a n = \log_b n / \log_b a$; prove both $g(n) = O(n)$ and $g(n) = \Omega(n)$.

Proof:

Step 1: we can find $c = 1 / \log_2 b$, $n_0 = 1$ such that

$$f(n) = \log_b n \leq c \cdot \log_2 n \text{ for } n \geq 1$$

Therefore $f(n) = \log_b n = O(\log_2 n)$

Step 2: we can find $c = 1 / \log_2 b$, $n_0 = 1$ such that

$$f(n) = \log_b n \geq c \cdot \log_2 n \text{ for } n \geq 1$$

Therefore $f(n) = \log_b n = \Omega(\log_2 n)$

Based step 1 and 2, we conclude that $f(n) = \Theta(\log_2 n)$



Asymptotic notation

check and prove $g(n) = (0.1n^2 + n \log n) \cdot (n \log n + \sqrt{n}) = \Theta(n^3 \cdot \log n)$.

Proof:

$$g(n) = g_1(n) * g_2(n); g_1(n) = (0.1n^2 + n \log n) \text{ and } g_2(n) = (n \log n + \sqrt{n})$$

Step 1: $g_1(n) = O(n^2)$, $g_2(n) = O(n \log n)$,

$$g(n) = g_1(n) * g_2(n) = O(n^2 * n \log n) = O(n^3 \cdot \log n)$$

Step 2: $g_1(n) = \Omega(n^2)$, $g_2(n) = \Omega(n \log n)$,

$$g(n) = g_1(n) * g_2(n) = \Omega(n^2 * n \log n) = \Omega(n^3 \cdot \log n)$$

Based step 1 and 2, we conclude that $f(n) = \Theta(n^3 \cdot \log_2 n)$



Asymptotic notation

check and prove $g(n) = (0.1n^2 + n \log n) \cdot (n \log n + \sqrt{n}) = \Theta(n^3 \cdot \log n)$.

Proof:

Step 1: prove $g_1(n) = O(n^2)$

$$\begin{aligned} g_1(n) &= 0.1n^2 + n \log n \\ &\leq 1.1 n^2 \end{aligned}$$

we could find $c=1.1$ and $n_0=0$ such that $g_1(n) \leq cn^2$ when $n_0 < n$

prove $g_2(n) = O(cn \log n)$

$$\begin{aligned} g_1(n) &= n \log n + \sqrt{n} \\ &= \sqrt{n} (\sqrt{n} \log n + 1) \\ &\leq \sqrt{n} (\sqrt{n} \log n + \sqrt{n} \log n) \\ &\leq 2n \log n \end{aligned}$$

we could find $c=2$ and $n_0=e$ such that $g_2(n) \leq cn \log n$ when $n_0 < n$



Asymptotic notation

check and prove $g(n) = (0.1n^2 + n \log n) \cdot (n \log n + \sqrt{n}) = \Theta(n^3 \cdot \log n)$.

Proof:

Step 2: prove $g_1(n) = \Omega(n^2)$

$$\begin{aligned} g_1(n) &= 0.1n^2 + n \log n \\ &\geq 0.1 n^2 \end{aligned}$$

we could find $c=0.1$ and $n_0=0$ such that $g_1(n) \geq cn^2$ when $n_0 < n$

prove $g_2(n) = \Omega(cn \log n)$

$$\begin{aligned} g_1(n) &= n \log n + \sqrt{n} \\ &\geq n \log n \end{aligned}$$

we could find $c=1$ and $n_0=0$ such that $g_2(n) \geq cn \log n$ when $n_0 < n$



A typical error

題号
Question No.: 2

$$\begin{aligned}g(n) &= (0.1n^2 + n \lg n) \cdot (n \lg n + \sqrt{n}) \\&= 0.1n^3 \lg n + 0.1n^2 \cdot \sqrt{n} + n^2 (\lg n)^2 + n \cdot (\lg n) \cdot \sqrt{n} \\&= 0.1n^3 \lg n + 0.1n^{\frac{5}{2}} + n^2 (\lg n)^2 + n^{\frac{3}{2}} \lg n\end{aligned}$$

As we know that $(\lg n) = O(n)$

$$n^{\frac{1}{2}} = O(n)$$

so $0.1n^3 \lg(n) = O(n^3 \lg n)$

$$0.1n^{\frac{5}{2}} = 0.1n^2 \cdot n^{\frac{1}{2}} = O(n^3) = O(n^3 \lg n)$$
$$n^2 (\lg n)^2 = (n^2 \cdot \lg n) \cdot \lg n = O(n^3 \cdot \lg n)$$
$$n^{\frac{3}{2}} \lg n = n^2 \cdot n^{\frac{1}{2}} \lg n = O(n^2 \cdot n \cdot \lg n) = O(n^3 \lg n)$$

So combine them together

$$\begin{aligned}g(n) &= O(n^3 \lg n) + O(n^3 \lg n) + O(n^3 \lg n) + O(n^3 \lg n) \\&= O(n^3 \lg n)\end{aligned}$$

Prove big O only



An example (not that good)

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題號 Question No.: 2

$$\begin{aligned}g(n) &= (0.1n^2 + n \log n)(n \log n + \sqrt{n}) = f(n) \cdot h(n) \\&\Rightarrow \text{where } f(n) = 0.1n^2 + n \log n = \Theta(n^2) \\h(n) &= n \log n + \sqrt{n} = \Theta(n \log n) \\&\Rightarrow f(n) \cdot h(n) = \Theta(n^2 \cdot n \log n) = \Theta(n^3 \log n) \\&\Rightarrow g(n) = \Theta(n^3 \log n)\end{aligned}$$

It seems fine but not concrete as expected (-4 marks)



#3 Linked list

3. [20 marks] Linked list

(1) [10 marks] Given an unsorted list of nodes, design an algorithm with pseudocodes or codes to remove duplicates from the list. Keep only the first-appeared node for the nodes with a same value.

For example, for a linked list $12 \rightarrow 11 \rightarrow 12 \rightarrow 21 \rightarrow 41 \rightarrow 43 \rightarrow 21$, it returns a new one: $12 \rightarrow 11 \rightarrow 21 \rightarrow 41 \rightarrow 43$. Because the second occurrence of 12 and 21 should be removed. We could assume that all numbers stored in nodes are positive integers that are smaller than 1000.

(2) [10 marks] design an algorithm with pseudocodes or codes to find the middle node of a linked list using a **single** loop and $O(1)$ extra space. For example, return node 2 for a linked list $1 \rightarrow 2 \rightarrow 3$; return node 2 or 3 (both are okay, you could return any of them) for a linked list $1 \rightarrow 2 \rightarrow 3 \rightarrow 4$.



Remove duplicates $O(n^2)$

```
▶ Node ptr1 = null, ptr2 = null, dup = null;  
▶ ptr1 = head;  
▶ /* Pick elements one by one */  
▶ while (ptr1 != null && ptr1.next != null) {  
▶     ptr2 = ptr1;  
▶     /* Compare the picked element with rest  
▶        of the elements */  
▶     while (ptr2.next != null) {  
▶         /* If duplicate then delete it */  
▶         if (ptr1.data == ptr2.next.data) {  
▶             ptr2.next = ptr2.next.next;  
▶         }  
▶         else /* This is tricky */ {  
▶             ptr2 = ptr2.next;  
▶         }  
▶     }  
▶     ptr1 = ptr1.next;  
▶ }
```



Remove duplicates $O(n)$

```
▶ // Hash to store seen values
▶ boolean flag[] = new boolean[1000]
▶ /* Pick elements one by one */
▶ node current = head;
▶ node prev = null;
▶ while (current != null) {
    int curval = current.val;
    // If current value is seen before
    if (flag[curval]) {
        prev.next = current.next;
    }
    else {
        flag[curval] = true;
        prev = current;
    }
    current = current.next;
}
```



Find the middle node

(2) middle-node (head):

pointer = head

fastpointer = head

while (fast_pointer != NULL):

 fastpointer = fast-pointer.next

 if (fast_pointer.next != NULL):

 pointer = pointer.next

 fast_pointer = fast_pointer.next

return pointer.

(0)



Using two loops

```
    head = head.next; head = head.next;  
    return ret;  
  
12). node get-middle ( node head ) {  
    int len = 0; node ret = head;  
    while ( head != null ) head = head.next, len++;  
    for ( int i=1; i <= len/2; i++ ) head = head.next;  
    return ret;
```

答题不得写在红线外

Do not write your answer outside the red margins.



#4 STACK

4. [15 scores] Evaluate a postfix expression using a stack.

(1) [5 scores] briefly discuss the main idea using natural language. Plus, calculate the result for a given postfix expression: $545 * +5 /$.

(2) [10 scores] write pseudocodes or codes to evaluate a given postfix expression (input is a postfix expression and return the evaluated result if it is valid, otherwise return error information). Assume that the postfix expression contains only single-digit numeric operands, without any whitespace. Only binary operators are considered, including four typical operators (+, -, *, and /).



An example answer

Q. For a given postfix expression, we start from its first character. If it's a number, we push it into the stack and go to the next one. Until we meet an operator, we first pop the number from the stack as the number right of the operator, then we pop the left one. We do the calculation and push the result in the stack. Then repeat the steps until the expression is empty.
⇒ $5\ 4\ 5\ * +\ 5\ /$ is $(5 + 4 * 5) / 5 = 5$

Evaluate (postfix expression) let S be a stack
let P be the input postfix expression.
for i in P
 if i is a number
 PUSH(S, i)
 else
 right = POP(S)
 left = POP(S)
 if right is empty or left is empty
 return error
 if i == '+'
 new = left + right
 elif i == '-'
 new = left - right
 elif i == '*'
 new = left * right
 else
 new = left / right
 PUSH(S, new)

写在红线外
write your answer outside the red margins.



Evaluate a postfix expression

```
› static int evaluatePostfix(String exp){  
›     Stack<Integer> stack=new Stack<>();  
›     for(int i=0;i<exp.length();i++){  
›         char c=exp.charAt(i);  
›         if(Character.isDigit(c))  
›             stack.push(c - '0');  
›         else{  
›             int val1, val2; val1 = stack.  
›             if(stack != null) pop();  
›             else {print("wrong"), break;}  
›             if(stack != null) val2 = stack.pop();  
›             else {print("wrong"), break;}  
›             if(c == '+')  
›                 stack.push(val2+val1);  
›             else if(c == '-')  
›                 stack.push(val2- val1);  
›             else if(c == '/')  
›                 stack.push(val2/val1);  
›             else if(c == '*')  
›                 stack.push(val2*val1);  
›         } } }  
›         if(stack != null) return stack.pop();  
›         else {print("wrong");}  
›     }
```



#5 Binary tree

5. [20 marks] Binary tree and its traversal sequences.

(1) [4 marks] give the reconstructed binary tree (if it has; give all if it has many) based on the following *preorder* and *inorder* sequences.

Preorder sequence: { 1, 2, 4, 3, 5, 7, 8, 6 } *inorder sequence:* { 4, 2, 1, 7, 5, 8, 3, 6 }

(2) [6 marks] with which two traversal sequences it could get a unique reconstructed binary tree?

| cases | Traversal sequence 1 | Traversal sequence 2 | unique reconstruction |
|---------|----------------------|----------------------|-----------------------|
| Case #1 | <i>preorder</i> | <i>inorder</i> | ✓ or ✗ |
| Case #2 | <i>inorder</i> | <i>postorder</i> | ✓ or ✗ |
| Case #3 | <i>preorder</i> | <i>postorder</i> | ✓ or ✗- |

Fill the empty cells (in gray color) with either ✓ or ✗; the former ✓ (✗) indicates the reconstructed binary tree is (not) unique according to these two specified traversal sequences.

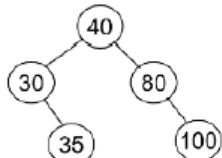
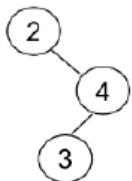
(3) [5 marks] If there exists one or many of the above three cases (#1, #2, and #3) where it cannot get a unique reconstructed binary tree, give an example to show different reconstructed binary trees under such a case. Otherwise, just specify it is always unique. You do not need to explain the reason.

(4) [5 marks] Given an array of numbers, design an algorithm with pseudocodes or codes to check if the given array can represent **preorder traversal** of an arbitrary **Binary Search Tree**. For example, the first two arrays are legal as a preorder traversal of a BST (as shown), while the last one is illegal as a preorder traversal of any BSTs. The algorithm should return True for the first two arrays and return False for the last array.

#1 {2, 4, 3}

#2 {40, 30, 35, 80, 100}

#3 {40, 30, 35, 20, 80, 100}



illegal preorder traversal



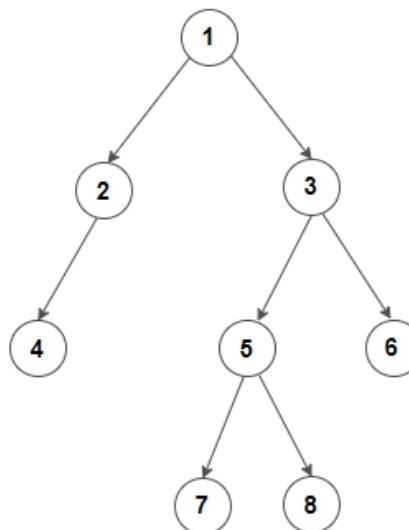
Reconstruction of binary trees

► Input:

Inorder Traversal : { 4, 2, 1, 7, 5, 8, 3, 6 }

Preorder Traversal: { 1, 2, 4, 3, 5, 7, 8, 6 }

Output: Below binary tree





Reconstruction of binary trees

| Cases | Traversal sequence 1 | Traversal sequence 2 | unique reconstruction |
|---------|----------------------|----------------------|-----------------------|
| Case #1 | Preorder | Inorder | ✓ |
| Case #2 | Inorder | Postorder | ✓ |
| Case #3 | preorder | Postorder | ✗ |



Reconstruction of binary trees

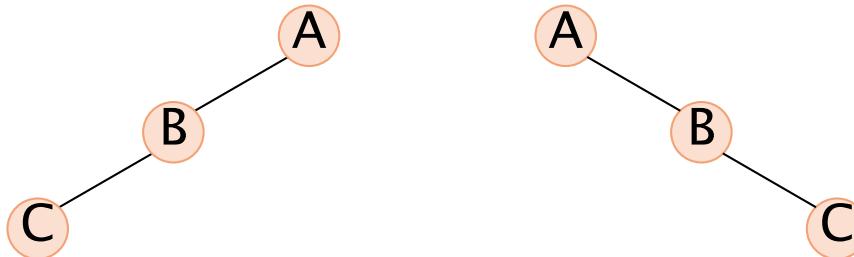
But: A binary tree may not be uniquely defined by its preorder and postorder sequences.

Example:

Preorder sequence: ABC

Postorder sequence: CBA

We can construct 2 different binary trees:





legal preorder traversal of BST

► Simple solution:

- 1) Find the first greater value on right side of current node.
- 2) Let the index of this node be j. Return true if following conditions hold. Else return false
 - (i) All values after the above found greater value are greater than current node.
 - (ii) Recursive calls for the subarrays $\text{pre}[i+1..j-1]$ and $\text{pre}[j+1..n-1]$ also return true.



legal preorder traversal of BST

```
▶ public class Main
▶ {
▶     static int preIndex = 0;
▶
▶     static void buildBST_helper(int n, int[] pre, int min, int max) //actually not
▶ building the tree
▶     {
▶         if (preIndex >= n)    return;
▶         if (min <= pre[preIndex] && pre[preIndex] <= max) {
▶             int rootData = pre[preIndex];
▶             preIndex++;
▶             buildBST_helper(n, pre, min, rootData); // build left subtree
▶             buildBST_helper(n, pre, rootData, max); // build right subtree
▶         }
▶     }
▶ }
```



legal preorder traversal of BST

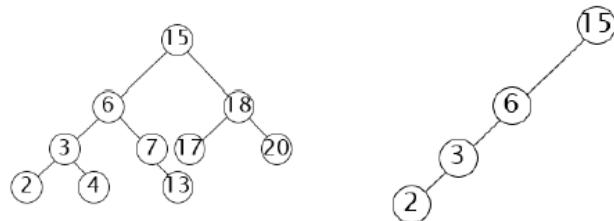
```
▶ static boolean canRepresentBST(int[] arr, int N)
▶ {
▶     // code here
▶     int min = Integer.MIN_VALUE, max = Integer.MAX_VALUE;
▶
▶     buildBST_helper(N, arr, min, max);
▶
▶     return preIndex == N;
▶ }
```



#5 Binary Search tree

6. [25 marks] Binary Search Tree (BST)

(1) [5 marks] explain the basic properties of BST and check if the following two trees are legal BSTs.

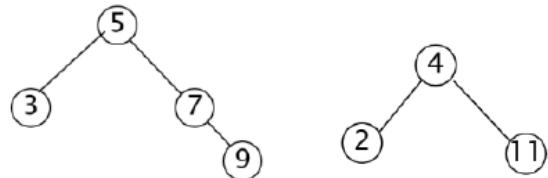


Tree 1

Tree 2

(2) [10 marks] write pseudocodes or codes to determine whether a given binary tree is a BST or not, and analyze the time complexity (e.g. using O).

(3). [10 marks] merge two binary search trees into a sorted linked list. For example, with two binary trees:



We could get the following linked list



Please write pseudocodes or codes and analyze the time complexity (e.g. using O).

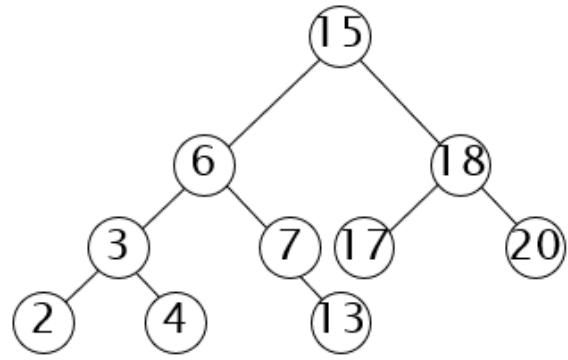


Binary search tree

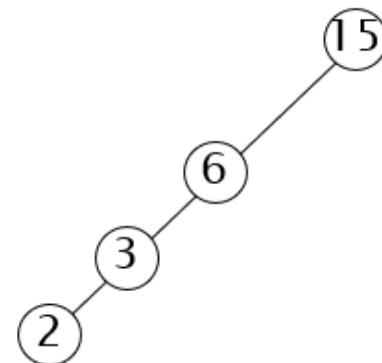
- BST has two properties:
- PRO 1: Binary tree property (same as for BST)
 - Each node has at most 2 children
- PRO 2: Order property (same as for BST)
 - For each node T
 - the key values in its left subtree are *smaller* than the key value of T
 - the key values in its right subtree are *larger* than the key value of T



Binary search tree



yes



yes



Binary Search Tree

```
bool isBST(BSTNode root){  
    if (root == NULL) return True  
  
    arr = inorder(root)  
  
    for i = 0 to n-2  
        if (arr[i] > arr[i+1])  
            return False  
  
    return True
```

$O(n)$



Another method

- ▶ `bool isBST(BSTNode root)`
`return isBST(root, -∞, ∞)`

- ▶ `bool isBST(BSTNode root, T min_value, T max_value)`
`if(root == NULL) return True`

`if(root.value > max_value || root.value < min_value) return False`

`return isBST(root.left, min_value, root.value)`
`&& isBST(root.right, root.value, max_value)`



An example answer

Tree 1 is legal, Tree 2 is legal

```
(2) Analyze (Node node) //First input root.  
if (Node == None) return true.  
else  
    if (!((node.left.value < node.value) & (node.right.value > node.value))) return false  
    else return (O(Analyze (node.left)) and (Analyze (node.right)))
```

This algorithm checks all nodes (iterate ~~all~~ nodes)
until it ~~encounter~~ encounter a false situation or finish checking
∴ Time complexity is $O(n)$. (n is the ~~amount~~ ^{amount} of nodes)

(3) Inorder (~~Node~~ node~~Ø~~, List ~~or~~ list)



Merge two binary search trees into a sorted linked list

- ▶ $A = \text{inorder}(\text{bst1})$ $O(n)$
- ▶ $B = \text{inorder}(\text{bst2})$ $O(m)$
- ▶ $C = \text{merge}(A, B)$ $O(m+n)$



Inorder traversal

```
▶ void inorder(BSTNode root, List<Integer> array) {  
▶   if (node == null)  
▶     return;  
▶   inorder(root.left(), array);  
▶   array.add(root.value);  
▶   inorder(root.right(), array);  
▶ }  
▶ void inorder(BSTNode root){  
▶   List<Integer> array= new ArrayList<Integer>();  
▶   inorder(root, array)  
▶   return array  
▶ }
```



Merge Two Sorted Arrays

```
▶ public static Node merge(int[] arr1, int[] arr2, int n1, int n2) {  
▶     int i = 0, j = 0, k = 0;  
▶     Node head = new Node();  
▶     Node last = head;  
▶     while (i < n1 && j < n2) {  
▶         if (arr1[i] < arr2[j])  
▶             last.next = new Node(arr1[i++]);  
▶         else  
▶             last.next = new Node(arr2[j++]);  
▶         last = last.next;  
▶     }  
▶     while (i < n1)  
▶         last.next = new Node(arr1[i++]); last = last.next;  
▶     while (j < n2)  
▶         last.next = new Node(arr2[j++]); last = last.next;  
▶     return head;  
▶ }
```



Other solution

- ▶ `seq1 = Inorder(bst1)`
- ▶ For number in `seq1`:
 - ▶ `bst2.insert(number)`
- ▶ `seq = Inorder(bst2)` (linked list)



Thanks!