

2024-06-03

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Roger Loring's new theory for the broadband local dielectric spectroscopy signal should also predict the so-called local dielectric spectroscopy (LDS) signals oscillating at frequency $2\omega_m$. There should be an in-phase frequency modulation, proportional to $\cos[2\omega_m t]$, and an out-of-phase frequency modulation, proportional to $\sin[2\omega_m t]$. In the note below, retrace my 2024-05-29 paper-and-pencil derivation computing time-averaged frequency shift. Then extend the calculation to compute the LDS lock-in signals.

Following Loring's 2024-02-20 notes, define the average interaction energy. Here V_{ts} is the tip-sample voltage, c is the tip capacitance, and ϕ is the reaction potential.

```
In[174]:= Clear[W, Vts, c,  $\phi$ ];  
W[z_, t_] = Vts[t]  $\times$  c[0, z]  $\phi$ [z, t]
```

```
Out[175]= c[0, z]  $\times$  Vts[t]  $\phi$ [z, t]
```

Here $c[n, z]$ represents the n th derivative of the tip-sample capacitance $c[0, z]$. Every time you take a derivative, you increase n .

```
In[176]:= Derivative[0, 1][c][n_, z_] := c[n + 1, z]
```

As a check, take the second derivative of the interaction energy. Observe that I get the expected paper-and-pencil answer.

```
In[177]:= D[W[z, t], {z, 2}] // Simplify
```

```
Out[177]= Vts[t] (c[2, z]  $\phi$ [z, t] + 2 c[1, z]  $\phi^{(1,0)}$ [z, t] + c[0, z]  $\phi^{(2,0)}$ [z, t])
```

Define the reaction field. Here $R[k, n, z, \omega]$ is the frequency-domain response function. The first input k indicates the real or imaginary part, with $k=0$ the real part and $k=1$ the imaginary part. The second input n indicates the n th derivative. The third and fourth inputs are height and modulation frequency, respectively.

```
In[178]:= Clear[ $\phi$rxn, R,  $\omega_m$ ];  
 $\phi$rxn[z_, t_] = V0 c[0, z] (R[0, 0, z,  $\omega_m$ ] Cos[ $\omega_m t$ ] - R[1, 0, z,  $\omega_m$ ] Sin[ $\omega_m t$ ]);$$ 
```

Every time you take a derivative of R with respect to z , increase n by one.

```
In[180]:= Derivative[0, 0, 1, 0][R][k_, n_, z_,  $\omega$ ] := R[k, n + 1, z,  $\omega$ ]
```

Check that we get the expected behavior.

```
In[181]:= D[ $\phi$rxn[z, t], z]$ 
```

```
Out[181]= V0 c[1, z] (Cos[t  $\omega_m$ ] R[0, 0, z,  $\omega_m$ ] - R[1, 0, z,  $\omega_m$ ] Sin[t  $\omega_m$ ]) +  
V0 c[0, z] (Cos[t  $\omega_m$ ] R[0, 1, z,  $\omega_m$ ] - R[1, 1, z,  $\omega_m$ ] Sin[t  $\omega_m$ ])
```

Substitute the reaction potential and the oscillating tip-sample voltage into the interaction energy.

```
In[182]:= W$new[z_, t_] = W[z, t] /. {ϕ[z, t] → ϕ$rxn[z, t], V$ts[t] → V$0 Cos[ω$m t]}
Out[182]:= V$02 c[0, z]2 Cos[t ω$m] (Cos[t ω$m] R[0, 0, z, ω$m] - R[1, 0, z, ω$m] Sin[t ω$m])
```

```
In[183]:= Δω =  $\frac{w\$c}{2 k\$c}$  D[W$new[z, t], {z, 2}] // ExpandAll
```

```
Out[183]:= 
$$\frac{V\$0^2 w\$c c[1, z]^2 \text{Cos}[t \omega\$m]^2 R[0, 0, z, \omega\$m]}{k\$c} +$$


$$\frac{V\$0^2 w\$c c[0, z] \times c[2, z] \text{Cos}[t \omega\$m]^2 R[0, 0, z, \omega\$m]}{k\$c} +$$


$$\frac{2 V\$0^2 w\$c c[0, z] \times c[1, z] \text{Cos}[t \omega\$m]^2 R[0, 1, z, \omega\$m]}{k\$c} +$$


$$\frac{V\$0^2 w\$c c[0, z]^2 \text{Cos}[t \omega\$m]^2 R[0, 2, z, \omega\$m]}{2 k\$c} -$$


$$\frac{V\$0^2 w\$c c[1, z]^2 \text{Cos}[t \omega\$m] R[1, 0, z, \omega\$m] \text{Sin}[t \omega\$m]}{k\$c} -$$


$$\frac{V\$0^2 w\$c c[0, z] \times c[2, z] \text{Cos}[t \omega\$m] R[1, 0, z, \omega\$m] \text{Sin}[t \omega\$m]}{k\$c} -$$


$$\frac{2 V\$0^2 w\$c c[0, z] \times c[1, z] \text{Cos}[t \omega\$m] R[1, 1, z, \omega\$m] \text{Sin}[t \omega\$m]}{k\$c} -$$


$$\frac{V\$0^2 w\$c c[0, z]^2 \text{Cos}[t \omega\$m] R[1, 2, z, \omega\$m] \text{Sin}[t \omega\$m]}{2 k\$c}$$

```

To get the dc frequency shift, average over one oscillation cycle. Define a function to average over an oscillation cycle.

```
In[184]:= cycleAverage[f_] :=  $\frac{\omega\$m}{2 \pi}$  Integrate[f, {t, 0,  $\frac{2 \pi}{\omega\$m}$ }]
```

Check that averaging 1 over an oscillating cycle returns 1 and check that averaging cosine squared gives 1/2. These checks show that I have the prefactor correct in the above function definition.

```
In[185]:= {cycleAverage[1], cycleAverage[Cos[ω$m t]2]}
Out[185]:= {1,  $\frac{1}{2}$ }
```

Define another function to factor out a common factor, from here.

```
In[186]:= factorOut[fac_][expr_] := Replace[expr, p_Plus :> fac Simplify[p / fac], All]
```

Now cycle-average the frequency shift, collect the prefactors of the three R derivatives, and factor out a common term.

$$\begin{aligned} \text{In[187]:= } \Delta\omega\$avg &= \text{factorOut}\left[\frac{V\$0^2 w\$c}{4 k\$c}\right] [\text{Collect}[\text{cycleAverage}[\Delta\omega], \\ &\quad \{R[0, 0, z, \omega\$m], R[0, 1, z, \omega\$m], R[0, 2, z, \omega\$m]\}, \text{FullSimplify}]] \\ \text{Out[187]= } &\frac{1}{4 k\$c} V\$0^2 w\$c \left(2 c[1, z]^2 R[0, 0, z, \omega\$m] + 4 c[0, z] \times c[1, z] \times R[0, 1, z, \omega\$m] + \right. \\ &\quad \left. c[0, z] (2 c[2, z] \times R[0, 0, z, \omega\$m] + c[0, z] \times R[0, 2, z, \omega\$m]) \right) \end{aligned}$$

This result agrees with my and Loring's paper-and-pencil finding.

To obtain the LDS signals, mimic lock-in detection at a reference frequency of $2\omega\$m$. Multiply the frequency shift by $\text{Cos}[2\omega\$m t]$ and $\text{Sin}[2\omega\$m t]$ before performing the cycle average.

$$\begin{aligned} \text{In[188]:= } \text{LDS\$X} &= \text{factorOut}\left[\frac{V\$0^2 w\$c}{8 k\$c}\right] [\text{Collect}[\text{cycleAverage}[\Delta\omega \text{Cos}[2\omega\$m t]], \\ &\quad \{R[0, 0, z, \omega\$m], R[0, 1, z, \omega\$m], R[0, 2, z, \omega\$m]\}, \text{FullSimplify}]] \\ \text{Out[188]= } &\frac{1}{8 k\$c} V\$0^2 w\$c \left(2 c[1, z]^2 R[0, 0, z, \omega\$m] + 4 c[0, z] \times c[1, z] \times R[0, 1, z, \omega\$m] + \right. \\ &\quad \left. c[0, z] (2 c[2, z] \times R[0, 0, z, \omega\$m] + c[0, z] \times R[0, 2, z, \omega\$m]) \right) \end{aligned}$$

$$\begin{aligned} \text{In[189]:= } \text{LDS\$Y} &= \text{factorOut}\left[-\frac{V\$0^2 w\$c}{8 k\$c}\right] [\text{Collect}[\text{cycleAverage}[\Delta\omega \text{Sin}[2\omega\$m t]], \\ &\quad \{R[1, 0, z, \omega\$m], R[1, 1, z, \omega\$m], R[1, 2, z, \omega\$m]\}, \text{FullSimplify}]] \\ \text{Out[189]= } &-\frac{1}{8 k\$c} V\$0^2 w\$c \left(2 c[1, z]^2 R[1, 0, z, \omega\$m] + 4 c[0, z] \times c[1, z] \times R[1, 1, z, \omega\$m] + \right. \\ &\quad \left. c[0, z] (2 c[2, z] \times R[1, 0, z, \omega\$m] + c[0, z] \times R[1, 2, z, \omega\$m]) \right) \end{aligned}$$

Observe that the in-phase lock-in signal at $2\omega\$m$ is just *half* the average frequency shift. This is a somewhat surprising result. I expected the in-phase lock-in signal to involve different combinations of capacitance and response-function derivatives.

$$\begin{aligned} \text{In[190]:= } \text{LDS\$X} / \Delta\omega\$avg & // \text{FullSimplify} \\ \text{Out[190]= } & \frac{1}{2} \end{aligned}$$

The out-of-phase lock-in signal is distinct from the in-phase signal, since the out-of-phase signal involves derivatives of the *imaginary* part of the response function R .