



# UNIVERSITÀ DI TRENTO

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FINAL DISSERTATION

## MIMO PHYSICAL LAYER SECURITY USING MULTIPLE RECONFIGURABLE INTELLIGENCE SURFACES

*A study in vehicular environments*

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# Abstract

This paper presents an extension of physical layer security techniques using Reconfigurable Intelligent Surfaces (RISs) in Multiple-Input Multiple-Output (MIMO) communications. Building upon previous work on secure transmissions, we generalize the mathematical framework to support multiple legitimate receivers and complex RIS configurations and combinations, including parallel and in-series reflections. Our approach maintains low error rates for legitimate users while ensuring high levels of artificial noise for eavesdroppers, preserving security through the Space Shift Keying (SSK) modulation. Extensive simulations analyze Bit Error Rate (BER) performance across various scenarios and demonstrate that our framework achieves robust security and reliability. Our simulations include realistic channel modeling, incorporating Rician fading and different path loss calculations, and present them through BER heatmaps. The proposed framework offers promising applications for emerging technologies requiring secure communication, such as vehicular networks and Internet of Things, without introducing the latency overhead of complex encryption schemes.

# 1 Introduction

Every day, more and more people and objects connect, communicate and transfer data to each other. In today's world, we need both speed and security for communications, even if both were considered two opposite ends of a spectrum. By adding error correction and encryption to our data, we can increase reliability and privacy at the expense of latency.

Modern technologies, like the Internet of Things and Cooperative Autonomous Driving (CAV), are becoming more and more popular and necessary in our society. But they are highly demanding advancements, needing real-time communications and defense against dangerous disruptions.

We interact all the time with smart devices, capable of coordinating with each other in real time to reach a common goal. Multiple applications exist in wildfire prevention [35], logistics [25], home control [41] and many more fields. These devices include sensors, cameras, agents, controllers and robotics. An important advantage we gain from these intricate, deeply connected networks is high efficiency and low error and accident rates.

With a declining population [7] that gets more productive by the day [17], we are moving towards a future where technology can help us in a lot of mundane, repetitive and sometimes dangerous tasks, allowing people to express their potential more freely and contribute more to the well-being and advancement of humankind. Imagine a world where the house is cleaned automatically, the electricity bill is reduced by automatic control based on necessities, and autonomous driving vehicles move you wherever needed while you work on your most important tasks [8]. This is a promising future, but there are a lot of obstacles to overcome first.

The load on our infrastructure grows faster than ever. Every day, more people and devices alike connect to the Internet or with each other, sending and receiving more and more data [6]. Cable communications cost too much in terms of space and maintenance, and wireless communication challenges increase as the number of connected devices skyrockets, each device needing reliable and ever faster connectivity.

With our lives dependent on technology, we also need way more security. We cannot risk attacks from malicious users, because of the damage they may cause. Appliances may be hacked to spy or short-circuited [29], cars could be deceived and cause fatal crashes [31]. Critical infrastructure like hospitals are breached every day, exposing sensitive and crucial data to malicious actors [32].

But at the same time, the rate of progress forbids us to choose between fast but unsecure communications, or encrypted and slow to capture ones. We need new ways, new paradigms to ensure safe data transfer with low latency and high accuracy.

Reconfigurable Intelligent Surfaces (RIS) are a new technology that may help in this respect. They are a low-power, low-cost solution to transform reflection from passive noise in our communications into useful emissions that we can fine-tune to redirect, expand and propagate our communication signals into more complex scenarios, for example by helping in situations where no Line of Sight (LOS) path is available [36].

RISs may help us expand our networks significantly, reaching more users, ensuring more speed and helping us with the reliability of the received signals.

In this thesis, we expand the current literature by studying how to use RIS not only for the aforementioned reason, but also to protect our communication privacy against malicious eavesdroppers. We can modulate the reflection signal to make sure only the legitimate receivers can understand the message, while making the reflected signal undecipherable and act as artificial noise to protect against unwanted listeners.

This paradigm is called Physical Layer Security (PLS): our objective is supporting higher levels of security, like encryption, to protect ourselves against adversary actors even when they have bigger resources than us, or reduce the complexity of it by ensuring less probability of capturing the signal in the first place [38].

Our plan is to serve as many users as needed, in complex scenarios without clear direct path between all the actors, while making the exchanged data unreadable for unwanted users. With our proposal, the signal is not undecipherable for anyone else, but it is completely unreadable from the start.

Imagine that, instead of sending to everyone random letters, where only the legitimate receivers can understand what is written, we can also make sure that all the other unwanted listeners get not random comprehensible letters, but random symbols. Even if they had unlimited resources and computational power, for example quantum computers, they would not be able to do anything because they have nothing to work on.

By adding this extra layer of security, we can then reduce the encryption power on the higher levels of communications, making messages faster to parse and understand. In critical high-speed communication like CAV, having a bigger throughput with lower latency can be critical in expanding the possible speed of every car in the network from scientific interest to usable, everyday technology.

## 1.1 Contribution of this thesis

The specific contributions of this work are:

- a general explanation of the current advancements in Physical Layer Security and Reconfigurable Intelligent Surfaces
- a detailed explanation of a proposed signal modulation, called Space Shift Keying (SSK), and a proposed framework for RIS-aided encryption made by prominent researchers in the field
- a generalization of the framework to support multiple legitimate users, multiple RIS in series, and multiple signal paths in parallel, while keeping the same level of security and complexity
- a Bit Error Rate (BER) simulation analysis to prove the efficacy of our proposed solution
- a realistic communication system model that includes channel gain matrix calculations, Rician fading and path loss
- in particular, a study of different path loss models to give a better general vision of the applicability of our solution
- a performance evaluation study to show the behavior of our proposed solution in various practical scenarios

We will provide detailed mathematical explanation and extensive simulation code to better help the research in more future application studies about the application in real-life communications between physical actors.

## 1.2 Notation

We will use the following notations in this work:

- Variables are written as capital italic letters  $X$
- Vectors are written as italic letters  $x$
- Matrices are written as bold capital italic letters  $\mathbf{X}$
- $\mathbb{C}$  defines the Complex set,  $C^X$  a complex vector of length  $X$ , and  $C^{X \times Y}$  a complex matrix of dimension  $X$  rows and  $Y$  columns
- given  $x \in \mathbb{C}^Y$ , we define  $\mathbf{X} = \text{diag}\{p\} \in \mathbb{C}^{Y \times Y}$  a matrix with all zeros, except in the diagonal where position  $y, y$  is equal to  $x_y$
- given  $\mathbf{X} \in \mathbb{C}^{Y \times Y}$ , we define  $x = \text{diag}(\mathbf{X}) \in C^Y$  the vector of the elements in the diagonal of  $\mathbf{X}$

- given  $\mathbf{X} \in \mathbb{C}^{Y \times Y}$ , we define  $\mathbf{X}_{diag} \in \mathbb{C}^{Y \times Y}$  the matrix with all zeros, except in the diagonal where position  $y, y$  is equal to  $\mathbf{X}_{y,y}$
- given  $\mathbf{X} \in \mathbb{C}^{Y \times Z}$ , we define  $[\mathbf{X}]_{:,1:Y} \in \mathbb{C}^{Y \times Y}$  the first  $Y$  columns of  $\mathbf{X}$
- $\odot$  is the Hadamard product, which performs element-wise multiplication of two matrices of the same dimensions (i.e., for matrices  $\mathbf{A}$  and  $\mathbf{B}$  of the same size,  $[\mathbf{A} \odot \mathbf{B}]_{i,j} = \mathbf{A}_{i,j} \cdot \mathbf{B}_{i,j}$ )
- A Hermitian transpose of a complex matrix  $\mathbf{X}^H$ , means we first transpose the matrix ( $\mathbf{X} \rightarrow \mathbf{X}^T$ ), then take the conjugate of every element.
- The Frobenius norm of a matrix  $\mathbf{X}$ , denoted as  $\|\mathbf{X}\|$ , is defined as  $\|\mathbf{X}\| = \sqrt{\sum_i \sum_j |x_{ij}|^2}$



## 2 Related works

### 2.1 Physical Layer Security

*The essential premise of physical layer security is to enable the exchange of confidential messages over a wireless medium in the presence of unauthorized eavesdroppers, without relying on higher-layer encryption.* [30]

Physical layer security is much lighter than complex secret key-based cryptographic techniques [34]. It ensures high reliability and security at less computational cost. Being much quicker than the higher layer counterparts, it ensures low latency in higher bands, like 5G or 6G. Ultra-reliable low latency communication (URLLC) may help critical infrastructure in delivering high privacy and data speed capabilities. The ability to combine both lower and higher layer security will also enhance the general capabilities of everyday applications.

We have two types of threats we need to protect against. Active attacks, like jamming a frequency, disrupt and block the flow of information; while passive attacks, like eavesdropping, are more subtle and we need to make our signals undecipherable with encryption or noise [38]. In particular, passive hearing could expose sensitive data, and even encryption may still leak location, traffic load and other sensitive information.

Modern communication needs multiple requirements in order to guarantee the efficacy and security of communications:

- legitimate users should be authenticated
- access control must be implemented to ensure confidentiality of the messages
- integrity of the communication, to ensure the message is delivered as it was transmitted, with no tampering in the middle
- availability of the channel link, to ensure jamming attacks do not negatively influence the information flow
- defense and encryption against eavesdroppers

There are different methods we can use to mask our communications: we can fingerprint the legitimate users, as explained in [40], use directional antennas to reduce the area where it is possible to capture the signal [14], or add artificial noise schemes to disrupt unwanted hearers [13].

Different error correction strategies can also mitigate the effect of active attacks. We can use some of the passed bits to ensure the data received is correct, and sometimes even fix the errors. We can also use *Spread Spectrum Coding* to rapidly change the frequency used to deliver the message, ensuring difficulties in disrupting all of the available ones.

Modeling the threats of adversaries can be quite challenging [43]. Many research papers include assumptions that need to be carefully assembled. For example, eavesdroppers may not just be passive listeners, but may actually collect data to later transform into active attackers.

The adversaries may also have better resources, in terms of both computer power and signal reception, and it is difficult to model all possible threats we may face. We may have multiple stations collaborating together in deciphering the signal, or even be backed up by national agencies. Some work has already been done addressing these issues: in [15], the authors study a universal coding scheme to protect against eavesdroppers changing constantly channels. In [21] a statistical model is created to calculate the probability of achieving secrecy from eavesdroppers in unknown locations.

Achieving perfect communication secrecy is not really possible for all cases, given that we need the secret key to be at least as big as the secret message [37], but there are some practical strategies we can implement.

In particular, regarding eavesdropping, there is a huge opportunity for improvements. While disruptions have been studied at length, especially in military communications, message encryption is usually delegated to the higher levels [30]. However, the physical layer can assist by hiding or masking the signal, making it harder for the eavesdropper to capture it. Given the advances in quantum computing and encryption breaking algorithms [39], it is important to be protected at all layers.

## 2.2 Reconfigurable Intelligent Surface

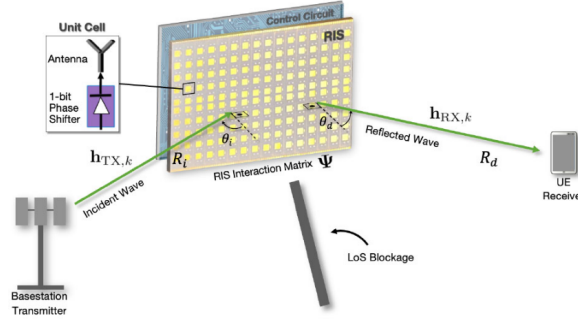


Figure 2.1: An example of possible design of a Reconfigurable Intelligent Surface [From [44]]

Reconfigurable Intelligent Surfaces (RISs) are a new technology that can help improve the security and reach of wireless communications. They are made of a large number of passive elements that can reflect the signals in a way that can be controlled and optimized.

With RISs, the propagation and reflection of radio waves can be controlled, making it possible to transform the environment in which the waves need to travel from an uncontrollable phenomenon to a programmable variable that is possible to (partially) control and optimize.

RISs can help in particular in two scenarios. In the first one, two nodes which are not in the line of sight (LoS) can communicate with the help of the RIS; in the second one, being in the LoS means an inability to take advantage of delayed reflections (especially for new technologies like 5G and 6G), which can be used to improve the signal quality and robustness, but we can create them with RISs [10].

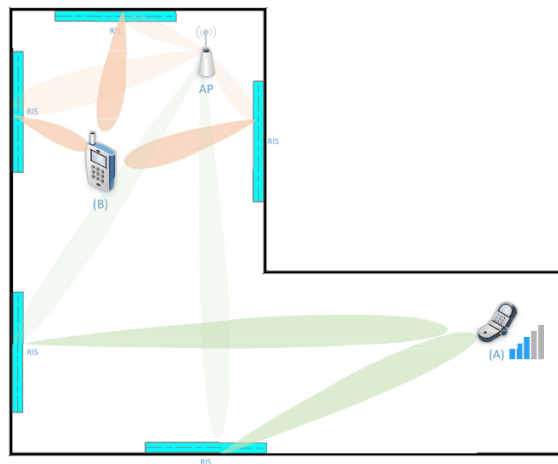


Figure 2.2: A smart radio environment with multiple RISs. User A is far away from the AP and suffers from low received signal strength, while user B has ample received power but a low-rank ill-conditioned channel. The RISs can be optimized to help in both scenarios [Figure and caption From [10]]

The main advantages of RISs are the low cost, the low power consumption and the easy deployment, which makes them a good candidate for the future of wireless communications. They do not require a

dedicated energy source, they do not suffer from noise amplification, they can work with any frequency and can be easily put on any surface like walls or ceilings [3].

A specific controller is used to modify dynamically the reflecting elements of the RIS, giving huge margins for custom configurations in complex scenarios and new communications frameworks.

Numerous applications are being studied to this day. For example, in [20] the authors study the modeling of path loss for a reflected signal; they then recreate a RIS using an Arduino to validate the mathematical calculations.

RIS can also be included in more general smart cities projects [23]: in a more interconnected world, they can be used for everyday streets and personal homes; huge, unused building surfaces may aid the general population in getting better connectivity; smart factories and the industry 4.0 could be assisted in dense IoT device places, by countering the negative effects of metals for signal strength.

Active RIS can also be deployed [26], which can amplify the received signal before reflecting it, ensuring an even higher power output and reach for a similar design. Beamforming can also be used to redirect the signal towards a specific direction, increasing the signal strength for the receiver in that specific area.

## 2.3 Using RISs for Physical Layer Security

RISs can be used to greatly increase not only the network's performance but also its security [22]. By using RISs, we can make the signal quality better, reduce the signal degradation and make the signal more difficult to intercept eavesdroppers.

For example, the reflection can be used as additional randomness to make the transmission not understandable from eavesdroppers, while having a decoding for the legitimate user linear [27].

There is the possibility for attackers to also use RIS as an assistance tool to spy on communications, and even propagate jamming attacks in multiple directions [2].

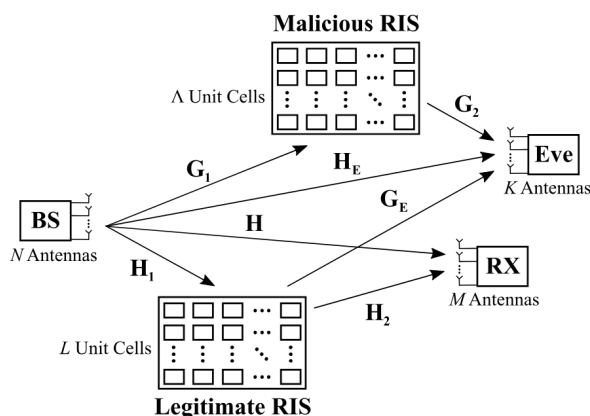


Figure 2.3: Eavesdroppers could not only listen from the transmitter or the legitimate RIS, but even deploy a malicious one, possibility for passive and active attacks [From [2]]

Another work [50] studied how to use a novel RIS based channel randomization technique to improve the secrecy rate, and another one [5] shows an iterative efficient RIS algorithm to maximize the minimum secrecy rate by optimizing the reflecting coefficients of the RIS.

RISs can also be used to protect against jamming attacks: for example, in [42] an aerial RIS is used to mitigate the effects of the disturbance and increase the transmission power and reliability.

## 2.4 RISs and Physical Layer Security for Vehicular Networks

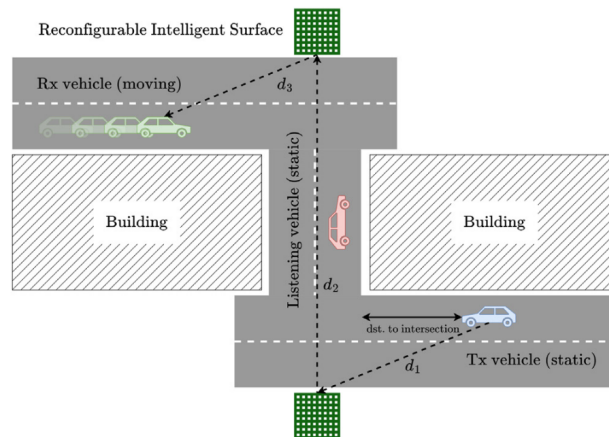


Figure 2.4: An example of usage of RIS for vehicle communications [From [36]]

Cooperative autonomous driving can bring many benefits, like reducing traffic congestion, improving road safety and reducing the environmental impact of the vehicles. Cars and other vehicles can communicate with each other and with the infrastructure to share information and coordinate their movements. However, it also brings new security concerns.

It is clear that it is necessary to have a secure and fast way to communicate, and 6G network technologies plus RISs can help in this regard. By reflecting the signals, we can overcome the limitations of having reliable communications only in LoS conditions and improve the signal quality by reducing signal degradation [9].

The sector is just starting to be studied, but there are already some promising results. Network simulators, like CoopeRIS, allow to study and progress in this field [36].

Vehicular networks need low latency and high security. Active attacks may jeopardize drivers' and people's safety, while also slowing down the information exchange rate. Being moving agents, it is more difficult to correctly model this type of network, but also way more necessary: complex upper layer encryption may slow down data processing enough to render it useless [1].

Passive attackers may instead use vehicles' geolocation and traffic data for malicious activity. A way to detect and filter out intruders is discussed in [24].

Recent studies show how RISs can be used to protect the vehicular network against illegitimate users. In [28] the authors study how RISs can improve the average secrecy capacity and secrecy outage probability.

# 3 Background on hidden communication by targeted reflections

## 3.1 RIS Diagonalization

We will start from the paper *Reconfigurable Intelligent Surface: Reflection Design Against Passive Eavesdropping* [27], explaining how to hide communication between two actors from eavesdroppers using Reconfigurable Intelligent Surfaces, then expanding it to multiple receiving users at the same time.

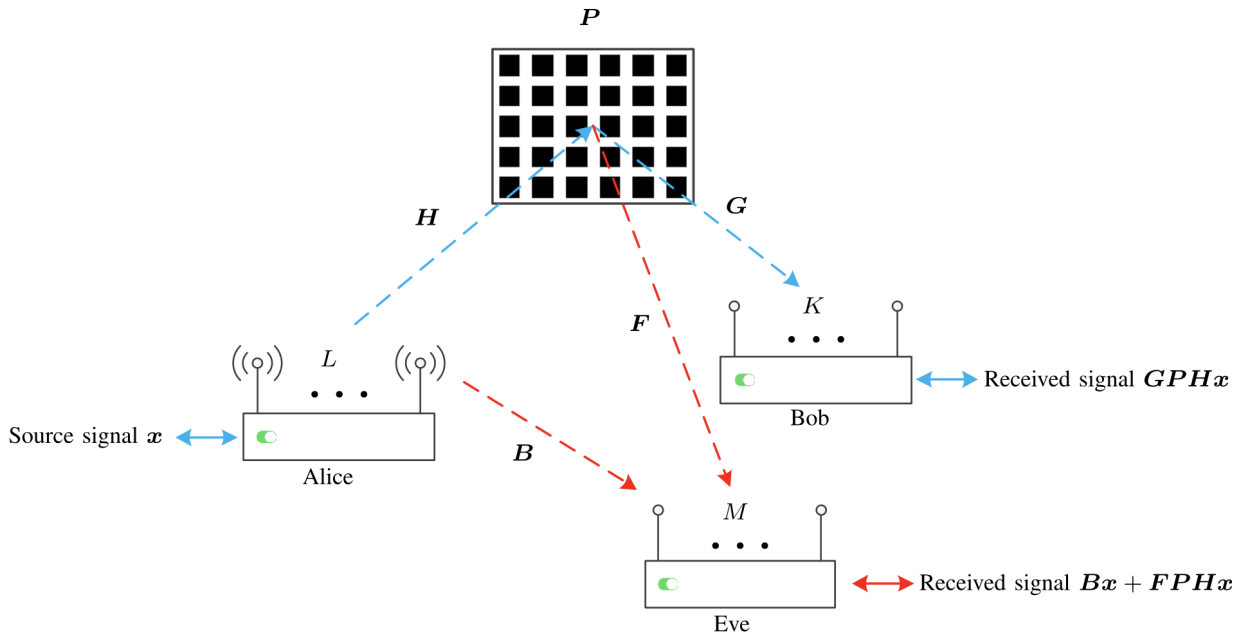


Figure 3.1: Setup of the system [From [27]]

In [27], the authors studied how to use RISs to allow communications between two users without LOS, while making the signal undecipherable for eavesdroppers. We call  $L$  the transmitter's antennas,  $K$  the receiver's antennas,  $M$  the eavesdropper's antennas, and  $N$  the RIS reflecting elements. We assume  $L \geq K \geq 2$ .

We define  $\mathbf{H} \in \mathbb{C}^{N \times L}$  the channel response<sup>1</sup> from the transmitter to the RIS,  $\mathbf{G} \in \mathbb{C}^{K \times N}$  the channel response from the RIS to the receiver,  $\mathbf{P} = \text{diag}\{p\} \in \mathbb{C}^{N \times N}$  a diagonal matrix in which the  $n$ th diagonal element represents the reflection coefficient of the  $n$ th unit at the RIS.

The objective is making the receiver's final signal  $\mathbf{GPH}$  a diagonal matrix, while making every possible eavesdropper's final signal a full matrix.

We will leave for later the technical details of why this would achieve secrecy for the legitimate users or how the actors communicate with each other, and will just focus on the mathematics behind the calculation. It is possible to read more in the paper *Space shift keying modulation for MIMO channels* [19], which we will summarize in a later chapter.

Our contribution to the field will be to generalize these calculations to  $J$  receiving users and  $M$  RISs used in parallel and in sequence. Formally, the condition we want to satisfy is:

<sup>1</sup>A channel response for a MIMO communication is a matrix made of complex numbers, where the position  $i, j$  indicates the channel gain received from antenna  $j$  to antenna  $i$

$$||[\mathbf{GPH}]_{:,1:K} - [[\mathbf{GPH}]_{:,1:K}]_{diag}||^2 = 0 \quad (3.1)$$

where  $[\mathbf{GPH}]_{:,1:K} \in \mathbb{C}^{K \times K}$  denotes the first  $K$  columns of the matrix  $\mathbf{GPH} \in \mathbb{C}^{K \times L}$ . Given

$$\mathbf{W} = \sum_{i,k=1, i \neq k}^K (g_{k,:} \odot h_i^T)^H (g_{k,:} \odot h_i^T) \quad (3.2)$$

$$\text{rank}(\mathbf{W}) = K(K-1) \quad (3.3)$$

$$\text{rank}(\mathbf{W}) + \text{null}(\mathbf{W}) = N \quad (3.4)$$

where null refers to the dimension of the null space:

$$\text{null}(\mathbf{W}) = N - (K^2 - K) \quad (3.5)$$

The formula (3.1) can be rewritten as

$$\mathbf{W}p = 0 \quad (3.6)$$

and the solutions of  $p$  can be found in the null space of  $\mathbf{W}$ . Using singular value decomposition (SVD), we can decompose

$$\mathbf{W} = \mathbf{R}\mathbf{\Sigma}\mathbf{V}^H \quad (3.7)$$

With SVD, we have  $\mathbf{\Sigma} = \text{diag}(\sigma) \in \mathbb{C}^{N \times N}$  a diagonal matrix. The first  $\text{rank}(\mathbf{W}) = K^2 - K$  elements of  $\sigma$  are non-zero, while the last  $\text{null}(\mathbf{W}) = N - (K^2 - K)$  elements are zero [11].

Given a more generic  $\mathbf{A} \in \mathbb{C}^{m \times n} = \mathbf{R}'\mathbf{\Sigma}'\mathbf{V}'^H$ , we have the column vectors of  $\mathbf{R}'$  being an orthonormal span of  $\mathbb{C}^m$ , and the row vectors of  $\mathbf{V}'$  being an orthonormal span of  $\mathbb{C}^n$ .

Suppose  $\mathbf{A}$  is a Hermitian matrix (meaning  $\mathbf{A} = \mathbf{A}^H$ ). This will be useful later, as  $\mathbf{W}$  is also a Hermitian matrix by construction. Call  $k$  the null space dimension of  $\mathbf{A}$ , and, by the property above, the null space dimension of  $\mathbf{A}^H$  too.

The last  $k$  columns of  $\mathbf{R}'$  are a span of the null space

$$N(\mathbf{A}^H) = [r_{m-k}, \dots, r_m] \in \mathbb{C}^{m \times k} \quad (3.8)$$

while the last  $k$  rows of  $\mathbf{V}'^H$  are a span of the null space

$$N(\mathbf{A}) = \begin{bmatrix} v_{n-k}^{iH} \\ \dots \\ v_n^{iH} \end{bmatrix} \in \mathbb{C}^{k \times n} \quad (3.9)$$

Being  $\mathbf{A}$  a Hermitian matrix, the two null spaces are both solutions to  $\mathbf{A}x = 0$ .

Consider now  $\mathbf{W} \in \mathbb{C}^{N \times N}$ . The paper in question uses equation (3.8) to find the solutions, since  $\mathbf{W}$  is Hermitian and square. Taking  $\mathbf{U} \in \mathbb{C}^{N \times (N - (K^2 - K))}$  as the last  $N - (K^2 - K)$  columns of the left singular matrix  $\mathbf{R}$ .  $\mathbf{U} \in N(\mathbf{W})$  for the explanation above. We then have

$$\mathbf{W}\mathbf{U} = 0 \quad (3.10)$$

$$p = \mathbf{U}q \quad (3.11)$$

$$\mathbf{W}\mathbf{U}q = 0 \quad (3.12)$$

being true, and  $q \in \mathbb{C}^{N - (K^2 - K)}$  can be a random vector.

### 3.2 Limits on the current research

From what has been shown here, the paper [27] offers an interesting starting point toward secure reflected connections, but it is limited in scope. The mathematical calculations work only for one

single transmitter and one single receiver. The paper also does not work on scenarios with multiple RISs, nor it expand on physical simulations of the proposed schemes. It does, however, expand on more complex schemes, like quadrature space-shift keying (QSSK) modulation and phase-shift keying (PSK) modulation.

We will work with our contribution on the simpler SSK scheme, which we now explain how it works. Future works could also implement our proposed expansion on those other schemes.

### 3.3 Space Shift Keying Modulation

How can the actors communicate if the result is a diagonal matrix with random values?

We will use a technique called *Space Shift Keying* (SSK) Modulation [19], where *antenna indices are used as the only means to relay information*. Given  $K$  the number of antennas of the actors in the system, we can send  $\log_2(K)$  bits by mapping each combination of bits to a specific antenna.<sup>2</sup>

Table 3.1: Example of the SSK mapper rule [From [19]]

$\mathbf{b} = [b_1 \ b_2]$	symbol	antenna index $j$	$\mathbf{x} = [x_1 \ \cdots \ x_4]^T$
$\begin{bmatrix} 0 & 0 \end{bmatrix}$	0	1	$\begin{bmatrix} 1 & 0 & 0 & 0 \end{bmatrix}^T$
$\begin{bmatrix} 0 & 1 \end{bmatrix}$	1	2	$\begin{bmatrix} 0 & 1 & 0 & 0 \end{bmatrix}^T$
$\begin{bmatrix} 1 & 0 \end{bmatrix}$	2	3	$\begin{bmatrix} 0 & 0 & 1 & 0 \end{bmatrix}^T$
$\begin{bmatrix} 1 & 1 \end{bmatrix}$	3	4	$\begin{bmatrix} 0 & 0 & 0 & 1 \end{bmatrix}^T$

#### 3.3.1 Direct Detection

Given a channel gain matrix  $\mathbf{B} \in \mathbb{C}^{K \times K}$  and the input vector  $x \in \mathbb{C}^K$  with only one element equal to 1, the signal received is

$$y = \mathbf{B}x + \sigma^2 \quad (3.13)$$

To understand the antenna index which sent the message, we need to find the column  $b_j$  which is most similar to  $y$ .

$$j = \arg \max_j p_y(y|x_j, \mathbf{B}) = \arg \min_j \|y - b_j\|^2 \quad (3.14)$$

#### 3.3.2 Diagonalized Reflection Detection

Following [27], for a reflected signal we have

$$y = \mathbf{GPH}x + \sigma^2 \quad (3.15)$$

Given that  $\mathbf{GPH}$  is a diagonal matrix and  $x$  has only one element equal to 1, the resulting vector  $\mathbf{GPH}x$  will still be a vector with only one element non-zero. Adding noise, to find the antenna index we search for the biggest value in the vector.

$$j = \arg \max_j y_j \quad (3.16)$$

---

<sup>2</sup>This may seem rather unoptimized, as we use only one antenna instead of combinations of them. To see a more general approach, the authors also wrote the paper [18], where they discuss a more general approach using multiple active antennas at the same time. The general approach will also work with our proposed solution.

### 3.4 Cascaded Channel Estimation

To understand how the actors (and in particular the RISs controller) estimate the channel gain between them, we redirect to the paper *Cascaded Channel Estimation for Large Intelligent Metasurface Assisted Massive MIMO* [16]. While we will not summarize the content here, we will still give a general idea of how to use the algorithm in the paper to estimate  $\mathbf{G}$  and  $\mathbf{H}$ .

- The transmitter communicates to the RIS controller a setup message  $x'$  that it will send to the receiver;
- The RIS will set a random  $\mathbf{P}'$ ;
- The receiver gets a signal  $y'$  (which will mean nothing), and sends it back to the RIS controller;
- Based on  $x', y', \mathbf{P}'$  the RIS controller estimates  $\mathbf{G}, \mathbf{H}$  and correctly sets up  $\mathbf{P}$ ;
- The transmitter sends  $x$ , and the receiver gets  $y$  which it can correctly convert back;
- If transmitter and receiver are moving, the procedure will start all over. Otherwise,  $\mathbf{G}$  and  $\mathbf{H}$  remain the same, and the RIS controller can just create a new  $\mathbf{P}$  for the next messages.



## 4 Physical Layer Security in reflected signals towards multiple receivers

In real life scenarios, we deal with more than two communicating actors. We want to expand the work of chapter 3 by having it support multiple RISs in series and multiple receivers from the same transmitter. Once we have those, we can generalize it to also have receivers getting signals from multiple independent reflections of RISs.

We will first, however, make some simplifications about the actors by having  $L = K$  for all of them<sup>1</sup>. We will still consider one transmitter, with  $J \geq 1$  receivers.

In this section, we consider the scenario illustrated in fig. 4.1, where a single transmitter Alice and multiple receivers exist. Frank is a direct receiver in line of sight. Bob and Charlie receive the signal from two double RIS reflections. Eve receives both the direct signal and the reflecting signal from all RISs.<sup>2</sup>

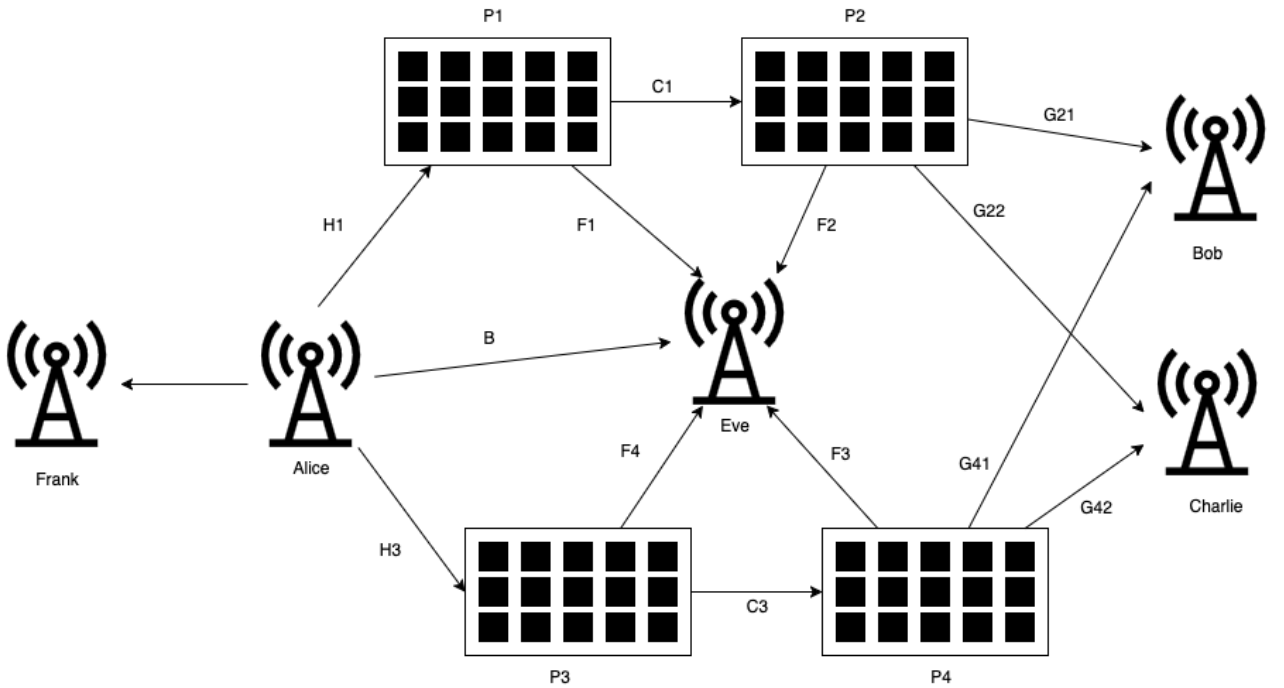


Figure 4.1: Complex setup for secure message transmission

More generally, we would have  $M$  consecutive RIS (in series) that reflect a signal,  $J$  legitimate receivers and  $Q$  different paths of RIS (in parallel) to send the signal at the same time.<sup>3</sup>

<sup>1</sup>We want the actors to be able to communicate with each other. Since the transmitter needs to have an equal or greater number of antennas than the receiver, but the roles may later switch, it follows that the number of antennas must be equal for the calculation. Using more antennas can still be done, by not considering the values coming from them (like the original paper did as well).

<sup>2</sup>It should be noted that if *Eve* is in the same position as *Frank* and receives just the direct signal, our particular framework would not give us physical layer security, and higher layer security would be needed. If instead *Eve* has no line of sight, the message would be completely unreadable from the start, since it would receive random matrices.

<sup>3</sup>The paths could have a different number of RIS (for example, a path of three and another of two). The results would still hold.

## 4.1 Reflecting to multiple users

We consider the case where the transmitter wants to send the signal to  $J$  receivers without LOS. We define the link from the RIS to the receiver  $j$  as  $\mathbf{G}_j$ . The condition we want to satisfy is

$$\forall j \in \{1, 2, \dots, J\} \rightarrow \|\mathbf{G}_j \mathbf{P} \mathbf{H} - [\mathbf{G}_j \mathbf{P} \mathbf{H}]_{diag}\|^2 = 0 \quad (4.1)$$

$$\forall j \in \{1, 2, \dots, J\} \rightarrow \mathbf{W}_j = \sum_{i,k=1, i \neq k}^K (g_{jk,:} \odot h_i^T)^H (g_{jk,:} \odot h_i^T) \quad (4.2)$$

$$\forall j \in \{1, 2, \dots, J\} \rightarrow \mathbf{W}_j p = 0 \quad (4.3)$$

$$\begin{bmatrix} \mathbf{W}_1 \\ \mathbf{W}_2 \\ \dots \\ \mathbf{W}_J \end{bmatrix} p = 0 \quad (4.4)$$

$$\begin{bmatrix} \mathbf{W}_1 \\ \mathbf{W}_2 \\ \dots \\ \mathbf{W}_J \end{bmatrix} = \mathbf{W} \in \mathbb{C}^{JN \times N}, \mathbf{W} = \mathbf{R} \mathbf{\Sigma} \mathbf{V}^H \quad (4.5)$$

The problem we have now is that  $\mathbf{W}$  is not a square matrix anymore, so we cannot use the last  $N - (K^2 - K)$  columns of  $\mathbf{R}$  to calculate the null space and  $p$  with its linear combination, by using formula (3.8). The null space would have dimension  $\text{null}(\mathbf{W}) \in \mathbb{C}^{JN \times (N - (K^2 - K))}$ , but that would require a  $p \in \mathbb{C}^{JN}$  instead of  $p \in \mathbb{C}^N$ .

We can, however, use the last  $N - (K^2 - K)$  rows of  $\mathbf{V}^H$ , then apply again the hermitian transposition to get our desired solution. Remember that  $N(\mathbf{W})$  can also be calculated using the left singular matrix, by using formula (3.9).  $\mathbf{W}$  is not a square matrix, so  $\mathbf{W} \neq \mathbf{W}^H$ ,

$$N(\mathbf{W}) = \begin{bmatrix} v_{N-J(K^2-K)}^H \\ \dots \\ v_N^H \end{bmatrix}^H \quad (4.6)$$

Take  $\mathbf{U}_1 \in \mathbb{C}^{N-J(K^2-K) \times N}$  the last  $N - (K^2 - K)$  rows of  $\mathbf{V}^H$ , and

$$\mathbf{U} = \mathbf{U}_1^H \in \mathbb{C}^{N \times N - J(K^2 - K)} \quad (4.7)$$

We now can apply the same method as before

$$p = \mathbf{U} q \quad (4.8)$$

$$\mathbf{W} \mathbf{U} = 0 \quad (4.9)$$

$$\mathbf{W} \mathbf{U} q = 0 \quad (4.10)$$

## 4.2 RISs in parallel

Given the previous property, it follows that we can use  $Q$  independent RISs, each one reflecting the signal to  $J$  multiple receivers, and without LOS from each other. For the receiver  $j \in \{1, 2, \dots, J\}$ , we have

$$\sum_{m=1}^M \mathbf{G}_j \mathbf{P}_m \mathbf{H}_m x = \left( \sum_{m=1}^M \mathbf{G}_j \mathbf{P}_m \mathbf{H}_m \right) x \quad (4.11)$$

The sum of diagonal matrices is still a diagonal matrix, so the property still holds. Remember that we only care about the indexes of the active antennas, so there is no problem in adding them together.

### 4.3 RISs in series

We consider the case where the signal is bounced between  $M$  RISs with reflection matrixes  $\mathbf{P}_1, \dots, \mathbf{P}_m$  in this way:

$$\text{Transmitter} \rightarrow \text{RIS 1} \rightarrow \dots \rightarrow \text{RIS } M \rightarrow \text{Receiver} \quad (4.12)$$

We call  $\mathbf{C}_i \in \mathbb{C}^{N \times N}$  the channel gain between  $\mathbf{P}_i$  and  $\mathbf{P}_{i+1}$ . We need to solve

$$\|\mathbf{G}\mathbf{P}_1\mathbf{C}_1\dots\mathbf{P}_M\mathbf{H} - [\mathbf{G}\mathbf{P}_1\mathbf{C}_1\dots\mathbf{P}_M\mathbf{H}]_{diag}\|^2 = 0 \quad (4.13)$$

We can generate  $p_1, \dots, p_{M-1}$  as random reflections, and calculate the last one based on the previous. An advantage we get is that eavesdroppers listening from a middle RIS will not be able to decipher the signal either.

Given  $r_i \in [0, 1]$  the absorption coefficient, and  $\theta_i \in [0, 2\pi]$  the phase shift, we can choose them randomly for all RIS  $p_m$  vectors, but the last one.

$$\forall m \in [1, M-1] : p_m[i] = \eta r_i e^{j\theta_i} \quad (4.14)$$

Given now

$$\mathbf{G}' = \mathbf{G}\mathbf{P}_1\mathbf{C}_1\dots\mathbf{P}_{M-1}\mathbf{C}_{M-1} \in \mathbb{C}^{K \times N} \quad (4.15)$$

We can consider now the problem of solving

$$\|\mathbf{G}'\mathbf{P}_M\mathbf{H} - [\mathbf{G}'\mathbf{P}_M\mathbf{H}]_{diag}\|^2 = 0 \quad (4.16)$$

Which can be solved as before.<sup>4 5</sup>

If we have multiple  $\mathbf{G}_j$ , it will be enough to calculate all the  $\mathbf{G}'_j$  and proceed as before, allowing us to combine these properties in more complicated scenarios.

### 4.4 Complex reflections

The receiver could also get the signal from all the RISs in series, if in the right position.

For example, let's say it receives the signals  $\mathbf{G}\mathbf{P}_1\mathbf{H}_1x$  and  $\mathbf{G}\mathbf{P}_1\mathbf{C}_1\mathbf{P}_2\mathbf{H}_2x$ . To solve this system, instead of setting  $\mathbf{P}_1$  randomly, we would need first to solve it using  $\mathbf{G}$  and  $\mathbf{H}_1$ , then solve  $\mathbf{P}_2$  using  $\mathbf{G}' = \mathbf{G}\mathbf{P}_1\mathbf{C}_1$  and  $\mathbf{H}_2$ . The sum of the two signals would still be readable for the receiver correctly.

While the calculations of  $\mathbf{P}_1$  depend on  $\mathbf{G}$  and  $\mathbf{H}_1$ , the signal would still be random and undecipherable for an eavesdropper receiving it.

<sup>4</sup>It is also possible to set up randomly the last  $M-1$  RIS and calculate the first one using  $\mathbf{G}$  and  $\mathbf{H}' = \mathbf{C}_1\mathbf{P}_2\mathbf{C}_2\dots\mathbf{P}_M$ . The properties still hold.

<sup>5</sup>Estimating the channel gains  $\mathbf{G}$  and  $\mathbf{H}$ , based on [16], could be more difficult, given that we do not have full control on  $\mathbf{P} = \mathbf{P}_1\mathbf{C}_1\dots\mathbf{P}_M$  anymore. We can however estimate directly  $\mathbf{G}'$  by keeping the same random  $\mathbf{P}_1, \dots, \mathbf{P}_{M-1}$  in both the acknowledgment round and the message transmission round, and just modify  $\mathbf{P}_M$  after estimating  $\mathbf{G}'$  and  $\mathbf{H}$  to correctly deliver the message.

# 5 Simulation Results

In this chapter, we will evaluate the performance of the proposed framework through extensive simulations of different scopes and scenarios.

In section 5.1, we perform Bit Error Rate (BER) analysis using stochastic simulations to show the relation between correct capture of the signal depending on the Signal to Noise Ratio (SNR). This will allow a direct comparison to the results obtained from the paper [27], to show the efficacy of our expansion.

In section 5.2, we will simulate more realistic scenarios by considering path loss and spatial deployment, creating BER heatmaps to show the validity of the framework in physical environments and the security offered for all possible locations of an eavesdropper.

All the code used to test and create the graphs can be found in Appendix A, later in the document.

## 5.1 BER stochastic simulation

We will show simulation results for different combinations of  $M$  RISs and  $J$  receivers, both with a single and double reflection paths from the transmitter. In all scenarios,  $K = 2$ ,  $N = 16$ ,  $\eta = 0.9$  will be the number of antennas for all actors, the number of reflecting surfaces and the reflection coefficients. We take these parameters to compare the results to the original paper [27] fig. 5.1b. We will also add a 95% confidence interval, to better show the statistical validity of our simulations.

The direct link and the eavesdropper will try to understand the message by following the equation (3.14), while the receivers will try to understand it by following the equation (3.16).

### 5.1.1 Single RIS reflection (M=1)

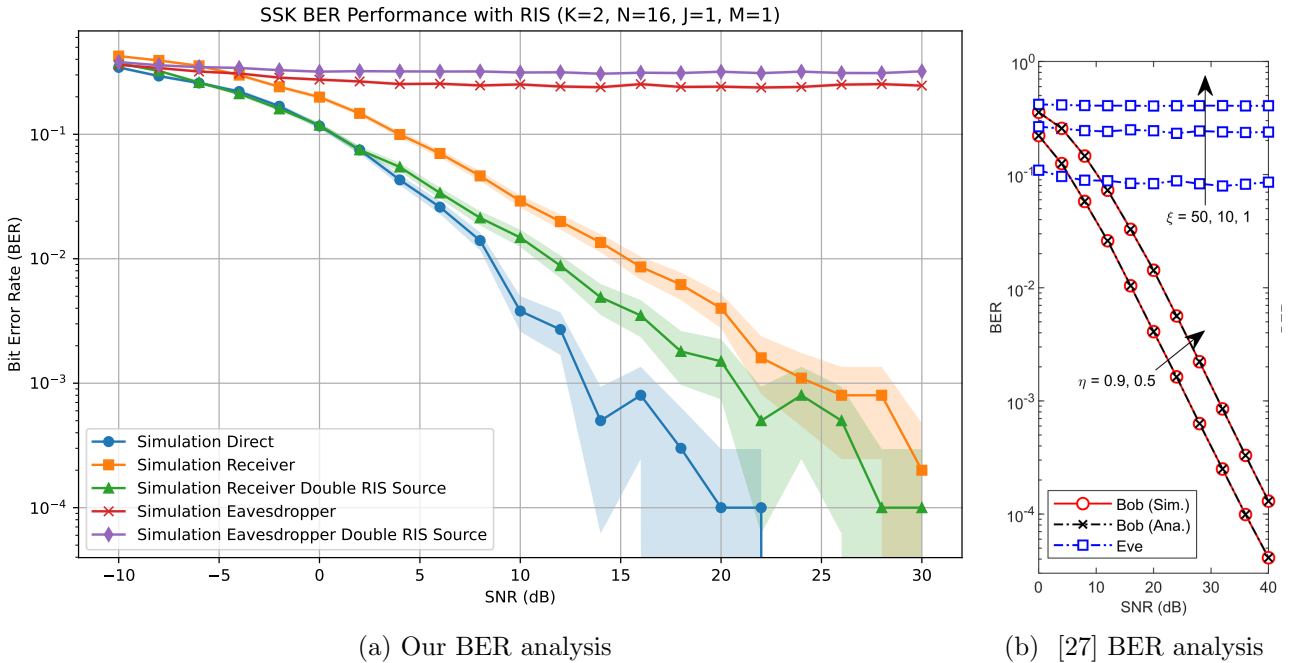


Figure 5.1: SSK BER Performance with RIS ( $K=2$ ,  $N=16$ ,  $J=1$ ,  $M=1$ )

We can see in ( $M = 1$ ,  $J = 1$ ) the results match with [27], for both *Simulation Receiver* and *Simulation Eavesdropper*. *Simulation Direct* is the strongest possible path, mainly because of the reflection loss due to  $\eta$ . Combining two different RIS in parallel (*Double RIS Source*) gives better signal to the receiver, while disturbing more the signal to the eavesdropper.

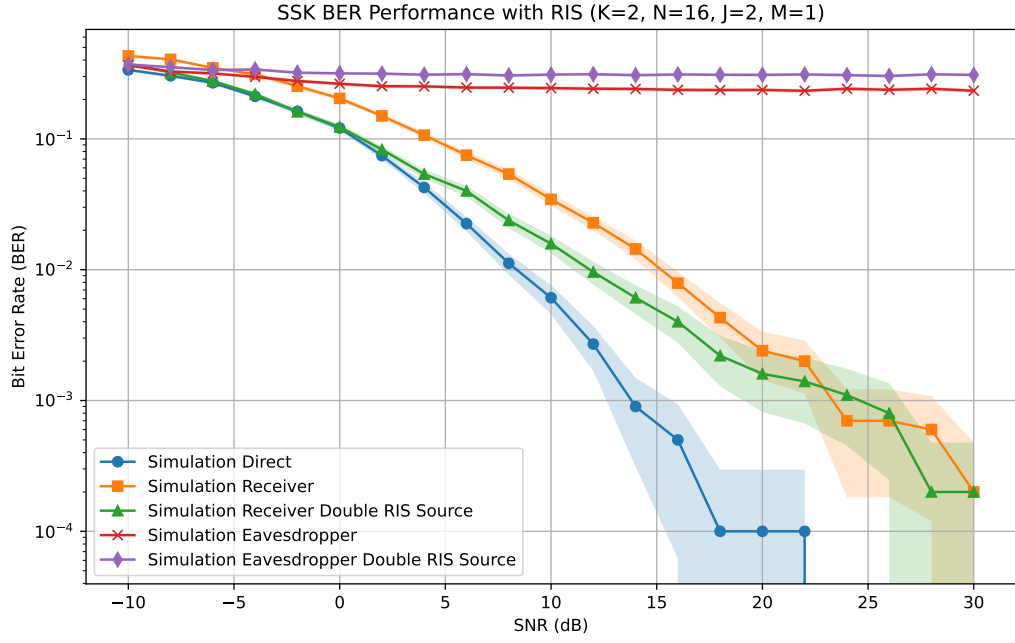


Figure 5.2: SSK BER Performance with RIS ( $K=2$ ,  $N=16$ ,  $J=2$ ,  $M=1$ )

Increasing the number of receivers does not influence the result of our framework: the receivers still get a good signal depending on the SNR, while the eavesdropper is not getting an advantage in understanding the message.

### 5.1.2 Double RIS reflection ( $M=2$ )

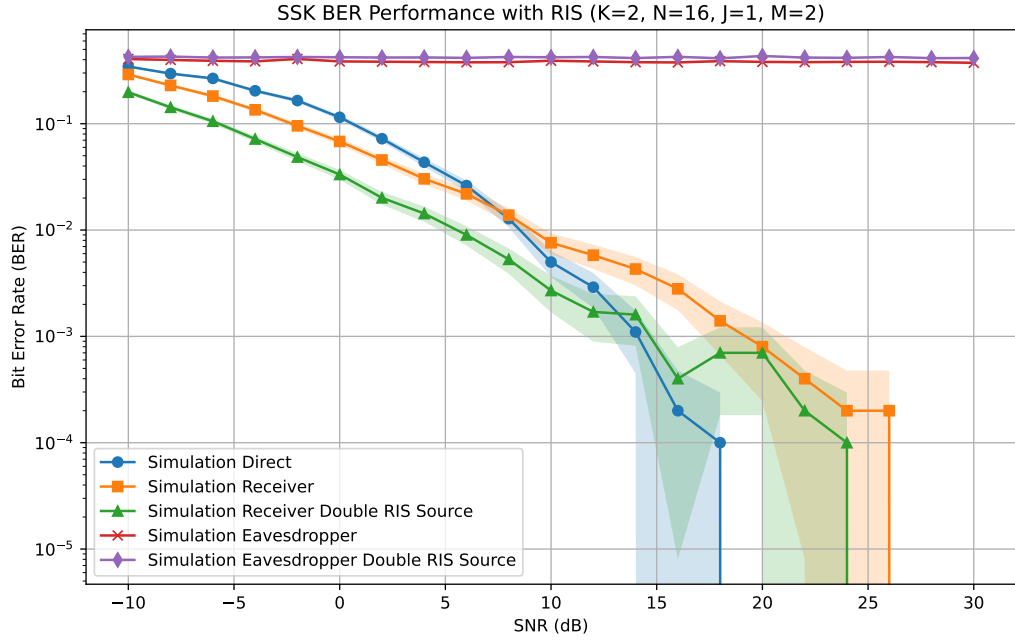


Figure 5.3: SSK BER Performance with RIS ( $K=2$ ,  $N=16$ ,  $J=1$ ,  $M=2$ )

With multiple RIS in series, the eavesdropper get a worse signal because of the double interference of the 2 RIS.

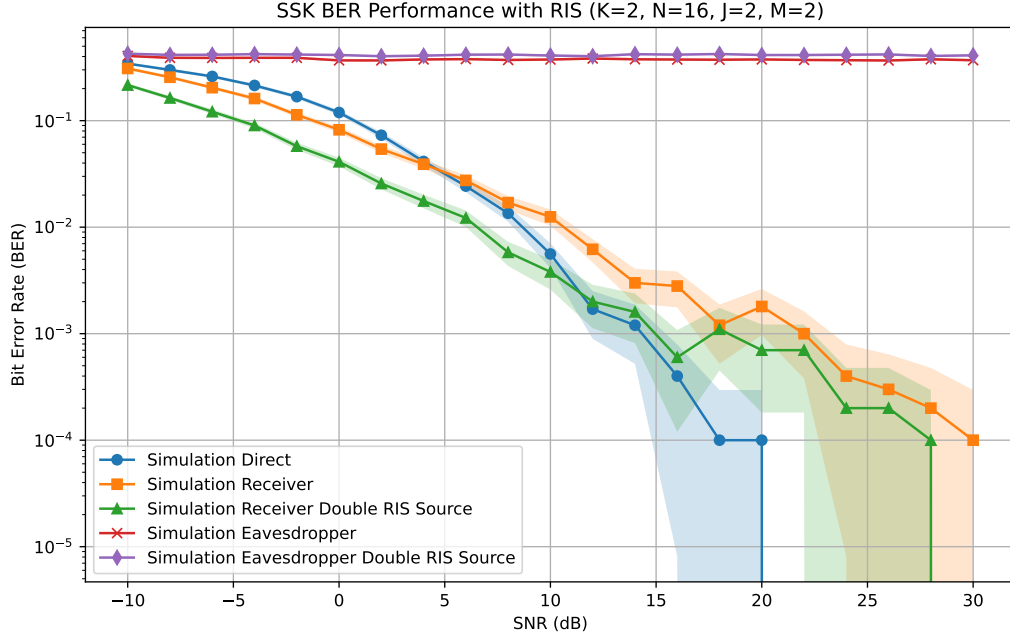


Figure 5.4: SSK BER Performance with RIS ( $K=2$ ,  $N=16$ ,  $J=2$ ,  $M=2$ )

Combining all together with two paths and double reflection to two receivers, our properties still hold strong.

### 5.1.3 Theoretical conclusions

From the graphs we can see that changes in the Signal to Noise Ratio (SNR) do not help the eavesdropper in understanding the message better. When there is a lot of noise, the performance is similar to the legitimate receivers, because no one is able to decipher the message.

When the noise level is much lower, and the signal strength much higher in comparison, the legitimate user understands the message much better, while the eavesdroppers do not. This is because even if the natural noise is reduced, the artificial noise caused by the unreadable RIS signal distorts the received communication for unwanted hearing users, and at the end the BER remains constant.

From fig. 5.1a, we can already notice a lot of useful information. Firstly, the resulting graph comes out very similar to the one made by [27]. This is good, because now we have confirmation of our base work, useful to also be confident about our extension and mathematical modifications. In their graph Fig 5.a, they show different lines based on multiple reflection coefficients  $\eta$ , while we used only  $\eta = 0.9$ , and different Rician Factors to model the power difference between the direct signal and the reflected one, while we used only the eavesdropper with value equal to 1. Comparing those two particular lines to ours "Simulation Receiver" and "Simulation Eavesdropper".

We can focus better on our additions. Firstly, by adding the raw performance of the direct link, we can see that our reflected signal still gives for our legitimate users a solid link to communicate, that offers great performance even when considering the reflection coefficient loss  $\eta$ . Second, we can see that by adding a second reflection path with a double RIS source, our users get better signal while eavesdroppers get even more noise.

In fig. 5.2 we can see how adding a second legitimate user does not influence the performance of our proposed general solution. This is great: our solution is more scalable, with the same capability to serve data securely. Adding more user constraints does not make our system more vulnerable or less understandable.

In fig. 5.3 and fig. 5.4 we can make similar considerations. Even by adding more reflections for each single path, our framework still shows high promises. We can see, however, that the legitimate receivers BER falls much faster. This is because without considering signal strength reductions due to path loss, multiplying the related matrices improves the overall signal calculated. This is why we also added a new type of simulation to our research, to test our framework with more realistic and specific scenarios.

## 5.2 BER realistic scenario simulation

In this section, we evaluate our framework in more realistic spatial environments by creating BER heatmaps that show security performance across different physical locations. We model various path loss scenarios and analyze how they affect the security properties of our system.

We model the channel gain  $H$  and the path loss based on the distance between the actors. We will define  $\lambda$  as the wavelength of the signal, and  $d$  as the distance between two actors.

### 5.2.1 Channel gain calculation

We model the Rician fading [48] matrix  $\Xi$ , to consider possible fading due to multipath interference. Using the Shape Parameter  $\tau$ , defined as the ratio of the power contributions by line-of-sight path to the remaining multipaths, and the Scale parameter  $\xi$ , defined as the total power received in all paths, we can calculate

$$\nu^2 = \frac{\tau\xi}{1 + \tau} \quad (5.1)$$

$$\sigma^2 = \frac{\xi}{2(1 + \tau)} \quad (5.2)$$

and we can generate  $\Xi$  by creating a random complex matrix where the real and the imaginary values are extracted from a gaussian distribution  $C(\frac{\nu}{\sqrt{2}}, \sigma)$  [47]

Then, given an actor  $r$  with  $n_r$  antennas disposed as a *uniform linear array*, we can define the *unit spatial signature in the directional cosine*  $\Omega = \cos\phi$  [45] as

$$e_r(\Omega) = \frac{1}{\sqrt{n_r}} \begin{bmatrix} 1 \\ \exp(-j2\pi\Delta\Omega) \\ \exp(-j2\pi2\Delta\Omega) \\ \vdots \\ \exp(-j2\pi(n_r - 1)\Delta\Omega) \end{bmatrix} \quad (5.3)$$

where

- $\Delta$  is the distance between the antennas (usually  $\lambda/2$ )
- $\phi$  is the angle of incidence of the line-of-sight onto the actor antenna

and we can model the channel gain matrix [45] as

$$\mathbf{H} = \Xi \odot \sqrt{n_t n_r} \exp(-j2\pi d/\lambda) e_r(\Omega_r) e_t(\Omega_t)^H \quad (5.4)$$

We will use this equation both for a direct transmission between two actors, or between an actor and a RIS.

### 5.2.2 Path loss calculation

We begin by modeling the free space path loss [46] between two points as

$$PL = ((4\pi/\lambda)^2 d^k)^{-\frac{1}{2}} \quad (5.5)$$

where  $k$  is equal to 2 when the antennas are isotropic, meaning they radiate power uniformly in all directions in three dimensional space. We consider ideal conditions, and thus set  $k = 2$ .

For a direct LOS communication between the transmitter and another actor (either a legitimate receiver or an eavesdropper), the signal received from input  $x$  would be

$$y = PL_B \cdot \mathbf{B}x \quad (5.6)$$

We define the mean antenna strenght (*MAS*) of a channel gain matrix  $\mathbf{H} \in \mathbb{C}^{X \times Y}$ , with columns  $h_1, \dots, h_X$ , as

$$MAS_{\mathbf{H}} = \sum_{x=1}^X ||h_x||^2 \quad (5.7)$$

and

$$\log MAS_{\mathbf{H}} = \log_{10} MAS \quad (5.8)$$

We will use the  $MAS$  to show and compare the strenght between the direct signal and the reflected signal from a RIS of any given point. By calculating it with a channel matrix, multiplied by the path loss, we can see the scale of the signal coming from different sources and draw conclusions on our framework efficacy.

Given a reflected signal with channel gain  $GPH$ , where

- $\mathbf{G}$  is the communication transmitter-RIS
- $\mathbf{H}$  is the communication RIS-actors
- $\mathbf{P}$  is the RIS reflection coefficient diagonal matrix

we have two different LOS communications. We have different ways of calculating the total path loss:

- we consider the RISs to be active, meaning they amplify the signal received before reflecting it and so they negate the path loss reduction. The signal received would be  $y = PL_H \cdot \mathbf{GPH}x$ . As a result, in case of multiple RISs, only the last connection path loss is considered. We will call this as a *active path loss*
- we consider two separate path losses, one for each LOS. The signal received would be  $y = PL_G \cdot PL_H \cdot \mathbf{GPH}x$ . In case of multiple RISs, we multiply the path loss of all connections. We will call this as a *product path loss*. This usually represents a non directional, isotropic RIS, where the reflected signal is scattered uniformly across all directions and the path loss is significant.
- we consider one single path loss from the sum of the two distances ( $d = d_{t-RIS} + d_{RIS-r}$ ). The signal received would be  $y = PL_{G+H} \cdot \mathbf{GPH}x$ . In case of multiple RISs, we add all the distances. We will call this as a *sum path loss*. This is a simplification of a much more complex type of RIS, called directional RIS, which modulates the phase and magnitude of its elements to redirect the signal in a single direction. This regulation reduces the path loss caused by the second reflection, in relation to the number of elements  $N$  of the RIS. It is possible to read more in the paper [4]. It is important to note that, while we are showing results of how a scenario with this type of path loss may act, we will just vary the calculation of the path loss for each point in the map, and will not make any calculations of directional phases themselves. The RIS would still look like an uniform sending relay, and it is included in the document to show the potentiality of our framework in that condition. So for the *sum path loss*, it is important to remember this theoretical limitation. For example, we will show the BER for two different receivers in different locations and various situations, but of course it not be possible to serve in multiple direction for that type of RIS. Mathematically, you could see our graphs of this kind as showing the situation where we have  $\lim_{X \rightarrow \infty} X$  RIS, all in the same position, each one directed to a different point of the map, with none interfering with each other. It is most certainly an interesting topic for a later research, the actual implementation of our framework with the constraint of directionality of the RIS. This could be done by putting more constraints on the vector  $q$  in (3.12).

### 5.2.3 The two scenarios

We will show the main graph, showing the heatmap of the BER for each square, and two or three graphs, showing the  $\log MAS$  received in that point from the transmitter, or from a reflection passing through a RIS. For the BER, you could consider all spots not signed by a label as a possible eavesdropper location.

$\mathbf{T}$  represents the transmitter,  $\mathbf{P}_m$  are the RIS and  $\mathbf{R}_j$  the legitimate receivers.



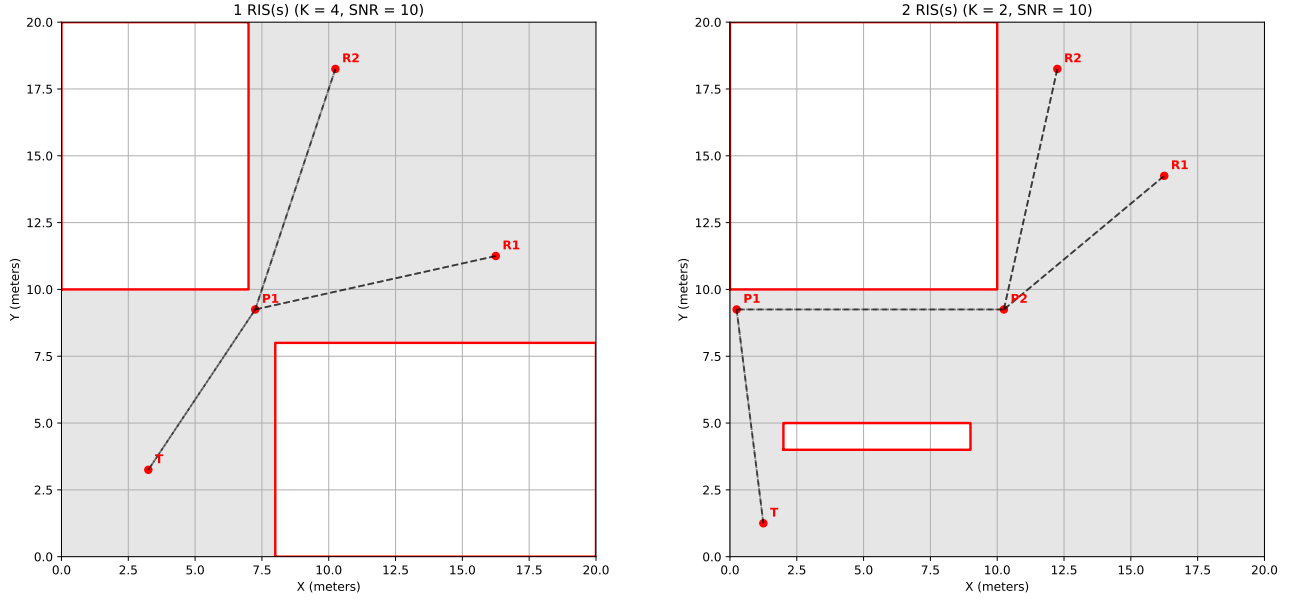


Figure 5.5: Our studied scenarios

We will study these two scenarios. The first one will be useful to show what happens with the RIS when the eavesdropper has or has not LoS with the transmitter; The second one will show the efficacy of a double RIS reflection, and the combined noise to eavesdroppers with LoS with one or both RISs and the transmitter.

#### 5.2.4 Single angle of reflection, aided by 1 RIS

Here we have a standard scenario where the transmitter has only a conical view between two buildings, and the receivers do not have direct LOS. What we want to show is that without LOS the message is completely unreadable except for the legitimate users, while the spots in LOS with the transmitter still receive various levels of noise.

For all of the three kinds of path loss, we will have the following parameters:  $\lambda = 0.08m$ , as standard for 5G connections,  $\tau = 0.6$ ,  $\xi = 1$ ,  $\eta = 0.9$ ,  $SNR = 10dB$ ,  $K = 4$ ,  $N = 25$ . In particular, we want to use for this scenario a higher number of antennas per actor and reflecting elements per RIS to show: 1) a bit more complex configuration than the standard  $K = 2$ ,  $N = 16$ ; 2) show that the BER does have an upper limit on 0.5, the value of random guessing. This is because, even if a higher  $K$  could make one suppose you have only  $1/K$  probability of guessing the turned on antenna, the actual bit representation is only made of 0 and 1, and thus the probability of error for each bit is 0.5.

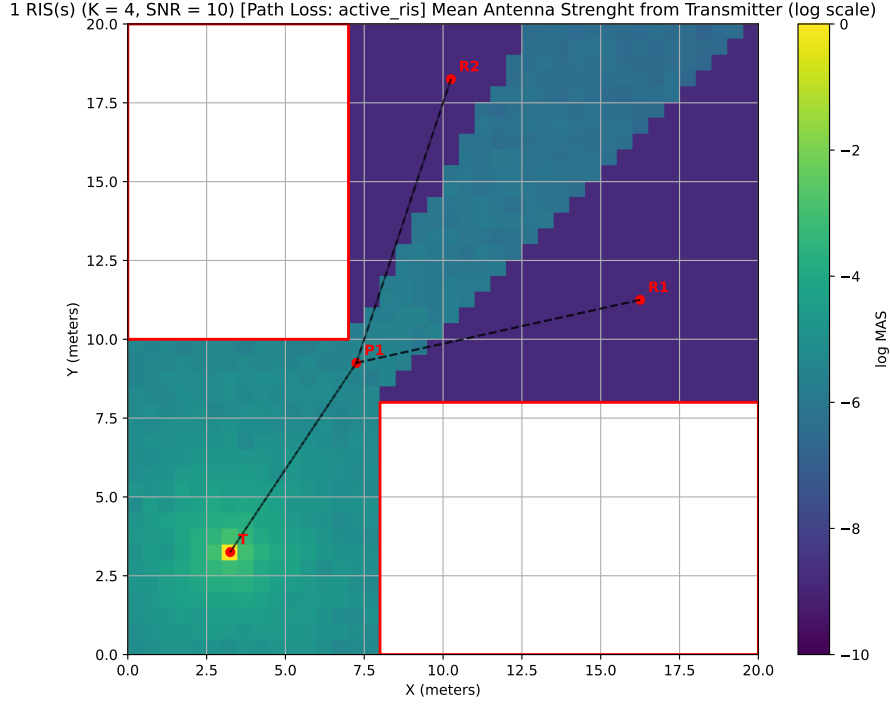


Figure 5.6: 1 RIS(s) Mean Antenna Strenght from Transmitter (log scale)

**Path loss: active** We can see here how this type of path loss ensures that in the vicinity of the RIS the Bit Error Rate remains very high, thanks to the active power component of the RIS itself.

We can see the reflected signal from the RIS has the RIS itself as the center.

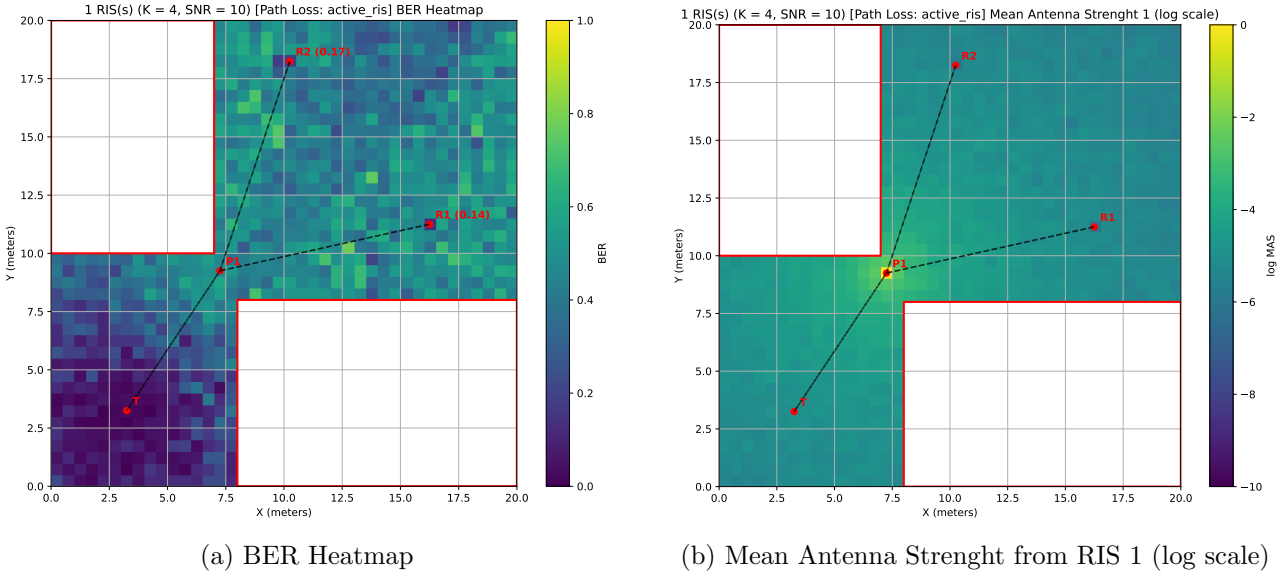


Figure 5.7: 1 RIS(s) [Path Loss: active ris]

**Path loss: product** With this type of path loss, the signal coming from the RIS has significantly lower power than the direct link from the transmitter, and cannot influence significantly the outcome. Outside LOS, the framework still works as expected.

The power of the signal reflected from the RIS is so low, it does not show in the heatmap, as it is lower than  $10^{-10}$ .

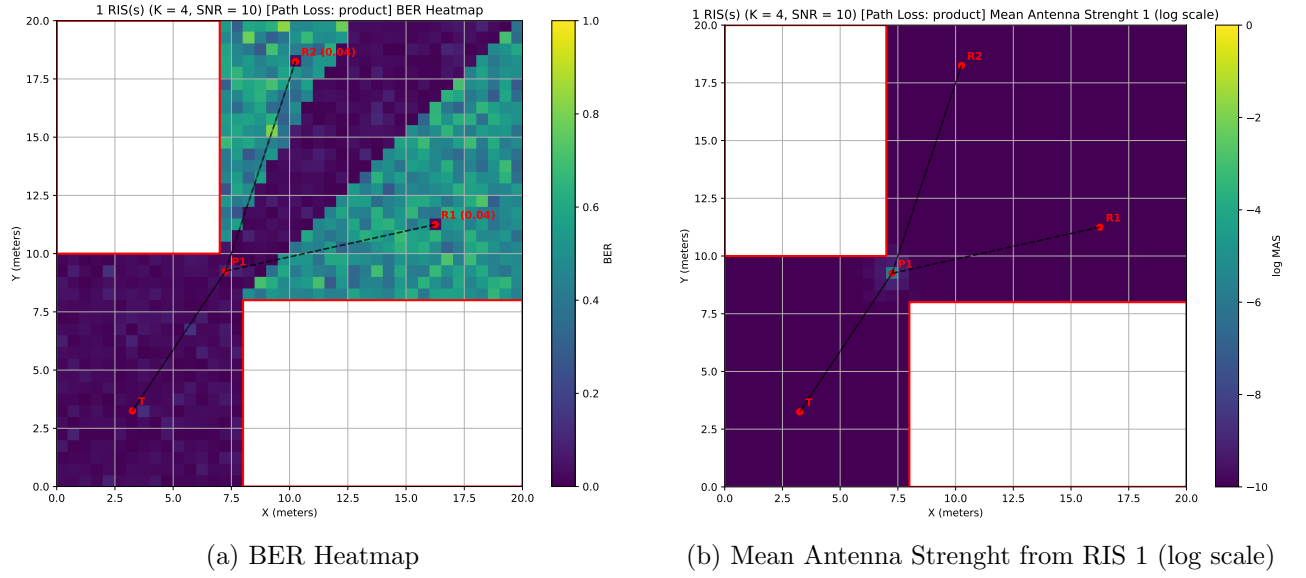


Figure 5.8: 1 RIS(s) [Path Loss: product]

**Path loss: sum** This is the path loss model that best confirms our previous BER analysis. Without LOS, the BER for eavesdropper is stable at 0.5, the same as random guessing. With LOS, the RIS is still able to influence significantly the outcome, with more noise that reduces the BER at 0.3

We can see how the power coming from the RIS reflection looks more uniform than the others. This is because, as we said before, this type of path loss actually models a directional RIS. The total result of this graph does not exist. You could see them as the union of all the possible graphs considering a single direction of the reflection.

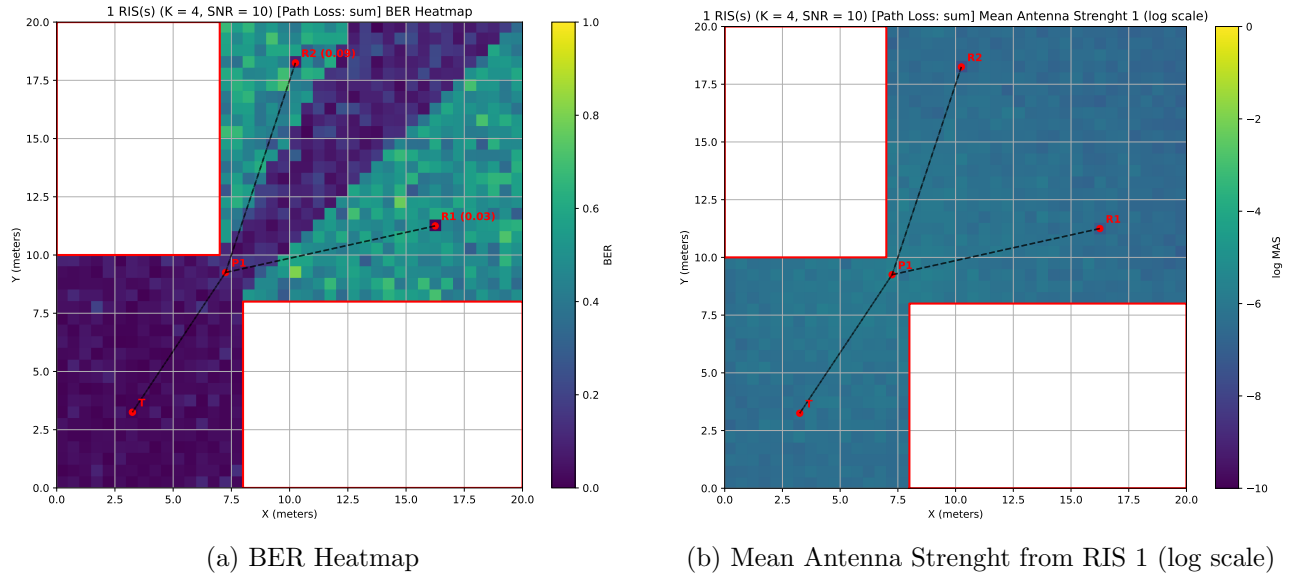


Figure 5.9: 1 RIS(s) [Path Loss: sum]

### 5.2.5 Double reflection from 2 RIS in series

It is certainly interesting to also study what would happen if we concatenate two RIS in series in relation to their combined path loss. For these tests, we used  $\lambda = 0.08m, \tau = 0.6, \xi = 1, \eta = 0.9, SNR = 10dB, K = 2, N = 16$ .

All scenarios assume that the RIS use the same kind of path loss, meaning they are of different kind (active, passive uniform, passive directional). Of course, it would be an interesting case studying combining different variations of them to reach the most cost and power - efficient configuration.

Additional scenarios with RIS in parallel would also be valuable for future research, as they would likely show promising security characteristics based on our preliminary analysis.

**Path loss: active** Similar to the previous scenario, we can clearly see where the RIS have significant power to influence the signal reception, and where without LOS the signal is undecipherable for eavesdroppers.

As said before, it is slightly visible where the direct signal from **T** is and is not present. It is not visible however the difference where only **P2** creates interference from where both **P1** and **P2** contribute to the noise. You could imagine the area by selecting the intersection between the two RIS Channel Power graphs.

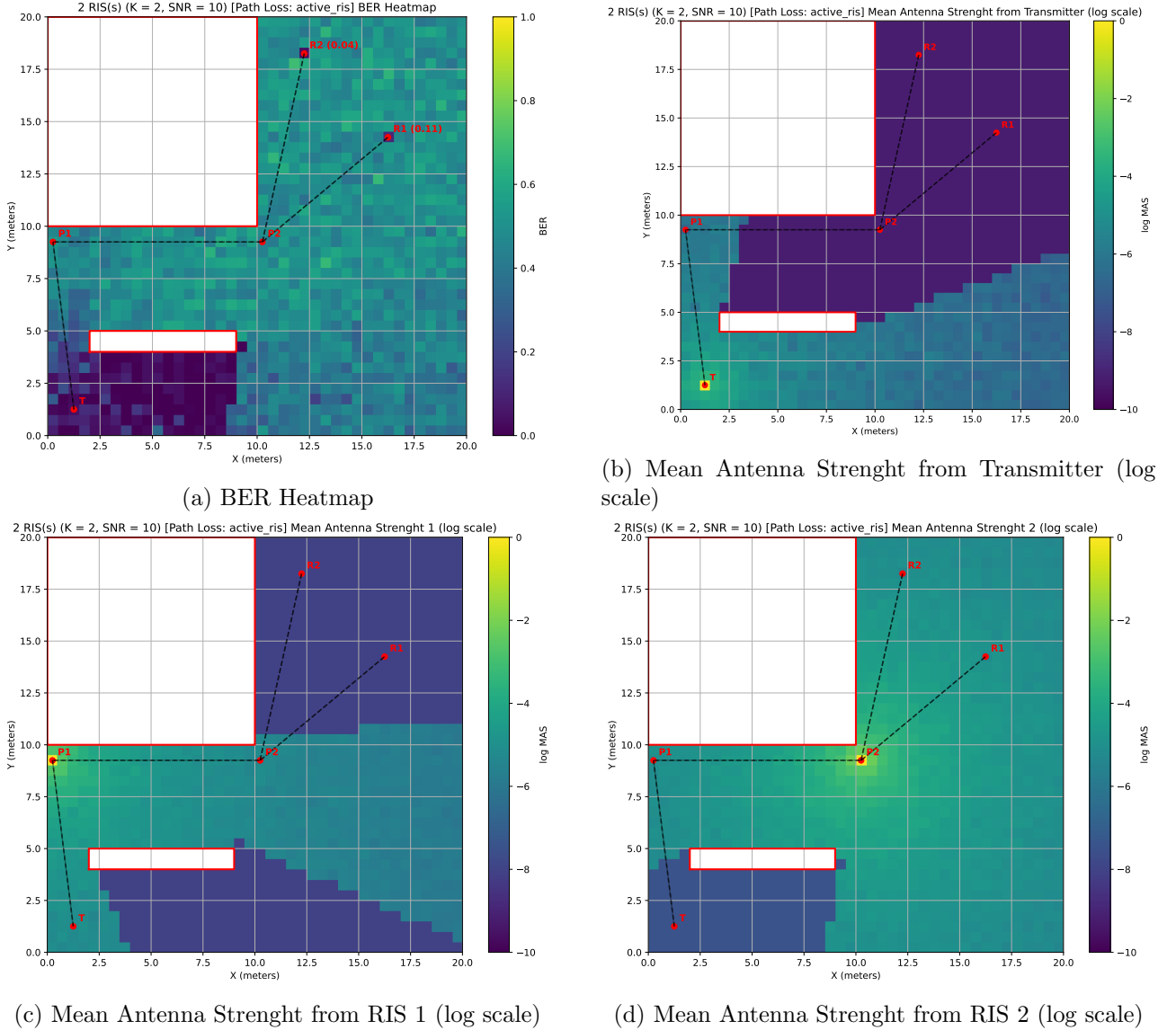
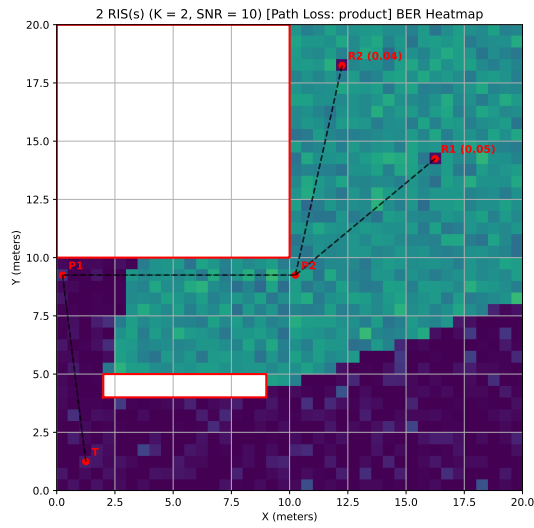
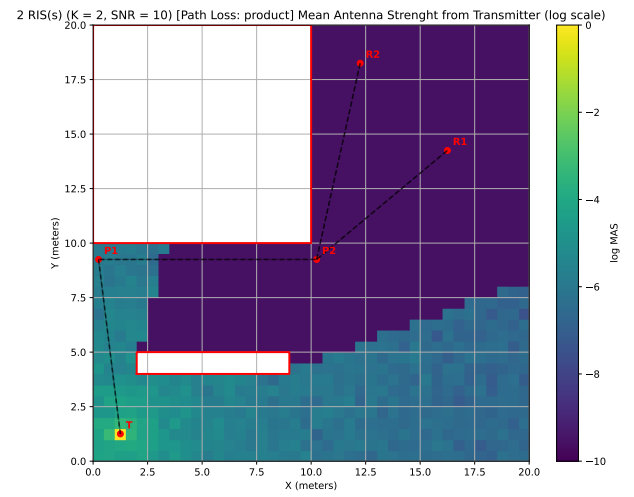


Figure 5.10: 2 RIS(s) [Path Loss: active ris]

**Path loss: product** We can draw similar conclusions as before for the product path loss. As we can see, the direct signal is not disturbed, and the reflection from **P2** after being already reflected from **P1** is so low, it does not even show on the **P2** position itself.

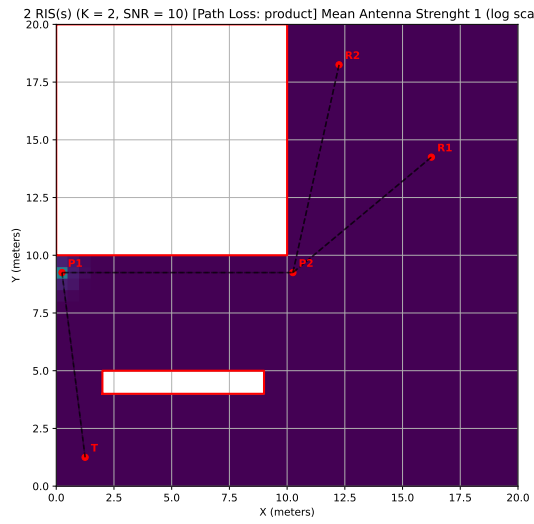


(a) BER Heatmap

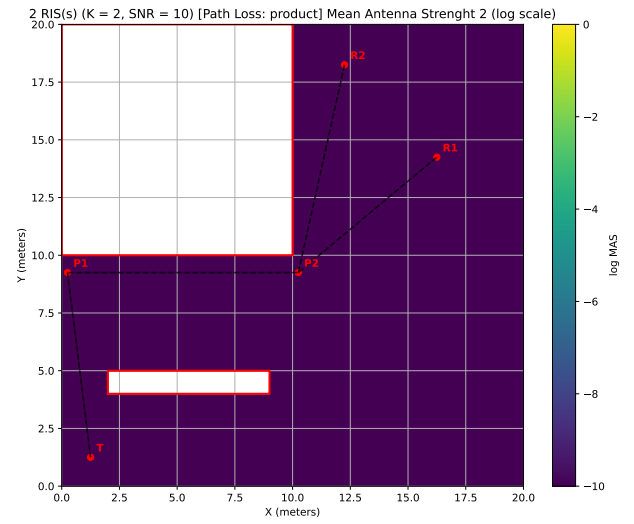


(b) Mean Antenna Strenght from Transmitter (log scale)

Figure 5.11: 2 RIS(s) [Path Loss: product]



(a) Mean Antenna Strenght from RIS 1 (log scale)



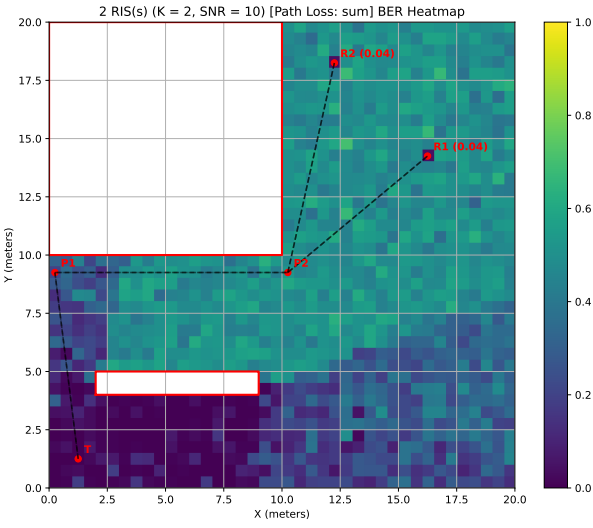
(b) Mean Antenna Strenght from RIS 2 (log scale)

Figure 5.12: 2 RIS(s) [Path Loss: product]

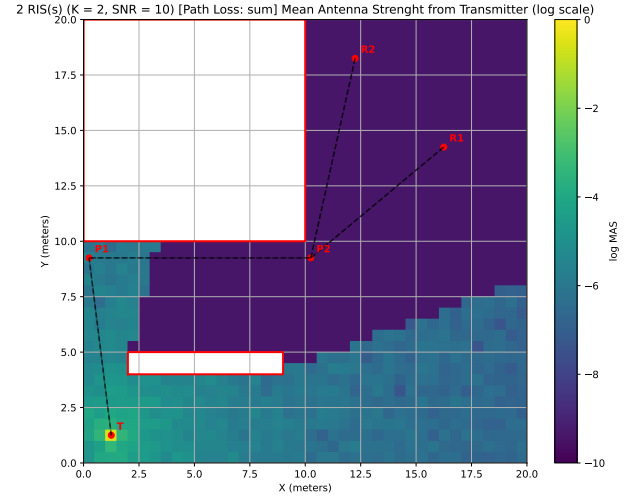
**Path loss: sum** The distinction between having or not LOS are very visible here. The displayed values for the BER are in line with the previous graph on the same kind of path loss.

Like the active path loss, here there is no difference in having a single disturbance from **P2** versus from both **P1** and **P2**.

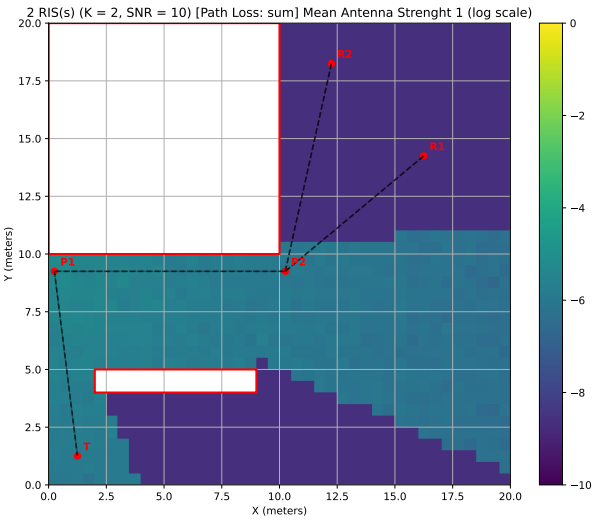
We do remember also here that this graph is not realistic, as RIS should be directional. Again, we invite you to consider this as the sum of all possible direction the RIS could take.



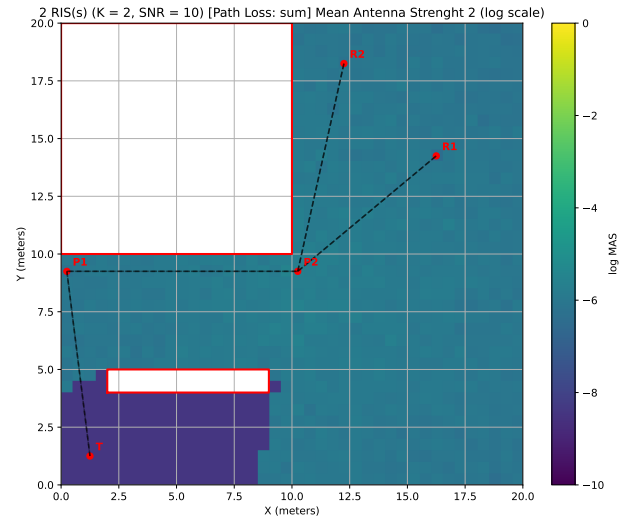
(a) BER Heatmap



(b) Mean Antenna Strenght from Transmitter (log scale)



(c) Mean Antenna Strenght from RIS 1 (log scale)



(d) Mean Antenna Strenght from RIS 2 (log scale)

Figure 5.13: 2 RIS(s) [Path Loss: sum]

### 5.2.6 Heatmap conclusions

We can see from the proposed path loss common properties:

- with *active path loss*, the RIS channel power is of the same order of magnitude as the transmitter channel power, so the direct signal receives significant noise. The problem is that, being an active RIS, it costs way more in both the deployment and the maintenance;
- with *product path loss*, the RIS channel power is orders of magnitude smaller. The message remains hidden in areas without direct line of sight to the transmitter. If the budget is tight, or the direct LOS security is less needed compared to the NLOS security (for example, if the space in LOS with the transmitter is completely under control), this is an excellent possibility;
- with *sum path loss*, the disturbance effect is still visible, although less effective. It also the one with the results most similar to the theoretical simulation we made in the previous section. Of course, the results must be considered keeping in mind that the RIS would be directional. It is an optimal choice for example to provide noise in a specific area, or if the scenario is composed of tight hallways.

Depending on the scenario, the budget and the level of security and obscurity needed, our framework provides an excellent choice for different kinds of situations and contexts.

Unfortunately, for specific vehicular applications, our framework is not really flexible due to the specific relation between the position, the distance and the channel gain matrix which then influence the readability of the signal. Our framework is still usable and highly recommended for static antennas and actors. For example, at a crossroad, fixed antennas could communicate with the cars in the LOS using standard communication protocols, and with each other using RIS and our framework. The autonomous cars could also implement a traffic light - free crossroad queue system, when they would stop, communicate and coordinate with each other for who can go first. Common distributed algorithms for leader election could be used, like the *Bully Algorithm* [12].

It should be noted that the main difficulty in using our framework in conjunction with high speed moving vehicles is because of the channel gain estimation, since we do not only need the current one, but predict the next one where the car would go. Promising results are already coming in like in the paper "*Adaptive Massive MIMO for fast moving connected vehicles: It will work with Predictor Antennas!*" [33], which studies how to use a different set of antennas, called Predictor Antennas, used to predict the main channel gain with great accuracy. Similar literature can be found, showing a great interest in the field. For example, we also cite "*Channel Estimation for Reconfigurable Intelligent Surface Assisted High-Mobility Wireless Systems*" [49], which proposes a new way to mitigate the error deriving from the movement speed, *achieving substantial power efficiency improvements* at speeds up to 140 km/h and with as few as  $N = 16$  RIS elements.

## 6 Conclusion

In this paper, we have expanded on the work presented in [27] regarding Physical Layer Security using Reconfigurable Intelligent Surfaces (RISs). We generalized the framework to support multiple receiving users and multiple RIS configurations, both in parallel and in series. By mathematically proving the formulas, and physically simulating realistic scenarios, we demonstrated the validity and usefulness of the proposed work.

With our contribution, the framework is now able to manage:

- Multiple receivers in different positions
- Multiple RISs in parallel that increase signal quality and security
- Multiple RISs working in series to accommodate complex situations
- A wide combination of these properties in realistic network conditions

With our Bit Error Rate (BER) simulations, we proved and demonstrated how the receivers are able to receive correctly the messages with a low error percentage, while ensuring no other malicious actor can decipher the signal when not having direct Line of Sight (LOS) from the transmitter. Even when this link is present, our configurations ensure the RIS disrupt the interception of the signal with significant noise, even at high Signal to Noise Ratio (SNR).

We also showed the realistic application of our framework in a simulated scenario including realistic channel gain calculations, adding Rician fading and considering signal strength using path loss. These added simulations will aid exporting our solution from a mathematical proof to an effective implementation usable for real life communication. We modeled different possibilities of path loss and RIS implementation to cover all possible variables, showing promising results even in the worst scenarios.

The implications of this work are particularly relevant for emerging technologies such as vehicular networks, Internet of Things, and other applications requiring secure wireless communications. Thanks to modern technologies, we are able to increase the security and privacy even at lower layers of communication, helping to reduce the load on higher layers which could impact negatively the usefulness of communications when latency and frequency of communication are crucial.

### 6.1 Future directions

Future research directions could include:

- Further optimization of RIS configurations for dynamic environments with mobile nodes
- Integration with existing security protocols at higher network layers
- Usage of more complex communication protocols, like GSSK [18] instead of the proposed SSK [19]
- Implementation and testing in real-world scenarios, particularly in vehicular networks
- Extension to even more complex network topologies with multiple transmitters and heterogeneous receiver capabilities

In particular, there is ample work that is possible to do in the heatmap simulations. For example, parallelization and the introduction of multiple RIS paths could be added, and a GUI to graphically set up the environment could be the start of a complex simulation environment.

The different types of path loss could be expanded in a more complete study of the different kinds of RIS: what would be the mathematical differences in applying our framework for active and passive



RIS, for uniform and directional ones? A simulation tool that could combine all these characteristics could be a great addition to the field of futuristic telecommunications.

Also, the entire field of Channel State Information (CSI), which here was introduced only in the part about Channel Gain matrix estimation, could also be simulated in our proposed tool and framework. Instead of using the physically calculated CSI, actors and RIS could try to communicate using estimations of it and then verify the actual realistic results, including in our software estimation simulation functions.

The implementation of our research in vehicular networks is also an interesting topic directly linked to the CSI one. Expanding the context here with modern research on channel estimation of moving actors could transform our proposed work into a promising candidate for the future of autonomous telecommunications.

In conclusion, our extended framework for physical layer security using RISs provides a promising approach to secure modern wireless communication systems, especially in scenarios where traditional encryption methods may introduce unacceptable computational overhead or latency. The flexibility to support multiple users and complex reflection paths makes it adaptable to various practical deployment scenarios while maintaining strong security guarantees.

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# Appendix A Code Implementation

To validate our theoretical framework, we have implemented a simulation environment in Python that allows us to test both our mathematical models and their real-world applicability. The codebase consists of three main modules: diagonalization, bit error rate (BER) analysis, and a heatmap generator to visualize the spatial distribution of signal quality.

## A.1 Diagonalization Module

The diagonalization module implements the core mathematical concepts of our RIS reflection framework, following the theoretical foundation presented in Section 3 and 4.

### A.1.1 Null Space Calculation

We first start by making the calculation of reflection matrices, which ensure the effective channel between the transmitter and receiver is diagonalized. We implement this through the `calculate_W_single` and `calculate_W_multiple` functions:

```
1 def calculate_W_single(K: int, N: int, G: np.ndarray, H: np.ndarray) -> np.ndarray:
2     """
3     Calculate W matrix for a single receiver.
4
5     Args:
6         K: Number of antennas
7         N: Number of reflecting elements
8         G: Channel matrix from RIS to receiver (KxN)
9         H: Channel matrix from transmitter to RIS (NxK)
10
11     Returns:
12         W: The W matrix as defined in equation (8) of the paper
13     """
14     W = np.zeros((N, N), dtype=complex)
15
16     for i in range(K):
17         for j in range(K):
18             if i != j:
19                 temp = np.multiply(G[j, :], H[:, i].T)
20                 W += np.outer(temp.conj(), temp)
21
22     return W
```

Code A.1: Calculation of W matrices

This function calculates the W matrix for a single receiver. For multiple receivers, we stack these matrices:

```
1 def calculate_W_multiple(K: int, N: int, J: int, Gs: List[np.ndarray], H: np.
2     ndarray) -> np.ndarray:
3     """
4     Calculate combined W matrix for multiple receivers.
5     """
6     W_combined = np.zeros((J * N, N), dtype=complex)
7
8     for j in range(J):
```

```

8     W_j = calculate_W_single(K, N, Gs[j], H)
9     W_combined[j*N:(j+1)*N, :] = W_j
10
11     return W_combined

```

Code A.2: W matrix for multiple receivers

### A.1.2 RIS Reflection Matrix Calculation

With the W matrix defined, we can calculate the reflection matrices using Singular Value Decomposition (SVD) to find the null space:

```

1 def calculate_ris_reflection_matrice(
2     K: int,
3     N: int,
4     J: int,
5     Gs: List[np.ndarray],
6     H: np.ndarray,
7     eta: float,
8 ) -> Tuple[np.ndarray, float]:
9     """
10     Calculate reflection matrices for RIS surfaces.
11     """
12     W = calculate_W_multiple(K, N, J, Gs, H)
13     U, sigma, Vh = np.linalg.svd(W)
14
15     null_space_dim = N - J*K**2 + J*K
16     if null_space_dim <= 0:
17         raise ValueError(f"No solution exists. Need more reflecting elements.")
18
19     first_singular_values = sigma[:N - null_space_dim]
20     last_singular_values = sigma[-null_space_dim:]
21
22     null_space_basis = Vh[-null_space_dim:, :].T.conj()
23
24     if null_space_basis.shape != (N, null_space_dim):
25         raise ValueError(f"Invalid null space basis shape.")
26
27     a = np.random.normal(0, 1, (null_space_dim,)) + 1j * np.random.normal(0, 1, (
28         null_space_dim,))
29
30     p_unnormalized = null_space_basis @ a
31     p = eta * p_unnormalized / np.max(np.abs(p_unnormalized))
32     P = np.diag(p)
33     dor = 2 * null_space_dim
34     return P, dor

```

Code A.3: RIS Reflection Matrix Calculation

This function implements the theoretical approach described in Section 4.1, where we find the null space of W and then generate a random vector in this space to ensure randomness in our reflection coefficients.

### A.1.3 Multiple RIS Support

To support multiple RIS surfaces in series, we implemented:

```

1 def calculate_multi_ris_reflection_matrices(
2     K: int,
3     N: int,
4     J: int,
5     M: int,
6     Gs: List[np.ndarray],
7     H: np.ndarray,
8     eta: float,
9     Cs: List[np.ndarray]

```

```

10 ) -> Tuple[List[np.ndarray], float]:
11     """
12     Calculate reflection matrices for M RIS surfaces.
13     """
14     if len(Cs) != M-1:
15         raise ValueError(f"Expected {M-1} inter-RIS channel matrices.")
16
17     ps = []
18     S = np.eye(N)
19
20     # Generate M-1 random reflection vectors
21     for i in range(M-1):
22         absorptions = np.random.uniform(0, 1, N)
23         phases = np.random.uniform(0, 2*np.pi, N)
24         # p_m[i] = eta * r_i * exp(j*theta_i)
25         p_m = absorptions * np.exp(1j * phases)
26         ps.append(p_m)
27         S = S @ np.diag(p_m) @ Cs[i]
28
29     Gs_prime = [G @ S for G in Gs]
30
31     # Calculate the last reflection matrix
32     P_final, dor = calculate_ris_reflection_matrix(K, N, J, Gs_prime, H, eta)
33     p_final = np.diag(P_final)
34     ps.append(p_final)
35
36     Ps = []
37     for pm in ps:
38         Ps.append(np.diag(pm))
39
40     return Ps, dor

```

Code A.4: Multiple RIS calculation

This implements our approach from Section 4.3, where we generate random reflection coefficients for all but the last RIS, then calculate the last one to ensure diagonalization.

#### A.1.4 Unified Reflection Matrix

For convenience in calculations, we provide a function to unify multiple reflection matrices into a single effective matrix:

```

1 def unify_ris_reflection_matrices(Ps: List[np.ndarray], Cs: List[np.ndarray]) -> np
  .ndarray:
2     """
3     Unify reflection matrices into a single matrix.
4     """
5     P = Ps[0]
6     for i in range(len(Ps)-1):
7         P = P @ Cs[i] @ Ps[i+1]
8     return P

```

Code A.5: Unifying RIS matrices

#### A.1.5 Verification

We also implement verification functions to ensure our theoretical expectations match the implementation:

```

1 def verify_multi_ris_diagonalization(
2     Ps: List[np.ndarray],
3     Gs: List[np.ndarray],
4     H: np.ndarray,
5     Cs: List[np.ndarray]
6 ) -> List[bool]:
7     """

```



```

8     Verify that  $G(P_{1C_1}P_{2C_2}\dots P_M)H$  is diagonal for all receivers.
9     """
10    results = []
11
12    P = unify_ris_reflection_matrices(Ps, Cs)
13    for G in Gs:
14        effective_channel = G @ P @ H
15        off_diag_sum = np.sum(np.abs(effective_channel - np.diag(np.diag(
16            effective_channel))))
17        results.append(off_diag_sum < tolerance)
18    return results

```

Code A.6: Verification of diagonalization

## A.2 BER Module

The BER (Bit Error Rate) module implements the simulation of space shift keying (SSK) transmissions and calculates the error rates under different scenarios.

### A.2.1 SSK Transmission Simulation

We simulate SSK transmission with the following core functions:

```

1 def simulate_ssk_transmission(K: int, sigma_sq: float, calculate_detected_id:
2     Callable[[np.ndarray, np.ndarray], float]):
3     n_bits = int(np.log2(K))
4     if 2**n_bits != K:
5         raise ValueError(f"K must be a power of 2, got {K}")
6     bit_mappings = np.array([format(i, f'0{n_bits}b') for i in range(K)])
7     true_bits = np.random.randint(0, 2, n_bits)
8     true_bits_str = ''.join(map(str, true_bits))
9     true_idx = np.where(bit_mappings == true_bits_str)[0][0]
10
11    x = np.zeros(K)
12    x[true_idx] = 1
13
14    noise = create_random_noise_vector(K, sigma_sq)
15    detected_idx = calculate_detected_id(x, noise)
16
17    detected_bits = np.array(list(bit_mappings[detected_idx])).astype(int)
18    errors = np.sum(detected_bits != true_bits)
19    return errors / n_bits

```

Code A.7: SSK Transmission Simulation

This function implements the common core of our SSK transmission simulation. It maps bits to antenna indices, simulates transmission with noise, and calculates the error rate.

We then implement specialized versions for reflection and direct transmission:

```

1 def simulate_ssk_transmission_reflection(K: int, effective_channel: np.ndarray,
2     sigma_sq: float):
3     if effective_channel.shape != (K, K):
4         raise ValueError(f"Reflection: Effective channel shape must be ({K}, {K})")
5
6     def calculate_detected_id(x: np.ndarray, noise: np.ndarray):
7         y = effective_channel @ x + noise
8         return np.argmax(np.abs(y)**2)
9
10    return simulate_ssk_transmission(K, sigma_sq, calculate_detected_id)

```

Code A.8: SSK Transmission with Reflection

```

1 def simulate_ssk_transmission_direct(K: int, B: np.ndarray, effective_channel: np.
2     ndarray, sigma_sq: float):
3     if B.shape != (K, K):

```

```

3         raise ValueError(f"Direct: B shape must be ({K}, {K})")
4
5     if effective_channel.shape != (K, K):
6         raise ValueError(f"Direct: Effective channel shape must be ({K}, {K})")
7
8     def calculate_detected_id(x: np.ndarray, noise: np.ndarray):
9         y = (B + effective_channel) @ x + noise
10        distances = np.array([np.linalg.norm(y - B[:, i]) for i in range(B.shape
11        [1])])
12        return np.argmin(distances)
13
14    return simulate_ssk_transmission(K, sigma_sq, calculate_detected_id)

```

Code A.9: SSK Transmission with Direct Path

These functions implement the detection methods described in Section 3.2, where the legitimate receiver can detect the signal through the diagonalized channel, while the eavesdropper hears from the direct path and receives interference from the reflection.

## A.2.2 BER Simulation

We also implement a comprehensive BER simulation function that evaluates performance across different SNR values:

```

1 def calculate_ber_simulation(snr_db, K, N, J, M, eta=0.9, num_symbols=10000):
2     sigma_sq = snr_db_to_sigma_sq(snr_db)
3     errors_receiver = 0
4     errors_eavesdropper = 0
5     errors_direct = 0
6
7     errors_receiver_double = 0
8     errors_eavesdropper_double = 0
9
10    for _ in range(num_symbols):
11        # Generate channel matrices
12        H = generate_random_channel_matrix(N, K)
13        Gs = [generate_random_channel_matrix(K, N) for _ in range(J)]
14        G = random.choice(Gs)
15        Fs = [generate_random_channel_matrix(K, N) for _ in range(M)]
16        B = generate_random_channel_matrix(K, K)
17        Cs = [generate_random_channel_matrix(N, N) for _ in range(M-1)]
18
19        # Calculate reflection matrices
20        Ps, _ = calculate_multi_ris_reflection_matrices(K, N, J, M, Gs, H, eta, Cs)
21        P = unify_ris_reflection_matrices(Ps, Cs)
22
23        # Calculate effective channels
24        effective_channel_receiver = G @ P @ H
25        effective_channel_eavesdropper = np.zeros((K, K), dtype=np.complex128)
26        for i in range(M):
27            P_to_i = unify_ris_reflection_matrices(Ps[:i+1], Cs[:i])
28            effective_channel_eavesdropper += Fs[i] @ P_to_i @ H
29        effective_channel_direct = np.zeros((K, K))
30
31        # Simulate transmissions
32        errors_receiver += simulate_ssk_transmission_reflection(K,
33        effective_channel_receiver, sigma_sq)
34        errors_eavesdropper += simulate_ssk_transmission_direct(K, B,
35        effective_channel_eavesdropper, sigma_sq)
36        errors_direct += simulate_ssk_transmission_direct(K, B,
37        effective_channel_direct, sigma_sq)
38
39        H2 = generate_random_channel_matrix(N, K)
40        Gs2 = [generate_random_channel_matrix(K, N) for _ in range(J)]
41        G2 = random.choice(Gs2)
42        Fs2 = [generate_random_channel_matrix(K, N) for _ in range(M)]

```

```

40     Cs2 = [generate_random_channel_matrix(N, N) for _ in range(M-1)]
41     Ps2, _ = calculate_multi_ris_reflection_matrices(
42         K, N, J, M, Gs2, H2, eta, Cs2
43     )
44     P2 = unify_ris_reflection_matrices(Ps2, Cs2)
45
46     effective_channel_receiver_2 = G2 @ P2 @ H2
47     effective_channel_eavesdropper_2 = np.zeros((K, K), dtype=np.complex128) #
F @ P @ H
48     for i in range(M):
49         P_to_i = unify_ris_reflection_matrices(Ps2[:,i+1], Cs2[:,i])
50         effective_channel_eavesdropper_2 += Fs2[i] @ P_to_i @ H2
51
52     effective_channel_receiver_double = effective_channel_receiver +
effective_channel_receiver_2
53     effective_channel_eavesdropper_double = effective_channel_eavesdropper +
effective_channel_eavesdropper_2
54
55     errors_receiver_double += simulate_ssk_transmission_reflection(K,
effective_channel_receiver_double, sigma_sq)
56     errors_eavesdropper_double += simulate_ssk_transmission_direct(K, B,
effective_channel_eavesdropper_double, sigma_sq)
57
58     result_receiver = errors_receiver / num_symbols
59     result_eavesdropper = errors_eavesdropper / num_symbols
60     result_direct = errors_direct / num_symbols
61     result_receiver_double = errors_receiver_double / num_symbols
62     result_eavesdropper_double = errors_eavesdropper_double / num_symbols
63
64     return result_receiver, result_eavesdropper, result_direct,
result_receiver_double, result_eavesdropper_double

```

Code A.10: BER Simulation

This function simulates BER performance across legitimate receivers and eavesdroppers, including scenarios with multiple RIS surfaces and different path configurations.

## A.3 Heatmap Generator

To visualize our results in a spatial context, we implemented a heatmap generator that simulates signal quality across a 2D space:

### A.3.1 Core Heatmap Class

```

1 class HeatmapGenerator:
2     def __init__(self, width: int, height: int, resolution: float = 0.5):
3         """
4         Initialize the heatmap generator with given dimensions.
5         """
6         self.width = width
7         self.height = height
8         self.resolution = resolution
9
10        # Calculate grid dimensions based on resolution
11        self.grid_width = int(width / resolution)
12        self.grid_height = int(height / resolution)
13        self.grid = np.zeros((self.grid_height, self.grid_width))
14        self.buildings = []
15        # Dictionary to store points with their labels and coordinates
16        self.points = {}

```

Code A.11: Heatmap Generator Class

The heatmap generator creates a grid-based representation of a physical space, where we can place transmitters, receivers, and obstacles.

### A.3.2 Building and Point Management

```
1 def add_building(self, x: int, y: int, width: int, height: int):
2     """
3     Add a building to the map. Buildings are excluded from the heatmap calculation.
4     """
5     self.buildings.append((x, y, width, height))
6     grid_x, grid_y = self._meters_to_grid(x, y)
7     grid_width = int(width / self.resolution)
8     grid_height = int(height / self.resolution)
9
10    # Mark building area as NaN to exclude from heatmap
11    self.grid[grid_y:grid_y+grid_height, grid_x:grid_x+grid_width] = np.nan
12
13 def add_point(self, label: str, x: float, y: float):
14     """
15     Add a point of interest to the map with a specific label.
16     """
17     if not (0 <= x < self.width and 0 <= y < self.height):
18         raise ValueError(f"Point {label} coordinates ({x}, {y}) are outside the map boundaries")
19     self.points[label] = (x, y)
```

Code A.12: Buildings and Points in Heatmap

These functions allow us to define the simulation environment with buildings (which block signals) and points representing transmitters, RIS surfaces, and receivers.

### A.3.3 Line of Sight Checking

An important aspect of our simulation is determining whether two points have line-of-sight:

```
1 def _line_intersects_building(self, x1: float, y1: float, x2: float, y2: float) ->
2     bool:
3     """
4     Check if line between two points intersects any building.
5     Uses line segment intersection algorithm.
6     """
7     def ccw(A: tuple, B: tuple, C: tuple) -> bool:
8         """Returns True if points are counter-clockwise oriented"""
9         return (C[1] - A[1]) * (B[0] - A[0]) > (B[1] - A[1]) * (C[0] - A[0])
10
11    def intersect(A: tuple, B: tuple, C: tuple, D: tuple) -> bool:
12        """Returns True if line segments AB and CD intersect"""
13        return ccw(A, C, D) != ccw(B, C, D) and ccw(A, B, C) != ccw(A, B, D)
14
15    for bx, by, bw, bh in self.buildings:
16        building_corners = [
17            (bx, by), (bx + bw, by),
18            (bx + bw, by + bh), (bx, by + bh)
19        ]
20
21        for i in range(4):
22            if intersect(
23                (x1, y1), (x2, y2),
24                building_corners[i], building_corners[(i + 1) % 4]
25            ):
26                return True
27    return False
```

Code A.13: Line of Sight Checking

This function allows us to determine if buildings block the line-of-sight between points, which is crucial for accurate path loss simulation.

### A.3.4 Distance Calculation

To model path loss, we calculate distances between points:

```
1 def calculate_distance_from_point(self, point: str) -> np.ndarray:
2     """
3     Calculate the minimum distance from each grid cell to the specified point.
4     """
5     distances = np.full_like(self.grid, np.inf)
6     px, py = self.points[point]
7
8     for grid_y in range(self.grid_height):
9         for grid_x in range(self.grid_width):
10             if np.isnan(self.grid[grid_y, grid_x]):
11                 continue
12
13             x, y = self._grid_to_meters(grid_x, grid_y)
14             if self._line_intersects_building(x, y, px, py):
15                 continue
16
17             distance = np.sqrt((x - px)**2 + (y - py)**2)
18             distances[grid_y, grid_x] = distance
19
20     return distances
```

Code A.14: Distance Calculation

This function creates a grid where each cell contains the distance to a specified point, setting infinite distance for points without line-of-sight due to buildings.

### A.3.5 Channel Model Functions

We implement realistic channel models for our simulations:

```
1 def calculate_free_space_path_loss(d: float, lam = 0.08, k = 2) -> float:
2     """
3     Calculate free space path loss between transmitter and receiver
4     """
5     if d == 0: d = 0.01
6     return 1 / np.sqrt((4 * np.pi / lam) ** 2 * d ** k)
7
8 def calculate_unit_spatial_signature(incidence: float, K: int, delta: float):
9     """
10    Calculate the unit spatial signature vector for a given angle of incidence
11    """
12    directional_cosine = np.cos(incidence)
13    e = np.array([(1 / np.sqrt(K)) * np.exp(-1j * 2 * np.pi * (k - 1) * delta *
14    directional_cosine) for k in range(K)])
15    return e.reshape(-1, 1)
16
17 def generate_rice_fading_channel(L: int, K: int, ratio: float, total_power = 1.0)
18 -> np.ndarray:
19     """
20     Generate a Ricean fading channel matrix
21     """
22     nu = np.sqrt(ratio * total_power / (1 + ratio))
23     sigma = np.sqrt(total_power / (2 * (1 + ratio)))
24     return generate_rice_matrix(L, K, nu, sigma)
25
26 def calculate_mimo_channel_gain(d: float, L: int, K: int, lam = 0.08, k = 2) ->
27 tuple[np.ndarray, float]:
28     """
29     Calculate MIMO channel gains between transmitter and receiver
30     """
31     if d == np.inf:
32         return np.zeros((K, L), dtype=complex)
33     if d == 0:
```

```

31     d = 0.5
32
33     delta = lam / 2
34     c = np.sqrt(L * K) * np.exp(-1j * 2 * np.pi * d / lam)
35     e_r = calculate_unit_spatial_signature(0, K, delta)
36     e_t = calculate_unit_spatial_signature(0, L, delta)
37     H = c * (e_r @ e_t.T.conj())
38
39     ratio = 0.6
40     total_power = 1.0
41     H = H * generate_rice_fading_channel(L, K, ratio, total_power)
42     return H

```

Code A.15: Channel Modeling Functions

These functions implement the channel models described in Section 5.2, including free space path loss, spatial signatures, and Ricean fading.

### A.3.6 BER Heatmap Simulation

Finally, we put everything together in a function that simulates BER across the entire space:

```

1  def ber_heatmap_reflection_simulation(
2      width: int,
3      height: int,
4      buildings: List[Tuple[int, int, int, int]],
5      transmitter: Tuple[int, int],
6      ris_points: List[Tuple[int, int]],
7      receivers: List[Tuple[int, int]],
8      num_symbols: int,
9      N: int = 16,
10     K: int = 2,
11     eta: float = 0.9,
12     snr_db: int = 10,
13     path_loss_calculation_type: Literal['sum', 'product', 'active_ris'] = 'sum'
14 ):
15     """
16     Run RIS reflection simulation with given parameters
17     """
18     ber_heatmap = HeatmapGenerator(width, height)
19
20     # Setup environment
21     for building in buildings:
22         ber_heatmap.add_building(*building)
23
24     tx, ty = transmitter
25     ber_heatmap.add_point('T', tx, ty)
26
27     M = len(ris_points)
28     for i, (px, py) in enumerate(ris_points):
29         ber_heatmap.add_point(f'P{i+1}', px, py)
30
31     J = len(receivers)
32     for i, (rx, ry) in enumerate(receivers):
33         ber_heatmap.add_point(f'R{i+1}', rx, ry)
34
35     # Calculate distance matrices
36     distances_from_T = ber_heatmap.calculate_distance_from_point('T')
37     distances_from_Ps = [ber_heatmap.calculate_distance_from_point(f'P{i+1}') for i
38                          in range(M)]
39
40     # Create heatmaps for power analysis
41     power_heatmap_from_T = HeatmapGenerator.copy_from(ber_heatmap)
42     power_heatmap_from_Ps = [HeatmapGenerator.copy_from(ber_heatmap) for _ in range

```

```

43 # Calculate channel matrices
44 tx_grid_y, tx_grid_x = ber_heatmap._meters_to_grid(tx, ty)
45 H = calculate_mimo_channel_gain(distances_from_Ps[0][tx_grid_y, tx_grid_x], K,
46 N)
47
48 if M > 1:
49     receiver_grid_coords = [(ber_heatmap._meters_to_grid(rx, ry)) for rx, ry in
50 receivers]
51     Gs = [calculate_mimo_channel_gain(distances_from_Ps[-1][ry, rx], N, K)
52           for ry, rx in receiver_grid_coords]
53
54     ris_grid_coords = [ber_heatmap._meters_to_grid(px, py) for px, py in
55 ris_points]
56     Cs = [calculate_mimo_channel_gain(
57           distances_from_Ps[i+1][ris_grid_coords[i][1], ris_grid_coords[i][0]],
58           N, N
59         ) for i in range(M-1)]
60 else:
61     receiver_grid_coords = [(ber_heatmap._meters_to_grid(rx, ry)) for rx, ry in
62 receivers]
63     Gs = [calculate_mimo_channel_gain(distances_from_Ps[0][ry, rx], N, K)
64           for ry, rx in receiver_grid_coords]
65     Cs = []
66
67 print(f"Channel matrix from transmitter to RIS: Power {calculate_channel_power(
68 H):.1e}")
69 print(f"Channel matrix from RIS to receiver: Power {calculate_channel_power(Gs
70 [0]):.1e}")
71
72 Ps, _ = calculate_multi_ris_reflection_matrices(K, N, J, M, Gs, H, eta, Cs)
73 P = unify_ris_reflection_matrices(Ps, Cs)
74 print(f"Reflection matrix: Power {calculate_channel_power(P):.1e}")
75 print(f"Effective channel matrix: Power {calculate_channel_power(Gs[0] @ P @ H)
76 :.1e}")
77 print()
78
79 # * Calculate cumulative path distances
80 ris_path_distances = []
81 for i in range(M):
82     if i == 0:
83         # * Distance from T to first RIS
84         ris_path_distances.append(distances_from_Ps[0][ty, tx])
85     else:
86         # * Distance between consecutive RIS points
87         ris_path_distances.append(
88             distances_from_Ps[i][ris_points[i-1][1], ris_points[i-1][0]]
89         )
90
91 # Define BER calculation function for each point
92 def calculate_ber_per_point(x: int, y: int) -> float:
93     grid_x, grid_y = ber_heatmap._meters_to_grid(x, y)
94     distance_from_T = distances_from_T[grid_y, grid_x]
95     B = calculate_mimo_channel_gain(distance_from_T, K, K) *
96     calculate_free_space_path_loss(distance_from_T)
97     B_power = calculate_channel_power(B)
98     power_heatmap_from_T.grid[grid_y, grid_x] = B_power
99
100     distances_from_Ps_current = [distances_from_Ps[i][grid_y, grid_x] for i in
101 range(M)]
102     Fs = [calculate_mimo_channel_gain(d, N, K) for d in
103 distances_from_Ps_current]
104
105     # * Override channel matrices for receiver positions
106     for j in range(J):
107         if x == receivers[j][0] and y == receivers[j][1]:
108             Fs[-1] = Gs[j]

```

```

99     errors = 0
100     for _ in range(num_symbols):
101         Ps, _ = calculate_multi_ris_reflection_matrices(K, N, J, M, Gs, H, eta,
102 Cs)
103         P = unify_ris_reflection_matrices(Ps, Cs)
104
105         effective_channel = np.zeros((K, K), dtype=complex)
106
107         # Handle different path loss types
108         for i in range(M):
109             if i == 0:
110                 P_to_i = Ps[0]
111             else:
112                 P_to_i = unify_ris_reflection_matrices(Ps[:,i+1], Cs[:,i])
113
114             if path_loss_calculation_type == 'sum':
115                 total_distance = sum(ris_path_distances[:,i+1]) +
distances_from_Ps_current[i]
116                 total_path_loss = calculate_free_space_path_loss(total_distance
)
117                 new_effective_channel = Fs[i] @ P_to_i @ H * total_path_loss
118             elif path_loss_calculation_type == 'product':
119                 total_path_loss = 1
120                 for j in range(i+1):
121                     total_path_loss *= calculate_free_space_path_loss(
ris_path_distances[j])
122                 total_path_loss *= calculate_free_space_path_loss(
distances_from_Ps_current[i])
123                 new_effective_channel = Fs[i] @ P_to_i @ H * total_path_loss
124             elif path_loss_calculation_type == 'active_ris':
125                 total_path_loss = calculate_free_space_path_loss(
distances_from_Ps_current[i])
126                 new_effective_channel = Fs[i] @ P_to_i @ H * total_path_loss
127             else:
128                 raise ValueError(f"Invalid path loss calculation type: {
path_loss_calculation_type}")
129
130             effective_channel += new_effective_channel
131
132             # Determine signal power and calculate BER
133             power = B_power if distance_from_T != np.inf else
calculate_channel_power(effective_channel)
134             sigma_sq = snr_db_to_sigma_sq(snr_db, power)
135
136             if distance_from_T == np.inf:
137                 errors += simulate_ssk_transmission_reflection(K, effective_channel
, sigma_sq)
138             else:
139                 errors += simulate_ssk_transmission_direct(K, B, effective_channel,
sigma_sq)
140
141         return errors / num_symbols
142
143     # Apply BER calculation to each point in the grid
144     ber_heatmap.apply_function(calculate_ber_per_point)
145
146     # Visualize results
147     title = f'{M} RIS(s) (K = {K}, SNR = {snr_db}) [Path Loss: {
path_loss_calculation_type}]'
148     ber_heatmap.visualize(title + ' BER Heatmap', vmin=0.0, vmax=1.0, label='BER',
show_receivers_values=True)
149     ber_heatmap.visualize(title + ' BER Heatmap', log_scale=True, vmin=-10.0, vmax
=0.0, label='BER', show_receivers_values=True)
150

```



```

151     power_heatmap_from_T.visualize(title + ' Channel Power from Transmitter',
152     log_scale=True, vmin=-10.0, vmax=0.0, label='Power (dB)')
152     for i in range(M):
153         power_heatmap_from_Ps[i].visualize(title + f' Channel Power from RIS {i+1}',
        , log_scale=True, vmin=-10.0, vmax=0.0, label='Power (dB)')

```

Code A.16: BER Heatmap Simulation

This function implements our full simulation, calculating BER at each point in the space based on our theoretical framework and the different path loss models discussed in Section 5.2.

## A.4 Main Simulation Scenarios

The main function in the heatmap.py file demonstrates how we use these components to evaluate different scenarios:

```

1  def main():
2      # One reflection simulation
3      buildings_single = [
4          (0, 10, 7, 10),
5          (8, 0, 12, 8)
6      ]
7      transmitter_single = (3, 3)
8      ris_points_single = [(7, 9)]
9      receivers_single = [(16, 11), (10, 18)]
10
11     for path_loss_calculation_type in PATH_LOSS_TYPES:
12         ber_heatmap_reflection_simulation(
13             width=20,
14             height=20,
15             buildings=buildings_single,
16             transmitter=transmitter_single,
17             ris_points=ris_points_single,
18             receivers=receivers_single,
19             N=25,
20             K=4,
21             path_loss_calculation_type=path_loss_calculation_type,
22             num_symbols=num_symbols
23         )
24
25     # Multiple reflection simulation
26     buildings_multiple = [
27         (0, 10, 10, 10),
28         (2, 4, 7, 1)
29     ]
30     transmitter_multiple = (1, 1)
31     ris_points_multiple = [(0, 9), (10, 9)]
32     receivers_multiple = [(16, 14), (12, 18)]
33
34     for path_loss_calculation_type in PATH_LOSS_TYPES:
35         ber_heatmap_reflection_simulation(
36             width=20,
37             height=20,
38             buildings=buildings_multiple,
39             transmitter=transmitter_multiple,
40             ris_points=ris_points_multiple,
41             receivers=receivers_multiple,
42             N=16,
43             K=2,
44             path_loss_calculation_type=path_loss_calculation_type,
45             num_symbols=num_symbols
46         )

```

Code A.17: Main Simulation Scenarios

This function sets up and runs simulations for two distinct scenarios: 1. A single RIS reflection setup with two buildings, a transmitter, and two receivers 2. A multiple RIS reflection setup with two RIS surfaces in series

Each scenario is simulated with all three path loss models: sum, product, and active RIS. These simulations generate the heatmaps presented in Section 5.2, allowing us to visualize how BER varies across space under different conditions.

The simulations for the BER plots shown in section 5.1 are implemented in the ‘plot\_ber\_curves()’ function:

```

1 def plot_ber_curves():
2     N = 16      # Number of reflecting elements
3     K = 2       # Number of antennas
4     eta = 0.9   # Reflection efficiency
5
6     for J in range(1, 3): # Number of receivers
7         for M in range(1, 3): # Number of RIS surfaces
8             print(f"Processing J={J}, M={M}")
9             snr_range_db = np.arange(-10, 31, 2)
10            ber_simulated_receiver = []
11            ber_simulated_eavesdropper = []
12            ber_simulated_direct = []
13            ber_simulated_receiver_double = []
14            ber_simulated_eavesdropper_double = []
15
16            for snr_db in snr_range_db:
17                result_receiver, result_eavesdropper, result_direct,
18                result_receiver_double, result_eavesdropper_double = calculate_ber_simulation(
19                    snr_db, K, N, J, M, eta)
20                ber_simulated_receiver.append(result_receiver)
21                ber_simulated_eavesdropper.append(result_eavesdropper)
22                ber_simulated_direct.append(result_direct)
23                ber_simulated_receiver_double.append(result_receiver_double)
24                ber_simulated_eavesdropper_double.append(result_eavesdropper_double)
25
26            print(f"Processed SNR = {snr_db} dB:\t{result_receiver:.2f}\t{
27                result_eavesdropper:.2f}\t{result_direct:.2f}")
28
29            plt_name = f'SSK BER Performance with RIS (K={K}, N={N}, J={J}, M={M})'
30            plt.figure(figsize=(10, 6))
31            plt.semilogy(snr_range_db, ber_simulated_direct, label=f'Simulation
32                Direct')
33            plt.semilogy(snr_range_db, ber_simulated_receiver, label=f'Simulation
34                Receiver')
35            plt.semilogy(snr_range_db, ber_simulated_receiver_double, label=f'
36                Simulation Receiver Double RIS Source')
37            plt.semilogy(snr_range_db, ber_simulated_eavesdropper, label=f'
38                Simulation Eavesdropper')
39            plt.semilogy(snr_range_db, ber_simulated_eavesdropper_double, label=f'
40                Simulation Eavesdropper Double RIS Source')
41            plt.grid(True)
42            plt.xlabel('SNR (dB)')
43            plt.ylabel('Bit Error Rate (BER)')
44            plt.title(plt_name)
45            plt.legend()
46            plt.savefig(f'./simulations/results/{plt_name}.png', dpi=300, format='
47                png')
48            print(f"Saved {plt_name}.png\n\n")

```

Code A.18: BER Curve Plotting Function

This function systematically evaluates BER performance across different signal-to-noise ratios (SNR), generating plots for each combination of receiver count (J) and RIS surface count (M). These plots allow us to compare the performance of legitimate receivers versus eavesdroppers under different conditions.

## A.5 Utils Module

Although not shown in the provided code snippets, we also implemented a util module that handles noise generation and SNR calculations:

```
1 def snr_db_to_sigma_sq(snr_db: float, power: float = 1.0) -> float:
2     """
3     Convert SNR in dB to noise variance
4
5     Parameters:
6     -----
7     snr_db : SNR in dB
8     power : Signal power (default 1.0)
9
10    Returns:
11    -----
12    Noise variance sigma_sq
13    """
14    snr_linear = 10**(snr_db/10)
15    return power / snr_linear
16
17 def create_random_noise_vector(size: int, sigma_sq: float) -> np.ndarray:
18     """
19     Create a random noise vector with specified variance
20
21     Parameters:
22     -----
23     size : Size of the noise vector
24     sigma_sq : Noise variance
25
26     Returns:
27     -----
28     Random noise vector
29     """
30    return np.random.normal(0, np.sqrt(sigma_sq/2), (size,)) + 1j * np.random.
    normal(0, np.sqrt(sigma_sq/2), (size,))
```

Code A.19: Util Module Functions