

# Homework #9

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## Problem 1: P, NP, and NP-Complete

Assumptions:

- **Prob1** is in P.
- **Prob2** is in NP.
- **Prob3** is NP-Complete.

**(a) There exists an algorithm for Prob2 with worst-case polynomial-time complexity.**

- **If  $P = NP$ :** Yes. If  $P = NP$ , all problems in NP can be solved in polynomial time, including Prob2.
- **If  $P \neq NP$ :** Possibly yes, but it depends on the problem. Prob2 is in NP, so it might still be solvable in polynomial time even if  $P \neq NP$ .

**(b) There exists an algorithm for Prob3 with worst-case polynomial-time complexity.**

- **If  $P = NP$ :** Yes. Since Prob3 is NP-Complete, if  $P = NP$ , then all problems in NP (including NP-Complete problems like Prob3) can be solved in polynomial time.
- **If  $P \neq NP$ :** No. NP-Complete problems cannot be solved in polynomial time under the assumption that  $P \neq NP$ .

**(c) There exists no polynomial-time reduction from Prob3 to Prob2.**

- **If  $P = NP$ :** No. If  $P = NP$ , any NP problem (including NP-Complete problems like Prob3) can be reduced to another NP problem (such as Prob2) in polynomial time.
- **If  $P \neq NP$ :** No. Regardless of whether  $P = NP$ , there always exists a polynomial-time reduction from one NP-Complete problem (like Prob3) to another NP problem.

### (d) Prob3 is NP-Hard.

- **If  $P = NP$ :** Yes. NP-Complete problems are, by definition, NP-Hard, and this property is independent of whether  $P = NP$ .
- **If  $P \neq NP$ :** Yes. The definition of NP-Completeness implies NP-Hardness, which remains true even if  $P \neq NP$ .

## Problem 2: Prove that the Decision Version of the Traveling Salesperson Problem (TSP) is NP-Complete

### Proving that the Decision Version of TSP is NP-Complete

To prove that the decision version of the Traveling Salesperson Problem (TSP) is NP-Complete, we must follow these steps:

1. Show that TSP is in NP.
2. Reduce a known NP-Complete problem to TSP in polynomial time.

### Step 1: TSP is in NP

The decision version of TSP is defined as follows:

**Given:** A set of  $n$  cities, a function  $c(i, j)$  representing the distance between city  $i$  and city  $j$  for all pairs  $i, j$ , and a threshold  $k$ .

**Question:** Is there a route that visits every city exactly once, returning to the starting city, with a total distance less than or equal to  $k$ ?

To show that TSP is in NP, we verify that any “yes” instance of TSP can be checked in polynomial time. Let the candidate solution be a specific ordering of the cities  $S = \{s_1, s_2, \dots, s_n, s_1\}$ . Verification involves:

- **Step 1: Validate the structure of the route:**
  - Ensure that all  $n$  cities are visited exactly once. This involves:
    - \* Checking that the sequence  $S$  contains  $n + 1$  cities, where the last city equals the first.
    - \* Using a set or frequency counter to verify no duplicates or omissions among the  $n$  cities.
  - This step has a time complexity of  $O(n)$ .
- **Step 2: Compute the total distance:**
  - Use the distance function  $c(i, j)$  to compute the total distance:

$$\text{Total distance} = \sum_{i=1}^n c(s_i, s_{i+1}),$$

where  $s_{n+1} = s_1$  to complete the cycle.

- This step requires  $n$  calls to  $c(i, j)$ , each taking  $O(1)$  time, resulting in a total complexity of  $O(n)$ .

• **Step 3: Compare the total distance to the threshold  $k$ :**

- A simple comparison operation takes  $O(1)$  time.

**Overall Complexity:** The total verification time is:

$$T_{\text{verify}}(n) = O(n) + O(n) + O(1) = O(n).$$

Since verification is polynomial, TSP belongs to NP.

## Step 2: Reduction from HAM-CYCLE to TSP

The Hamiltonian Cycle Problem (HAM-CYCLE) is a known NP-Complete problem. It asks:

**Given:** An undirected, unweighted graph  $G = (V, E)$ .

**Question:** Does there exist a cycle that visits every vertex exactly once?

To prove TSP is NP-Complete, we reduce HAM-CYCLE to TSP in polynomial time.

### Reduction Construction:

Given a graph  $G = (V, E)$ :

1. Create a complete graph  $G' = (V, E')$ , where:
  - $E'$  contains all possible edges between vertices in  $V$ .
  - For each edge  $e \in E$  in the original graph  $G$ , assign a weight of 1.
  - For each edge  $e \notin E$ , assign a very large weight  $M$ , where  $M > |V| \cdot \text{max edge weight in } G$ .
2. Set the threshold  $k = |V|$ , representing the total distance of the Hamiltonian cycle.

### Reduction Correctness:

- If  $G$  has a Hamiltonian cycle, this corresponds to a route in  $G'$  where all edges have weight 1. The total distance of this route is exactly  $|V|$ , which is less than or equal to the threshold  $k$ .
- If  $G$  does not have a Hamiltonian cycle, any route in  $G'$  must use at least one edge with weight  $M$ . Since  $M > k$ , such a route will exceed the threshold.

### Reduction Complexity:

- Constructing the complete graph  $G'$  requires adding  $O(|V|^2)$  edges.
- Assigning weights to edges is also  $O(|V|^2)$ .
- Thus, the reduction runs in  $O(|V|^2)$ , which is polynomial.

## Conclusion

1. TSP is in NP because a “yes” instance can be verified in  $O(n)$ .
2. HAM-CYCLE reduces to TSP in  $O(|V|^2)$ , which is polynomial.

By definition, TSP is NP-Complete.