

Organizing Files for Performance

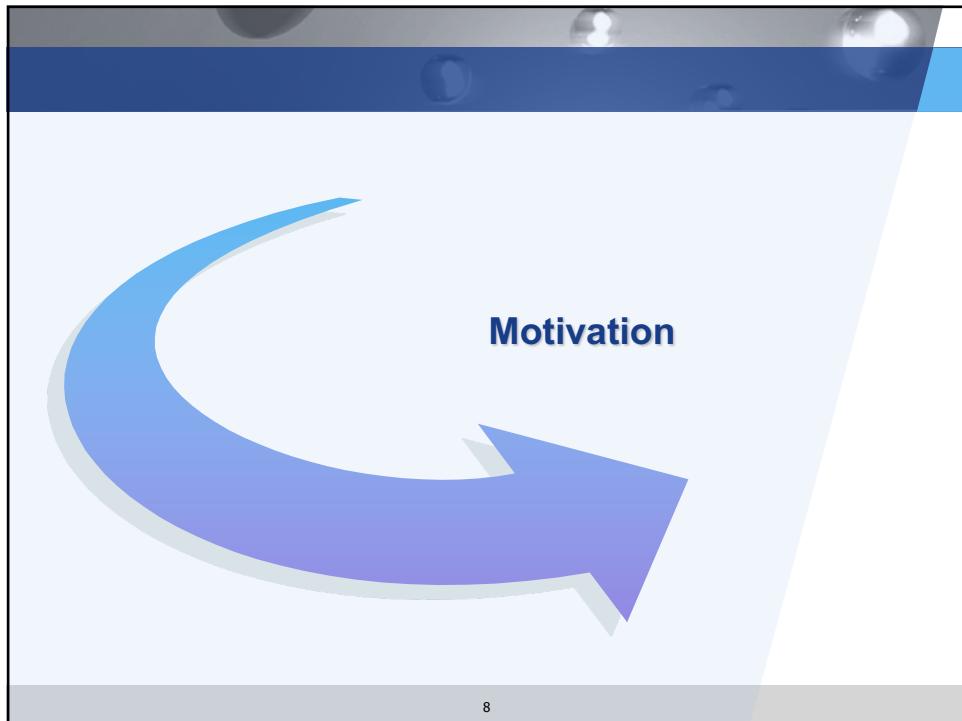
Lecture No. 5

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Motivation

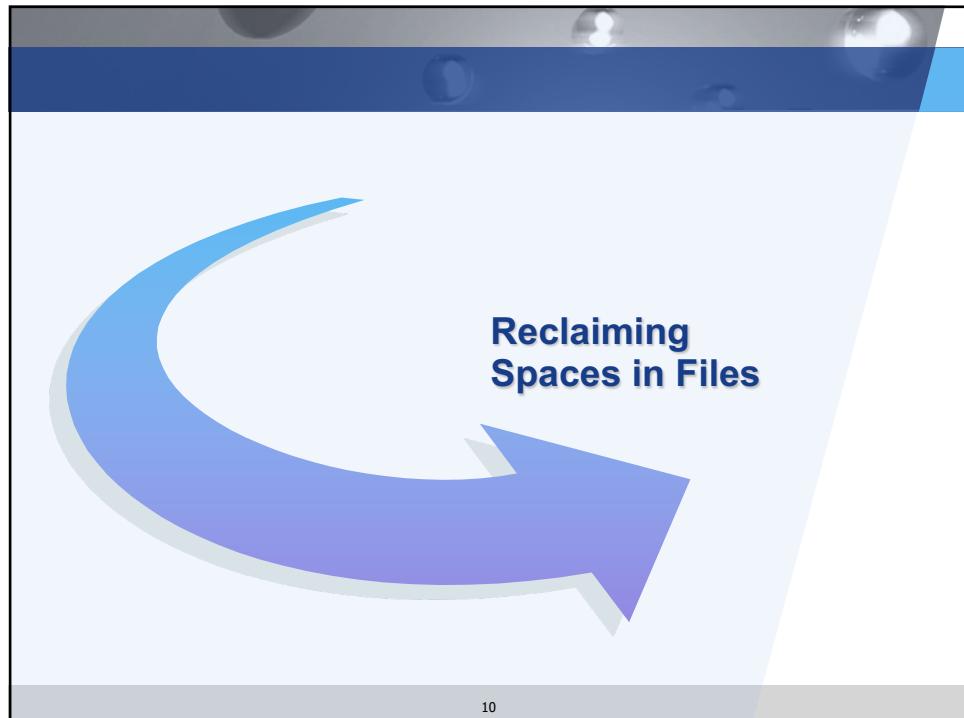
- ❖ Let us consider a **file of records** (fixed length or variable length)
- ❖ We **know** how to **create a file**, how to **add records** to a file, **modify** the content of a record. These actions can be performed physically by using the **various basic file operations** we have seen (open, close, seek, read, write)

What happens if records need to be deleted?

- ❖ There is **no basic operation** that allows us to **remove part of a file**. **Record deletion** should be taken care by the **program responsible for file organization**

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Strategies for Record Deletion

❖ How to **delete records** and **reuse** the **unused space**?

1. Record Deletion and Storage Compaction
2. Deleting Fixed-Length Records and Reclaiming Space Dynamically
3. Deleting Variable-Length Records

File of fixed length records

Deleted Record

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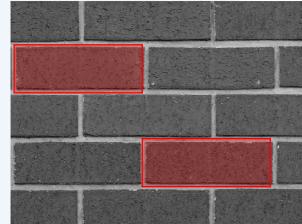
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Strategies for Record Deletion

1. Record Deletion and Storage Compaction

- ❖ Deletion can be done by marking a record as deleted
- ❖ Note that the space for the record is not released, but the program that manipulates the file must include logic that checks if record is deleted or not.
- ❖ After a lot of records have been deleted, a special program is used to squeeze the file—that is called **Storage Compaction**

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File of fixed length records

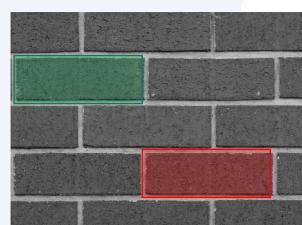
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Strategies for Record Deletion

2. Deleting Fixed-Length Records and Reclaiming Space Dynamically

- ❖ How to use the space of deleted records for storing records that are added later?
- ❖ Use an “AVAIL LIST”, a linked list of available records.
- ❖ A header record stores the beginning of the AVAIL LIST
- ❖ When a record is deleted, it is marked as deleted and inserted into the AVAIL LIST. The record space is in the same position as before, but it is logically placed into AVAIL LIST

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File of fixed length records

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Strategies for Record Deletion

2. Deleting Fixed-Length Records and Reclaiming Space Dynamically

The diagram illustrates a fixed-length record structure and an available list. At the top left is a 'List Header' with the text 'RRN=4'. To its right is a green rectangular box containing the text 'RRN=4'. A dashed blue arrow points from this box down to a table below. The table consists of six columns, each representing a field in a record. The fields are labeled: Simpson, Seinfeld, * -1, Cramer, * 2, and Edwards. Below each field is a numerical index: 0, 1, 2, 3, 4, and 5 respectively. The indices 0 through 4 are in blue, while index 5 is in red.

- ❖ If we add a record, it can go to the first available spot in the AVAIL LIST where RRN=4.

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Strategies for Record Deletion

3. Deleting Variable-Length Records

- ❖ Use an AVAIL LIST as before, but take care of the variable-length difficulties
- ❖ The records in AVAIL LIST must store its size as a field.
- ❖ RRN can not be used, but exact byte offset must be used
- ❖ Addition of records must find a large enough record in AVAIL LIST.

The diagram shows a 'File of variable length records' represented as a brick wall. The bricks are grey, and there are several red rectangular blocks placed on top of them at different offsets. These red blocks represent variable-length records stored in the file.

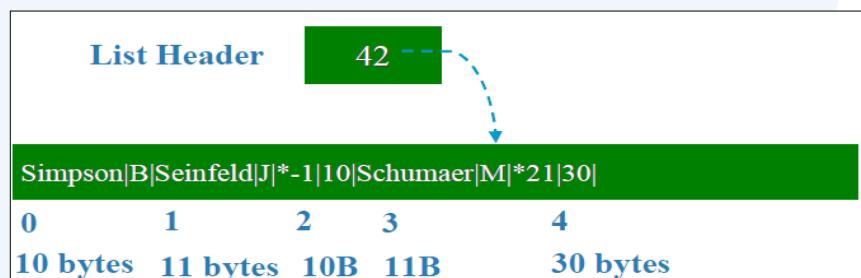
File of variable length records

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Strategies for Record Deletion

3. Deleting Variable-Length Records



- ❖ Addition of records must find a **large enough record** in AVAIL LIST.

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Placement Strategies for New Records

- ❖ There are **several strategies** for selecting a record from AVAIL LIST when **adding a new record**:

1. First-Fit Strategy

- ❖ AVAIL LIST is **not sorted by size**.
- ❖ **First record large enough** to hold new record is chosen.
- ❖ **Example:**
 - AVAIL LIST: size=10,size=50,size=22,size=60
 - record to be added: size=20
 - Which record from AVAIL LIST is used for the new record?

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Placement Strategies for New Records

2. Best-Fit Strategy

- ❖ AVAIL LIST is sorted by size.
- ❖ Smallest record large enough to hold new record is chosen.

- ❖ Example:
 - AVAIL LIST: size=10,size=22,size=50,size=60
 - record to be added: size=20
 - Which record from AVAIL LIST is used for the new record?

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Placement Strategies for New Records

3. Worst-Fit Strategy

- ❖ AVAIL LIST is sorted by decreasing order of size.
- ❖ Largest record is used for holding new record; unused space is placed again in AVAIL LIST.

- ❖ Example:
 - AVAIL LIST: size=60,size=50,size=22,size=10
 - record to be added: size=20
 - Which record from AVAIL LIST is used for the new record?

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How to choose between Strategies?

- ❖ We must consider two types of fragmentation within a file:
- ❖ **Internal Fragmentation**
 - wasted space within a record.
- ❖ **External Fragmentation**
 - space is available at AVAIL LIST, but it is so small that cannot be reused.

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Study This !

- ❖ For each of the following approaches, which type of fragmentation arises, and which placement strategy is more suitable?
- ❖ When the added record is smaller than the item taken from AVAIL LIST:
 - **Leave the space unused within record**
 - type of fragmentation: **internal**
 - suitable placement strategy: **best-fit**
 - **Return the unused space as a new available record to AVAIL LIST**
 - type of fragmentation: **external**
 - suitable placement strategy: **worst-fit**

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A presentation slide with a dark blue header and footer. The main content area is white. At the top, the title 'Physical File Storage' is displayed in a large, dark blue font. Below the title, there are two bullet points, each preceded by a blue diamond symbol. The first bullet point states: 'Each of your disks contains its own index file so that information about its contents is always available when the disk is in use.' The second bullet point states: 'When you save a file, the operating system looks at the index file to see which clusters are empty. It selects one of these empty clusters, records the file data there, and then revises the index file to include the new file name and its location.' At the bottom center of the slide, there is a small number '23'.

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Physical File Storage

- ❖ A file that does not fit into a single cluster spills over into the next contiguous (meaning adjacent) cluster, unless that cluster already contains data.
- ❖ When contiguous clusters are not available, the operating system stores parts of a file in noncontiguous (nonadjacent) clusters.



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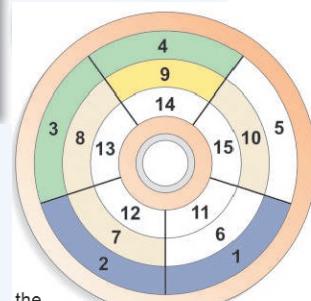
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Physical File Storage

Master File Table

File	Cluster	Comment
MFT	1	Reserved for MFT files
DISK USE	2	Part of MFT that contains a list of empty sectors
Bio.txt	3, 4	Bio.txt file stored in clusters 3 and 4
Jordan.wks	7, 8, 10	Jordan.wks file stored noncontiguously in clusters 7, 8, and 10
Pick.bmp	9	Pick.bmp file stored in cluster 9

Bio.txt is stored in contiguous clusters.
 Jordan.wks is stored in noncontiguous clusters.
 A computer locates and displays the Jordan.wks file by looking for its name in the Master File Table.



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What happens when a file is deleted

- ❖ When a **file** is **deleted**, the operating system simply **changes** the **status** of the **file's clusters** to “**empty**” and **removes** the **file name** from the **index file**.
- ❖ The **file name** no longer appears in a **directory listing**, but the **file's data** remains in the **clusters** until a new file is stored there.
- ❖ It is possible to purchase **utilities** that **recover** a lot of this supposedly deleted data.



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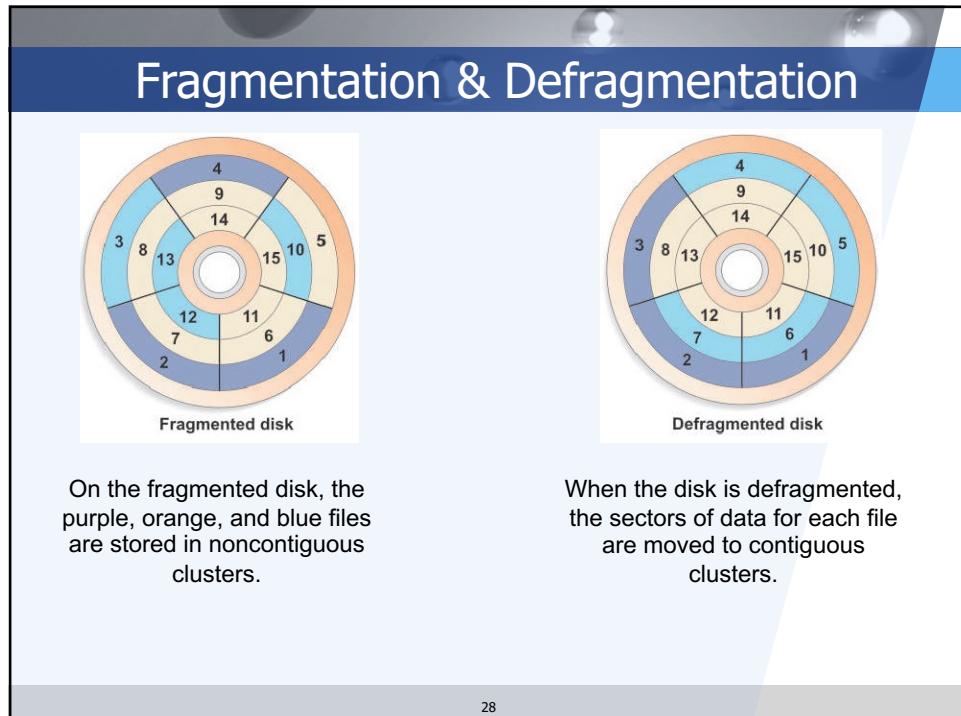
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Fragmentation & Defragmentation

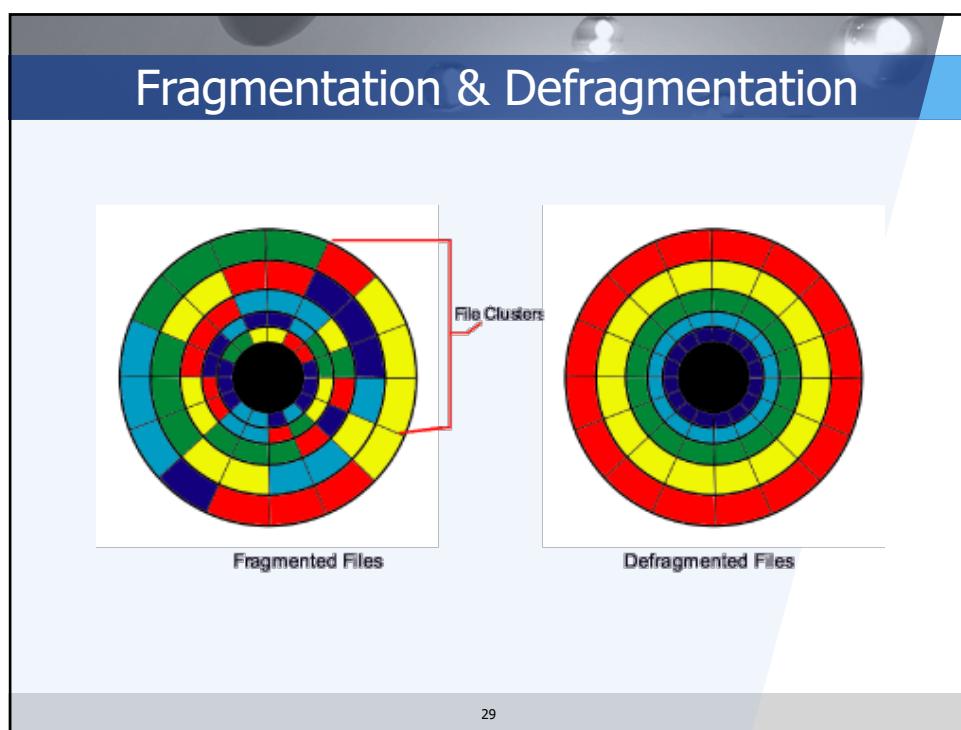
- ❖ As a computer writes files on a disk, **parts of files** tend to become **scattered all over the disk**.
- ❖ These **fragmented files** are stored in **noncontiguous clusters**. Drive performance generally **declines** as the read-write heads move back and forth to locate the clusters containing the parts of a file.
- ❖ To regain peak performance, you can use a **defragmentation utility**, such as Windows Disk Defragmenter, to **rearrange the files on a disk** so that they are stored in **contiguous clusters**

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