Ex2_Associate_Rule_Learning

Markus Köfler

2023-04-12

The second exercise is about association rule learning. If not stated otherwise, use "retail2.csv" as data set. As always, you can use your own code. Otherwise, you can use the R code/functions provided on Moodle. **Association rule learning** is a popular, unsupervised learning technique for discovering interesting relations between variables based on transactions involving them in large databases. As it is often used for identifying shopping patterns, it is also known as market basket analysis.

```
# local folder / working directory
# retail <- read.csv("retail2.csv", sep=";")

# GitHub Link
retail <- read.csv(
   "https://raw.githubusercontent.com/MarkusStefan/Data_Analytics/main/Exercise2/retail2.csv?token=GHSAT0AAAAAACBJAQ7CZWQDFSY
IQT3UXJVGZBV267Q",
   sep=';')
retail[1:10 ,]</pre>
```

(2.1)

In this first question you should practice on calculating support:

(a)

Calculate support for all sets consisting of one item, e.g. bread. Find two items with the highest/lowest support.

with frq(A) as the number of occurrences from the total number of transactions frq(T)=T:

$$supp(A) = rac{frq(A)}{T}$$

For one single set

```
# nrow counts the number of rows
frqA <- retail %>% subset(Bread==1) %>% nrow()
T. <- nrow(retail)
suppA <- frqA/T.
suppA</pre>
```

```
## [1] 0.67
```

```
retail[, 2 == 1]
```

```
## data frame with 0 columns and 100 rows
```

packing it into a loop to get the highest support value

```
highest_supp <- c(0, "")</pre>
T. <- nrow(retail)</pre>
for (i in colnames(retail) ) {
  #frqA <- retail %>% subset(i=1) %>% nrow()
  if (i == "id"){
    next
  #frqA <- retail[, i == 1] %>% nrow()
  frqA <- (retail[[i]]==1) %>% sum()
  suppA <- frqA/T.</pre>
  cat(suppA*100, "% (", i, ")\n", sep="" )
  if (suppA > highest_supp[1]) {
    highest_supp[1] <- suppA
    highest_supp[2] <- i
  }
  else {
    next
}
```

```
## 67% (Bread)
## 60% (Yogurt)
## 42% (Egg)
## 22% (Dog_Food)
## 15% (Flowers)
```

```
cat("\nhighest support:\t", (as.numeric(highest_supp[1])*100),
    "% (", highest_supp[2],")", sep="")
```

```
## highest support: 67% (Bread)
```

(b)

Calculate support for all sets consisting of two items, e.g. (bread,yogurt). Find two item sets with the highest/lowest support.

```
## The support for Bread and Yogurt is 55%
## The support for Bread and Egg is 27%
## The support for Bread and Dog_Food is 12%
## The support for Bread and Flowers is 6%
## The support for Yogurt and Egg is 22%
## The support for Yogurt and Dog_Food is 9%
## The support for Yogurt and Flowers is 4%
## The support for Egg and Dog_Food is 7%
## The support for Egg and Flowers is 3%
## The support for Dog_Food and Flowers is 2%
```

(2.2)

Calculate the support, the confidence, and the lift for all rules with yogurt as LHS and one item as RHS.

Support: It indicates how frequently an item set appears in the data set.

```
## The support for Yogurt and Bread is 55 %
## The support for Yogurt and Egg is 22 %
## The support for Yogurt and Dog_Food is 9 %
## The support for Yogurt and Flowers is 4 %
```

Confidence: It says how likely item set B is purchased when item set A is purchased

$$conf(A o B) = rac{supp(A,B)}{supp(A)}$$

with $supp(A,B)=rac{frq(A,B)}{frq(T)}$ and $supp(A)=rac{frq(A)}{frq(T)}$ it holds that:

$$conf(A
ightarrow B) = rac{supp(A,B)}{supp(A)} = rac{rac{frq(A,B)}{frq(T)}}{rac{frq(A)}{frq(T)}} = rac{frq(A,B)}{frq(A)}$$

```
## The confidence for Yogurt and Bread is 91.67 %
## The confidence for Yogurt and Egg is 36.67 %
## The confidence for Yogurt and Dog_Food is 15 %
## The confidence for Yogurt and Flowers is 6.67 %
```

Lift: It says how likely item set B is purchased when item set A is purchased while controlling for how popular item set B is.

Lift is the ratio of the observed support to that expected if A and B were independent or equivalently the ratio of the confidence of the rule to the expected confidence of the RHS item set by independence.

$$lift(A
ightarrow B) = rac{conf(A,B)}{supp(B)} = rac{supp(A,B)}{supp(A) imes supp(B)}$$

Note:

$$lift(A
ightarrow B) == lift(B
ightarrow A)$$

```
## The lift for Yogurt and Bread is 1.3682
## The lift for Yogurt and Egg is 0.873
## The lift for Yogurt and Dog_Food is 0.6818
## The lift for Yogurt and Flowers is 0.4444
```

(2.3)

Calculate the support, the confidence, and the lift for all rules with one item as LHS and one item as RHS, e.g. bread \rightarrow yogurt and yogurt \rightarrow bread. Identify three interesting rules.

Note that if:

- ullet lift=1, the occurrence of A and B are independent of each other
- ullet lift < 1, the occurrence of A has a negative effect on the occurrence of B, and vice versa
- $\ lift>1$, the two occurrences of A and B depend on each other

```
bi_sets <- list(c("Bread", "Yogurt"), c("Bread", "Egg"),</pre>
                c("Bread", "Dog_Food"), c("Bread", "Flowers"),
                 c("Yogurt", "Egg"), c("Yogurt", "Dog_Food"),
                 c("Yogurt", "Flowers"), c("Egg", "Dog_Food"),
                c("Egg", "Flowers"), c("Dog_Food", "Flowers"))
T. <- nrow(retail)</pre>
for (pair in bi_sets) {
  A <- pair[1]
  B <- pair[2]
  suppAB \leftarrow sum(retail[[A]] == 1 \& retail[[B]] == 1) / T.
  suppA <- sum(retail[[A]] == 1)/T.; suppB <- sum(retail[[B]] == 1)/T.;</pre>
  confAB <- suppAB / suppA; confBA <- suppAB / suppB;</pre>
  liftAB <- confAB / suppB; liftBA <- confBA / suppA;</pre>
  liftAB <- suppAB / (suppA*suppB); liftBA <- suppAB / (suppB*suppA)</pre>
  cat("\nsupp(", A, ",", B, ") \t=\t", suppAB*100, "%\n")
  cat("conf(", A, "->", B,")\t=\t",confAB*100,
       '%\nconf(", B,"->", A, ")\t=\t", confBA*100,'
  cat("lift(", A, "->", B,")\t=\t",liftAB,
      "\nlift(", B,"->", A, ")\t=\t", liftBA,"\n")
```

```
## supp( Bread , Yogurt ) =
                               55 %
## conf( Bread -> Yogurt ) =
                               82.08955 %
## conf( Yogurt -> Bread ) =
                               91.66667 %
## lift( Bread -> Yogurt ) = 1.368159
## lift( Yogurt -> Bread ) =
                               1.368159
                           27 %
## supp( Bread , Egg ) =
## conf( Bread -> Egg ) =
                          40.29851 %
## conf( Egg -> Bread ) =
                           64.28571 %
## lift( Bread -> Egg ) =
                           0.9594883
## lift( Egg -> Bread ) = 0.9594883
## supp( Bread , Dog_Food )
                                  12 %
## conf( Bread -> Dog_Food ) =
                                  17.91045 %
## conf( Dog_Food -> Bread ) =
                                   54.54545 %
## lift( Bread -> Dog_Food ) =
                                   0.8141113
                                   0.8141113
## lift( Dog_Food -> Bread ) =
## supp( Bread , Flowers ) =
                               6 %
## conf( Bread -> Flowers ) =
                               8.955224 %
## conf( Flowers -> Bread ) =
                               40 %
## lift( Bread -> Flowers ) =
                               0.5970149
## lift( Flowers -> Bread ) =
                               0.5970149
##
                               22 %
## supp( Yogurt , Egg )
## conf( Yogurt -> Egg )
                               36.66667 %
## conf( Egg -> Yogurt )
                               52.38095 %
## lift( Yogurt -> Egg )
                               0.8730159
## lift( Egg -> Yogurt ) =
                               0.8730159
##
## supp( Yogurt , Dog_Food )
                                   9 %
## conf( Yogurt -> Dog_Food )
                                   15 %
## conf( Dog_Food -> Yogurt )
                                   40.90909 %
## lift( Yogurt -> Dog_Food ) =
                                   0.6818182
## lift( Dog_Food -> Yogurt ) =
                                   0.6818182
##
## supp( Yogurt , Flowers )
                                   4 %
## conf( Yogurt -> Flowers ) =
                                   6.666667 %
## conf( Flowers -> Yogurt ) =
                                   26.66667 %
## lift( Yogurt -> Flowers )
                                   0.444444
## lift( Flowers -> Yogurt )
                                   0.444444
## supp( Egg , Dog_Food ) =
## conf( Egg -> Dog_Food ) =
                               16.66667 %
## conf( Dog_Food -> Egg ) =
                               31.81818 %
## lift( Egg -> Dog_Food ) =
                               0.7575758
## lift( Dog_Food -> Egg ) =
                               0.7575758
##
## supp( Egg , Flowers ) =
                               3 %
                               7.142857 %
## conf( Egg -> Flowers ) =
## conf( Flowers -> Egg ) =
## lift( Egg -> Flowers ) =
                               0.4761905
## lift( Flowers -> Egg ) =
                               0.4761905
## supp( Dog_Food , Flowers ) =
                                   2 %
## conf( Dog_Food -> Flowers ) =
                                   9.090909 %
## conf( Flowers -> Dog_Food ) =
                                  13.33333 %
## lift( Dog_Food -> Flowers ) =
                                   0.6060606
## lift( Flowers -> Dog_Food ) =
                                   0.6060606
```

Interpretation:

- The most frequently bought bundle is {Bread, Yoghurt} with 55%, followed by {Yoghurt, Egg} with 22%.
- Surprisingly, the likelihood of "Yoghurt" being bought is 40% if "Dog_Food" is bought. Attention to the interpretation: If the good of the LHS is purchased very frequently, the confidence will most likely be very high, despite the weak relationship. Lift is the better measure to overcome this issue
- Looking at the patterns, we may draw the conclusion that products and foods which are regarded as staples of the average households consumption are, of course, frequently bought together. This does not imply that they are necessarily complements to each other.
- The results suggest, that only the item set {Bread -> Yoghurt} (and vice versa yields the same result) are positively correlated, for all other item sets, they appear to negatively influence each other in terms of being purchased together. Hence, "Bread" and "Yoghurt" seem to complement each other.

(2.4)

Calculate the support, the confidence, and the lift for all rules with **(bread, egg)** as LHS and one item as RHS, e.g. (bread, egg) → yogurt.

```
# Subset data to include only rows where bread and egg are both 1
sub_retail <- retail[retail$Bread == 1 & retail$Egg == 1,]

T. <- nrow(sub_retail)
# excluding id, bread and egg columns
for(i in colnames(sub_retail)[-c(1,2,4)]) {
# Calculate support
suppA <- sum(sub_retail[,c("Bread","Egg",i)] == 1) / T.

# Calculate confidence
confAB <- sum(sub_retail[,c("Bread","Egg",i)] == 1) / sum(sub_retail$Bread == 1 & sub_retail$Egg == 1)

# Calculate Lift
liftAB <- suppA / ((sum(sub_retail$Bread == 1 & sub_retail$Egg == 1) / T.) * (sum(sub_retail[,i] == 1) / T.))

# Print results
cat(sprintf("{(%s, %s) -> %s}: support=%.2f, confidence=%.2f, lift=%.2f\n", "Bread", "Egg", i, suppA, confAB, liftAB))
}
```

```
## {(Bread, Egg) -> Yogurt}: support=2.81, confidence=2.81, lift=3.45
## {(Bread, Egg) -> Dog_Food}: support=2.15, confidence=2.15, lift=14.50
## {(Bread, Egg) -> Flowers}: support=2.04, confidence=2.04, lift=55.00
```

(2.5)

Apriori algorithm

- Apriori algorithm is the most popular algorithm used for association rule mining. The objective is to find subsets that are common to at least a minimum number of the item sets. A frequent item set is an item set whose support is greater than or equal to minimum support threshold.
- The Apriori property is a downward closure property, which means that all nonempty subsets of a frequent item set must also be frequent.
- Apriori algorithm uses a bottom-up approach; and the size of frequent subsets is gradually increased, from one-item subsets to two-item subsets, then three-item subsets, and so on. Groups of candidates at each level are tested against the data for minimum support.

(a)

Use "Groceries" data set. Calculate all interesting (non-empty) rules with minimal support = 0.01 and minimal confidence = 0.4. Identify three rules with the highest support, three rules with the highest confidence and three rules with the highest lift.

```
library(arules)
library(datasets)

data("Groceries")

Groceries
```

```
## transactions in sparse format with
## 9835 transactions (rows) and
## 169 items (columns)
```

```
## Apriori
## Parameter specification:
   confidence minval smax arem aval originalSupport maxtime support minlen
##
##
          0.4 0.1
                      1 none FALSE
                                              TRUE
                                                          5
                                                               0.01
##
   maxlen target ext
##
       10 rules TRUE
## Algorithmic control:
## filter tree heap memopt load sort verbose
       0.1 TRUE TRUE FALSE TRUE
## Absolute minimum support count: 98
##
## set item appearances ...[0 item(s)] done [0.00s].
## set transactions ...[169 item(s), 9835 transaction(s)] done [0.00s].
## sorting and recoding items ... [88 item(s)] done [0.00s].
## creating transaction tree ... done [0.00s].
## checking subsets of size 1 2 3 4 done [0.00s].
## writing ... [62 rule(s)] done [0.00s].
## creating S4 object ... done [0.00s].
```

Interpretation:

This means that 5.6% of all transactions contain both "yoghurt" and "whole milk", and that among those transactions, if "yoghurt" is purchased, the likelihood for "whole milk" to be purchased is 40.2% (so, of all purchases containing "yoghurt", 40.2% of them also contain "whole milk"). The lift value of 1.57 indicates that the presence of "yoghurt" and "whole milk" in a transaction is 1.57 times more likely than would be expected if the two items were independent.

Data Mining:

- The top 3 bundles with the highest support value, consist of ~more healthy food choices, which is nice to see. To be more precise, they consist of dairy and vegetable products.
- support rankings are comprised of sets of 2 items; the confidence and lift rankings consist of item sets of 2 items, whereas the LHS is a tuple
 of 2 items
- the confidence ranking suggests, that if products from one category are bought, then there is a slightly higher than 58% chance that other items from the same product category are bought. E.g.: 58% confidence for other vegetables to be purchased in conjunction to citrus fruits and root vegetables (category: fruits & vegetables); similarly, we can be confident that 58% of purchases including curd and yoghurt also include whole milk (category: dairy).
- The bundles with the highest confidence have (roughly) the highest lift values, indicating a (strongly) positive relationship.
- The highest lift values are comprised of "other vegetables" on the RHS.

(b)

Use "Groceries" data set. Calculate all interesting (non-empty) rules with minimal support = 0.005 and minimal confidence = 0.3. Identify three rules with the highest support, three rules with the highest confidence and three rules with the highest lift.

```
## Apriori
##
## Parameter specification:
   confidence minval smax arem aval originalSupport maxtime support minlen
##
          0.3 0.1 1 none FALSE
                                        TRUE
                                                        5 0.005
##
##
   maxlen target ext
       10 rules TRUE
##
##
## Algorithmic control:
## filter tree heap memopt load sort verbose
##
      0.1 TRUE TRUE FALSE TRUE 2 TRUE
##
## Absolute minimum support count: 49
##
## set item appearances ...[0 item(s)] done [0.00s].
## set transactions ...[169 item(s), 9835 transaction(s)] done [0.00s].
## sorting and recoding items ... [120 item(s)] done [0.00s].
## creating transaction tree ... done [0.00s].
## checking subsets of size 1 2 3 4 done [0.00s].
## writing ... [482 rule(s)] done [0.00s].
## creating S4 object ... done [0.00s].
```

```
##
       lhs
                                                                       coverage
                               rhs
                                                support confidence
                                                                                    lift count
## [1] {tropical fruit,
        root vegetables,
##
##
        yogurt}
                            => {whole milk} 0.005693950
                                                              0.700 0.008134215 2.739554
## [2] {pip fruit,
##
        root vegetables,
##
        other vegetables} => {whole milk} 0.005490595
                                                              0.675 0.008134215 2.641713
                                                                                            54
## [3] {butter,
        whipped/sour cream} => {whole milk} 0.006710727
                                                              0.660 0.010167768 2.583008
```

```
##
                                                                                      lift count
       lhs
                              rhs
                                                    support confidence coverage
## [1] {citrus fruit,
##
        other vegetables,
                           => {root vegetables} 0.005795628  0.4453125  0.01301474  4.085493
##
        whole milk}
                                                                                               57
## [2] {herbs}
                           => {root vegetables} 0.007015760 0.4312500 0.01626843 3.956477
                                                                                               69
## [3] {citrus fruit,
                           => {tropical fruit} 0.005592272 0.4044118 0.01382816 3.854060
##
        pip fruit}
                                                                                               55
```

Data Mining:

- · Now, the highest lift values become even larger
- For the ranking of the top 3 bundles according to lift value, the highest ranking one consists of a tuple of 3 items on the LHS. Thereby, not all items are of the same product category

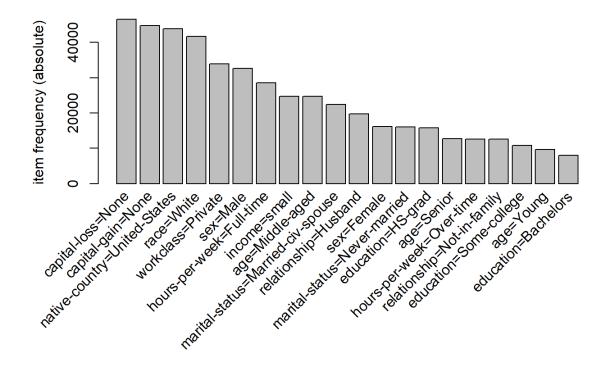
(c)

Use "Adult" data set¹ . Calculate all interesting (non-empty) rules with minimal support = 0.5 and minimal confidence = 0.9. Identify three rules with the highest support, three rules with the highest confidence and three rules with the highest lift.

Note: Labels have are stated on the website. Data set was originally intended for classification purposes (prediction whether a person makes over 50K a year) deploying machine learning algorithms such as Naive-Bayes, Logistic Regression, K-Means Clustering or Artificial Neural Networks.

```
library(arules)
library(datasets)
data("Adult")
library(arulesViz)
itemFrequencyPlot(Adult, type = "absolute", topN = 20)
```

¹For more information see: [Adults]{http://archive.ics.uci.edu/ml/datasets/Adult (http://archive.ics.uci.edu/ml/datasets/Adult)}.



(d)

Use "Adult" data set. Calculate all interesting (non-empty) rules with minimal support = 0.4 and minimal confidence = 0.8. Identify three rules with the highest support, three rules with the highest confidence and three rules with the highest lift.

```
## Apriori
##
## Parameter specification:
   confidence minval smax arem aval originalSupport maxtime support minlen
##
##
           0.8
                  0.1
                        1 none FALSE
                                                TRUE
                                                                  0.4
   maxlen target ext
##
##
        10 rules TRUE
##
## Algorithmic control:
##
   filter tree heap memopt load sort verbose
       0.1 TRUE TRUE FALSE TRUE
##
##
## Absolute minimum support count: 19536
##
## set item appearances ...[0 item(s)] done [0.00s].
## set transactions \dots[115 item(s), 48842 transaction(s)] done [0.03s].
## sorting and recoding items ... [11 item(s)] done [0.00s].
## creating transaction tree ... done [0.02s].
## checking subsets of size 1 2 3 4 5 done [0.00s].
## writing ... [169 rule(s)] done [0.00s].
## creating S4 object ... done [0.00s].
```

```
# rules by support
rules_supp <- sort(rules, by = "support", decreasing = TRUE)

# top three rules by support
top_rules_supp <- head(rules_supp, n = 3)

# rules by confidence
rules_conf <- sort(rules, by = "confidence", decreasing = TRUE)

# top three rules by confidence
top_rules_conf <- head(rules_conf, n = 3)

# rules by lift
rules_lift <- sort(rules, by = "lift", decreasing = TRUE)

# Get the top three rules by lift
top_rules_lift <- head(rules_lift, n = 3)</pre>
```

```
inspect(top_rules_supp)
```

```
#cat("\n")
inspect(top_rules_conf)
```

```
lhs
                                                rhs
                                                                                                                         lift c
##
                                                                                       support confidence coverage
ount
\textit{## [1] \{relationship=Husband}\}
                                             => {sex=Male}
                                                                                     0.4036485 0.9999493 0.4036690 1.495851 1
9715
## [2] {marital-status=Married-civ-spouse,
                                                                                     0.4034028 0.9999492 0.4034233 1.495851 1
##
        relationship=Husband}
                                             => {sex=Male}
9703
                                             => {marital-status=Married-civ-spouse} 0.4034233 0.9993914 0.4036690 2.181164 1
## [3] {relationship=Husband}
9704
```

```
#cat("\n")
inspect(top_rules_lift)
```

```
##
       lhs
                                               rhs
                                                                                     support confidence coverage
                                                                                                                      lift c
ount
## [1] {marital-status=Married-civ-spouse,
                                                                                   0.4034028 0.9901503 0.4074157 2.452877 1
##
        sex=Male}
                                            => {relationship=Husband}
9703
## [2] {marital-status=Married-civ-spouse} => {relationship=Husband}
                                                                                   0.4034233  0.8804683  0.4581917  2.181164  1
9704
## [3] {relationship=Husband}
                                            => {marital-status=Married-civ-spouse} 0.4034233 0.9993914 0.4036690 2.181164 1
9704
```

Data Mining:

• not very useful conclusions, as some variables are linked, hence, imply each other. E.g.: if relationship = Husband, intuition tells us that the sex must be male; or if the merital-status = Married-civ-spouse, then we can induce that the subject's relationship = Husband