# **ALCL-Relapse**

# Treatment protocol for relapsed anaplastic large cell lymphoma of childhood and adolescence

# An European Inter-group Co-operation on Childhood Non-Hodgkin Lymphoma (EICNHL) International Multicentre Therapy Study

Therapiestudie der GPOH

## amended Version I April 2004

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BFM Austria, Czechia, Germany and Switzerland

BSPHO: Belgian society of paediatric haematology/oncology

DCOG: Dutch Childhood Oncology Group

NOPHO: Nordic Society of Pediatric Haematology and Oncology PPLLSG: Polish Paediatric Leukaemia/Lymphoma Study Group

SFCE: Société Française des Cancers de l'Enfant

SHOP: Spanish Leukemia Group

**UKCCSG: United Kingdom Children Cancer Study Group** 

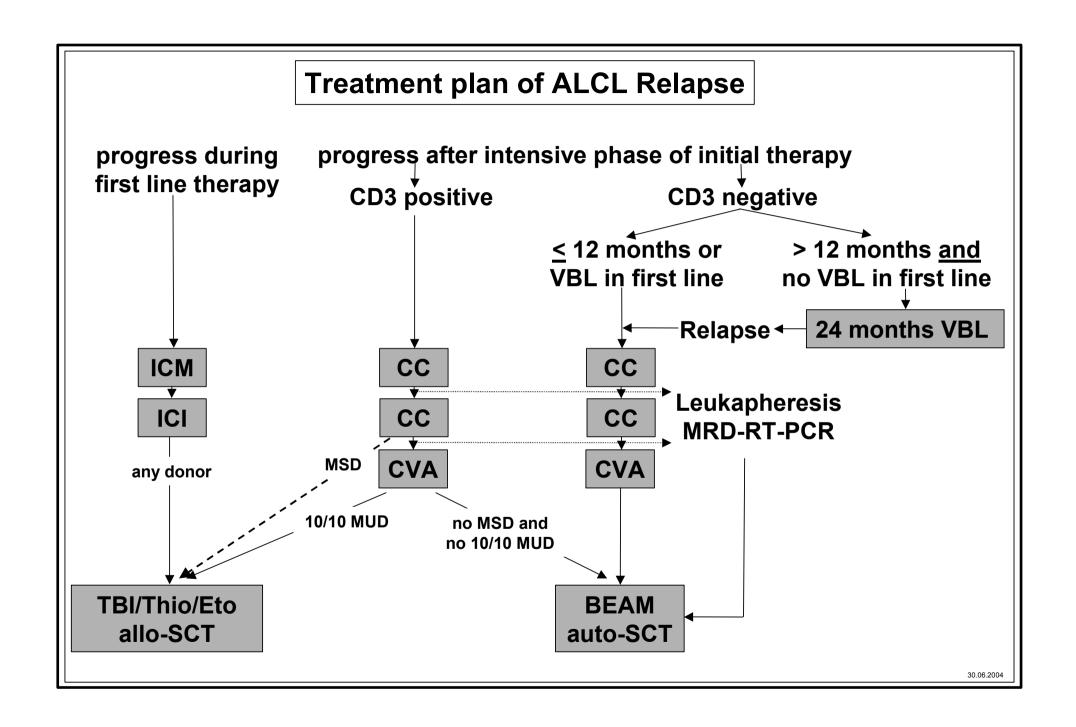
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#### **ALCL-Relapse**

Version of the protocol April 2004 Start of patient recruitment April 2004 estimated end of patient recruitment April 2010

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#### Important note

This document is intended to describe collaborative studies in relapsed anaplastic large cell lymphoma and to provide information for entering patients. The International Study Committee does not intend it to serve as a guide for the treatment of unregistered patients. The present study protocol does not represent recommendations for the standard treatment and is solely for the purpose of the current study. Whether the objectives of the study will be reached remains open. Treatment of patients according to the present protocol without consultation of the International Study Committee is not justifiable.

Before entering patients into the study clinicians must ensure that the study protocol has received clearance from their Ethical Committee and accomplishes regulatory requirements.

The completion of the protocol has been conducted with great care. Amendments may be necessary; these will be circulated to known participants of the trial, but institutions entering patients are advised to contact the responsible study centres to confirm the correctness of the protocol on their hand. Despite our best efforts the possibility of errors within this document cannot be entirely discounted. We therefore remind investigators that the responsibility for any therapy given lies with the attending physician alone and the authors of this protocol do not take responsibility for any adverse consequences arising from application of the recommendations in this protocol.

The content of the protocol is confidential and may not be passed on to centres not involved in the study, without the approval of the International Study Committee.

Protected labels are marked with the symbol following <sup>®</sup>. The absence of <sup>®</sup> does not imply that the term is free.

#### **Table of Contents**

1	SY	NOPSIS	10
2	BA	ACKGROUND AND RATIONALE	12
3	ST	UDY OBJECTIVES AND DESIGN	16
3	3.1	STUDY OBJECTIVES	16
3	3.2	STUDY DESIGN	
3	3.3	EXPECTED DURATION OF PATIENT'S PARTICIPATION IN THE TRIAL	17
4	PA	RTICIPATING GROUPS	18
		TIENT ELIGIBILITY	
	5.1	ELIGIBILITY TO THE STUDY	
į	5.2	PATIENTS NOT EVALUABLE FOR TRIAL'S RESULTS	19
		AGNOSTICS	
	3.1	INITIAL DIAGNOSTIC PROCEDURES	
	5.2	SCHEMA FOR THE PROCESSING OF TUMOUR MATERIAL	
	3.3	PROCESSING OF TUMOUR MATERIAL AND BLOOD SAMPLES AT DIAGNOSIS OF RELAPSE	
	3.4	STAGING	
	3.5	STAGING SYSTEM	
(	6.6	DIAGNOSTIC PROCEDURES DURING AND AFTER THE END OF THERAPY	25
		ASSIFICATION OF THERAPEUTIC GROUPS AND TREATMENT PLAN	_
	7.1	ARM 1: EARLY PROGRESSION	
	7.2	ARM 2: RELAPSE AND CD3 POSITIVE LYMPHOMA CELLS	
	7.3	ARM 3: RELAPSE AND CD3 NEGATIVE IMMUNOPHENOTYPE	
1	7.4	ARM 4: LATE RELAPSE AND CD3 NEGATIVE IMMUNOPHENOTYPE	29
		JIDELINES FOR TIMING OF TREATMENT AND DOSE CALCULATION	
	3.1	RECOMMENDED REQUIREMENTS FOR THE CONTINUATION OF TREATMENT	
}	3.2	GUIDELINES FOR DOSE CALCULATION	30
		-INDUCTION CHEMOTHERAPY: TREATMENT ELEMENTS	
	9.1	Course CC	
	9.2	COURSE CVA	-
	9.3	COURSE ICH	
	9.4 9.5	COURSE ICI	
	9.5 9.6	VINBLASTINE	
;	9.0	SUPPORTIVE THERAPY DURING SALVAGE CHEMOTHERAPY	30
_		STEM CELL TRANSPLANTATION (SCT)	
	10.1	TRANSPLANTATION GROUPS	
	10.2	TIME OF SCT	
	10.3	SUPPORTIVE CARE: MINIMAL STANDARD	
	10.4	ALLOGENEIC SCT	
,	10.5	AUTULUGUUS SCT	40
11	ı	DRUGS	48

	EVENTS, ADVERSE EVENTS AND SERIOUS ADVERSE EVENTS	
12.1		
12.2		
12.3	SERIOUS ADVERSE EVENTS	51
13	ORGANISATIONAL ASPECTS AND DOCUMENTATION	53
13.1	STATUS OF STUDY	53
13.2	THE PROTOCOL	53
13.3	PROTOCOL AMENDMENTS	53
13.4		
13.5	\	
13.6		
13.7		
13.8 13.9		
13.8	FOLLOW-UP	
14	STATISTICAL CONSIDERATIONS	
14.1		
14.2		
14.3		
14.4		
14.5	SOFTWARE	57
	ETHICAL ASPECTS AND REGULATORY REQUIREMENTS	
15.1		58
15.2		
15.3	FUNDING AND PATIENT INSURANCE	58
16	RESEARCH PROJECTS	59
17	PUBLICATION RULES AND PRESENTATION OF RESULTS	61
	APPENDIX	
18.1		
18.2		
18.3		
18.4		
18.5		
18.6		
18.7	,	
18.8	PARTICIPATING GROUPS AND CENTRES	149
19	VOTUM OF THE ETHICAL COMMITTEE	158
20	REFERENCE LIST	160
21	INDEX OF ABBREVIATIONS	161
22	INDEX	162
	11 The Last 11 Control	100

10 Synopsis ALCL-Relapse

#### **Synopsis**

**Title ALCL-Relapse** 

> Treatment protocol for relapsed anaplastic large cell lymphoma of childhood and adolescence

Start of study

April/May 2004

**Participating** groups

**AIEOP** BFM

**BSPHO DCOG** 

NOPHO

**PPLLSG** 

SFCE

SHOP

**UKCCSG** 

Type of study

prospective multicentre trial

**Stratification** therapeutic subgroups hierarchically stratified by

- time from initial diagnosis until the occurrence of relapse
- immunophenotype of ALCL
- availability of MSD or 10/10 MUD Sc-donor
- Vinblastine administration during frontline therapy

#### Randomisation

none

#### Inclusion criteria

- progression or relapse of ALCL
- age < 22 years
- slides of relapsed lymphoma available for national pathological and/or cytomorphology review
- signed informed consent of patient/guardians for participation in the study ALCL-Relapse
- no evidence of pregnancy or lactation period; assured contraception
- no participation in another clinical study, recruitment in study ALCL-Relapse during run time of the study

Patients who fulfil the eligibility criteria of the study but who meet one of the following criteria are not evaluable for trial's results. Nevertheless, they should be registered and documented in the study.

- 2<sup>nd</sup>, or subsequent relapse of ALCL
- significant pre-treatment for 1<sup>st</sup> relapse
- no adequate hepatic, renal and cardiac function
- HIV infection or AIDS, severe immunodeficiency
- previous organ transplantation
- previous malignancy prior to the anaplastic large cell lymphoma
- pre-existing disease prohibiting treatment as per instruction of protocol

#### **Objectives**

Primary objectives of the study are:

- 1. to improve the probability of event free survival in children and adolescents with early progression of ALCL or/and a relapse of ALCL with CD3 positive immunophenotype
- 2. to test whether in patients with relapse of CD3 negative ALCL occurring after the intensive phase of frontline treatment BEAM conditioning regimen (without TBI) for autologous stem cell transplantation is an effective treatment
- 3. to test the impact of Vinblastine in patients with late relapse of an CD3 negative ALCL

**Primary endpoint** The endpoint for the 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> study guestion is the is the Event Free Survival (EFS), defined as minimum time from the date of diagnosis of relapse to the first event (death from any cause, 2<sup>nd</sup> relapse, progressive disease, secondary malignancy, late event).

EFS will be estimated using the Kaplan-Meier method.

ALCL-Relapse Synopsis 11

# Statistical considerations

In patients who are eligible for allogeneic SCT the test for difference will be considered significant if the lower limit of a one-sided 95% confidence interval of pEFS is above 31%.

In patients who are eligible for autologous SCT the test will be considered significant if the lower limit of a one-sided 95% confidence interval of pEFS is above 31%.

In patients who are eligible for Vinblastine alone the test will be considered significant if the lower limit of a one-sided 95% confidence interval of pEFS is above 31%.

With a type I error of 5 % the number of patients required to reach a power of 80% is 43 (test for difference in patients who are eligible for allogeneic SCT, null hypothesis pEFS=31%, expected rate 50%) and 33 (test for equivalence in patients who are eligible for autologous SCT, expected pEFS 53%, lower limit acceptable pEFS=31%).

With a type I error of 5 % the number of patients required to reach a power above 80% is 9 for patients who are eligible for VBL only with an expected pEFS 75% and a lower limit for the acceptable pEFS of 31%.

The expected number of patients diagnosed with relapse of ALCL in Europe is about 16 per year. Of these about 50% are expected to be eligible for allogeneic SCT (early relapse during front line therapy or CD3 positive). About 15% are expected to be eligible for Vinblastine only (very late relapse >1 year after diagnosis and CD3 negative without Vinblastine pre-treatment). The remaining 35% of the patients are eligible for autologous SCT. A total of about 96 patients is necessary to reach the required number of patients for each of the above mentioned study questions. With 16 patients per year, the duration of the recruitment will be 6 years.

#### 2 Background and rationale

Anaplastic large cell lymphoma (ALCL) was first described as a clinicopathological entity by Stein and co-workers in 1985<sup>1</sup>. Morphologically it is characterized by large pleomorphic cells which express CD30, often together with epithelial membrane antigen (EMA) and the interleukin 2 (IL-2) receptor<sup>2,3</sup>. Lymphoid lineage specific antigens are of T- cell phenotype in the majority of cases. The relative frequency of T-cell immunology varied between different studies on pediatric and adolescent ALCL between 45% and 81%<sup>1,4</sup>. On the molecular level, almost 80% of pediatric and adolescent ALCL are characterized by a translocation involving the ALK receptor tyrosine kinase on chromosome 2<sup>3,5</sup>. Most often, the ALK gene gets fused to the NPM gene on chromosome 5, leading to a NPM-ALK fusion protein. The NPM-ALK protein can be detected by the ALK-1 monoclonal antibody and used as a diagnostic test for the presence of the NPM-ALK translocation. Recent reviews even suggest defining ALK-positive lymphomas as a distinct group of NHL<sup>3-7</sup>.

ALCL accounts for 10 - 15% of all childhood and adolescence NHL. Clinically they are characterized by a predominance of B-symptoms and frequent extranodal involvement, particularly skin, lung, bone and soft tissue<sup>8-11</sup>.

Like other childhood lymphomas, ALCL are highly chemosensitive with complete remission rates ranging from 65 to over 90% with various multiagent chemotherapy regimens<sup>8,9,11-13</sup>. Therapy for primary ALCL varied considerably in different study groups. Most of the European groups applied a short-pulse intensive chemotherapy strategy proven to be effective for mature B-cell neoplasms. Based on the experiences with different protocols, the European Intergroup Cooperation on Childhood NHL (EICNHL) instituted a common treatment strategy for primary ALCL in 1999 based on the protocol of the BFM group.

However, 25 – 40% of patients develop a relapse of the disease, usually during the first year after diagnosis. Risk factors for a relapse include skin, lung, mediastinal and visceral involvement<sup>8,9,11-13</sup>. A striking observation in the first ALCL relapse patients treated in France and Germany, however, was the favourable chance of survival after relapse of these patients in contrast to children or adolescents suffering from other subtype of NHL. 88% of 41 patients reported by the French Society of Pediatric Oncology (SFOP) achieved a second remission, of whom 25 patients when treated with CCNU, vinblastine, ara-C and bleomycin (after 1991). The final results were an overall and disease free survival of 69% and 44% at three years<sup>14</sup>. In this French series, weekly vinblastine led to long-lasting remissions even after failure of stem cell transplantation. However, this series included patients who relapsed between 1975 and 1997, and roughly half of the patients (20/41) relapsed after a primary treatment according to protocols which are no longer considered optimal treatment for patients with ALCL. Moreover, the role of high dose chemotherapy with autologous blood stem cell support in the treatment of ALCL relapses could not be further clarified. The United Kingdoms Children's Cancer Study Group (UKCCSG) reported their experience with 13 children with ALCL who relapsed after intensive frontline treatment between 1990 and 1997<sup>13</sup>. Most of these children relapsed early (6 patients within 5 months from diagnosis) and the outcome was less favourable (9 of the 13 children died due to second relapse/progression). Other reported series of relapse patients are small and stamped by the lack of a consistent approach to second line therapy 15-20.

No clear guide to the most appropriate treatment after relapse of an ALCL can be extracted from the studies reported so far. The promising drug Vinblastine has been incorporated into the frontline therapy in the ALCL 99 treatment protocol so that it no longer may be applicable for second line treatment<sup>21</sup>.

The analysis of the outcome of patients with an ALCL relapse after BFM frontline therapy treated according to a recommendation in the NHL-BFM 90 and 95 protocol in Germany, Austria and Switzerland can be used for preliminary conclusions to define prognostic factors and get hinds for a risk-tailored therapy for relapse patients. Altogether, 80 patients were diagnosed with a relapse of an ALCL between February 1990 and February 2003 after intensive frontline therapy comparable to the current frontline protocol. The protocols NHL-BFM 90 and 95 recommended the strategy CC (dexamethasone, HD-ara-C and etoposide, triple drug i.t. therapy), followed by AA (Dexa, MTX, Ifosfamide, Vincristine, Cytarabine, Etoposide, triple drug

i.t. therapy) and BB (Dexa, MTX, Cyclophosphamide, Vincristine, Doxorubicine, triple drug i.t. therapy) for induction of a second remission. Autologous blood stem cell transplantation should be used as consolidation therapy. In individual patients treating physicians decided to perform allogeneic transplantations instead. The conditioning protocol included 12 Gy TBI (6x 2Gy), etoposide (40 mg/kg) and cyclophosphomide (2x 60mg/kg) for both transplant procedures. Supportive therapy and GvHD prophylaxes were at the discretion of each transplant center. 43 patients are alive in CR at January 2004 (Overall survival 53%). 31 patients (39%) died from ALCL-progression and 6 patients from treatment (TRM 8%) (Table 1). Two patients were diagnosed with a lymphomatoid papulomatosis; both of them have a wax-and-wane course of the disease with and without chemotherapy. They were not included in the further analyses of prognostic factors. The influence of therapy, localization of the relapse, time of the relapse from first diagnosis and of the immunophenotype on the outcome of the patients were analysed.

Table 1: Outcome after a first relapse of an ALCL

patients	80		(relapse diagnosis 10/86 – 2/03, update 3/04)
Survival	43	(53%)	
DOD	31	(39%)	
TRM	6	(8%)	

DOD, dead of disease, TRM, treatment related mortality

#### 1. Influence of therapy on outcome (Table 2):

Four patients died of lymphoma progression after receiving no or palliative therapy. Four patients were treated with chemotherapy only. Two of them died of disease, one achieved a second CCR and one patient was lost to follow up. Of the 67 patients who were scheduled for either autologous or allogeneic blood stem cell transplantation (BSCT), 10 died before reaching the transplantation, 9 due to lymphoma progression and one due to TRM. Of the 57 patients who underwent a transplant procedure, 42 were treated by high dose therapy with autologous stem cell support. 20 patients (48%) achieved a second CCR and live free of disease. Two patients died from therapy and 20 patients relapsed a second time. Surprisingly, all five patients who underwent a secondary allogeneic BSCT after second relapse achieved a third CCR and survived. Ten of the 15 patients (65%) who received a primary allogeneic BSCT are in second CCR; TRM of the allogeneic procedure was 20% (3 patients).

Preliminary conclusions may be drawn from these results: Over 40% of patients with a relapse of an ALCL survive without disease after high dose therapy with autologous BSCT. Some patients can be rescued even after failure of high dose therapy by an allogeneic BSCT. There are some patients for whom the induction chemotherapy with CC may not be appropriate since 15% of patients relapsed before a scheduled transplant procedure.

Table 2: Influence of therapy on outcome of ALCL relapses (n=78)

				chemotherapy and BSCT		
	unknown	no/palliativ	chemother.	dead before	autologous	allogeneic
				ŞEŢ	SCT	SCT
patients	3	4	4	( 10 )	42	15
2. CCR	2		1		20 (48%)	10 (64%)
TRM		0	0	1	2	3
Progress	1	4	2	9	( 20 )	2
DOD	1	4	1 (1 lfu)	9	12	2

#### 2. Influence of the localization of the relapse on outcome:

There was no influence of the localization of the relapse on the outcome of the patients. Neither the occurrence of new localisations nor the involvement of skin or bone marrow decreased the prognosis of the patients. The only exception are patients with the very rare situation of an ALCL relapse occuring in the CNS.

#### 3. Influence of the immunophenotype on outcome:

When patients are grouped according to the expression of the major T-cell antigen CD3, 41 ALCL were CD3 negative, 23 CD3 positive and two patients carried B-cell-marker. The CD3 status of the remaining 12 patients could not be extracted from pathology reviews. Survival of the CD3 negative patients was 61% compared to 43.5% for the patients whose tumours expressed CD3. In order to see if the CD3-expression status of the primary tumor can define a group of patients who do not profit from high dose therapy we focussed our analyses to those patients who were treated by BSCT. A clear prognostic impact of the immunophenotype on the outcome after autologous BSCT can be made (Table 3): 19 (79%) of the 24 CD3 negative patients who received high dose therapy with autologous BSCT reached a second CCR compared to only 2 (15%) of the 13 CD3 positive patients. Altogether 6 patients with relapse of a CD3 positive ALCL underwent an allogeneic BSCT (4 after a second relapse after autologous BSCT and 2 after first relapse). All 6 patients are in second/third CCR. Relapse patients with CD3 positive tumours do not profit from an autologous BSCT (ABSCT), however, there is a first hint for a graft versus ALCL-effect against CD3 positive ALCL by allogeneic BSCT. These data suggest that patients with a CD3 negative relapse of an ALCL should be treated by ABSCT while CD3 positivity should qualify for an allogeneic BSCT.

Table 3: Influence of the immunophenotype on outcome of ALCL relapse patients who underwent a SCT

	autologo	ous SCT	allogeneic SCT		
CD3	CD3 neg	CD3 pos	CD3 neg	CD3 pos	
Patients	24	13	10	2	
2. CCR	19 (79%)	2 (15%)	6	2	
TRM	0	1	2	0	
Progress	5	10	2	0	
3. CCR	1 (allo)	4 (4 allo)			
DOD	3	7	2	0	

#### 4. Influence of time of the relapse after first diagnosis on outcome:

ALCL which stain positive for CD3 clearly define a bad risk group of patients. However, the prognosis of those patients who had a relapse during therapy for the primary ALCL (i.e., within 3 – 4 months after diagnosis of the first lymphoma) was even worse independent of the immunophenotype. Only three of the 17 patients (20%) who experienced their relapse within 4 months from diagnosis survived compared to 38 of 61 (62%) with a relapse later then 4 months. The main cause of death for the "early" relapse patients was the disease (74%). Hints for a treatment strategy for these patients again can be drawn from the analyses of the treatments performed (Table 4): 4 patients received no or palliative therapy; all 6 patients who underwent an ABSCT relapsed a second time and 5 of them died; two of the 6 patients who got an allogeneic BSCT survived (one even in second relapse during reinduction chemotherapy). These data suggest that patients with a relapse during frontline chemotherapy can not be rescued by high dose therapy with autologous BSCT, while an allogeneic approach may be a viable option.

Table 4: Outcome of the patients with a relapse of an ALCL during the first 4 months after primary diagnosis according to their relapse treatment

· · · · ·	Chemotherapy and BSCT					
	no or palliative chemotherapy	dead before SCT	autologous SCT	allogeneic SCT		
Patients	4	1	6	6		
2. CCR	0		0	2		
TRM			0	2		
Progress	4		6	2		
3. CCR			1	0		
DOD	4	1	5	2		

Less then half of the patients who experience a relapse during treatment or shortly thereafter and who were treated according to the recommendation in the BFM-protocol (CC – AA – (BB)) reached the SCT without a second relapse. Therefore, these patients need more efficacious reinduction chemotherapy. There is a center experience (Gießen/Germany) with individual patients with refractory NHL including ALCL (progression during treatment, second or third relapse) treated with a chemotherapy cycle consisting of Mitoxantrone, Carboplatin and Ifosfamide followed by a second cycle with Idarubicine, Carboplatin and Ifosfamide. Complete lymphoma remissions were observed even in patients in whom CC has failed and the toxicity profile of this therapy compared favourable to CC (see Table 5).

Table 5: Toxicity profile of courses ICI and ICM in 5 patients

	course I (	course I (ICM)(N= 6)		(ICI)(N= 4)
Toxicity	NCI grade III	NCI grade IV	NCI grade III	NCI grade IV
Haematology				
Haemoglobin	3	3	1	3
Leukocytes		6		4
Granulocytes				
Thrombocytes	1	5		4
Infection				
Infection	1		1	
Fever				
Mukositis				
Stomatitis				
Diarrhoea		2		
Liver				
Bilirubin				
SGOT/SGPT	1			
Neurotoxicity				
central	1			
peripheral				
other toxicities				
	haem. Cystitis			

There were no grade III/IV toxicities neither cardiac toxicities (arrhythmia or function), nor renal toxicities, thrombosis/embolism or anaphylaxis.

Therefore, in the current study the feasibility and efficacy of these regimens as reinduction for high risk relapse patients, i.e. patients with an early relapse during frontline therapy will be evaluated.

#### Risk groups

Treatment results for children with relapsed ALCL are generally superior to other diagnostic subgroups of NHL. However the heterogenity in the group of children with a relapse of an ALCL calls for risk group adapted therapy intensity. With a combination of the factors immunophenotype and time to progression 3 risk groups can be separated:

- A: early relapse or/and CD3 positive: pEFS (2y) = 31%, SE 9% (N=26, events: 18) and pEFS (4y) = 22%, SE 8% (N=26, events: 20)
- B: intermediate time to relapse and CD3 negative: pEFS (2y/4y) = 53%, SE 12% (N=18, events 8)
- C: late relapse and CD3 negative: pEFS (2y/4y) = 75%, SE 13% (N=12, events 3)

With the exception of two events in risk group A all subsequent events occurred during the frist two years after diagnosis of relapase. Test for the difference revealed significant differences between group A vs B (p=.03) and A vs. C (p<.001).

Of 64 patients 34 could be classified to group A (53%) (8 patients who received allogeneic SCT were excluded form the analysis of outcome; see above), 18 to group B (28%) and 12 to group C (19%).

#### 3 Study objectives and design

#### 3.1 Study objectives

The aim of the study is to test the feasibility and efficacy of a treatment strategy for relapsed anaplastic large cell lymphoma consisting for the majority of patients of reinduction chemotherapy followed by allogeneic or autologous stem cell transplantation, respectively (stratified for time of relapse, immunophenotype, Sc-donor availability and VBL administration during frontline therapy).

Primary objectives of the study are:

- 1. to improve the probability of event free survival in children and adolescents with early progression of ALCL or/and a relapse of ALCL with CD3 positive immunophenotype
- 2. to test whether in patients with relapse of CD3 negative ALCL occurring after the intensive phase of treatment BEAM conditioning regimen (without TBI) for autologous stem cell transplantation is an effective treatment
- 3. to test the impact of Vinblastine in patients with late relapse of an CD3 negative ALCL who have not received Vinblastin during frontline therapy

Secondary objectives of the study are to test the reproducibility of the newly introduced stratification criteria, to control protocol adherence measured by the proportion of patients who are treated according to the protocol among all patients who meet the inclusion criteria of the protocol and to monitor acute and late toxicity of the treatment.

Criteria of assessment for the primary objectives

The endpoint for the 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> study question is the is the Event Free Survival (EFS), defined as minimum time from the date of diagnosis of relapse/progress to a subsequent event (death from any cause, second relapse, progressive disease, secondary malignancy). EFS will be estimated using the Kaplan-Meier method.

Criteria of assessment for the secondary objective

The end point for the question of reproducibility of the newly introduced stratification criteria is the proportion of patients who are eligible for allogeneic SCT (early progression, or/and CD3 positive immunophenotype).

The endpoint of the control of protocol adherence is the proportion of patients who are treated according to the protocol among all patients who meet the inclusion criteria of the protocol. A patient is judged to be treated according to the protocol if he is transplanted according to his risk classification within 4 months from beginning of relapse therapy.

Secondary end points

- overall survival: defined as time from relapse to death of any cause
- acute and long term toxicity
- rate of acute and chronic GvHD in patients with allogeneic stem cell transplantation
- treatment related mortality

#### 3.2 Study design

ALCL-Relapse is a prospective non randomised international multicentre trial.

Patient recruitment starts in April/May 2004. With an expected recruitment of 16 patients per year, the duration of the study will be about 6 years.

The study includes:

- registration of all patients of participating centres with newly diagnosed relapse of an anaplastic large cell lymphoma
- stratified treatment-plan for salvage chemotherapy and stem cell transplantation
- program of investigations to identify prognostic factors highly predictive for failure of current treatment

The treatment of patients with relapsed anaplastic large cell lymphoma will be stratified by the following criteria (see page 2):

- time of progression/relapse
- immunophenotype of lymphoma cells
- stem cell donor availability
- VBL administration during frontline therapy

According to those stratification criteria there will be five arms (for details see chapter "Classification of therapeutic groups and treatment plan", page 28):

	time point of relapse	immuno- phenotype	donor availibility	VBL in frontline therapy	salvage chemotherapy	SCT
Arm1	early progression during intensive chemotherapy	CD3 positive or CD3 negative	any donor		ICM, ICI	allogeneic SCT
Arm 2a	relapse after end of intensive therapy	CD3 positive	MSD or 10/10 MUD available		CC, CC, (CVA)	allogeneic SCT
Arm 2b	relapse after end of intensive therapy	CD3 positive	no MSD and no 10/10 MUD available		CC, CC, CVA	autologous SCT
Arm 3	relapse after end of intensive therapy	CD3 negative		VBL in frontline therapy or relapse ≤ 12 months after diagnosis of ALCL	CC, CC, CVA	autologous SCT
Arm 4	relapse > 12 months after diagnosis of ALCL	CD3 negative		no VBL in frontline therapy	24 months VBL	none

#### 3.3 Expected duration of patient's participation in the trial

For patients in the treatment arms 1, 2a, 2b, and 3 the individual duration of the treatment is estimated to be about 9 months. The actual duration depends on the clinical course of the individual patient. For patients in the treatment arm 4 the duration of the study is 24 months and if necessary additional 9 months after diagnosis of 2<sup>nd</sup> relapse and shift to treatment arm 3. The follow-up period is at least 10 years.

18 Participating groups ALCL-Relapse

#### 4 Participating Groups

Participating groups are:

- AIEOP: Associazione Italiana di Ematologia ed Oncologia Pediatrica
- BFM Germany Switzerland Czechia
- BFM Austria
- BSPHO Belgian society of paediatric haematology/oncology
- DCOG: Dutch Childhood Oncology Group
- NOPHO for Scandinavian group
- PPLLSG Poland
- SFCE: Société Française des Cancers de l'Enfant
- SHOP Spain
- UKCCSG: United Kingdom Children's Cancer Study Group

These groups form the core collaborative group. Other national groups may join this study after discussion with the core group.

Participating groups are expected

- to register all patients with relapsed ALCL diagnosed in one of the participating centres of that group in order to avoid patient selection bias
- to provide diagnostic material for central review and research projects

All centres are expected to obtain approval for the study from their local research ethical committee according to their national policy and to control whether the protocol matches with GCP-guidelines and local law and regulatory requirements. Further more all participating centres have to agree to the contract of participation and all centres have to declare to adhere to the ALCL-Relapse protocol.

For the participating centres see appendix "Participating groups and centres", page 149.

#### 5 Patient eligibility

#### 5.1 Eligibility to the study

Patients meeting the following criteria are admitted to the study:

- Progression or relapse of anaplastic large cell lymphoma diagnosed either by histomorphological or cytomorphological characterisation
- slides of relapsed lymphoma available for national/international pathological and/or cytomorphology review
- age < 22 years
- signed informed consent of patient/guardians for participation in the study ALCL-Relapse
- no evidence of pregnancy or lactation period; assured contraception
- no participation in another clinical study and recruitment in study ALCL-Relapse during run time of the study

All patients fulfilling these eligibility criteria will be registered in the study. A registration fax must be sent to the responsible Co-ordination Centre as soon as the diagnosis of relapse is confirmed. For the registration form see appendix, page 97. In return the treating hospital will receive a confirmation of registration.

A subsequent exclusion of a patient is allowed only if it turns out that diagnosis of relapse was incorrect.

#### 5.2 Patients not evaluable for trial's results

Patients who fulfil the eligibility criteria for the study but who meet one of the following criteria will not be included in the results of this trial. Nevertheless, they should be registered and documented in the study and the data will be analysed for defined questions. The national study co-ordinator should be contacted for potential therapy modifications before the start of treatment.

- 2<sup>nd</sup> or subsequent relapse of ALCL
- significant pre-treatment for 1<sup>st</sup> relapse (previous chemotherapy or radiotherapy within two
  months prior to the beginning of therapy according to the protocol ALCL-Relapse, exept in
  emergency situations)
- no adequate hepatic, renal and cardiac function
- HIV infection or AIDS, severe immunodeficiency
- previous organ transplantation
- previous malignancy prior to the anaplastic large cell lymphoma
- pre-existing disease prohibiting chemotherapy as per instructions of the protocol
- pre-condition prohibiting the conditioning regimen as per instruction of the protocol (see page 43)

20 Diagnostics ALCL-Relapse

#### 6 Diagnostics

A histological or cytological/genetical confirmation of the relapse is mandatory for participation in the trial ALCL-Relapse. In addition the histo- or cytological diagnosis of the anaplastic large cell lymphoma must be confirmed by the national central pathology review panel of the respective participating national/cooperative group.

#### 6.1 Initial diagnostic procedures

To establish the diagnosis of relapse of the anaplastic large cell lymphoma the least invasive procedure should be preferred. It is important to notice, however, that fine-needle or trocar needle biopsy is usually not recommended. Tissue specimen gained by needle biopsy is mostly insufficient for complete characterisation of the disease. Therefore, needle biopsy should be restricted to those patients to whom a more invasive intervention poses undue risk.

In case of suspected relapse all other options to establish the diagnosis should be considered before surgery is performed:

- examination of blood and bone marrow
- in case of pleural effusion/ascites: puncture and cytological and immunophenotypic examination by immuncytochemistry and genetics

In case of malignant effusions and/or significant bone marrow infiltration the diagnosis can be established by means of cytomorphology on cytospin preparations, FISH or PCR to evidence t(2;5) (or variant translocations) and immunophenotyping of cell suspensions.

Only if the diagnosis of relapse cannot be established using these simple techniques surgery should be performed. Surgery is primarily performed to confirm the diagnosis of suspected relapse. Complete resection should not be intended, except if possible, without any risk and functional loss for the patient. The most peripheral lesion should be chosen for biopsy, e.g. in case of a mediastinal tumour, extra-thoracic manifestations should be carefully sought, which can be used for a biopsy.

Material has to be ascertained in the following way:

- Tumour touch imprints
- formol fixated material for histologic and immunhistochemical examination
- fresh material in cell culture media (cell culture media or NaCl 0.9% if media is not available) for:
  - 1. MRD
  - 2. Cytogenetics
  - 3. Tumour cell banking
- shock frozen lymphoma material (liquid nitrogen, -80°C) for:
  - 1. Matrix comparative genomic hybridisation (CGH)
  - 2. Gene expression profiling
  - 3. Proteomics studies

If only limited biopsy material is available for carrying out all the diagnostic and research studies described above, tumour processing must follow a priority algorithm.

- 1. Assurance of diagnosis
- 2. MRD
- 3. Cytogenetics
- 4. Matrix-CGH
- 5. Gene expression profiling
- 6. Surface enhanced lasser desorption/inosation (SELDI)
- 7. Tumour cell banking

The following procedure is recommended:

Ideally, the paediatric oncologist or the pathologist should take over the biopsy specimen directly in the surgery room for appropriate processing. As a first step, the biopsy should be subdivided into one-third to two-thirds of volume. The two-third portion is kept sterile. Prepare

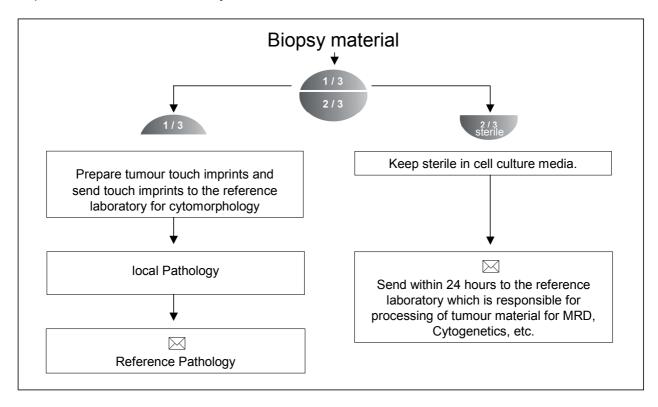
touch preparations from the one-third proportion. Use the one-third proportion for pathology, if sufficient according to the agreement of the pathologist. Use the two-thirds proportion for further biological studies according to the priority list above. The tissue for further biological studies should be sent as one sterile piece within 24 hours to a national central laboratory for further processing of tumour material (see schema below).

Important note: Central histological review is necessary to enter the study. A block of paraffin embedded material or 16 unstained slides will be requested for each patient.

The processing and shipment of the tumour material (biopsy, effusions, bone marrow, blood) is described in details (see chapter "Processing of tumour material", page 22).

#### 6.2 Schema for the processing of tumour material

The following schema summarises the recommended processing of tumour material. Note, that the tumour material sent to pathological examinations must be enough to ensure diagnosis and to perform immunhistochemistry.



22 Diagnostics ALCL-Relapse

## 6.3 Processing of tumour material and blood samples at diagnosis of relapse

Material	Processing	Investigation	send to			
	formol fixated	Histology Immunohistochemistry	National Reference Pathology			
Lymphoma	in culture media or, if not available, 0,9% NaCl	Cytogenetics Molecular genetics/MRD Cell banking	National Reference Genetics/Morphology			
Tumour touch imprints	preparations, unstained	Morphology, FISH	National Reference Genetics/Morphology			
Bone marrow	20-25 ml with heparin*	Molecular genetics/MRD Cytogenetics Cell banking	National Reference			
	10 slides, unstained	Cytomorphology	Genetics/Morphology			
Liquor	2 cytospin preparations, unstained	Cytomorphology	National Reference Morphology			
	10 slides, unstained	Cytomorphology				
Blood	10 ml with heparin*	Molecular genetics/MRD Cell banking	National Reference Genetics/Morphology			
	10 ml with EDTA – send as quickly as possible	Study of B cell and T cell response to ALK	Genetics/Morphology			
	10 cytospin preparations, unstained	Cytomorphology				
Pleural effusion/Ascite	as much as possible with heparin*	Cytogenetics Molecular genetics/MRD Cell banking	National Reference Genetics/Morphology			

<sup>\*)</sup> Use heparin without stabilizer.

National Reference institute for genetics (MRD)	National Reference institute for morphology	National Reference Pathology	Immunresponse to ALK
Prof. Dr. J. Harbott Dr. K. Busch Onkogenetisches Labor Universitäts-Kinderklinik Pädiatrische Hämatologie und Onkologie Feulgenstr. 12 D – 35385 Gießen	Prof. A. Reiter Dr. W. Wößmann Universitäts-Kinderklinik Pädiatrische Hämatologie und Onkologie Feulgenstr. 12 D – 35385 Gießen	Prof. Dr. Dr. h.c. R. Parwaresch Institut für Hämatopathlogie Universität Kiel Niemannsweg 11 D – 24105 Kiel oder ein anderes Referenzpathologisches Institut der NHL-BFM Studie siehe S. 4	Karen Pulford Nuffield Department of Clinical Laboratory Sciences, Room 4A11, Level 4, Academic block, John Radcliffe Hospital, Headington, Oxford, OX3 9DU, U.K. karen.pulford@ndcls.ox. ac.uk kamel.ait-tahar@ndcls.
			ox.ac.uk

ALCL-Relapse Diagnostics 23

#### 6.4 Staging

#### 6.4.1 Observation of tumour extension

- detailed clinical examination with careful attention to
  - o general condition and B-symptoms
  - o skin lesions (sometimes limited to a few pink macular papules)
- Performance status (Karnofsky/Lansky)
- detailed description of all initially involved sites
- x-ray of chest (p.a. and lateral) and, in case of a mediastinal mass, chest CT.
- Ultrasound scan of abdomen/pelvis, thorax (pleural effusion, pericardial effusion), testes
- abdominal MRI (magnetic resonance imaging) or CT with contrast in case of equivocal results in ultrasound
- BM aspirate (2 sites)
- CSF (cerebrospinal fluid) cytospin and cell number. Even if there is no apparent infiltrate, an
  initial cytospin preparation should be performed
  Recommendations for cytospin preparations

cells in CSF	filling quantity	revolutions/min	time
< 100/µI	0.5 ml	1 000/min	5 min.
100-1 000/µl	0.2 ml	1 000/min	5 min.
>1 000/µI	0.05 ml	1 100/min	3 min.

- Cytology of pleural fluid/ascites (cytospin preparations)
- immunological examinations and genetics of pleural fluid/ascites/BM (if involved)
- cranial MRI (if not available: CT with contrast medium)
- spinal MRI in case of neurological signs
- bone scan and local x-ray in case of local bone symptoms or of any suspicious areas identified on bone scan

#### further examinations:

- full blood count and film
- electrolytes, urea, creatinine, uric acid, calcium, phosphate, alkaline phosphatase
- GFR (glomerular filtration rate), if there is any evidence of renal dysfunction
- LDH (lactate dehydrogenase)
- tests for inherited thrombophilia (if not performed at time of initial diagnosis)
- echocardiography

#### 6.4.2 Definition of organ involvement

#### 6.4.2.1 Bone marrow involvement

Bone marrow involvement is diagnosed if there are morphologically identified lymphoma cells in bone marrow aspirates.

#### 6.4.2.2 CNS involvement

CNS involvement is considered if:

- morphologically identified tumor cells or cells with t(2;5) (or variant translocations) are present in CSF cytospin preparations
- cerebral/medullary infiltrates on cranial/spinal MRI
- cranial nerve palsy that cannot be explained by extradural lesions

24 Diagnostics ALCL-Relapse

#### 6.4.2.3 Liver involvement

Liver involvement is diagnosed if

- Liver enlargement exceeds the age related norm in ultrasound or other imaging techniques by 5 cm or more, or if liver is palpable 5 cm or more below the costal arch and/or
- nodular liver involvement is seen in ultrasound or other imaging techniques.

#### 6.4.2.4 Lung involvement

Lung involvement should be confirmed by x-ray and/or CT-scan. If the histopathological diagnosis of relapse can be performed by biopsy of other sites, such as peripheral lymph nodes, a lung biopsy should not be carried out since it might compromise the patient.

#### 6.4.2.5 Mediastinal involvement

Mediastinal involvement should be confirmed by x-ray and/or CT-scan. If the histopathological diagnosis of relapse can be performed by biopsy of other sites, such as peripheral lymph nodes, invasive methods, such as mediastinoscopy or thoracotomy, should not be carried out, since it might compromise the patient.

#### 6.4.2.6 Skin involvement

Skin involvement should be confirmed by biopsy. In case of isolated skin relapse diagnosis of a lymphomatoid papulosis must be ruled out definitely.

#### 6.4.2.7 Spleen involvement

Spleen involvement is diagnosed if

- spleen enlargement exceeds the age related norm in ultrasound or other imaging techniques by 5 cm or more, or if the spleen is palpable 5 cm or more below the costal arch and/or
- nodular spleen involvement is seen in ultrasound or other imaging techniques.

#### 6.5 Staging system

St. Jude's Classification<sup>22</sup>

Stage	Criteria for extent of disease
I	A single tumour (extra nodal) or single anatomic area (nodal) with the exclusion of
	mediastinum or abdomen or epidural.
II	A single tumour (extra nodal) with regional node involvement. Two or more nodal areas on the same side of the diaphragm. Two single (extra nodal) tumours with or without regional node involvement on the same side of the diaphragm. A primary gastrointestinal tumour usually in the ileocaecal area with or without involvement of associated mesenteric nodes only, grossly completely resected.
III	Two single tumours (extra nodal) on opposite sides of the diaphragm. Two or more nodal areas above and below the diaphragm. All primary intra-thoracic tumours (mediastinal, pleural, thymic). All extensive primary intra-abdominal disease. All paraspinal or epidural tumours regardless of other tumour site(s). Multilocular bone involvement.
IV	Any of the above with initial CNS and/or bone marrow involvement.

Ann Arbor staging classification

Stage	Criteria for extent of disease
I	Involvement of a single lymph node region (I) or of a single extralymphatic organ or site (IE)
II	Involvement of two or more lymph node regions on the same side of the diaphragm (II) or
	localised involvement of an extralymphatic organ or site and one or more lymph node region
	on the same side of the diaphragm (IIE)
III	Involvement of lymph node regions on both sides of the diaphragm (III) which may also be
	accompanied by involvement of the spleen (IIIS) or by localised involvement of an
	extralymphatic organ or site or both (IIIE)
IV	Diffuse or disseminated involvement of one or more extralymphatic organs or tissues, with or
	without associated lymph node involvement

#### 6.6 Diagnostic procedures during and after the end of therapy

# 6.6.1 Monitoring of minimal residual disease (MRD), chimerism and immune response to ALK

Time point	MRD***	Chimeri	Immune response***	
	3-5 ml EDTA BM* 10 ml EDTA blood	2x3ml EDTA blood	3ml BM	10 ml EDTA blood see page 59
at diagnosis of relapse	X			X***
after the 2 <sup>nd</sup> course of chemotherapy				
before SCT	X	Х	Х	X***
day +7		Х		
day +14		Х		
day +21		X		
day +28	X	X	Х	X***
day +35		X		
day +42		X		
day +49		X		
day +60	X	X	Χ	X***
day +74		X		
day +88		X		
day +100	X	X	Х	X***
4 month after SCT		X		
5 month after SCT		Х		
day +180	Х	Х	Х	X***
day +360	X	Х	Х	X***

<sup>\*</sup> In patients who receive no SCT but Vinblastine for 24 months BMP as follow up of disease status should be performed only in case of BM-involvement at relapse and evidence of lymphoma cells in BM in previous examination. Examination of blood will be performed.

#### 6.6.2 Follow-up studies of disease status

Routine follow up studies are to be performed to follow and document the status of the disease. Recommended imaging methods for follow up studies depend on localisation.

localisation	Method for follow up studies
mediastinal tumour, lung	x-ray , if inconclusive CT
head, brain, spinal	clinical symptoms: MRI
peripheral nodes	Physical examination, ultrasound
abdominal sites	Ultrasound, if doubtful: CT or MRI
bone	clinics, if symptoms: x-ray, MRI
soft tissue	Physical examination, ultrasound, MRI
skin	Physical examination
testes	Physical examination

<sup>\*\*</sup> Only after allogenic SCT.

<sup>\*\*\*</sup> Send the samples for MRD and immune response to the national reference laborytory for genetics in Gießen (for address see page 4 and 69).

26 Diagnostics ALCL-Relapse

The following table gives recommendations for the time points of disease status evaluation.

Time points of follow up	studies of disease status	<b>3</b>	
time point	local manifestations appropriate imaging as described above	BM/blood	CSF only in case of CNS involvement
before 2 <sup>nd</sup> course	Х	х	X
before 3 <sup>rd</sup> course (autologous SCT)	X	X	<b>X</b> *
before SCT	X	X	<b>X</b> *
day 28 after SCT	X	X	<b>X</b> *
day 42	X		
day 60	Х	х	
day 100	X	X	
day 130	X		
day 160	X		
day 180	X	х	
day 181-365	2-monthly	x	
2 <sup>nd</sup> year	3-monthly		
3 <sup>rd</sup> year	6-monthly		
4 <sup>th</sup> year	once a year		
Later	if progression suspected		

<sup>\*)</sup> only in case of apparent lymphoma-cells in CSF at previous examination
Additional time points and examinations if progression is suspected.

#### 6.6.3 Monitoring of late effects

The evaluation of late effects of the recommended therapy represents one aim of the study. For this analysis, follow up data will be requested in constant intervals (see chapter "Follow-up", page 55). In case of diagnosis of a late effect the corresponding part of the follow up form (see appendix page 134 or 142) has to be filled in and sent to the national data centre.

Furthermore the monitoring of late effects may be performed according to local practice. In the following some basic recommendations are given.

time after SCT	month					ye	ar				
	0	3	6	9	12	18	24	3	4	5	8
transaminases	Х	Х	X	Х	Х	Х	Х	Х	Х	Х	Х
bilirubin	Х	Х	X	Х	Х	Х	Х	Х	Х	Х	Х
creatinine	X	X	X	X	Х	X	X	X	Х	X	X
blood pressure	X	X	X	Х	Х	Х	X	X	Х	Х	Х
height	Х	Х	X	Х	Х	Х	Х	Х	Х	Х	Х
weight	X	Х	X	Х	Х	Х	X	X	Х	Х	Х
electrocardiogram (ECG)	X	(X)	X		Х		X	X	Х	Х	Х
echokardiography	Х	(X)	X		Х		Х	X	Х	Х	Х
X-ray thorax	Х	(X)	X	(X)	Х	(X)	Х	Х	Х	Х	Х
Karnofsky/Lansky	Х		X		Х	Х	Х	Х	Х	Х	Х
capacity of learning	X		X		Х		X	X	Х	Х	Х
neurological status	X		X		Х		X	X	Х	Х	Х
pulmonary function	X		X		Х		X		Х		Х
clotting tests	Х		X		Х		Х		Х		
status of the eyes	Х				Х		Х	X	Х	Х	Х
T3/4, TSH	X				Х		Х	X	Х		Х
LH/FSH/oestradiol/testosterone	Х				Х		Х		Х		Х

#### 7 Classification of therapeutic groups and treatment plan

The treatment of patients with relapsed anaplastic large cell lymphoma will be hierarchically stratified by the following criteria (see page 2):

- time of progression/relapse
- immunophenotype of lymphoma cells
- availability of MSD or 10/10 matched Sc-donor
- Vinblastine administration during frontline therapy

#### 7.1 Arm 1: Early progression

The occurrence of relapse is regarded as early progression if tumour progression is diagnosed during the phase of intensive initial chemotherapy of the ALCL. In this therapeutic group there is no further differentiation of patients according to the immunophenotype of the lymphoma or compatibility of a Sc-donor.

Patients with early progression receive salvage chemotherapy consisting of one course ICM and one course ICI followed by allogeneic stem cell transplantation. There are no further restrictions concerning the HLA-compatibility between Sc-donor and recipient. The conditioning regimen is based on total body irradiation, Thiotepa and Etoposide.

#### 7.2 Arm 2: Relapse and CD3 positive lymphoma cells

Patients with CD3-positivity in immunohistochemical examination of tumour slides of ALCL and the occurrence of relapse after the end of frontline therapy belong to this treatment group. Patients are stratified by the criterion of Sc-donor availability:

- Arm 2a: If a matched sibling donor or a 10/10 matched non-sibling donor is available, patients receive salvage chemotherapy consisting of two courses CC followed by allogeneic stem cell transplantation. For patients without a matched sibling donor and with ongoing search for a 10/10 matched non-sibling donor an additional course CVA after the two courses CC may be implemented until the completion of the SC-donor search. The conditioning regimen is based on total body irradiation, Thiotepa and Etoposide.
- Arm 2b: If there is no matched sibling donor or a 10/10 matched non-sibling donor available patients receive re-induction consisting of two courses CC and one course CVA, followed by BEAM conditioning regimen and autologous SCT (see chapter "Arm 3: Relapse and CD3 negative immunophenotype", page 28).

#### 7.3 Arm 3: Relapse and CD3 negative immunophenotype

The criteria for patients belonging in this treatment group are

- time of relapse after the end of intensive frontline chemotherapy of ALCL
- CD3-negativity at immunohistochemical examinations of the ALCL
- relapse of ALCL within 12 months after the initial diagnosis
- or relapse of ALCL more than 12 months after the initial diagnosis of ALCL but Vinblastine received during frontline therapy

Patients in this therapeutic subgroup receive three courses of chemotherapy (CC, CC and CVA) with leukapheresis after the first and/or second CC course (the first course is preferred) followed by autologous SCT with the BEAM regimen of conditioning.

#### 7.4 Arm 4: Late relapse and CD3 negative immunophenotype

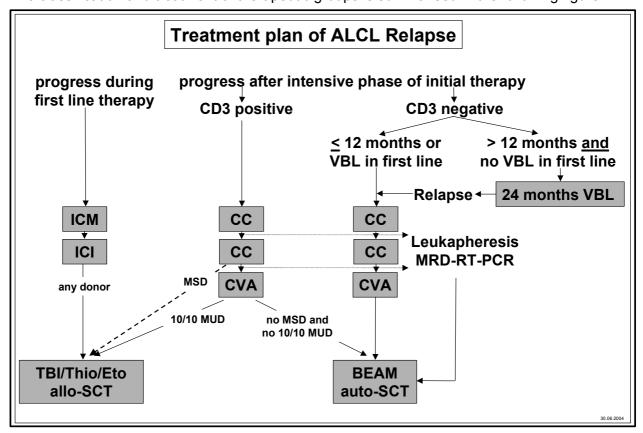
Patients who fulfill all of the following three criteria

- relapse of ALCL more than 12 months after the initial diagnosis and
- CD3 negative immunophenotype and
- no Vinblastine received during frontline therapy

receive 24 months Vinblastin given once a week.

In case of progression during or after the administration of Vinblastine, patients receive therapy according to "Arm 3: Relapse and CD3 negative immunophenotype", page 28 with autologous SCT.

The classification and treatment of therapeutic groups is summarised in the following figure:



In case of an ALCL relapse **during** frontline treatment according to protocol ALCL 99 in the **low risk arm**, patients receive allogeneic SCT (see chapter "Arm 1: Early progression", page 28). For the tratment of patients who relapsed **after** frontline treatment in the low risk arm please contact the national study centre for advice.

#### 8 Guidelines for timing of treatment and dose calculation

Adhere to the protocol as close as possible. Interruptions in a therapy element which has already begun should be avoided, except in case of a severe infection or serious toxicity. There are no planned adjustments to drug doses (except after consultation with the national study coordinator). If necessary, a postponement of a chemoherapy course is preferable. Special guidelines are given within the description of each treatment element or the description of the drug, respectively (e.g. Carboplatin, Ifosfamide).

#### 8.1 Recommended requirements for the continuation of treatment

- The treatment according to the Protocol ALCL-Relapse should start as soon as the diagnosis of relapse is confirmed.
- In case of high tumour volume Rasburicase for the prophylaxis of a tumour lysis syndrome is recommended.
- Ensure sufficient bowel motility prior to the start of treatment.
- The timing of treatment should be adjusted to the recovery of the bone marrow and the clinical condition of the patient.
- minimal interval between the beginning of two subsequent courses: 16 days
- Before the start of a course, the patient should be free of fever for al least 3 days, there should be no signs of infection and the patient should be in good general condition.
- haematological requirements for the continuation of treatment:
  - o recovery of peripheral counts with rising tendency following post-chemotherapy nadir
  - o ANC ≥  $0.5 \times 10^9$ /L
  - o platelets ≥ 50 x 10<sup>9</sup>/L
- renal and hepatological requirements for the continuation of treatment:
  - o creatinine/creatinine-clearance within normal limits corrected for age
  - o SGOT/SGPT ≤ 5 x upper normal limit
  - o Bilirubin ≤ 3 upper normal limit
- Prior to the administration of Anthracyclines sufficient cardiac function should be ensured.

#### 8.2 Guidelines for dose calculation

#### Systemic therapy

30

Dosage is based on body surface area (BSA), which is determined before every therapy element. Infants receive reduced chemotherapy dosage according to age:

Age	Dosage according to BSA
Birth - ≤ 6 months	2/3 of the calculated dose
7 - < 12 months	3/4 of the calculated dose
≥ 1 year	full dose

#### Intrathecal therapy

Dose according to age. The age at the time of administration is the determining factor.

Age	Methotrexate i.t. (mg)	Cytarabine i.t. (mg)	Prednisolone i.t. (mg)
<1 year	6	16	4
1 -< 2 years	8	20	6
2 -< 3 years	10	26	8
≥ 3 years	12	30	10

ALCL-Relapse

#### 9 Re-induction chemotherapy: Treatment elements

The elements of salvage chemotherapy differ between the three respectively four therapeutic subgroups. For details see chapter "Classification of therapeutic groups and treatment plan", page 28 and page 2.

#### 9.1 Course CC

day	1	2	3	4	5
Dexamethasone	• • •	• • •	• • •	• • •	• • •
Vindesin	•				
Cytarabine (ARA-C)	• •	• •			
Etoposide (VP-16)			• •	• •	•
MTX/ARA-C/PRED i.t.					•
Hydration	•	•	•	•	•

Dexamethasone	20 mg/m²/d, p.	20 mg/m²/d, p.o. or iv in 3 divided doses, days 1-5						
Vindesin		3 mg/m², iv (maximum single dose 5 mg), day 1 one hour before first dose of Cytarabine						
Cytarabine (ARA-C)		3 g/m²/single dose, iv over 3h, day 1 and 2 (4 administrations at intervals of 12 hours)						
	days 1-3: supportive the	days 1-3: supportive therapy  lacrimal fluid, every 8 hours 2-3 drops per eye Vitamin B <sub>6</sub> 150 mg/m², every 12 hours						
	cave: in case of nystagmus/ataxia: termination of infusion. In of persistent nystagmus/ataxia no further Cytarabine-Infusion (Purkinje-cell-degeneration)							
Etoposide (VP-16)	(5 administration dilution 1:50 to	100 mg/m²/single dose, iv over 2h, day 3-5, (5 administrations at intervals of 12 hours) dilution 1:50 to 1:100 in NaCl 0,9%; start at day 3 in the morning						
		cave: hypotension, anaphylaxis and arrhythmia  ⇒ monitoring during and 5 hours after the end of infusion						
MTX/ARA-C/PRED i.t.	Methotrexat, C in age-adjusted			solon intrathe	cally at day 5			
	age	Meth [mg]	notrexat i.t.	Cytarabine i.t. [mg]	Prednisolon i.t. [mg]			
	< 1 year	6		16	4			
	1 - < 2 years	8		20	6			
	2 - < 3 years	10		26	8			
	≥ 3 years 12 30 10							
	After i.t. application lowered head position for at least 2 ho							
Hydration	3 000 ml/m²/d,	iv						

#### 9.2 Course CVA

day	1	2	3	4	5	8	15	22
CCNU	•							
Vinblastine	•					•	•	•
Cytarabine (ARA-C)	•	•	•	•	•			

CCNU	100 mg/m², p.o. day 1
Vinblastine	6 mg/m²/d (maximum single dose 10 mg ), iv, days 1, 8, 15, 22
Cytarabine (ARA-C)	100 mg/m²/d, iv over 1 h, days 1-5

#### 9.3 Course ICM

day	1	2	3	4	5	6	7	8	9
MTX/ARA-C/PRED i.t.	•								
Mitoxantrone	•	•							
Carboplatin									
Ifosfamide									
Mesna (1)		•							
Mesna (2)									
Hydration									

MTX/ARA-C/PRED i.t.	Methotrexat, Cytarabin, Prednisolon intrathecally at day 1 in age-adjusted dose:						
	age	Methotrexat i.t. [mg]	Cytarabine i.t. [mg]	Prednisolon i.t. [mg]			
	< 1 year	6	16	4			
	1 - < 2 years	8	20	6			
	2 - < 3 years	10	26	8			
	≥ 3 years	12	30	10			
	After i.t. applica	ation lowered head	d position for at I	east 2 hours			
Mitoxantrone	8 mg/m²/d, iv over 0,5h, day 1 and day 2						
Carboplatin	200 mg/m²/d, continuous infusion over 4 days (96h), start at day 2						
	For dose modifications in case of reduced renal function see chapter "Drugs", page 48.						
Ifosfamide	2 g/m²/d, continuous infusion over 5 days (120h), start at day 2						
	For treatment of Ifosfamide-induced neurotoxicity see chapter "Drugs", page 48.						
Mesna (1)	500 mg/m², iv, day 2, before start of Ifosfamide						
Mesna (2)	3 000 mg/m²/d, continuous infusion over 7 days (168h), start at day 2						
Hydration	<b>3 000 ml/m²/d, iv,</b> if specific gravity of urine > 1010, increase of hydration to 150%						

#### 9.4 Course ICI

day	1	2	3	4	5	6	7	8	9
MTX/ARA-C/PRED i.t.	•								
Idarubicine	•	•							
Carboplatin									
Ifosfamide									
Mesna (1)		•							
Mesna (2)									
Hydration									

MTX/ARA-C/PRED i.t.		Methotrexat, Cytarabin, Prednisolon intrathecally at day 1 in age-adjusted dose:						
	age	Methotrexat i.t. [mg]	Cytarabine i.t. [mg]	Prednisolon i.t. [mg]				
	< 1 year	6	16	4				
	1 - < 2 years	8	20	6				
	2 - < 3 years	10	26	8				
	≥ 3 years	12	30	10				
	After i.t. applica	ation lowered hea	d position for at	least 2 hours				
Idarubicine	10 mg/m²/d, iv	10 mg/m²/d, iv over 4 h, day 1 and day 2						
Carboplatin	200 mg/m²/d, continuous infusion over 4 days (96h), start at day 2							
	For dose modifications in case of reduced renal function see chapter "Drugs", page 48.							
Ifosfamide	2 g/m²/d, continuous infusion over 5 days (120h), start at day 2							
	For treatment of Ifosfamide-induced neurotoxicity see chapter "Drugs", page 48.							
Mesna (1)	500 mg/m², iv, day 2, before start of Ifosfamide							
Mesna (2)	3 000 mg/m²/d, continuous infusion over 7 days (168h), start at day 2							
Hydration	<b>3 000 ml/m²/d, iv,</b> if specific gravity of urine > 1010, increase of hydration to 150%							

#### 9.5 Vinblastine

Vinblastine: 6 mg/m<sup>2</sup> (maximum single dose 10 mg ) iv bolus every week for a total duration of 24 months.

#### Dose modifications:

- A blood count should be carried out every 14 days and treatment should be stopped if the neutrophil count is  $< 0.5 \times 10^9$ /l (or in case that there is no differential if leucocyte count is  $< 1 \times 10^9$ /l) or the platelets are  $< 50 \times 10^9$ /l. Vinblastine is recommenced once the neutrophil count is  $> 0.5 \times 10^9$ /l and the platelets are  $> 50 \times 10^9$ /l.
- The dose of Vinblastine should be reduced to 4 mg/m² in case of prolonged cytopenia necessitating an interruption of more than 2 injections. If the next two courses are well tolerated, the standard dose of 6 mg/m² should be tried again.
- Neurological examination as part of clinical evaluation should be carried out monthly. It is suggested that the Vinblastine dose should be reduced to half (3 mg/m²) if there is evidence of symptomatic peripheral neuropathy. In this situation please contact the study co-ordinator.

#### 9.6 Supportive therapy during salvage chemotherapy

Supportive therapy is primarily the responsibility of the physician in charge. In this chapter only recommendations are given for the patient management in certain situations. The national study co-ordinators are available for consultation. For supportive care during the period of SCT see chapter "Supportive care: minimal standard" page 38.

#### **Substitution of blood products**

Substitution of blood products should be performed according to local/national standards. All blood products have to be irradiated with a minimum of 30 Gy and leukocyte depleted. Substituted blood should be CMV-negative for CMV-negative patients.

#### Infection prophylaxis and therapy

The attending physician has the responsibility for the prophylaxis of infection. The following suggestions are meant as a general reference and are not considered binding guidelines.

The most important infection prophylaxis is a thorough disinfection of hands before and after every contact with a patient, as well as detailed patient and parent education about neutropenia and risk of infection. The administration of non-absorbable antibiotics for the purpose of complete or selective decontamination of the digestive tract may select for resistant bacteria and has not yet clearly been shown to be effective<sup>23,24</sup>. An oral antimycotic chemoprophylaxis with Amphotericin B-suspension or Fluconazole inhibits the colonisation by most Candida species, but does not inhibit the incidence of systemic Candida and Aspergillus mycoses<sup>25</sup>. Constipation and ileus favour the growth of bacteria and fungi in the intestinal lumen and the invasion into the mucous membrane, especially if damage due to cytostatic agents and/or infiltration has occurred. It is therefore important to assure a daily bowel movement, for instance through administration of lactulose p.o. If this treatment is not successful, then stimulant laxatives might be indicated.

#### Pneumocystis carinii prophylaxis

All patients should receive a prophylaxis with Trimethoprim-Sulfamethoxazole (Cotrimoxazol) during the entire phase of chemotherapy to prevent a Pneumocystis carinii-pneumonia. In the case of a TMP-SMZ intolerance it is possible to treat alternatively with pentamidine inhalation<sup>26</sup>.

Medication	Dosage
TMP-SMZ	5 mg TMP/kg/d, p.o. in two doses on three consecutive days, e.g. during the weekend
alternatively: Pentamidine Aerosol	< 4 years: 150 mg/month in 5 ml aq. dest. Inhaled over 20 - 30 min ≥ 4 years: 300 mg/ month in 5 ml aq. dest. Inhaled over 20 - 30 min

#### Varicella exposure

Contact between ALCL patients during chemotherapy and individuals with varicella or varicella zoster must be avoided. If exposure does occur, there is a risk of illness for at least 28 days, regardless of serological status, although sero-positive patients do have a markedly decreased risk<sup>27</sup>. In individual cases, the degree of immunosuppression at the time of exposure determines the therapeutic measures<sup>28-31</sup>.

Patient status	Recommendation
has had Varicella	observation; exception: after SCT patients should always receive
(history, scars, titer)	treatment.
not yet had varicella	Aciclovir 80 mg/kg/d, p.o. in 4 SD for 14-28 days
± immunosuppression	+ varicella-zoster hyperimmunoglobin within 48 hours after exposition
Varicella appearance	Aciclovir 3 x 15 mg/kg/d, iv (over 1 h)
	Until all efflorescences have dried up (minimum 5 days)

Active immunisation of contact persons against Varicella (if they have not had natural exposure) can reduce the risk of Varicella infection of the patient.

### **Fever and Neutropenia**

#### Definition

- temperature oral/rectal ≥ 38.5°C
- neutrophils  $< 0.5 \times 10^9/I$

#### **Diagnostics**

Implementation/supplementation of tests according to clinical situation

- cultures: blood (every catheter channel), stool if diarrhoe (incl. Clostridium difficile toxin), urine
- swabs from throat, skin and mucous membrane lesions, anus
- PCR for mycetes if possible
- chest x-ray, consider CT if pulmonary symptoms and x-ray negative
- if the x-rays of the lungs are reported abnormal a diagnostic bronchial lavage is recommended if the patient is considered clinically stable

### Therapy

Broad spectrum antibiotic therapy:

The antibiotic therapy must be adapted to the unique situation of each patient and each clinic's bacterial spectrum.

- start with a combination of a cephalosporin (3. generation) and an aminoglycoside alternative depending on individual risk: monotherapy with a cephalosporin (3. generation) or aminoglycoside and broad-spectrum penicilline adapt therapy to detected microbes
- abdominal symptoms: consider additional therapy with vancomycin orally 4x250 mg/d or Metronidazol
  - (discuss catheter explantation if contaminated)
- change antibiotic therapy, if fever, infection parameter and clinical symptoms do not decrease after 2 3 days
- if fever is persistent > 3 5 d, or returns after iv antibiotics: additional therapy with antimycotic agents
- for suspected anaerobic infection: additional Clindamycin/Metronidazol

38 Stem cell transplantation ALCL-Relapse

## 10 Stem cell transplantation (SCT)

After re-induction chemotherapy patients receive SCT (except patients in the Vinblastine treatment arm).

## 10.1 Transplantation groups

This study differentiates between two stem cell transplantation groups. Patients will be stratified by the following criteria: time of relapse, immunophenotype and availability of Sc-donor to receive either an allogeneic SCT or an autologous SCT (see chapter "Classification of therapeutic groups and treatment plan", page 28).

#### 10.2 Time of SCT

The interval between the start of last chemotherapy and the start of the conditioning regimen should be 4 to at most 6 weeks. If infection or toxicity require that the start of the conditioning regimen needs to be postponed, patients should receive risk adapted chemotherapy e.g. weekly Vinblastine, to bridge the time until transplantation.

For patients in treatment arm 2a without a matched sibling donor and with ongoing search for a 10/10 matched non-sibling donor an additional course CVA after the two courses CC may be implemented until the completion of the SC-donor search (see chapter "Arm 2: Relapse and CD3 positive lymphoma cells" page 28).

## 10.3 Supportive care: minimal standard

#### Isolation

At the latest with the beginning of bone marrow aplasia, preferably after the end of irradiation or at the beginning of chemo-conditioning, the patient should be nursed in a reverse isolation unit. HEPA or laminar air-flow units are recommended.

#### Oral supportive measures

Starting one week before the conditioning regimen and continued until the end of severe neutropenia (ANC>500/µI) or until control of potential GvHD >II the following supportive measures should be applied:

- oral decontamination in accordance with local standards
- Trimethoprim-Sulfomethoxazol as pneumocystis-carinii-prophylaxis: before SCT until day –1 and from day +14 until 4 weeks after the end of immunosuppression on three days per week
- careful oral hygiene (including mucosa) in accordance with local standards

#### Intravenous supportive measures

- central-venous access
- Aciclovir (3x10mg/kg/day) as prophylaxis against herpes simplex: starting by day +1 until at least day +100
- intravenous substitution of immunoglobulins:
   on days –1 and +14 400mg/kg BW each, then adapted to target level (target level of serum IgG >500mg/dl) until normal values have been achieved
- substitution of packed red cells in cases of Hb levels below 8 g/dl; substitution of platelet concentrates according to local practice; note: in cases of haemorrhage or sepsis the number of platelets should be kept higher
- all blood products should be irradiated, filtered and leukocyte depleted

#### **Hydration**

During the entire period of the conditioning regimen sufficient hydration (3l/m²) and excretion needs to be taken care of.

#### **Nutrition**

Sufficient enteral and, if necessary, parenteral nutrition should be ensured in order to prevent catabolic metabolism after SCT. Enteral nutrition needs to be low in bacteria in accordance with the local standards. These measures may be loosened after the end of immunosuppression, if

no signs of an intestinal GvHD are observed. Enteral nutrition should be given preference over parenteral one.

## Patient adjusted anti-emetic and pain therapy

Together with the conditioning regimen antiemetic therapy should be initiated in accordance with local protocols. In the presence of oropharyngeal mucositis, patient adjusted pain therapy should be carried out.

### Monitoring of bacterial, viral and fungal infections

Virus antibodies in the donor as well as recipient should be serologically determined (at least HIV, hepatitis A, B, C, CMV, EBV, varicella-zoster) before transplantation.

The patient's CMV virus load should be evaluated at least once a week by means of PCR-VNA or pp65 antigen test, as CMV may have a significant influence on the occurrence and course of GvHD. Especially in SCT from MMD a long lasting severe immunodeficiency can be expected. Bacterial and fungal surveillance cultures and viral monitoring (adeno, CMV, EBV) should be performed during the treatment in accordance with local standards.

## Pre-emptive therapy with Ganciclovir in cases of CMV-PCR positivity

CMV-VNA evidence in the serum (PCR: >1x10³ copies/ml) or pp65 antigenaemia should initiate pre-emptive treatment with Ganciclovir or alternatively with Foscarnet<sup>32-36</sup>.

### Infection therapy

In the presence of fever and/or other signs of infection, empirical treatment with broad-spectrum antibiotics in accordance with local standards is necessary. If no improvement can be seen, appropriate systemic antifungal drugs, which also include the aspergillus species, should be used.

40 Stem cell transplantation ALCL-Relapse

### 10.4 Allogeneic SCT

Patients of Arm 1 and Arm 2a with an early progression and patients with CD3 positive ALCL are to receive allogeneic SCT (see chapter "Classification of therapeutic groups and treatment plan", page 28).

## 10.4.1 HLA-Typing

For those patients with an indication of allogeneic SCT (arm 1 and arm 2, see page 28) HLA-typing will be done as soon as possible. At the same time, HLA typing of parents and siblings should be done. If the HLA typing of the family does not render a genotypic identical sibling donor or a ≥ 10/10 compatible non-sibling donor, patients should be immediately entered into a search programme for unrelated donors. An extended family analysis could be useful in case of special HLA constellations (e.g. consanguinity or HLA homocygosis of the patient). It is advisable to discuss these possibilities with the laboratory responsible for HLA-typing.

The minimum requirement for HLA typing is as follows:

The loci A, B, C, DRB1 and DQB1 are to be determined. For possibly suitable sibling donors the definition of the HLA I features via the so-called "medium resolution" method could be sufficient. In case of no available sibling donor the HLA class I and the HLA class II for the patient and the unrelated donors and possible none-sibling family donors need to be done at the high resolution level ("four digit").

Furthermore, blood groups and CMV status of the patient and the potential donor as well as donor age should be regarded.

It is essential to start early with the search for a suitable unrelated donor, in order to be able to carry out the transplantation at the earliest possible time. Likewise the SCT centre should be contacted in time to discuss necessary preliminary steps and possible alternative strategies, and to correlate dates. Also the selection of donors needs to be co-ordinated with the SCT centre.

#### 10.4.2 Sc-donor selection

This study differentiates between four stem cell donor groups. This division is not based on the family relationship between donor and recipient, but on the HLA compatibility. The assignment to a group determines the transplantation regimen (stem cell source, stem cell manipulation, conditioning regimen, GvHD prophylaxis).

MSD: Matched Sibling Donor:

HLA identical sibling donor

## 10/10 HLA-matched non-sibling donor (related or unrelated):

Related or unrelated SC donors 10/10 whose HLA-features correspond with those of the recipient: Matched family donor (MFD) or matched unrelated donor (MUD)

#### 9/10 HLA-matched non-sibling donor (related or unrelated):

Related or unrelated SC donors 9/10 whose HLA-features correspond with those of the recipient: 1 antigen mismatched family donor (1MMFD) or 1 antigen mismatched unrelated donor (1MMUD)

#### MMD: Mismatched Donor:

Related or unrelated SC donors less than 9/10 of whose HLA features correspond with those of the recipient

The correspondence of HLA features between stem cell recipient and donor needs to be given top priority for the selection of the donor (see following overview: donor hierarchy I).

For the selection of the most suitable stem cell donor on the basis of HLA-typing the following guidelines apply:

- the MSD is selected, if a MSD and a 10/10 non-sibling donor or a 9/10 non-sibling donor are available.
- In case no MSD is available, a SCT 10/10 non-sibling donor or a 9/10 non-sibling donor is always preferred to an SCT from an MMD.

If this guideline does not suffice for a decision, because various possible donors within a group are available, also the type of HLA match is taken into consideration:

- a donor with 10/10 HLA identical features is preferred to a donor with 9/10 identical HLA features.
- a donor with an allele mismatch is more suitable than one with an antigen mismatch.
- as for incompatibilities between HLA features the following ranking applies additionally:
   C mismatch = B mismatch before class II mismatch before A mismatch

## Overview: Donor hierarchy I – according to HLA-typing result

priority	HLA-typing result
1	HLA-identical sibling
2	10/10 identical unrelated or family donor
3	9/10 identical unrelated or family donor
4	less than 9/10 identical unrelated or family donor
in case o	f mismatch
1	allele-mismatch
2	antigen-mismatch
in case o	f further mismatch
1	C-mismatch
1	B-mismatch
2	class II-mismatch
3	A-mismatch

In addition to the ranking according to the results of HLA-typing the following features need to be considered (see following overview: Donor hierarchy II):

- · age of donor
- CMV-Status
- sex
- · stem cell source
- availability of donor

## Overview: Donor hierarchy II - further decision criteria

priority	criterion	patient status	donor status
1	age		younger donor
2			older donor
1	CMV status	patient CMV IgG positive	donor CMV IgG positive
2			donor CMV IgG negative
1		patient CMV IgG negative	donor CMV IgG negative
2			donor CMV IgG positive
1	sex	female patient	female or male donor
1		male patient	male donor
2			female donor

42 Stem cell transplantation ALCL-Relapse

## 10.4.3 Eligibility for allogeneic SCT by donor availibility

Patients with early progression of ALCL (Treatment Arm 1; see page 28) are eligible for allogeneic stem cell transplantation. The selection of the donor must follow the given criteria above, but there are no further restrictions of eligibility for allogeneic SCT concerning the HLA-compatibility of donor stem cells.

Patients with CD3 positive ALCL and the occurrence of relapse after the end of frontline therapy (Treatment Arm 2a) are eligible for allogeneic SCT if

- a matched sibling donor or
- a 10/10 matched non-sibling donor is available

Patients of treatment arm 2 are not eligible for allogeneic SCT with a 9/10 compatible non-sibling donor nor with a MMD.

donor group	Treatment arm (see page 28)		
	Arm 1	Arm 2a	
MSD	+	+	
10/10 non-sibling donor	+	+	
9/10 non-sibling donor	+	-	
MMD	+	-	

## 10.4.4 Stem cells, donor stimulation and cell manipulation

donor	stem cells
MSD, 10/10 and 9/10 non- sibling donor	Unmanipulated bone marrow should be used as stem cell source in the present study. A minimum NC count of $3x10^8$ /kg BW of the patient should be available for the transplantation. Allogeneic peripheral stem cells of G-CSF stimulated donors are a acceptable exception, which needs to be documented. Likewise, the minimum CD34+ cell count should be $5x10^6$ /kg BW of the recipient.
	The analysis of the transplant should include the number of transplanted nucleated cells, the number of CD34+ cells, as well as the number of CD3+ (if applicable CD4+, CD8+) cells.
MMD	In the MMD group the SCT should be carried out with peripheral stem cells. The transplant needs to undergo a CD34+ selection or a CD3+ depletion prior to infusion, and contain a minimum of $10x10^6$ /kg BW of the recipient CD34+ cells and a maximum of $3x10^4$ /kg BW of the recipient CD3+ cells.

### Umbilical cord blood stem cells

The protocol guidelines regarding stem cell manipulation, T-cell depletion and GcHD-prophylaxis do not comply for umbilical cord blood stem cells (ucbsc). The use of ucbsc as stem cell source should be restricted to transplant centres with outstanding experience with this form of stem cell transplantation.

#### **Donor stimulation**

If peripheral stem cells are used for SCT, the donor will for 5 days need to be stimulated with rHu-G-CSF 10  $\mu$ g/kg BW s.c. on each day. In case of insufficient mobilisation on day 4 (<100 CD34+/ $\mu$ L PB) the patient receives an additional 10  $\mu$ g/kg BW G-CSF on the evening of day 4. On day 5 (and if needed on the following days) leukapheresis and CD34+ selection are carried out.

With family donors it is possible to carry out stem cell collection prior to the start of the conditioning regimen. The cells will, if required, be CD34+ positively selected and cyropreserved until the day of SCT. This guarantees that a sufficient number of stem cells will be available for SCT.

## 10.4.5 Conditioning regimen for allogeneic SCT

Before the conditioning regimen is started all informal consents need to have been signed and final donor clearance must be available.

Furthermore, the HLA identification of the recipient and donor have been determined and documented, and the degree of compatibility was accepted. The stem cell manipulation was prepared accordingly. The patient's current remission status should be evaluated. The medical pre-examinations of Sc-recipient and donor need to be in line with the prerequisites for performing the planned conditioning regimen and transplantation (see appendix "Medical examination prior to SCT", page 66). A central venous line should be in place.

## Conditioning regimen for allogeneic SCT

(see "Sc-donor selection", page 40)

time point	patients >24 months of age		patients ≤ 24 months of age	
day -8	-		BU	5 mg/kg p.o. in 4 doses
day -7	TBI	2x2 Gy		5 mg/kg p.o.
day -6	TBI	2x2 Gy		5 mg/kg p.o.
day -5	TBI	2x2 Gy		5 mg/kg p.o.
day –4	Thiotepa	2x5 mg/kg iv over 1h 12 hrs. apart	Thiotepa	2x5 mg/kg iv over 1h 12 hrs. apart
day –3	VP 16	40 mg/kg iv	VP 16	40 mg/kg iv over 4 h
day –2	-		-	
day -1	-		_	
day 0	SCT		SCT	

In case of SCT with a non-sibling donor, ATG 20 mg/kg/d iv is to be added on days -3, -2, -1.

#### Important note:

In one of the following very rare cases when a patient can not receive the standard conditioning regimen (see bleow), please contact the study centre:

- patients ≤ 24 months of age in whom a MMD SCT is planned
- patients ≤ 24 months of age with disease progression in the CNS
- patients who had previous irradiation of the central neuro-axis and who can not receive the described conditioning regimens (see chapter "irradiation" below)

#### Irradiation

**Total body irradiation (TBI)** 

Note: Patients who are younger than 24 months at the time of conditioning do not receive total body irradiation (TBI).

Patients who are older than 24 months at the time of conditioning receive TBI. Important note: Patients who received prior to the SCT irradiation of the neuroaxis can receive TBI only under the following conditions:

- if the previous irradiation-free period is ≥24 months, and the total irradiation dose of TBI together with the previous CNS irradiation does not exceed 30 Gy.
- if the previous irradiation-free period is <24 months and the cumulative irradiation dose of TBI together with the previous CNS irradiation does not exceed 24 Gy.

All patients eligible for TBI receive hyperfractionated total body irradiation of 2 Gy twice daily on three consecutive days. Thus the total dose should be 12 Gy. To minimise late effects the irradiation-free intervals between the individual fractions should be at least 6 hours. Any deviations from this recommendation should be documented in the respective study forms.

To be able to calculate the distribution of dosage to the individual sites, a plan needs to be designed that includes at least 6 CT-layers (cranium, neck, thorax, abdomen, lower extremities). A photon source with an energy >1MeV should be applied for the therapy. According to the ICRU-Report the dose specification is done at a point in the middle of the abdomen (at navel height). The actually applied dose should be determined during at least one fraction via an in vivo dosimetry. 'Spoilers', bolus material and compensators should help to reach a dose homogeneity of ± 5%. The lungs should (according to ICRU 5050) not receive more than 10 Gy. To prevent application of dosages too low at the thorax wall, electrons can be applied additionally. The power on the linear accelerator is to be chosen so that an individual dose (2 Gy) can be applied within less than 30 minutes.

#### **Cranial irradiation**

The following rules need to be applied:

- Patients without CNS-involvement do not receive additional cranial irradiation.
- Patients with CNS involvement may receive additional cranial irradiation with a dosage of 6 Gy within the setting of the allogeneic SCT. The irradiation of the cerebral cranium is to be performed immediately before total body irradiation.

## Pharmacological conditioning

Thiotepa

Thiotepa should be administered as iv infusion over 1 hour. The dosage should be 2x5 mg/kg BW 12 hours apart at day -4.

Etoposide (VP16) Etoposide should be administered in all patients as a single infusion over 4 hours. The dosage should be 40 mg/kg BW (max. 1200 mg/m<sup>2</sup> BSA). If etoposide phosphate (Etopophos®, Fa. Bristol) is used, the dosage has to be adapted according to the etoposide amount.

**Busulfan (BU)** 

Busulfan should be given to patients who receive no total body irradiation because of age. Children younger than 2 years of age should receive a total dose of 20 mg/kg BW. BU should be given orally at a dose of 1.25 mg/kg BW at 6-hour intervals on 4 consecutive days.

BU is available as 2mg-dose press-coated tablets (labelled 'K2A').

Blood levels of the drug should be measured if possible. If patients vomit during BU-treatment, the following procedure should be followed:

- vomiting within 15 minutes after administration: total dose is given
- 15 to 30 minutes after administration: 50% of BU-dose is given again.
- more than 30 minutes after administration: no additional BU is given. During BU-application a seizure prophylaxis in accordance with local standards is required...

**ATG** 

ATG-Fresenius S is an anti-human T-lymphocyte immunoserum which is obtained from rabbits immunised with human T-lymphoblasts of the Jurkat cell-line. ATG-Fresenius S is administered at a dose of 20 mg/kg BW on three consecutive days (day -3 until day -1). The solution is hypotonic and may only be dissolved in physiological NaCl. Furthermore, Heparin may not be administered as mixed infusion or via the same vascular access, as this can lead to a shift in the pH-value. The infusion should be given over 4 hours, and a pre-medication with steroids (max. 2 mg/kg BW) is recommended. The respective emergency medicines need to be ready for immediate intervention and frequent checks of the vital parameters are required.

## 10.4.6 GvHD-prophylaxis and -therapy

## **GvHD-prophylaxis**

donor	Prophylaxis				
MSD	The GvHD-prophylaxis consists of a monomedication with Cyclosporin A (CsA)				
	starting on day –1. It is given at a daily iv dosage of 2 x 1.5mg/kg BW as infusion over				
	2 hours each. As soon as oral administration is possible, it can be switched to CsA per os. Orally CsA is administered twice daily at a dose of 3mg/kg BW (total dose of				
	6mg/kg BW). If patients switch from intravenous to oral administration, twice the				
	intravenous dose is given. CsA levels should be measured in the first 4 weeks, CsA				
	levels in the blood should be between 80 and 130ng/ml until day +28 (method of				
	determination: monoclonal antibody, FPIA). This guideline applies only, if no toxicity				
	problems occur and no signs of a GvHD exist. However, as indicator of dosage				
	adaptation the CsA level should be used only from day +5 onwards. In case of toxicity				
	problems the dosage should be decreased. In case of acute GvHD the CsA dose				
	should be increased (see below "GvHD-Therapy Recommendation").  In the absence of GvHD symptoms, the CsA dose is reduced from day +60 onwards				
	(by app. 20% of initial dose each week).				
10/10	The GvHD-prophylaxis consists of CsA, MTX and ATG.				
or 9/10	CsA is administered as outlined for group MSD. However, a dosage adapted to				
non-	target level (CsA level between 80 and 130ng/ml) should be administered until day				
sibling	+100, only from then on the dosage should be reduced according to the guidelines				
donor	given above.				
	• MTX is given on days +1, +3 und +6 at a dose of 10mg/m² BSA iv. On days +2,				
	+4, +7 Leucovorin iv is given at 15mg/m <sup>2</sup> BSA.  • The application and dosage of ATG is described in chapter "Conditioning regimen"				
	for allogeneic SCT, page 43/44.				
MMD	In this group the GvHD prophylaxis consists in the extraction of lymphocytes from the				
IVIIVID	stem cell transplant via selection methods. The number of transplanted CD3+ cells				
	must not exceed 3x10 <sup>4</sup> /kg BW of the recipient in order to prevent an acute and chronic				
	GvHD. Additionally the patients receive ATG (see page 43/44). Pharmacological				
	GvHD prophylaxis is not planned for this group.				

## **GvHD-Therapy – Recommendation**

For an overview on GvHD staging/grading see appendix "GvHD: clinical staging and grading", page 68.

grade of GvHD	Procedure
grade I (skin-GvHD)	raise CsA levels to 150ng/mL (FPIA method)
grade II	raise CsA levels to 150ng/mL (FPIA method) additional: Prednisolone 2mg/kg BW/d in 3 divided doses, reduction to 1mg/kg BW/d, if 5d <grade ii<="" th=""></grade>
≥grade III	raise CsA levels to 150ng/mL (FPIA method) prednisolone 5mg/kg BW/d in 3 divided doses, reduction to 2mg/kg BW/d, if 5d <grade (extracorporeal="" (for="" (mycophenolat-mofetil),="" (simulect®="" 506,="" 7d:="" acd25="" acute="" alg,="" antibody,="" atg="" duration="" e.g.="" ecp="" fk="" gvhd="" iii="" il2-receptor-ak="" longer="" mmf="" monoclonal="" of="" optional:="" photophoresis)<="" puva="" skin-gvhd),="" th="" than="" zenapax®),="" ≥grade=""></grade>
if liver >grade II	ECP (extracorporeal photo-immunotherapy) or MMF (Mycophenolat-Mofetil) 30–50mg/kg BW

Since GvHD-prophylaxis is planned for a relatively short period of time, the risk of GvHD is relatively high. Therefore clinical signs of GvHD need to be carefully evaluated once a day. As soon as clinical symptoms occur, a therapy according to the scheme described above should be immediately initiated and documented.

### 10.5 Autologous SCT

All patients of Treatment Arm 3 and those patients of the Treatment Arm 2 who have no suitable donor available receive (Arm 2b, see chapter Classification of therapeutic groups and treatment plan", page 28) receive autologous SCT.

## 10.5.1 Stem cells, patient stimulation and cell manipulation

Peripheral blood stem cells should be harvested and cryopreserved after the first and – if necessary – second course CC. Stimulation with G-CSF can be delayed until shortly after the leukocyte nadir. 10µg/kg BW/d of G-CSF should be administered intravenously as continuous infusion over 24 hours. For this purpose, G-CSF can be diluted in 40ml glucose 5% with 1 ml human albumine 20%. Leukocytes should be counted daily and a CD34 cell count should be performed on the fourth day of stimulation. It usually takes 4 – 5 days of stimulation until the CD34 cell count reaches sufficient values (200 – 450 CD34 cells /µl) to collect the necessary cells in a single apheresis procedure. Alternatively, the stimulation may be performed by traditional twice daily subcutaneous injection of 5 µg/kg BW each, which should be doubled when the leukocyte count exceeds 1 000/µl. In special cases collection of autologous bone marrow may be an alternative to peripheral stem cells harvest. A CD34+ cell dose of at least 4-5x10<sup>6</sup>/kg BW should be harvested and kryopreserved. If the number of asservated CD34+ cells after the second course of CC is sufficient, this asservate should be used for SCT. Probes for MRD examination should be extracted. The selection of CD34+cells is not routinely recommended.

## 10.5.2 Conditioning regimen for autologous SCT

Before the conditioning regimen is started all informal consents need to have been signed and quality assurance of the frozen stem cells need to have been done.

The patient's current remission status should be evaluated and documented. The medical preexaminations of the patient (see appendix "Medical examination prior to SCT", page 66) need to be in line with the prerequisites for performing the planned conditioning regimen and transplantation. A central venous access is used.

## Conditioning regimen for autologous SCT

time point				
day -7	BCNU	300 mg/m <sup>2</sup> iv		
day -6	VP 16	200 mg/m <sup>2</sup> iv	ARA-C	2x200 mg/m <sup>2</sup> iv
day -5	VP 16	200 mg/m <sup>2</sup> iv	ARA-C	2x200 mg/m <sup>2</sup> iv
day -4	VP 16	200 mg/m <sup>2</sup> iv	ARA-C	2x200 mg/m <sup>2</sup> iv
day -3	VP 16	200 mg/m² iv ARA-C 2x200 mg/m² iv		2x200 mg/m² iv
day -2	Melphalan	140 mg/m² iv	•	
day -1	-			
day 0	SCT			

## Pharmacological conditioning

BCNU should be administered iv at a dosage of 300 mg/m<sup>2</sup> BSA iv

over 1 hour at day –7.

Etoposide (VP16) Etoposide should be administered in all patients as a single infusion

over 1 hour at day -6, -5, -4 and -3. The dosage should be 200 mg/m<sup>2</sup>

BSA.

If etoposide phosphate (Etopophos®, Fa. Bristol) is used, the dosage

has to be adapted according to the etoposide amount.

Cytarabine (ARA-C) Cytarabin should be administered at day -6, -5, -4 and -3 at a dosage

of 2x200 mg/m<sup>2</sup> BSA as iv infusion over 0,5 hours. The infusions

should be administered 12 hours apart.

Melphalan The alkalyting substance prevents DNA replication and transcription of

RNA. Melphalan should be given at a dose of 140 mg/m<sup>2</sup> BSA at day -2. Melphalan is to be applied not later than 3 hours after dissolution as infusion over 15 minutes. It may only be dissolved in physiological

NaCl, and may not be mixed with glucose.

48 Drugs ALCL-Relapse

## 11 Drugs

This chapter deals with the most important specific side effects of active substances. The side effects are related to the dosage given in the protocol and represent present knowledge and experience. The following are guidelines only and it is the responsibility of the attending physician to be thoroughly conversant with the full toxicity profile of each of the drugs. Further information may be available from the manufacturers. The attending physician is responsible for all administered substances.

#### **BCNU**

Administration Toxicity

See chapter "Conditioning regimen for autologous SCT", page 46 alopecia, dermatitis, mucositis, neurotoxicity, gastrointestinal irritation (nausea, vomiting, diarrhoea, stomatitis), allergic reactions, livertoxicity, hyperuricaemia, dysfunction of spermatogenesis and ovulation, depression of bone marrow, nephrotoxicity, immunosuppression, pneumonitis

## Busulfan (BU)

Administration Toxicity

See chapter "Conditioning regimen for allogeneic SCT", page 43
Bone marrow depression, allergic reactions, alopecia (may be permanent), amenorrhoea, aspermiogenesis, dysaesthesia, endocardial fibrosis, gastrointestinal irritation (nausea, vomiting, diarrhoea, stomatitis) hemorrhagic cystitis (uncommon), hyperpigmentation of skin, cataract, lung fibrosis, cave: deleterious in connection with lung irradiation!, myasthenia gravis, mucositis, VOD of liver in up to 30% of patients in high-dose settings; usually reversible

Contraindications: previously irradiation of central axial sites

## Carboplatin

Administration Toxicity

See chapter "Course ICM", page 33 and "Course ICI", page 34 gastrointestinal irritation (nausea, vomiting, diarrhoea, stomatitis), anorexia (uncommon), allergic reactions, infections, haemorrhagia, parageusia, mucositis, asthenia, neuritis n. optici incl. aumourosis (uncommon), in combination with additional cytostatic agents: seconday malignancies, HUS (uncommon), cardiovascular adverse events

dose adaptation in case of renal dysfunction<sup>37-39</sup>:

Carboplatin dosing can be based on body surface-area (800 mg/m²/96hours).

Alternatively, dosing based on renal function (GFR) is possible and gives more precise AUC values. In centers which are experienced with GFR-based dosing, the Carboplatin-target AUC of 10.6 mg/ml\*min should be used and GFR has to be measured with a radioisotope. 51Cr-EDTA or Tc99mDTPA clearance should be performed. Serum creatinine levels and creatine clearance are insufficiently accurate GFR-estimates. Dosing of Carboplatin can be calculated by the formula published by Newell and coworkers:

D[mg] = target AUC \* GFR [ml/min] + (0.36 \* BW [kg]).

In case of reduced renal function, the GFR should be estimated by an abovementioned radioisotope and the carboplatin dose has to be adapted according to the target AUC of 10.6 mg/ml\*min in these patients using the formula published by Newell.

Only in case of unavailability of the radioisotope method or when the patient is clinically unstable and has reduced renal function a 24h creatinine clearance or estimation of renal function on the basis of serum creatinine (Schwartz-formula) is acceptable.

ALCL-Relapse Drugs 49

#### CCNU

Administration Toxicity

See chapter "Course CVA", page 32

alopecia, dermatitis, mucositis, neurotoxicity, gastrointestinal irritation (nausea, vomiting, diarrhoea, stomatitis), allergic reactions, livertoxicity, hyperuricaemia, dysfunction of spermatogenesis and ovulation, depression of bone marrow, nephrotoxicity, immunosuppression, lung fibrosis

## Cytarabine

Administration

See chapter "Course CC", page 31, "Course CVA", page 32 and "Conditioning regimen for autologous SCT", page 46

**Toxicity** 

Bone marrow suppression, mucosal membrane inflammation, nausea, vomiting, oral ulceration, fever, arthralgia, diarrhoea, ulceration and bleeding, alopecia and flu-like syndrome.

At higher doses (3 000 mg/m²) cerebellar toxicity may occur. Gastrointestinal toxicity with diarrhoea, mucositis and vomiting may also be more severe. Pulmonary toxicity is uncommon, but may present with unexplained breathlessness. Conjunctivitis can be distressing, but it may be prevented by the regular use of Prednisolone eye drops.

#### Dexamethasone

Administration Toxicity

See chapter "Course CC", page 31

Obesity, hirsutism, fluid and salt retention, hypertension, irritability, glycosuria and hyperglycaemia, pancreatitis, seizures and mental instability. It is reported that the substitution of Dexamethasone for Prednisone during remission Induction may be associated with a higher incidence of septic episodes and deaths and, in post-remission therapy, neurocognitive late effects. In some studies the incidence of osteopathology is reported to be increased in patients receiving Dexamethasone.

## **Etoposid**

Administration

**Toxicity** 

See chapter "Course CC", page 31, "Conditioning regimen for allogeneic SCT", page 43 and "Conditioning regimen for autologous SCT", page 46 bone marrow depression, allergic reactions, hypotensive reaction due to alcohol contents in infusion fluid, alopecia, gastrointestinal irritation (nausea, vomiting, mucositis, ulcerative gastroenteritis, diarrhoea) In allergic and/or hypotensive reactions administer hydrocortisone and antihistamoines and/or catecholamines.

#### Idarubicine

Administration Toxicity

See chapter "Course ICI", page 34

acute and chronic cardiotoxicity with cardiomyopathy, severe enterocolitis with perforation, nausea, vomiting, alopecia, depression of bone marrow, phlebitis/thrombophlebitis, liver toxicity (level of bilirubin and transaminases), flush, exanthema, bullous erythema, hypersensitivity after irradiation, red tint of urine.

#### **Ifosfamide**

Administration Toxicity

See chapter "Course ICM", page 33 and "Course ICI", page 34 haemorrhagic cystitis (MESNA uroprotection), nephrotoxicity: tubulopathy with glucosuria, aminoaciduria, loss of phosphate and Ca, full range of tubulopathies from subclinical changes to a full-fledged Fanconi syndrome, bone marrow depression, gastrointestinal irritation (nausea, vomiting, diarrhoea, stomatitis), alopecia, neurotoxicity with transient somnolence and mental disturbance, infertility, immunsuppression. Dose adaptation due to neurotoxicity, dose adaptation due to renal toxicity.

Ifosfamide-induced neurotoxocity should be treated with methylene-blue

50 Drugs ALCL-Relapse

(e.g. Methylene blue Vitis® 1%, Neopharma, 50mg/5ml): Dose: 1-2 mg/kg BW (max. 50 mg) in glc 5% iv over 30 minutes. This dose should be repeated every 2-4 hours during the first day and continued three times daily until the symptoms resolve. Methylene blue has to be administered solely iv and not i.t.! Care should be taken to continue iv hydration and adequate glucose supplementation. After previous Ifosfamid-induced neurotoxicity, it is suggested to use methylene blue prophylaxes with the following Ifosfamide doses. Starting the day before the first Ifosfamide-infusion, 1-2 mg/kg BW (max. 50 mg) methylene blue should be given in glc 5% iv over 30 minutes and continued 3x daily until 24 hours after the last Ifosfamide dose.

Melphalan

Administration Toxicity

See chapter "Conditioning regimen for autologous SCT", page 46

bone marrow depression, allergic reactions, alopecia, amenorrhoea, dysaesthesia, gastrointestinal irritation (nausea, vomiting, diarrhoea,

stomatitis), mucositis.

Possible late effect: lung fibrosis (uncommon).

Mitoxantrone

Administration Toxicity

See chapter "Course ICM", page 33

cardiac dysfunction incl. cardiomyopathy, depression of bone marrow,

nausea, stomatitis, blue/green tint of urine.

**Vinblastine** 

Administration Toxicity

See chapter "Course CVA", page 32

peripheral neuropathy (areflexy, paresthesia, muscular weakness, ataxia), cranial nerve palsies, autonomous neuropathy (constipation, paralytic ileus, urinary retention), central neurotoxicity (hallucinations, epileptic seizures, SIADH), arthralgia, myalgia, bone marrow depression, alopecia, arrhythmia

Vindesine

Administration Toxicity

See chapter "Course CC", page 31

peripheral neuropathy (areflexy, paresthesia, muscular weakness, ataxia), cranial nerve palsies, autonomous neuropathy (constipation, paralytic ileus, urinary retention), central neurotoxicity (hallucinations, epileptic seizures, SIADH), arthralgia, myalgia, bone marrow depression, alopecia, arrhythmia

#### Intrathecal drugs

Please note details of the suitable solvent for the drug for intrathecal use.

Methotrexate

Administration Dose is age dependent. See chapter "Course CC", page 31, "Course ICM",

page 33 and "Course ICI", page 34

Toxicity The effects of intrathecal administration include headache, stiff neck,

lethargy, nausea and vomiting, confusion and seizures.

Cytarabine

Administration Dose is age dependent. See chapter "Course CC", page 31, "Course ICM",

page 33 and "Course ICI", page 34

Toxicity The effects of intrathecal administration include headache, stiff neck,

lethargy, nausea and vomiting, confusion and seizures.

**Prednisolone** 

Administration Dose is age dependent. See chapter "Course CC", page 31, "Course ICM",

page 33 and "Course ICI", page 34

Toxicity The effects of intrathecal administration include headache, stiff neck,

lethargy, nausea and vomiting, confusion and seizures.

#### 12 Events, adverse events and serious adverse events

#### 12.1 Events

Events are defined as:

- progressive disease (after the start of treatment according to the protocol ALCL-Relapse)
  - o bone marrow progression is diagnosed in case of increase or new occurrence of a significant amount of lymphoma cells in the bone marrow. If disease progression has been diagnosed by biopsy otherwise, then the BM is considered involved, if morphologically identified lymphoma cells are diagnosed in bone marrow slides.
  - o CNS progression is diagnosed, if lymphoma cells are present in the CNS after clearance of CSF was reached and/or in case of (re)appearance of an intra-cerebral tumour
  - o appearance of new manifestations of the ALCL
  - o local manifestations: reappearance or increase in size of residuals more than 25% (in 2-dimensional evaluation)
  - o testes: increase in volume
- secondary malignancy
- late event: malignancy more than 3 years after diagnosis of relapse of the anaplastic large cell lymphoma; no differentiation between progression and second malignancy possible
- death of any cause

Every suspected progression must be assured by biopsy and histological/immunhistochemical examination or cytomorphological, genetical and immuncytological examination of malignant effusions or bone marrow.

In case of progressive disease during Re-Induction or conditioning contact the national study centre or the international data centre in Gießen.

In case of any event the event form (see appendix page 143) has to be filled in and sent to the responsible data centre as soon as possible (at the latest 2 weeks after the occurrence of the event).

#### 12.2 Adverse events

All adverse events that are encountered during the treatment according to the protocol ALCL-Relapse are to be reported on the corresponding toxicity form (see appendix, page 107 ff.). An adverse event is any adverse change from the patient's baseline (pre-treatment) condition, including intercurrent illness, which occurs during the course of a clinical trial after treatment has started, whether considered related to treatment or not. The intensity of the event will be graded according to NCI-Common Toxicity Criteria grading system in the toxicity categories that have recommended gradings. Adverse events not listed in the NCI-CTC grading system will be graded according to a four-point system as follows:

Grade 1, mild: discomfort noticed, but no disruption of normal daily activity
 Grade 2, moderate: discomfort sufficient to reduce or affect normal daily activity

Grade 3, severe: incapacitating with inability to work or perform normal daily activity

• Grade 4, life-threatening: (self explanatory)

The maximum grading for each category has to be documented.

#### 12.3 Serious adverse events

#### 12.3.1 Definition of SAE

A serious adverse event (SAE) is any experience that suggests a significant hazard to the patient and/or is a contraindication to the continuation of therapy. In clinical experience, this includes any event that is:

- fatal
- life-threatening
- impair further therapy as per instruction of the protocol
- requires unscheduled in-patient hospitalisation or results in prolongation of hospitalisation

- results in persistent or significant disability or incapacity of the patient
- is medically significant or requires intervention to prevent one or other of the outcomes listed above.
- can not be documented on the adverse event form (see appendix page 107 ff.)

Medical and scientific judgement should be exercised in deciding whether expedited reporting to the study centre is appropriate in other situations, such as important medical events that may not be immediately life-threatening or result in death or hospitalisation, but may jeopardise the patient or may require intervention to prevent one of the outcomes listed above. Usually these situations should also be considered serious. Examples of such events are treatment in an emergency unit or at home for allergic bronchospasm; blood coagulation disorders or convulsions that do not result in hospitalisation; or the development of drug dependency or drug abuse.

However, progression of the lymphoma, events related to the lymphoma progression, and planned hospitalisations will not be considered as a reportable SAE.

The term "severe" is a measure of intensity; therefore, a severe adverse event is not necessarily serious. For example, nausea of several hours' duration may be rated as severe, but may not be clinically serious.

Any death not related to lymphoma progression whether considered treatment-related or not, must be reported promptly, regardless of when it comes to the attention of the investigator.

## 12.3.2 Differentiation of expected and unexpected serious adverse events

Unscheduled in-patient hospitalisation or prolongation of hospitalisation or medical interventions due to fever with neutropenia after chemotherapy is an expected serious adverse event. Events fulfilling one of the other the SAE-criteria listed above, are regarded as unexpected SAE.

## 12.3.3 Reporting of serious adverse events

In case of the occurrence of a unexpected serious adverse events, this is to be reported to the responsible data centre on the serious adverse event form (see appendix, page 144). This form has to be filled in and to be sent by fax to the responsible data centre within 48 hours after the beginning resp. the detection of the SAE.

For the BFM-Group the SAE-form is to be send by fax to:

Prof. Dr. med. A. Reiter Universitäts-Kinderklinik Pädiatrische Hämatologie und Onkologie Feulgenstr. 12

D - 35385 Gießen Tel.: +49 641 99 43421 Fax: +49 641 99 43629

The responsible data centre will forward the form directly to the international coordination centre, the responsible ethical committe and to local authorities according to local requirements.

## 13 Organisational aspects and documentation

## 13.1 Status of study

This is a collaborative study with several equal participating national groups. The core group includes AIEOP (Italy), BFM (Austria, Czechia, Germany, Switzerland), DCOG (Netherlands), BSPHO (Belgium), NOPHO (Denmark, Finland, Iceland, Norway, Sweden), PPLLSG (Poland), SFCE (France), SHOP (Spain) and UKCCSG (United Kingdom). Other national groups may join the study after discussion with the international study committee. Each of these groups has established own infrastructures in their countries regarding data management, quality control of diagnostics and treatment application and consulting support for the participating hospitals. It is agreed that this protocol should exploit these established infrastructures. Moreover, they necessarily form the basis for the quality of this inter-group study as well as for the provision of optimal patient care. Therefore, each participating group remains responsible for its national patients regarding the execution of the protocol and data management. The common constitutional organs of the inter-group study will be the common protocol, a committee consisting of the national reference pathologists, the international study committee and an external data safety and monitoring committee. A biological committee for issues of biological investigations and research is set up (see chapter "Research projects", page 59)

## 13.2 The Protocol

One common protocol will be used for the international study by all national groups. The finished master protocol in English can be obtained from the NHL-BFM data centre at Gießen, Germany. Translations of the English version of the master protocol into the local language will be prepared, if necessary, by each national group. The data centre of each national group will be responsible for distribution of protocols to hospitals within that national group. Addenda may be added or removed independently by any of the national groups to address local needs, provided they have no bearing on the essential aims of the international protocol. The originals of all documents of the study have to be stored in the responsible data centres for at least 15 years after preparation of the final report.

#### 13.3 Protocol amendments

The completion of the protocol has been done with great care. However, amendments may be necessary. Subsequent to completion, any amendments to the protocol must be agreed by the international study committee and by all the national groups. The international co-ordination centre will be responsible for the issue of amendments and forward the amendments to the national data centres. These will circulate the forms to known participating centres in the trial. However institutions entering patients are advised to check the correctness and up-to-dateness of their protocol.

## 13.4 International study committee

One or two paediatric haematologists/oncologists of each national group and at least two statisticians will participate in the international study committee of the study. The international study committee shall meet as appropriate to consider patients' treatment, eligibility and outcome to ensure the smooth running of the study.

The information given twice yearly to the international study committee are:

- accrual rate, description of causes of ineligibility
- group allocation
- toxicity data
- description of the events

The members of the international study committee will receive the survival curves of the whole population and the treatment arms. All scientific decisions concerning stopping, continuation or any amendment of the study will be made by the international study committee after discussion with the data safety and monitoring committee.

## 13.5 Data safety and monitoring committee (DMC)

An independent data safety and monitoring committee composed of 4 international experts will monitor the progress of the study on ethical and scientific backgrounds.

The role of the DMC will be:

- to review accrual rate
- to examine interim analyses
- to monitor toxicity: Every 6 months the statistician for the trial will circulate a report to the members of the DMC about toxicity. The DMC will review these interim toxicity data although this is primarily the responsibility of the international study committee. This biannual procedure prevents against problems of major toxicity.
- Other: The DMC will be asked to review any major modification to the study proposed by the International Study Committee prior to its implementation.

#### 13.6 Master database

The Master Database for the entire study will be held at the NHL-BFM data centre (Children's University Hospital, Feulgenstr. 12, D - 35385 Gießen/Germany).

## 13.7 Registration

Each national group will carry out registration of patients in their own data centre and each group is responsible for data quality, data plausibility and data completeness of their registered patients. After assurance of diagnosis of a relapsed anaplastic large cell lymphoma, a registration fax has to be sent to the responsible data centre within 14 days after the beginning of treatment (see appendix, page 97). In return the treating hospital will receive both a confirmation of registration and the four-digit registration number, which clearly identifies the patient in the common database. The registration number consists of a first digit which identifies the study group (e.g. "0" for AIEOP) followed by a consecutive three-digit number for each registered patient starting with "000". The registration number may be used for data transfer and for the master database. The use of names or initials as patient identifiers on paper forms and on national databases will be handled according to national practice. Normally the full name will be registered. According to the volition of the patient or guardians, it is possible to use the initials or to make patient data anonymous; even afterwards. All eligible patients will be registered in the study, regardless of whether they are evaluable for trial's result or not. For each study patient there will be an individual file at the responsible data centre, which includes all information concerning this patient. These files will be stored with an open-end. Nevertheless, the participating hospitals are bound to store their patient-files for at least 15 years.

#### 13.8 Forms and data collection

## 13.8.1 General principles

The international co-ordination centre provides a common set of forms for data collection. Each national group will be responsible for distribution of forms to centres within this national group. Subsequent to completion, amendments to the forms must be agreed by the international study committee. The international co-ordination centre will be responsible for the issue of amended forms. Additional forms may be produced independently by any national group for the collection of data additional to that required for the international study. Each national group shall collect forms for its own patients and is responsible for data quality according to local practice. The master database for the entire study will be held at the BFM data centre (Children's University Hospital, Feulgenstr. 12, D-35385 Gießen/Germany). Each national group forwards the forms directly to the master data centre at Gießen The forms are requested to be sent to the international co-ordination centre at least once every 6 months.

## 13.8.2 Monitoring and documentation guidelines

The monitoring of the study will be performed centrally by the co-workers of the national data centre. The documentation forms for the participating centres of each group will be examined in the national data centre for plausibility and completeness in a controlled mode. In case of missing or not plausible data these will be requested from the study centre at the investigator in the treating hospital written or by telephone. The investigator will correct the data and forward the data change to the responsible data centre.

### Some documentation guidelines:

Please fill in the forms with permanent ink or ball-pen only. Entries with pencil are not acceptable. Corrections are to be made as described in the following: The false entry is crossed out with a simple line. The correct information is inscribed aside and signed by the attending physician; with specification of the date and if necessary the reason for correction. Data fields which cannot be completed because of missing information are to be commented on. The forms are to be filled in promptly. Subsequently, they are to be controlled from the attending physician and to be signed with date. Please send the forms to the responsible data centre promptly (see also the table above). A copy shall remain in the treating hospital. All necessary information inquired is on the documentation forms; supplementary documents are required only in special cases.

## 13.8.3 Data forms and data flow for each patient

The common set of data collection forms consists of the forms listed below:

Documentation overview (about the data flow)

Registration

o Diagnosis of Relapse

Sc-donor selection

Announcement of SCT

Treatment documentation (Courses CC, CVA, ICM, ICI and VBL)

Allograft

Autograft

o Follow up - SCT

Follow up – Vinblastine

o Events

Serious Adverse Events (SAE)

 Histopathological and immunohistochemical review

Acute toxicity and adverse events during / after o Genetics reinduction

#### 13.9 Follow-up

Follow-up-requests should be performed

- once a year for patients during the first five years from registration
- every two years for patients after the fifth year from registration

One, two, three, four and five years after SCT and following every two years the form "Follow up - SCT" form should be filled in (see appendix, page 134). In patients with VBL therapy for 24 months the form "Follow up - VBL" (see appendix, page 142) should be filled in every year after the start of Vinblastine for first five years and after this every two years. For patients with no severe compliction, no diagnose of cGvHD and no late effect the follow up more than 5 years after SCT will be reduced to a request of patient's status once per year.

In case of patient's death, the corresponding follow up form <u>and</u> the event form (see appendix, page 143) should be completed. The responsible physician in the treating centre should send the completed forms to the national study group, which will then forward them to the international data centre.

56 Statistical considerations ALCL-Relapse

#### 14 Statistical considerations

Primary objectives of the study are:

- 1. to improve the probability of event free survival in children and adolescents with early progression of ALCL or/and a relapse of ALCL with CD3 positive immunophenotype (risk group A)
- 2. to test whether in patients with relapse of CD3 negative ALCL occurring after the intensive phase of treatment (risk group B) BEAM conditioning regimen (without TBI) for autologous stem cell transplantation is an effective treatment
- 3. to test whether in patients with late relapse of an CD3 negative ALCL (risk group C) who have not received Vinblastine during first line therapy Vinblastine alone is an effective treatment

Secondary objectives of the study are

- 1. to test the reproducibility of the newly introduced stratification criteria
- 2. to control protocol adherence measured by the proportion of patients who are treated according to the protocol among all patients who meet the inclusion criteria of the protocol.
- 3. to monitor acute and late toxicity of the treatment.

#### 14.1 Criteria of assessment

#### **Primary objectives**

The endpoint for the 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> study question is the Event Free Survival (EFS), defined as minimum time from the date of diagnosis of relapse/progress to a subsequent event (death from any cause, second relapse, progressive disease, secondary malignancy). EFS will be estimated using the Kaplan-Meier method, confidence limitis will be calculated using the bootstrap method.

#### Secondary objectives

The end point for the question of reproducibility of the newly introduced stratification criteria is the proportion of patients who are eligible for allogeneic SCT (early progression, or/and CD3 positive immunophenotype). The endpoint of the question "control for protocol adherence" is the proportion of patients who are treated according to the protocol among all patients who meet the inclusion criteria of the protocol. A patient is judged to be treated according to the protocol if he is transplanted according to his risk classification (autologous/allogeneic) within 4 months.

#### Secondary end points

- overall survival: defined as time from relapse to death of any cause
- acute and long term toxicity
- rate of acute and chronic GvHD in patients with allogeneic stem cell transplantation
- · treatment related mortality

## 14.2 Analysis

#### **Primary objectives**

In an analysis of patients with ALCL relapse after BFM frontline therapy (see "Background and rationale", page 12) three risk groups could be separated. The estimated probability of a two years pEFS for patients with early progression or/and a relapse of ALCL with CD3 positive immunophenotype (risk group A) was 31% (SE 9%) (8 patients who received allogeneic SCT were excluded from this analysis). The two years pEFS was 53% (SE 12%) and 75% (SE 13%) for risk groups B and C respectively. The aims of the study are to improve the outcome for risk group A and to show that acceptable results can be achieved with less toxic treatment for risk group B and C (non-inferiority to the results of the retrospective analysis).

The estimate for risk group A has been taken as the reference value also for the test of the second and third primary objective. It can not be tolerated that for patients who have a relatively good prognosis (risk groups B + C) the outcome with less intensive treatment drops below the expected outcome of the poor prognosis group. Since almost all events occur within 2 years after diagnosis of relapse and the time to event is not of interest, a one sided confidence interval for the estimated probability of a 2 years EFS can be used to test for difference (allogeneic SCT) or non-inferiority (autologous SCT) and Vinblastine.

In patients who are eligible for allogeneic SCT the test for difference will be considered significant if the lower limit of a one-sided 95% confidence interval of pEFS is above 31%. In patients who are eligible for autologous SCT the test will be considered significant if the lower limit of a one-sided 95% confidence interval of pEFS is above 31%. In patients who are eligible for Vinblastine alone the test will be considered significant if the lower limit of a one-sided 95% confidence interval of pEFS is above 31%.

#### Analysis of the secondary objectives

The test for the reproducibility of the newly introduced stratification criteria is considered significant, if the two-sided 95% confidence interval for the proportion of patients who are eligible for an allogeneic SCT is included in the interval +/- 15% of the reference rate (50%). The proportion of eligible patients who are

treated according to the protocol is considered acceptable if the lower limit of the one-sided 95% confidence interval for this proportion is above 80%.

Acute toxicity will be analysed for each interim analysis using descriptive methods. Late effects will be analysed every two years after the end of recruitment using descriptive methods.

#### 14.3 Number of subjects required

Since dropouts with follow up times less than 2 years are very unlikely, the power estimates can be based on the binomial distribution. All power calculations were done with DSTPLAN (Version 4.2, Barry W. Brown et al., copyright: The University of Texas M. D. Anderson Cancer Center, Department of Biomathematics, Box 237, 1515 Holcombe Boulevard. Houston, Texas 77030). The probability for a two years pEFS for patients with early progression or/and a relapse of ALCL with CD3 positive immunophenotype (risk group A) treated with chemotherapy only was 31% in the retrospective analysis (8 patients who received allogeneic SCT were excluded from this analysis). The two years pEFS was 53% and 75% for risk groups B and C respectively. This has been taken as the reference value for the test of the first primary objective. It can not be tolerated that for patients who have a relatively good prognosis (risk groups B + C) the outcome with less intensive treatment drops below the expected outcome of the poor prognosis group. Therefore we use the value 31% also as the limit of the equivalence range for the test of the second and third primary objective. With a type I error of 5% the number of patients required to reach a power of 80% is 43 (test for difference in patients who are eligible for allogeneic SCT, null hypothesis pEFS=31%, expected rate 50%) and 33 (test for equivalence in patients who are eligible for autologous SCT, expected pEFS 53%, lower limit acceptable pEFS=31%). With a type I error of 5 % the number of patients required to reach a power above 80% is 9 for patients who are eligible for VBL only with an expected pEFS 75% and a lower limit for the acceptable pEFS of 31%. With a type I error of 5% and a true rate of +/-15% the number of patients required to reach a power of 80% for the test of the proportion of patients who are eligible for allogeneic SCT compared to the hypothetical reference rate of 50% is about 90. With a type I error of 5% and an acceptable limit for the percentage of per-protocol patients of 80% the number of patients required to reach a power of 80% is 78 assuming a true percentage of per-protocol patients of 90%. The expected number of patients diagnosed with relapse of ALCL in Europe is about 16 per year. Of these about 50% are expected to be eliqible for allogeneic SCT (early relapse during front line therapy or CD3 positive). About 15% are expected to be eligible for Vinblastine only (very late relapse >1 year after diagnosis and CD3 negative without Vinblastine pre-treatment). The remaining 35% of the patients are eligible for autologous SCT. A total of about 96 patients is necessary to reach the required number of patients for the SCT study questions (N=48/34). With 16 patients per year, the duration of the recruitment will be 6 years. If the distribution of the patients does not follow the expected pattern or drop outs occur during the time of recruitment, the recruitment period has to adopted accordingly.

#### 14.4 Interim analysis

All interim analysis will be monitored by the DMC (see page 54). The rate of protocol compliance and risk group distribution will be monitored at annually intervals on the basis of descriptive analyses. Two interim analysis will be performed after 1/3 and 2/3 of the expected events occured. The O'Brien and Fleming rules will be followed to conclude at each sequential analysis<sup>41</sup>.

#### Stopping rule (toxicity monitoring)

Interim analysis of toxic deaths will take place twice a year under the supervision of the Data Monitoring Committee. The absolute rate of treatment related deaths will be compared to a reference rate in order to detect an absolute excess of toxic deaths with four Wald sequential plans, one for each type of donor (autologous, allogeneic MSD, 10/10 or 9/10 compatible non-sibling donor and MMD). Based on previous experience, we choose the following parameters for these plans:  $\alpha$ =5% and  $\beta$ =1% for each and  $p_0/p_1$  according to the analysis group: autologous SCT ( $p_0$ =1%,  $p_1$ =5%), MSD SCT ( $p_0$ =5%,  $p_1$ =15%), 10/10 or 9/10 compatible non-sibling donor SCT ( $p_0$ =10%,  $p_1$ =25%), MMD SCT ( $p_0$ =10%,  $p_1$ =25%). The lower border of these plans will not be used to draw any consequences for the monitoring. If the number of toxic deaths observed reaches one of the boundaries defined in these sequential plans the steering committee has to decide in co-operation with the DMC, whether the trials have to be stopped.

#### 14.5 Software

Microsoft ACCESS will be used for the management of all trial data, statistical analysis will be done using SAS (SAS Institute Inc., Cary, NC).

58 Ethical aspects ALCL-Relapse

### 15 Ethical aspects and regulatory requirements

## 15.1 Ethical aspects

The study will be conducted in accordance with the declaration of Helsinki (see chapter "Declaration of Helsinki", page 63).

All centres are expected to obtain approval for the study from their local research ethical committee according to their national policy. An imprint of a current version of a positive votum of the ethical committee of the University Hospital in Giesen is reproduced in the protocol (see "Votum of the ethical committee", page 158). Prior to the registration of the patient and the start of therapy, patients and parents resp. guardians receive a written patient information and consent form, which they might sign after a discussion with the physician in charge (for details see chapter "Patient information and consent forms", page 71 ff.) The patient information (page 72 ff.) and consent form (page 78) for participation in the study ALCL-Relapse is separate from the three versions of patient information for SCT:

- allogeneic SCT with matched donor (page 79 ff. with consent form at page 89)
- allogeneic SCT with mismatched donor (page 84 ff. with consent form at page 89)
- autologous SCT (page 90 ff. with consent form at page 94)

### 15.2 Regulatory requirements

All national groups and participating centres are expected to control whether the protocol matches with GCP-guidelines and local law/requirements and if required to conduct necessary declarations and formalities.

For the BFM-group the protocol will be deposited at the Bundesinstitut für Arzneimittel und Medizinprodukte (BfArM) and the Paul Ehrlich Institut, Bundesinstitut für Sera und Impfstoffe (PEI).

## 15.3 Funding and patient insurance

The initiation of funding for the study ALCL-Relapse is in the responsibility of each study group. No central funding of the trial will be performed.

With regard to patient insurance each study group is asked to check the local requirements and if necessary, to contract insurance for the patients of that study group in accordance with the local requirements.

For the german patients of the BFM-group a patient insurance according to the regulatory requirements of §40 AMG will be concluded.

## 16 Research projects

A biological committee will be responsible for approval of research projects that should have access to material which was ascertained for tumour cell/DNA/RNA-banking. It is agreed that research projects approved by the biological committee will have access to the material of the patients from all participating groups and to the clinical data of the study in an anonymous way. In turn, data generated in research projects will be made available to the database of the study. The rules for publication of data are described in chapter "Publication rules and presentation of results", page 61.

Participating groups are expected to provide diagnostic material for central review and research projects. In order to provide tumour material for future examination concepts and methods a system of tumour cell banking is established. For the identification of prognostic parameters and for further understanding of the biology of ALCL following examinations are conceived:

- MRD
- T- and B-cell response to ALK (see below)
- Impact of mixed chimersim for the occurrence of relapse
- Cytogenetics
- Matrix comparative genomic hybridisation
- Gene expression profiling
- Proteomic studies

## T and B cell immune response to ALK in patients with ALK-positive ALCL.

Drs Kamel Ait-Tahar and Karen Pulford

Cancer patients frequently mount an immune response to their tumour and a link has been reported between the levels of antibodies and the presence of cytotoxic T cell (CTL)<sup>1, 2</sup> and CD4-positive T helper cells<sup>3</sup> to tumour-associated antigens. ALK expression in ALCL is considered to be a marker of a favourable prognosis. While this may be due to the increased sensitivity of the tumour cells to treatment, it may also reflect the existence of an immune response against ALK. Indeed, our report of the presence of antibodies to ALK provided the first evidence to support ALK being an example of a tumour-associated antigen in those patients with ALK-positive ALCL.<sup>4</sup> The subsequent identification of antigenic ALK peptides effective for the production of a CTL response in normal individuals<sup>5</sup> and the confirmation of a CTL response to ALK in patients with ALK-positive ALCL<sup>6</sup> has provided additional evidence to support ALK being the target of an immune response.

The detection of a T and B cell immune response to tumour-associated antigens provides a means of monitoring disease progression and the efficacy of treatment providing important prognostic information for the patient.<sup>7-11</sup> Our preliminary study demonstrated that circulating antibodies to ALK differed in titre at varying times after diagnosis of ALK-positive ALCL with those patients exhibiting higher titres tending to do well.<sup>12</sup> It is also of interest that antibodies and CTL activity to ALK persisted in some patients during periods of remission for intervals of up to 16 years.<sup>6, 12</sup> The identification of tumour-associated antigens has also opened up the possibility of improved therapeutic approaches to treatment of tumours.<sup>1, 13-18</sup>

Previous studies have indicated that bone marrow stem cell transplantation offers a means of effective therapy for patients with haematologic malignancies. 19-21 There is increasing evidence that an important component of this therapy is the graft versus leukaemia/lymphoma activity that occurs through the recognition of tumour-associated antigens on the neoplastic cells by the donor cells. 22-24. In support of this, Bellucci et al. 21 recently demonstrated a B cell response to myeloma tumour-associated antigens in transplanted patients who were in complete remission. urthermore, the presence of the relevant antibodies was associated with the time of best response following transplantation.

We are already investigating the antibody and CTL response of patients with ALK-positive ALCL from their initial time of diagnosis, during treatment and remission. This study is providing valuable information concerning the relevance of the immune response to prognosis and whether changes might indicate relapse in these patients. The aims of the current project are to

60 Research projects ALCL-Relapse

extend this work by investigating the extent of the B and T cell immune responses to ALK proteins in relapsed ALCL patients who have undergone stem cell transplantation. The identification of the presence and magnitude of both a B and T cell response before (in both recipient and donor), during and after treatment should provide valuable data concerning the success of the transplant and prognostic outcome for these patients with relapsing ALK-positive ALCL. The project also opens up the possibility of improved therapeutic methods of treatment for patients with ALK-positive ALCL.

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## 17 Publication rules and presentation of results

Final analysis of the study ALCL-Relapse will be performed two years after the end of patient enrolment.

After termination of the biometric final analysis a final report will be completed. The final report will contain the clinical report, the statistical report, single analyses, a thorough safety analysis and conclusions. The steering committee of the study will sign it.

Final results of the study will be published irrespective of whether the aims of the study have been reached or not. The results will be presented according to the criteria determined in the CONSORT-Statement<sup>42</sup>.

Any data relating to the study must not be reported or published without prior consultation with the international study committee.

62 Appendix ALCL-Relapse

## 18 Appendix

**Declaration of Helsinki** 

Medical examination prior to SCT

GvHD: clinical staging and grading

Reference laboratories: Addresses and notes

Patient information and consent forms

**Documentation forms** 

**Contract of participation (clinic)** 

Participating groups and centres

#### 18.1 Declaration of Helsinki

# WORLD MEDICAL ASSOCIATION DECLARATION OF HELSINKI Ethical Principles for Medical Research Involving Human Subjects

Adopted by the 18th WMA General Assembly
Helsinki, Finland, June 1964
and amended by the
29th WMA General Assembly, Tokyo, Japan, October 1975
35th WMA General Assembly, Venice, Italy, October 1983
41st WMA General Assembly, Hong Kong, September 1989
48th WMA General Assembly, Somerset West, Republic of South Africa, October 1996
and the
52nd WMA General Assembly, Edinburgh, Scotland, October 2000
Note of Clarification on Paragraph 29 added by the WMA General Assembly, Washington 2002

#### A. INTRODUCTION

- 1) The World Medical Association has developed the Declaration of Helsinki as a statement of ethical principles to provide guidance to physicians and other participants in medical research involving human subjects. Medical research involving human subjects includes research on identifiable human material or identifiable data.
- 2) It is the duty of the physician to promote and safeguard the health of the people. The physician's knowledge and conscience are dedicated to the fulfilment of this duty
- 3) The Declaration of Geneva of the World Medical Association binds the physician with the words, "The health of my patient will be my first consideration," and the International Code of Medical Ethics declares that, "A physician shall act only in the patient's interest when providing medical care which might have the effect of weakening the physical and mental condition of the patient."
- 4) Medical progress is based on research, which ultimately must rest in part on experimentation involving human subjects.
- 5) In medical research on human subjects, considerations related to the well-being of the human subject should take precedence over the interests of science and society
- 6) The primary purpose of medical research involving human subjects is to improve prophylactic, diagnostic and therapeutic procedures and the understanding of the aetiology and pathogenesis of disease. Even the best-proven prophylactic, diagnostic, and therapeutic methods must continuously be challenged through research for their effectiveness, efficiency, accessibility and quality.
- 7) In current medical practice and in medical research, most prophylactic, diagnostic and therapeutic procedures involve risks and burdens.
- 8) Medical research is subject to ethical standards that promote respect for all human beings and protect their health and rights. Some research populations are vulnerable and need special protection. The particular needs of the economically and medically disadvantaged must be recognized. Special attention is also required for those who cannot give or refuse consent for themselves, for those who may be subject to giving consent under duress, for those who will not benefit personally from the research and for those for whom the research is combined with care.
- 9) Research Investigators should be aware of the ethical, legal and regulatory requirements for research on human subjects in their own countries as well as applicable international requirements. No national ethical, legal or regulatory requirement should be allowed to reduce or eliminate any of the protections for human subjects set forth in this Declaration.

### **B. BASIC PRINCIPLES FOR ALL MEDICAL RESEARCH**

- 10) It is the duty of the physician in medical research to protect the life, health, privacy, and dignity of the human subject.
- 11) Medical research involving human subjects must conform to generally accepted scientific principles, be based on a thorough knowledge of the scientific literature, other relevant sources of information, and on adequate laboratory and, where appropriate, animal experimentation.
- 12) Appropriate caution must be exercised in the conduct of research, which may affect the environment, and the welfare of animals used for research must be respected.

- 13) The design and performance of each experimental procedure involving human subjects should be clearly formulated in an experimental protocol. This protocol should be submitted for consideration, comment, guidance, and where appropriate, approval to a specially appointed ethical review committee, which must be independent of the investigator, the sponsor or any other kind of undue influence. This independent committee should be in conformity with the laws and regulations of the country in which the research experiment is performed. The committee has the right to monitor ongoing trials. The researcher has the obligation to provide monitoring information to the committee, especially any serious Adverse Events. The researcher should also submit to the committee, for review, information regarding funding, sponsors, institutional affiliations, other potential conflicts of interest and incentives for subjects.
- 14) The research protocol should always contain a statement of the ethical considerations involved and should indicate that there is compliance with the principles enunciated in this Declaration
- 15) Medical research involving human subjects should be conducted only by scientifically qualified persons and under the supervision of a clinically competent medical person. The responsibility for the human subject must always rest with a medically qualified person and never rest on the subject of the research, even though the subject has given consent.
- 16) Every medical research project involving human subjects should be preceded by careful assessment of predictable risks and burdens in comparison with foreseeable benefits to the subject or to others. This does not preclude the participation of healthy volunteers in medical research. The design of all studies should be publicly available.
- 17) Physicians should abstain from engaging in research projects involving human subjects unless they are confident that the risks involved have been adequately assessed and can be satisfactorily managed. Physicians should cease any investigation if the risks are found to outweigh the potential benefits or if there is conclusive proof of positive and beneficial results.
- 18) Medical research involving human subjects should only be conducted if the importance of the objective outweighs the inherent risks and burdens to the subject. This is especially important when the human subjects are healthy volunteers.
- 19) Medical research is only justified if there is a reasonable likelihood that the populations in which the research is carried out stand to benefit from the results of the research.
- 20) The subjects must be volunteers and informed participants in the research project.
- 21) The right of research subjects to safeguard their integrity must always be respected. Every precaution should be taken to respect the privacy of the subject, the confidentiality of the patient's information and to minimize the impact of the study on the subject's physical and mental integrity and on the personality of the subject.
- 22) In any research on human beings, each potential subject must be adequately informed of the aims, methods, sources of funding, any possible conflicts of interest, institutional affiliations of the researcher, the anticipated benefits and potential risks of the study and the discomfort it may entail. The subject should be informed of the right to abstain from participation in the study or to withdraw consent to participate at any time without reprisal. After ensuring that the subject has understood the information, the physician should then obtain the subject's freely given informed consent, preferably in writing. If the consent cannot be obtained in writing, the non-written consent must be formally documented and witnessed.
- 23) When obtaining informed consent for the research project the physician should be particularly cautious if the subject is in a dependent relationship with the physician or may consent under duress. In that case the informed consent should be obtained by a wellinformed physician who is not engaged in the investigation and who is completely independent of this relationship.
- 24) For a research subject who is legally incompetent, physically or mentally incapable of giving consent or is a legally incompetent minor, the investigator must obtain informed consent from the legally authorized representative in accordance with applicable law. These groups should not be included in research unless the research is necessary to promote the health

- of the population represented and this research cannot instead be performed on legally competent persons.
- 25) When a subject deemed legally incompetent, such as a minor child, is able to give assent to decisions about participation in research, the investigator must obtain that assent in addition to the consent of the legally authorized representative.
- 26) Research on individuals from whom it is not possible to obtain consent, including proxy or advance consent, should be done only if the physical/mental condition that prevents obtaining informed consent is a necessary characteristic of the research population. The specific reasons for involving research subjects with a condition that renders them unable to give informed consent should be stated in the experimental protocol for consideration and approval of the review committee. The protocol should state that consent to remain in the research should be obtained as soon as possible from the individual or a legally authorized surrogate.
- 27) Both authors and publishers have ethical obligations. In publication of the results of research, the investigators are obliged to preserve the accuracy of the results. Negative as well as positive results should be published or otherwise publicly available. Sources of funding, institutional affiliations and any possible conflicts of interest should be declared in the publication. Reports of experimentation not in accordance with the principles laid down in this Declaration should not be accepted for publication.

# C. ADDITIONAL PRINCIPLES FOR MEDICAL RESEARCH COMBINED WITH MEDICAL CARE

- 28) The physician may combine medical research with medical care, only to the extent that the research is justified by its potential prophylactic, diagnostic or therapeutic value. When medical research is combined with medical care, additional standards apply to protect the patients who are research subjects.
- 29) The benefits, risks, burdens and effectiveness of a new method should be tested against those of the best current prophylactic, diagnostic, and therapeutic methods. This does not exclude the use of placebo, or no treatment, in studies where no proven prophylactic, diagnostic or therapeutic method exists. (See Footnote\*)
- 30) At the conclusion of the study, every patient entered into the study should be assured of access to the best-proven prophylactic, diagnostic and therapeutic methods identified by the study.
- 31) The physician should fully inform the patient which aspects of the care are related to the research. The refusal of a patient to participate in a study must never interfere with the patient-physician relationship.
- 32) In the treatment of a patient, where proven prophylactic, diagnostic and therapeutic methods do not exist or have been ineffective, the physician, with informed consent from the patient, must be free to use unproven or new prophylactic, diagnostic and therapeutic measures, if in the physician's judgement it offers hope of saving life, re-establishing health or alleviating suffering. Where possible, these measures should be made the object of research, designed to evaluate their safety and efficacy. In all cases, new information should be recorded and, where appropriate, published. The other relevant guidelines of this Declaration should be followed.

#### \*Footnote

The WMA herby reaffirms its position that extreme care must be taken in making use of a placebo-controlled trial and that in general this methodology should only be used in the absence of existing proven therapy. However, a placebo-controlled trial may be ethically acceptable, even if proven therapy is available, under the following circumstances:

- Where for compelling and scientifically sound methodological reason its use is necessary to determine the efficacy or safety of a prophylactic, diagnostic or therapeutic method; or
- Where a prophylactic, diagnostic or therapeutic method is being investigated for a minor condition and the patients who receive placebo will not be subject to any additional risk of serious or irreversible harm.

All other provisions of the Declaration of Helsinki must be adhered to, especially the need for appropriate ethical and scientific review.

### 18.2 Medical examination prior to SCT

## recommended recipient examination before SCT

- Remission documentation: MRD-Diagnosis (before SCT, 10 ml EDTA BM), others
  depending on initial diagnosis (within 7 days before start of the conditioning regimen), 10 ml
  EDTA blood for Pulford. Send samples for MRD and immune response examinations to the
  national reference centre for genetics in Giessen (address see page 4 and 69).
- Blood count with differential blood count and reticulocytes
- **Coagulation:** Quick, PTT, Fibrinogen (if not done already: protein C, protein S, APC, AT III, Prothrombin-mutation, V-Leiden factor, Lp(a) lipoprotein
- **Laboratory:** sodium, potassium, chloride, calcium, phosphate (reabsorption), magnesium, CK, GOT, GPT, LDH, γ-GT, GLDH, CHE, α-amylase, creatinine, urea, uric acid, blood sugar, cholesterol, triglycerides, bilirubin, iron/EBK/transferrin/ferritin, total protein and electrophoresis
- **Urine:** status, sediment, creatinine-clearance, amino acids, phosphate, α1-micro globulin
- **Immunology**: lgG
- Microbiology: pharynx smear, sputum, urine and stool for bacteria and fungi, toxoplasmosis-AB, according to diagnostic facilities: aspergillus-galactomannan, Varicellazoster, herpes-simplex, cytomegaly, measles, mumps, German measles (IgG), HIV I + II, Epstein-Barr (VCA, IgG, IgM, EA), hepatitis A (IgM, IgG), hepatitis B (HB<sub>S</sub>AG, HB<sub>S</sub>AK, HB<sub>C</sub>AK), hepatitis C (IgG)
- **Blood bank:** blood group, isoagglutinin titre, platelet-allo-AB
- X-ray: thorax, paranasal sinuses
- EKG / Echo-KG
- Abdomen sonography
- Pulmonary function: spirometry with DLCO (diffusion capacity of lungs for carbon monoxide)
- Dentist: dental sanitation; recommended
- **Gynaecologist:** pregnancy test (with girls)
- **Ophthalomolist:** Status of the eyes; e.g. exclusion of cataract

#### recommended donor examination before SCT

- Blood count: with differential blood count and reticulocytes, 10 ml EDTA blood for the immune response examinations of Karen Pulford. Send samples for the immune response examinations of Karen Pulford to the national reference centre for genetics in Giessen (address see page 4 and 69).
- Coagulation: Quick, PTT, Fibrinogen
- **Laboratory:** sodium, potassium, chloride, calcium, phosphate, magnesium, CK (CK-MB), GOT, GPT, LDH,  $\gamma$ -GT, GLDH, CHE,  $\alpha$ -amylase, creatinine, urea, uric acid, blood sugar, cholesterol, triglycerides, bilirubin, iron/EBK/transferrin/ferritin, total protein and electrophoresis
- **Urine:** status, sediment
- Microbiology: toxoplasmosis-AB, varicella-zoster, herpes-simplex, cytomegaly, measles, mumps, German measles (IgG), HIV I + II, Epstein-Barr (VCA, IgG, IgM, EA), hepatitis A (IgM, IgG), hepatitis B (HB<sub>S</sub>AG, HB<sub>S</sub>AK, HB<sub>C</sub>AK), hepatitis C (IgG)
- Blood bank: blood group, isoagglutinin-titre
- X-ray: thorax if required
- Pulmonary function test if required
- Pregnancy test: Pregnanediol for potentially pregnant donors
- at BM/Sc-withdrawal: if donor and recepient are of same sex: 5 ml EDTA-blood for chimerism (reference for post-SCT)

68 GvHD ALCL-Relapse

## 18.3 GvHD: clinical staging and grading

## acute GvHD: clinical stage

stage	Skin	liver	intestinal tract*
0	no rash	Bilirubin <2,0mg/dL	none
1	macular or papular rash <25% of body surface area	Bilirubin 2,0-3,0mg/dL	Diarrhea >5 - ≤ 10mL/kg/day
2	macular papular rash 25- 50% of body surface area	Bilirubin 3,1-6,0mg/dL	Diarrhea >10 to ≤ 15mL/kg/day
3	generalized erythroderma	Bilirubin 6,1-15,0mg/dL	Diarrhea >15mL/kg/day
4	generalized erythroderma with bullous formation and desquamation	Bilirubin >15,0mg/dL	

<sup>\*</sup> pediatric criteria

# acute GvHD: overall grade

grade	skin-stage	liver-stage		intestinal tract- stage	decrease in clinical performance
I	1 to 2	0	and	0	no
II	1 to 3	1	and/or	1	mild
III	2 to 3	2 to 3	and/or	2 to 3	marked
IV	2 to 4	2 to 4	and/or	2 to 3	extreme

## chronic GvHD grading

grade	Organ involvement	
limited	Localized skin involvement or hepatic involvement	
extensive	<ul> <li>Generalized skin involvement or</li> <li>Limited skin involvement and/or hepatic involvement plus any of the following:</li> <li>liver histology showing chronic aggressive hepatitis, bridging necrosis or cirrhosis</li> <li>involvement of eye: Schirmer's test mit &lt;5mm wetting</li> <li>involvement of minor salivary glands or oral mucosa demonstrated on labial biopsy</li> <li>involvement of any other target organ</li> </ul>	

#### 18.4 Reference laboratories: Addresses and notes

Reference institute for pathology

Koordination der Referenzpathologie

Prof. Dr. Dr. h.c. R. Parwaresch Lymphknotenregister

Institut für Hämatopathologie der Universität Kiel

Niemannsweg 11

24105 Kiel

Tel.: 0049 431 597 3425 Fax: 0049 431 597 3426

weitere Referenzpathologen

Prof. Dr. A. C. Feller Institut für Pathologie der Universität Lübeck

Ratzeburger Allee 160

23538 Lübeck

**Prof. Dr. M. L. Hansmann** Senckenbergisches Institut für Pathologie

Theodor-Stern-Kai 7 60596 Frankfurt

**Prof. Dr. P. Möller** Institut für Pathologie und Rechtsmedizin

Albert-Einstein-Allee 11

89081 Ulm

**Prof. Dr. H. Müller-Hermelink** Institut für Pathologie

Josef-Schneider-Str. 2 97080 Würzburg

Prof. Dr. H. Stein Konsultations- u. Referenzzentrum für Lymphknoten-

und Hämatopathologie

Universitäts-Klinikum Benjamin Franklin

Hindenburgdamm 30

12200 Berlin

Reference laboratory for genetics (MRD) and processing/banking of tumor cells

Prof. Dr. J. Harbott

Onkogenetisches Labor

Dr. K. Busch

Universitäts-Kinderklinik

Pädiatrische Hämatologie und Onkologie

Feulgenstr. 12 35385 Gießen

Tel.: +49 641 99 43426 Fax: +49 641 99 43485

e-mail: kerstin.busch@paediat.med.uni-giessen.de

Reference laboratory for cytomorphology

Prof. Dr. A. Reiter Universitäts-Kinderklinik

**Dr. W. Wößmann** Pädiatrische Hämatologie und Onkologie

**Dr. B. Burkhardt** Feulgenstr. 12 35385 Gießen

Tel.: +49 641 99 43627 Fax: +49 641 99 43629 Reference laboratory for chimerism

PD Dr. P. Bader (SNTR)	Chimärismuslabor Universitätsklinik für Kinderheilkunde und Jugendmedizin Hoppe-Seyler-Straße 1 72076 Tübingen Tel.: +49 7071 2983781
Prof. Dr. J. Harbott (xy-FISH)	Onkogenetisches Labor Universitäts-Kinderklinik Pädiatrische Hämatologie und Onkologie Feulgenstr. 12 35385 Gießen

Laboratory for investigation of T- and B-cell response to ALK

<u>Laboratory for infrestigation of T</u>	and 2 con response to Azit
Dr. K. Pulford	Nuffield Department of Clinical Laboratory Sciences,
Dr. Ait-Tahar	Room 4A11, Level 4,
	Academic block,
	John Radcliffe Hospital,
	Headington, Oxford,
	OX3 9DU, U.K.
	karen.pulford@ndcls.ox.ac.uk
	kamel.ait-tahar@ndcls.ox.ac.uk

#### 18.5 Patient information and consent forms

- Patientenaufklärung für die Teilnahme an der Therapiestudie ALCL-Relapse (S. 72 ff.)
- Einwilligungserklärung zur Teilnahme an der Therapiestudie ALCL-Relapse (S. 78)
- Patientenaufklärung für die allogene Blutstammzelltransplantation von HLApassenden Spendern (S. 79 ff.)
- Patientenaufklärung für die allogene Blutstammzelltransplantation von Spendern mit unterschiedlichen Gewebsmerkmalen (S. 84 ff)
- Einwilligungserklärung zur allogenen Blutstammzelltransplantation (S. 89)
- Patientenaufklärung für die autologe Blutstammzelltransplantation (S. 90 ff.)
- Einwilligungserklärung zur autologen Blutstammzelltransplantation (S. 94)

## **PATIENTENAUFKLÄRUNG**

zur Therapiestudie ALCL-Relapse

Therapieprotokoll zur Behandlung rezidivierter großzellig anaplastischer Lymphome im Kindesund Jugendalter; internationale Multicenter-Studie der Europäischen Studiengruppen-Kooperation zur Behandlung von NHL bei Kindern (EICNHL)

### Lieber Patient, liebe Eltern

Bei Ihnen/Ihrem Kind wurde ein Rückfall eines großzellig anaplastischen Non-Hodgkin Lymphoms (ALCL) diagnostiziert. Das Protokoll ALCL-Relapse wurde als Therapieoptimierungs-Studie speziell für Kinder und Jugendliche mit dieser Diagnose erarbeitet.

## Information über Therapieoptimierungs-Studien

Therapieoptimierungs-Studien werden durchgeführt, um möglichst viele Patienten mit einer gleichen Erkrankung einer einheitlichen Behandlung zu unterziehen. Die Ergebnisse werden dokumentiert und mit anderen Behandlungsformen verglichen. Dadurch erhält man speziell bei seltenen Erkrankungen Hinweise, welche Veränderungen in der Therapie notwendig sind, um die Behandlung für den Patienten so erfolgreich und schonend wie möglich zu gestalten. Die behandelnden Ärzte verpflichten sich, die Therapie nach einem einheitlichen Protokoll durchzuführen. Im vorliegenden Protokoll sind die entscheidenden Punkte der Therapie festgelegt. Alle wichtigen Behandlungsschritte und -methoden und die vorgeschriebenen Medikamente sind erprobt und auch bei Kindern eingesetzt worden.

Der Therapieplan der Studie ALCL-Relapse wurde in einem internationalen Gremium (European Inter-Group Co-operation on Childhood Non-Hodgkin Lymphoma, abgekürzt EICNHL), bestehend aus Experten bei Lymphomen im Kindes- und Jugendalter, entworfen, diskutiert und abschließend beschlossen. Die Studie wird in mehreren europäischen Ländern durchgeführt. Dadurch können jährlich rund 16 Patienten in die Studie aufgenommen werden, so dass die Studie nach ca. 6 Jahren abgeschlossen werden kann.

### Untersuchungsablauf

Nachdem die Diagnose des Rückfalls histologisch oder zytomorphologisch und durch den Nachweis typischer genetischer Veränderungen in den Lymphomzellen bestätigt wurde, werden vor Beginn der Therapie einige Untersuchungen durchgeführt, um die Ausbreitung des Lymphoms festzustellen. Zu diesen Untersuchungen gehören Röntgenuntersuchungen vom Brustkorb, Ultraschalluntersuchungen von Bauch, Brustkorb, Becken, CT oder MRT vom Kopf, Blutabnahmen, Knochenmarkpunktion, Lumbalpunktion und - abhängig vom individuellen Fall - ggf. auch weitere Untersuchungen.

# Anpassung der Therapie an das individuelle Risiko der Patienten, einen zweiten Rückfall zu erleiden (Stratifizierung der Therapie)

Die bisherigen Erfahrungen bei Kindern und Jugendlichen mit dieser Erkrankung haben gezeigt, dass die individuelle Heilungschance der Patienten bzw. das individuelle Risiko, dass die Krankheit nach einer Rückfallbehandlung erneut auftritt, sehr unterschiedlich ist. Als wichtige Kriterien haben sich herausgestellt: der Zeitpunkt an dem der Rückfall der Erkrankung eintritt und bestimmte biologische Eigenschaften der Lymphomzellen. Patienten, die schon während der intensiven Phase der Erstbehandlung der Erkrankung einen Rückfall erleiden (oder bei denen das noch vorhandene Lymphom anfängt, wieder zu wachsen), haben das höchste Risiko, nicht mehr von der Krankheit geheilt zu werden. Erste Erfahrungen deuten darauf hin, dass diesen Patienten am ehesten geholfen werden kann, wenn nach einer erneuten Chemotherapie eine sogenannte allogene Blutstammzelltransplantation, d. h. eine Übertragung von Blutstammzellen von einem anderen Menschen, durchgeführt wird. Tritt ein Rückfall erst nach der intensiven Phase der Erstbehandlung der Erkrankung auf, hängt die Heilungschance der Patienten stark ab von den biologischen Eigenschaften der Lymphomzellen, speziell davon, ob sie ein bestimmtes immunologisches Merkmal tragen, das sogenannte CD3 Molekül. Patienten, deren Lymphomzellen, dieses Merkmal nicht tragen, haben mit einer erneuten intensiven Chemotherapie, bei der die Möglichkeit genutzt wird, durch die Transfusion eigener

vor der Therapie gewonnener Blutstammzellen, die durch die Therapie geschädigte Funktion des Knochenmarks wiederherzustellen (der sogenannten autologen Blutstammzelltransplantation), gut Heilungschancen. Einzelerfahrungen deuten daraufhin, dass bei einem sehr späten Rückfall bei diesen Patienten möglicherweise sogar mit einer sehr verträglichen wenig intensiven, dafür aber längeren Dauertherapie mit nur einem Chemotherapiemedikament (Vinblastin) eine dauerhafte Heilung erreicht werden kann. Wenn die Lymphomzellen der Patienten jedoch das Merkmal CD3 tragen, waren Behandlungen mit der sogenannten autologen Blutstammzelltransplantation bisher jedoch weniger erfolgreich. Nach einem erneuten Rückfall erreichten jedoch einige dieser Patienten nach Durchführung einer allogenen Blutstammzelltransplantation eine dauerhafte Krankheitsfreiheit.

Die bisherigen Erfahrungen können also so zusammengefasst werden, dass Patienten mit Rückfall eines großzellig anaplastischen Lymphoms eine sehr unterschiedliche Heilungschance haben. Entsprechend weisen die Behandlungsformen, mit denen ein dauerhafter Therapieerfolg erzielt wurde, ein großes Spektrum der mit ihnen verbundenen Belastung für den Patienten auf, das von einer relativ wenig belastenden Vinblastin-Dauertherapie bis zur allogenen Blutstammzelltransplantation mit all ihren Risiken reicht. Eine wichtige weitere Erkenntnis kann aus den bisherigen Erfahrungen abgeleitet werden: Patienten mit Rückfall eines großzellig anaplastischen Lymphoms haben auch nach einem zweiten Rückfall der Erkrankung noch eine gute Chance mit einer weiteren Therapie doch eine dauerhafte Heilung zu erreichen, zumindest, wenn der erste Rückfall nach der intensiven Phase der Erstbehandlung der Erkrankung auftrat.

Das Ziel der vorliegenden Studie ist daher, die Behandlung dem unterschiedlichen Risiko des einzelnen Patienten, einen erneuten Rückfall zu erleiden, anzupassen. Dabei wird auch von der Erkenntnis Gebrauch gemacht, dass diese Patienten auch nach einem zweiten Rückfall der Erkrankung noch eine gute Chance haben, mit einer weiteren Therapie doch eine dauerhafte Heilung zu erreichen. In der vorliegenden Studie wird die Therapie anhand der folgenden Kriterien stratifiziert:

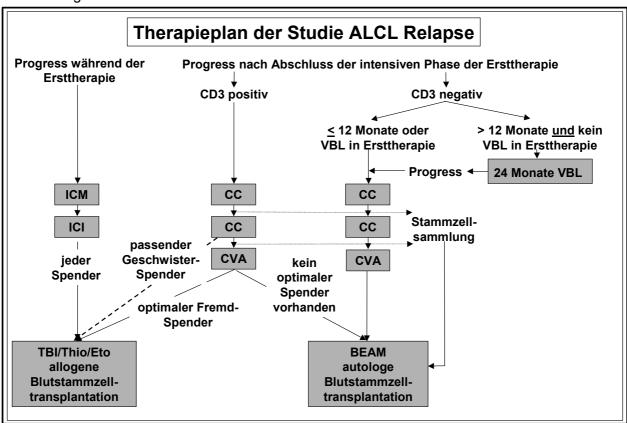
- 1. Zeitpunkt des Wiederauftretens des Lymphoms
- 2. Nachweis des immunologischen Merkmals (CD3) auf den Lymphomzellen
- 3. Verfügbarkeit eines optimalen Spenders für eine Blutstammzelltransplantation
- 4. Gabe eines bestimmten Medikamentes (Vinblastin) während der Ersttherapie

Patienten, bei denen ein Rückfall während der Ersttherapie auftritt, d.h., bei denen diese Therapie nicht wirksam ist, erhalten zwei Kurse einer anderen Chemotherapie und daran anschließend eine allogene Blutstammzelltransplantation. Da bei diesen Patienten nach bisherigen Erfahrungen die Chance, ohne allogene Blutstammzelltransplantation eine dauerhafte Heilung zu erzielen, sehr gering ist, kommen als Blutstammzellspender nicht nur Familienspender oder fremde Spender in Frage, deren Gewebeverträglichkeitsmerkmale mit denen des Patienten optimal übereinstimmen, sondern auch nicht optimal passende Spender. Auch die Eltern, die in der Regel mit ihrem Kind nur in einer Hälfte der Transplantationsantigene übereinstimmen, können Spender sein. Dazu muss aber das Verfahren der Transplantation entsprechend angepasst werden.

Tritt das Lymphom nach Abschluss der intensiven Phase der Ersttherapie der Erkrankung wieder auf, ist das zweite Stratifizierungskriterium, die Nachweisbarkeit des immunologischen Merkmals CD3 entscheidend. Patienten, bei denen dieses Merkmal nachgewiesen wurde (CD3 positiv) erhalten ebenfalls zwei ( ggf. drei) Kurse Chemotherapie. Während dieser Therapie wird die Suche nach einem optimal passenden Familienspender oder Fremdspender durchgeführt. Kann ein solcher Spender gefunden werden, erhalten die Patienten eine allogene Blutstammzelltransplantation. Patienten, bei denen das Merkmal CD3 nachgewiesen wurde, für die kein optimal passender Spender gefunden werden kann, erhalten zunächst einen dritten Kurs Chemotherapie und anschließend eine autologe Blutstammzelltransplantation. Das bedeutet, es werden eigene Blutstammzellen, die vorher in den Pausen zwischen der Chemotherapie gewonnen wurden, zurück übertragen. Diese unterschiedliche Therapie abhängig von der Verfügbarkeit eines optimalen Spenders, ergibt sich durch das Risiko der Transplantation. Dies steigt bei nicht optimal passendem Spender an, so dass man in dieser Situation die risikoärmere autologe Blutstammzelltransplantation empfiehlt. Über das Wesen,

die Durchführung und Risiken der einzelnen Blutstammzell-Transplantationsverfahren werden Sie speziell informiert und Sie müssen dafür auch getrennt Ihr Einverständnis schriftlich erteilen. Bei Patienten, bei denen das Merkmal CD3 nicht auf den Lymphomzellen nachweisbar ist, ist erneut der Zeitpunkt des Wiederauftretens der Erkrankung wichtig. Wenn das Lymphom innerhalb von 12 Monaten nach Diagnosestellung wiederauftritt, erhalten die Patienten eine autologe Blutstammzelltransplantation. Die gleiche Therapie erhalten Patienten, bei denen das Lymphom zwar später als 12 Monate nach Diagnosestellung wiederaufgetreten ist, die jedoch das Medikament Vinblastin bereits in der Ersttherapie erhalten haben. Die übrigen Patienten mit einer spät (> 12 Monate nach Ersterkrankung) wiederaufgetretenen Lymphomerkrankung, die während der Ersttherapie noch kein Vinblastin erhalten haben, werden im Vinblastin-Arm der Studie behandelt. Dieser Arm beinhaltet eine wöchentliche Therapie allein mit dem Medikament Vinblastin für insgesamt 24 Monate.

Die obigen Ausführungen zur Therapiestratifizierung sind in der folgenden Abbildung graphisch zusammengefasst.



### Durchführung, Risiken und Nebenwirkungen der Chemotherapie

Auch bei Patienten, die eine Blutstammzelltransplantation erhalten, wird zunächst eine intensive Chemotherapie durchgeführt, um die Lymphomzellen so weit wie möglich zu vernichten. Die Chemotherapie besteht aus zwei bzw. drei aufeinanderfolgenden mehrtägigen Kursen, bei denen mehrere Medikamente kombiniert gegeben werden. Durch die Kombination der Medikamente soll die Chance der Lymphomzellen verringert werden, der Behandlung zu entgehen. Bei Patienten, die einen Rückfall noch während der Erstbehandlung der Erkrankung erleiden, werden die Medikamente Ifosfamid, Carboplatin, Mitoxanthrone und Idarubicin eingesetzt, während bei Patienten mit Rückfall nach der Erstbehandlung Dexamethason, Cytarabin, Vindesin, Etoposid, CCNU, und Vinblastin zum Einsatz kommen. Alle Patienten erhalten zudem zur Ausrottung von Lymphomzellen im Zentralnervensystem die Medikamente Prednisolon, Cytarabin und Methotrexat, die durch eine Lumbalpunktion direkt in das Gehirnwasser eingebracht werden. Die Nebenwirkungen dieser Chemotherapie ähneln den Nebenwirkungen, die Sie bei der Erstbehandlung des ALCL kennengelernt haben. Sie bestehen z.B. aus Übelkeit und Erbrechen, vorübergehendem Haarausfall, Auswirkungen auf die Schleimhäute des Magen-Darm-Traktes, auf das blutbildende Knochenmark und das Blutbild.

Des weiteren führt die Chemotherapie zu einer Schwächung des Immunsystems und als Folge der Therapie können Organschäden auftreten. Zu den Spätfolgen nach Vinblastintherapie zählen die Komplikationen nach zytostatischer Chemotherapie (z.B.: verzögertes Längenwachstum, Hormonminderproduktionen, Gefahr von zweiten Krebserkrankungen, Einschränkungen der Organfunktion) und Komplikationen durch Infektionen (virusbedingte Leberveränderungen, Lungenveränderungen, u.a.). Die speziellen Nebenwirkungen der einzelnen Medikamente werden Ihnen von Ihrem Arzt erklärt.

Parallel zur Chemotherapie werden die Vorbereitungen für die Blutstammzell-Transplantation eingeleitet. Über die Details der Blutstammzelltransplantation werden Sie in einem separaten Gespräch informiert und um die entsprechende Einwilligung gebeten.

Patienten im Vinblastin-Arm erhalten wöchentlich eine Gabe Vinblastin für die Dauer von insgesamt 24 Monaten. Die Nebenwirkungen dieser Chemotherapie können z.B. aus neurologischen Nebenwirkungen wie Missempfindungen, Inappetenz, Verstopfungen, Auswirkungen auf die Schleimhäute des Magen-Darm-Traktes, auf das blutbildende Knochenmark und das Blutbild sowie Infektionen bestehen. Während der Vinblastintherapie werden die auftretenden Nebenwirkungen genau untersucht und dokumentiert. Falls nötig wird die Vinblastindosis für eine gewisse Zeit reduziert oder vorübergehend ausgesetzt. Durch die Vinblastintherapie ist ein Wiederauftreten des Lymphoms nicht immer zu verhindern. Für diesen Fall würden Ihnen/Ihrem Kind wirksame Therapieoptionen offenstehen.

### Supportiv-Therapie und Nachsorgeuntersuchungen

Da die Chemotherapie zu einer vorübergehenden Schwächung des Immunsystems führt, erhalten die Patienten eine prophylaktische Therapie mit einem Antibiotikum, um Infektionen zu verhindern. Aufgrund des geschwächten Abwehrsystems der Patienten sollte der Patient während der Therapie den Kontakt mit Personen, die an Windpocken erkrankt sind, vermeiden. Um eventuell auftretende Spätfolgen der Therapie möglichst frühzeitig zu erfassen, ist im Protokoll ein detailliertes Nachsorgeprogramm beschrieben, anhand dessen Sie in den ersten Jahren nach der Theraoie in zunehmend größer werdenenden Zeiträumen untersucht werden.

#### Schwangerschaft

Vor Beginn der Therapie muss eine Schwangerschaft ausgeschlossen werden und während der Therapie eine Schwangerschaftsverhütung sichergestellt sein.

#### Art der Studie

Es handelt sich um eine Therapieoptimierungs-Studie, die an vielen Behandlungszentren für krebskranke Kinder in Europa durchgeführt wird. In regelmäßigen Abständen wird der Verlauf der Studie analysiert und mit den Ergebnissen anderer Studien verglichen, so dass man Nebenwirkungen oder ungünstige Entwicklungen rechtzeitig erkennen und beeinflussen kann. Sollten neue Erkenntnisse darauf hinweisen, dass andere Methoden wirksamer sind, wird Ihr Arzt Sie darüber informieren. Der Verlauf der Studie wird von einem externen Gremium (Data safety and monitoring committee) überwacht. Dieses Gremium erhält in regelmäßigen Abständen Auswertungen zur laufenden Studie, insbesondere zu den aufgetretenen Nebenwirkungen und überprüft die Daten im Vergleich mit anderen Studien.

#### Studiendurchführung

Außer den unter "Begleituntersuchungen" erwähnten zusätzlichen Maßnahmen werden nur die für die Behandlung ohnehin notwendigen Maßnahmen durchgeführt. Zur Studie gehört auch eine Dokumentation der erfolgten Therapie und der Nebenwirkungen.

### Freiwilligkeit, Alternative zur Teilnahme an dieser Studie

Die Teilnahme an der Studie ist freiwillig. Sie können die Studienteilnahme jederzeit beenden, ohne dass Ihnen/Ihrem Kind daraus Nachteile entstehen. Als Alternative kommt zum Beispiel die Behandlungsstrategie in Frage, nach der Patienten mit Rückfall eines großzellig anaplastischen Lymphoms in der Studie NHL-BFM 95 behandelt wurden oder eine andere in der wissenschaftlichen Literatur veröffentlichte Behandlungsstrategie.

#### Vertraulichkeit

Klinische Untersuchungen werden entsprechend den Bedingungen des Bundesdatenschutzgesetzes und nach den geltenden EU-Richtlinien dokumentiert. Patientendaten werden streng vertraulich behandelt.

Zur Kontrolle der Prüfergebnisse kann ein Vergleich der Krankheitsdaten erforderlich werden. Zu diesem Zwecke ist es notwendig, dass zur Verschwiegenheit verpflichtete Mitarbeiter von Behörden oder andere autorisierte Personen Einblick in die Patientenunterlagen nehmen können.

Von Ihnen/Ihrem Kind sollen personenbezogene (Name, Geburtsdatum, Wohnort) und medizinische Daten (Diagnose mit Befunderhebung, Labordaten usw.) gespeichert bzw. an folgende Zentren übermittelt werden:

- **Studienzentrale NHL-BFM**; Studienleiter: Prof. Dr. A. Reiter; Universitätsklinikum Gießen; Universitäts-Kinderklinik; Pädiatrische Hämatologie und Onkologie; Feulgenstr. 12; 35385 Gießen
- Deutsches Kinderkrebsregister; Institut für Medizinische Biometrie und Epidemiologie der Universität Mainz; Direktor: Prof. Dr. Schäfer; Projektgruppe Pädiatrische Onkologie; Langenbeckstr. 1; 55101 Mainz
- Referenzpathologie-Institute an den Universitäten Kiel, Lübeck, Berlin, Würzburg, Frankfurt, Ulm (genaue Adresse siehe Studienprotokoll S. 4)
- Onkogenetisches Labor; Prof. Dr. J. Harbott; Universitäts-Kinderklinik; Pädiatrische Hämatologie und Onkologie; Feulgenstr. 12; 35385 Gießen
- Untersuchung der Immunantwort auf das ALCL, Karen Pulford, Nuffield Department of Clinical Laboratory Sciences, Room 4A11, Level 4, Academic block, John Radcliffe Hospital, Headington, Oxford, OX3 9DU, U.K.

Dies kann nur geschehen, wenn Sie die behandelnden Ärzte zu diesem Zweck von der Schweigepflicht entbinden.

#### Notfalladresse und Leiter der Therapieoptimierungsstudie

Der verantwortliche Leiter dieser Studie ist Herr Prof. A. Reiter, Zentrum für Kinderheilkunde und Jugendmedizin, Abteilung Hämatologie und Onkologie, Universitätsklinikum Gießen, Feulgenstr. 12, 35385 Gießen.

Verantwortlich für Ihre/die Betreuung Ihres Kindes ist die/der Leiter/in Ihres Behandlungszentrums. Sie sollten Ihr/Ihm alle auftretenden Komplikationen und Probleme sofort mitteilen. Während der Behandlung auftretende Fragen sollten Sie mit Ihrem behandelnden Arzt klären.

#### Ethikkommission, behördliche Anforderungen und Patientenversicherung

Das Protokoll der Studie wurde der zuständigen Ethikkommission in Gießen vorgelegt und hat ein positives Votum erhalten. Zusätzlich wurde das Protokoll beim Bundesinstitut für Arzneimittel und Medizinprodukte (BfArM) und beim Paul-Ehrlich Institut, Bundesamt für Sera und Impfstoffe (PEI) hinterlegt. Für die Patienten der Studie ALCL-Relapse besteht zum Ausgleich eines Vermögensschadens infolge einer studienbedingten Gesundheitsschädigung von Seiten der NHL-BFM Studienzentrale der für klinische Prüfungen gesetzlich vorgeschriebene Versicherungsschutz. Während der Dauer der Studie sollte eine andere medizinische Behandlung mit Ausnahme von Notfallsituationen nur im Einvernehmen mit dem verantwortlichen Arzt erfolgen. Bei Verdacht auf einen studienbedingten Gesundheitsschaden ist der behandelnde Arzt sofort zu benachrichtigen, damit dieser in Ihrem Auftrag die Versicherung informieren kann. Sie können die Information der Versicherung auch selbst übernehmen.

#### Begleituntersuchungen und begleitende Forschungsprojekte

Um neue Erkenntnisse zur weiteren Verbesserung der Behandlung von Patienten mit Rückfall eines großzellig anaplastischen Lymphoms in der Zukunft zu erlangen, werden mehrere begleitende Untersuchungen und Forschungsprojekte im Zusammenhang mit der Therapieoptimierungsstudie ALCL-Relapse durchgeführt. Zu festgelegten Zeitpunkten bitten wir Sie/Ihr Kind, an den Tagen der vorgeschriebenen Kontroll-Knochenmarkpunktionen (vor Transplantation, Tag +28/+60/+100, 6 Monate und 1 Jahr nach Blutstammzelltransplantation)

Knochenmark und Blut zur Verfügung zu stellen. Damit wird das Verhältnis von Spender- und Empfängerzellen im Blut und Knochenmark ("Chimärismus") untersucht und die Konzentration eventuell noch vorhandener restlicher Lymphomzellen (minimale Resterkrankung oder MRD, dies kann nur durchgeführt werden, wenn Ihre Lymphomzellen/die Lymphomzellen Ihres Kindes einen geeigneten Marker aufweisen) bestimmt. Zusätzlich wird untersucht, ob spezifische Antikörper und Immun-Abwehrzellen gegen Lymphomzellen nachweisbar sind und in welcher Konzentration.

Sie werden/Ihr Kind wird davon keinen direkten persönlichen Nutzen haben, da von keinem dieser Parameter bekannt ist, ob und welche Bedeutung er für Erfolg oder Misserfolg der Behandlung hat. Vielmehr soll in dieser Studie untersucht werden ob und wenn ja, welche Bedeutung ihnen für Erfolg oder Misserfolg der Behandlung der Behandlung zukommt. Bevor diesbezügliche Erkenntnisse gesichert vorliegen, sind Veränderungen der Therapieführung auf der Basis solcher Befunde nicht nur unwissenschaftlich, sondern können für den Patienten sogar eine Gefahr darstellen. Deshalb werden die Ergebnisse der Einzeluntersuchungen nicht mitgeteilt, sondern gesammelt ausgewertet werden. Die Ergebnisse dieser Untersuchung können jedoch für zukünftige Patienten möglicherweise von großer Bedeutung sein. Deshalb sind wir Ihnen sehr dankbar, wenn Sie einwilligen, für diese Untersuchungen Material (zusätzlich jeweils 10 ml Knochenmark und 15 ml Blut) zur Verfügung zu stellen. Die Entnahme dieses Knochenmarkbluts erfolgt bei einer Punktion, die für Sie/Ihr Kind ohnehin notwendig wäre und für die Steuerung der Therapie nach Blutstammzelltransplantation routinemäßig erfolgt.

Eventuell ergeben sich entsprechend dem raschen wissenschaftlichen Fortschritt weitere wichtige Fragestellungen mit Bedeutung zum Wesen und zur besseren Behandlung Ihrer Erkrankung/der Erkrankung Ihres Kindes. Sofern Sie Ihr Einverständnis geben, wird deshalb restliches Material, das für die vorgesehenen Untersuchungen nicht verbraucht wird, in dem zentralen Materialaufarbeitungs-Labor in der Studienzentrale in Gießen gelagert und für zukünftige wichtige Forschungsprojekte zur Verfügung gestellt. Sofern diese Untersuchungen an anderen als den oben angeführten Institutionen durchgeführt werden und zur sinnvollen Auswertung eine Verbindung mit weiteren Daten der Erkrankung, der Therapie und des Verlaufs sowie Daten zu Alter, Geschlecht erforderlich sind, werden diese ausschließlich in vollständig anonymisierter Form unter voller Beachtung der Vorschriften des Datenschutzes weitergegeben.

Abschließend möchten wir Sie bitten,	, der Teilnahme	an dieser Studie	zuzustimmen,	und
hierdurch einen wichtigen Beitrag zum F	Fortschritt im Kan	npf gegen Krebs zu	leisten.	
Ansprechpartner in der behandelnden Klinik	:: Name	TelNr.		

## Einwilligungserklärung zur Teilnahme an der Therapiestudie ALCL-Relapse

Therapieprotokoll zur Behandlung rezidivierter großzellig anaplastischer Lymphome im Kindesund Jugendalter; internationale Multicenter-Studie der Europäischen Studiengruppen-Kooperation zur Behandlung von NHL bei Kindern (EICNHL)

Name des Patienten:	
Geburtsdatum:	
Ich bin/wir sind durch	den/die behandelnde/n Arzt/Ärztin
am	
über Diagnose und \ Wesen, Bedeutung u und eingehend über Nebenwirkungen sow Entscheidung über eingeräumt und die so	e willige ich ein, dass zur Verschwiegenheit verpflichtete Mitarbeiter von ere autorisierte Personen Einblick in meine Patientenunterlagen äre/n ich/wir uns damit einverstanden, dass von mir/meinem Kind (Name, Geburtsdatum, Wohnort) und medizinische Daten (Diagnose mit ordaten usw.) gespeichert bzw. an folgende Zentren zentrale, Gießen er, Mainz (Kiel, o. Lübeck, o. Frankfurt, o. Ulm, o. Würzburg, o. Berlin)
	chung der Immunantwort, Oxford
Schweigepflicht.	und entbinde die behandelnden Ärzte zu diesem Zweck von der tienteninformation und dieser Einverständniserklärung wurde mir/uns
Ort, Datum	Patientenunterschrift
0.1.0.1	
Ort, Datum	Unterschrift der sorgeberechtigten Personen
	ich obengenannten Patienten/die Sorgeberechtigten, der/die sein/Ihr Teilnahme gegeben hat/haben, bezüglich Zweck, Nutzen, Art und Risiken habe.
Ort, Datum	Name des/der behandelnden Arztes/Ärztin Tel. Unterschrift des/der Arztes/Ärztin

#### **PATIENTENAUFKLÄRUNG**

zur Therapiestudie ALCL-Relapse

Therapieprotokoll zur Behandlung rezidivierter großzellig anaplastischer Lymphome im Kindesund Jugendalter; internationale Multicenter-Studie der Europäischen Studiengruppen-Kooperation zur Behandlung von NHL bei Kindern (EICNHL)

für die <u>allogene Blutstammzelltransplantation von HLA-passenden Spendern</u> bei Kindern und Jugendlichen mit Rückfall eines großzellig anaplastischen Lymphoms

Liebe Patienten, liebe Eltern,

Sie haben beim Beginn der Behandlung des Rückfalls Ihrer Erkrankung/der Erkrankung Ihres Kindes nach entsprechender Aufklärung eingewilligt, dass Sie/Ihr Kind im Rahmen der Therapiestudie ALCL-Relapse behandelt werden/wird. Auf Grund des Zeitpunktes des Auftretens des Rückfalls oder spezieller biologischer Eigenschaften der Lymphomzellen (Ausprägung des Merkmals CD3) gehört zu Ihrem Behandlungsplan/zum Behandlungsplan Ihres Kindes die Durchführung einer sogneannten allogenen Blutstammzelltransplantation. Über diesen speziellen Behandlungsteil werden Sie im Folgenden genauer informiert.

#### Einführung

Die allogene Stammzelltransplantation (SZT), d.h. die Übertragung von Knochenmark oder Ursprungszellen der Blutbildung (Blut-Stammzellen), die sich auch im fließenden Blut finden, dient der Behandlung Ihrer Erkrankung/der Erkrankung Ihres Kindes. Der Stammzell- übertragung voraus gehen eine intensive Medikamenten- und eventuell Strahlenbehandlung, die das Lymphom beseitigen und die körpereigene Abwehr unterdrücken sollen, damit die neuen Stammzellen nicht abgestoßen werden. An die SZT schließt sich eine Vorbeugung gegen eine Transplant-gegen-Empfängerreaktion ("Graft-versus-host-Disease" - GvHD) an, die ebenfalls mit Medikamenten erfolgt.

In der Therapiestudie ALCL-Relapse sind die Details der Spenderauswahl und der Behandlung während und nach der Transplantation festgelegt. Außer den unter "Begleituntersuchungen" erwähnten zusätzlichen Maßnahmen, werden nur die für die Transplantation ohnehin notwendigen Maßnahmen durchgeführt.

#### Wissenschaftlicher Hintergrund

Die bisherigen Erfahrungen bei Kindern und Jugendlichen mit Rückfall eines großzellig anaplastischen Lymphoms haben gezeigt, dass die individuelle Heilungschance der Patienten bzw. das individuelle Risiko, dass die Krankheit nach einer Rückfallbehandlung erneut auftritt, sehr unterschiedlich ist. Erste Beobachtungen deuten darauf hin, dass Patienten, die entweder noch während der intensiven Phase der Erstbehandlung der Erkrankung einen Rückfall erleiden oder deren Lymphomzellen ein spezielles immunologisches Merkmal, das CD3 Antigen, tragen, mit einer sogenannten allogenen Blutstammzelltransplantation, d.h. der Übertragung von gesunden Blut-Stammzellen aus dem Knochenmark von einem gewebsgleichen oder - ähnlichen Familienspender oder einem gut passenden unverwandten Spender, bessere Chancen haben, von der Erkrankung geheilt zu werden. Allerdings waren bisher die Komplikationen nach einer SZT vom unverwandten oder nicht passenden Familienspender höher als nach einer Geschwistertransplantation.

Durchführung, Risiken und Nebenwirkungen der allogenen Stammzelltransplantation Im folgenden werden die Durchführung der allogenen SZT und die möglicherweise auftretenden Komplikationen sowie eventuelle Spätfolgen beschrieben.

#### Ablauf der SZT und Komplikationen

Der Termin für die Blutstammzelltransplantation wird unter Berücksichtigung der notwendigen Lymphombehandlung (Re-Induktion), der Maßnahmen zur Gewinnung der Stammzellen und

der notwendigen Therapie vor und während der Transplantation vereinbart. Manchmal kann es zu Verschiebungen der Termine kommen; in diesem Fall wird eventuell eine weitere Chemotherapie notwendig. In der Vorbereitungsphase zur Blutstammzelltransplantation werden verschiedene Blutuntersuchungen und andere diagnostische Maßnahmen durchgeführt. Eventuell wird zu diesem Zeitpunkt - falls nicht bereits erfolgt - auch ein Dauerverweilkatheter für Infusionen und Blutabnahmen implantiert. Die stationäre Aufnahme erfolgt spätestens zum Zeitpunkt der Konditionierung. Die Aufenthaltsdauer im Isolierzimmer richtet sich nach dem Anwachsen der Stammzellen und den Komplikationen, die sich nach der Transplantation eventuell ergeben.

#### Konditionierung:

Zur Vorbereitung des Patienten zur SZT ist eine "Konditionierung" unumgänglich, damit die körpereigenen Abwehrmechanismen, die die neuen Stammzellen abstoßen könnten, unterdrückt werden. Die Konditionierung besteht in einer sogenannten Ganzkörperbestrahlung zusammen mit einer sehr intensiven Chemotherapie. Vor Durchführng Ganzkörperbestrahlung werden Sie von einem Strahlentherpeut speziell über das Vorgehen und die Risiken bei dieser Behandlung informiert. Wenn der Spender nicht ein HLA-identisches Geschwisterkind ist, werden zusätzlich Antikörper gegen Immunzellen verabreicht. Mit dieser Behandlung werden auch eventuell noch vorhandene Lymphomzellen vernichtet oder zumindest reduziert. Die Therapie verursacht aber auch eine erhebliche Abwehrschwäche gegen alle Arten von Infektionen (Bakterien, Viren, Pilze, Parasiten). Diese Infektionen können manchmal unbeherrschbar sein und tödlich verlaufen.

Mit der Konditionierung können folgende Nebenwirkungen verbunden sein:

Übelkeit, Erbrechen, Mundschleimhautentzündung, Speicheldrüsenentzündung, Haarausfall, Einschränkung der Nierenfunktion, Leberveränderungen, Blasenentzündung, in seltenen Fällen auch akute Herz- und Lungenprobleme. Die körpereigene Produktion von roten Blutkörperchen (notwendig für den Sauerstofftransport) und von Blutplättchen (notwendig für die Blutgerinnung) wird unterbrochen; daher müssen diese Blutbestandteile über unterschiedlich lange Zeiträume in Form von Bluttransfusionen zugeführt werden. Trotzdem kann es in seltenen Fällen zu lebensbedrohlichen Organblutungen kommen.

#### Komplikationen der SZT:

Bei Infektionen oder langsamem Anwachsen der transplantierten Stammzellen kann es notwendig werden, Wachstumsfaktoren für Blutzellen einzusetzen, die diesen Vorgang beschleunigen.

Wenn in Ausnahmefällen die transplantierten Stammzellen nicht anwachsen (Ursachen hierfür können sein: Abstoßung, Infektionen, zu geringe Stammzellmengen), kann eine zweite SZT vom selben oder von einem anderen Spender notwendig werden.

Nach erfolgreicher SZT ist das Abwehrsystem des Patienten noch längere Zeit beeinträchtigt und macht Vorsichts- und Isoliermaßnahmen für den Patienten auch nach der Entlassung aus der Transplantationseinheit notwendig.

#### Risiken und Nebenwirkungen der GvHD:

Wenn die Spenderzellen im Patienten angewachsen sind ("Engraftment"), besteht die Möglichkeit, dass Spender-Abwehrzellen den Patienten als fremd erkennen, und es kann zu akuten und chronischen, manchmal lebensbedrohlichen Unverträglichkeitsreaktionen kommen ("Graft versus Host Erkrankung"-GvHD). Sie betreffen vor allem Haut, Schleimhäute, Leber, Darm und eventuell die Lunge und äußern sich als akute und chronische Entzündungsreaktionen. Zur Verhinderung der GvHD werden verschiedene Medikamente gegeben, deren Auswahl von der Art des Spenders abhängt. Nebenwirkungen dieser Medikamente bestehen in akuten Unverträglichkeitsreaktionen (Fieber, Hautveränderungen, Blutdruckabfall), bei langdauernder Verabreichung können sie zu hohem Blutdruck, eingeschränkter Nieren- und Leberfunktion, verstärkter Körperbehaarung und Magenproblemen führen. Die Abwehr gegen Infektionskrankheiten ist herabgesetzt.

Prinzipiell ist jedoch eine leichte Form der GvHD (die manchmal keine Krankheitssymptome verursacht) nicht unbedingt gefährlich, da dieser Effekt zu einer besseren Abwehr von vielleicht

noch vorhandenen Lymphomzellen führen kann ("Graft versus Lymphom-Effekt" – GvL). Ein Graft versus Lymphom-Effekt kann jedoch auch ohne GvHD-Zeichen erfolgen. Zur Diagnose einer eventuell auftretenden GvHD muss unter Umständen eine Hautbiopsie durchgeführt werden.

### Mögliche Spätfolgen einer SZT:

Zu den Spätfolgen nach SZT zählen die Komplikationen nach zytostatischer Chemotherapie und Strahlentherapie (z.B.: bleibende Unfruchtbarkeit, verzögertes Längenwachstum, Hormonminderproduktionen, Gefahr von zweiten Krebserkrankungen, Einschränkungen der Organfunktion), chronische **GvHD** (Hautveränderungen, Lungenprobleme, Abwehrschwäche). Gelenksversteifung. Hornhauttrübung, Infektionen durch Komplikationen durch Infektionen (virusbedingte Leberveränderungen, Lungenveränderungen, u.a.). Nach langdauernder Behandlung einer GvHD kann es zu verstärkter Knochenbrüchigkeit bzw. zu Hüftproblemen kommen.

Auch durch die allogene SZT ist ein Rückfall der Lymphomkrankheit nicht immer zu verhindern.

#### Schwangerschaft:

Vor Beginn der Konditionierung muss eine Schwangerschaft ausgeschlossen werden.

#### **Alternative Therapie**

Die prinzipielle Alternative zur einer SZT ist die Fortsetzung der Chemotherapie in der Form, wie sie bisher bei Ihnen/Ihrem Kind begonnen wurde. Die Analysen der letzten Jahre deuten allerdings darauf hin, dass die allogene SZT die Chance erhöht, dass kein weiterer Rückfall der Erkrankung auftritt. Diese Studie geht davon aus, dass die Nebenwirkungen der Transplantation geringer als in den letzten Jahren sein werden, da man ein einheitliches Konzept für die Spenderauswahl, Konditionierung und die Vorbeugung und Behandlung von Infektionen und GVHD verfolgt.

#### **Datendokumentation und Vertraulichkeit**

Zur Therapiestudie ALCL-Relapse gehört auch eine Dokumentation der erfolgten Therapie und der Nebenwirkungen. Darüber wurden Sie bereits bei Beginn Ihrer Behandlung informiert und Sie wurden um Einwilligung zur Weitergabe der Daten entsprechend den Bedingungen des Bundesdatenschutzgesetzes und nach den geltenden EU-Richtlinien an bestimmte Ihnen genannte Stellen gebeten.

Für die Blutstammzelltransplantation gibt es spezielle Register, national und international, in welche die Transplantationszentren aufgefordert sind, bestimmte Daten zur Durchführung und zum Verlauf von Blutstammzelltransplantationen zu melden. Zum einen stellt dies ein Kontrollinstrument für die Qualität der Arbeit der Transplantationszentren dar. Zum anderen können anhand der großen Patientenzahlen in dem Register übergeordnete wissenschaftliche Fragestellungen untersucht werden, die für die Verbesserung der Wirksamkeit der Transplantation und der Sicherheit der Patienten von großer Bedeutung sind.

Klinische Untersuchungen werden entsprechend den Bedingungen des Bundesdatenschutzgesetzes und nach den geltenden EU-Richtlinien dokumentiert. Patientendaten werden streng vertraulich behandelt und ohne Angabe der Patientennamen anonymisiert an Register innerhalb des Landes, aber auch an übergeordnete Register (European Group for Blood and Marrow Transplantation und International Bone Marrow Transplant Registry) weitergegeben und ausgewertet.

Zur Kontrolle der Prüfergebnisse kann ein Vergleich der Krankheitsdaten erforderlich werden. Zu diesem Zwecke ist es notwendig, dass zur Verschwiegenheit verpflichtete Mitarbeiter von Behörden oder andere autorisierte Personen Einblick in die Patientenunterlagen nehmen können. Von Ihnen/Ihrem Kind sollen personenbezogene (Name, Geburtsdatum, Wohnort) und medizinische Daten (Diagnose mit Befunderhebung, Labordaten usw.) gespeichert bzw. an folgende Zentren übermittelt werden:

- Studienzentrale NHL-BFM; Studienleiter: Prof. Dr. A. Reiter; Universitätsklinikum Gießen; Universitäts-Kinderklinik; Pädiatrische Hämatologie und Onkologie; Feulgenstr. 12; 35385 Gießen
- Pädiatrisches Register für Stammzell-Transplantationen: Prof. Dr. T. Klingebiel, Klinik für Kinderheilkunde III, Goethe Universität Frankfurt a. Main; Theodor Stern Kai 7, 60590 Frankfurt
- Onkogenetisches Labor; Prof. Dr. J. Harbott; Universitäts-Kinderklinik; Pädiatrische Hämatologie und Onkologie; Feulgenstr. 12; 35385 Gießen
- Untersuchung der Immunantwort auf das ALCL, Karen Pulford, Nuffield Department of Clinical Laboratory Sciences, Room 4A11, Level 4, Academic block, John Radcliffe Hospital, Headington, Oxford, OX3 9DU, U.K.

Dies kann nur geschehen, wenn Sie die behandelnden Ärzte zu diesem Zweck von der Schweigepflicht entbinden.

#### Notfalladresse und Leiter der Therapiestudie

Der verantwortliche Leiter dieser Studie ist Herr Prof. A. Reiter, Zentrum für Kinderheilkunde und Jugendmedizin, Abteilung Hämatologie und Onkologie, Universitätsklinikum Gießen, Feulgenstr. 12, 35385 Gießen.

Verantwortlich für Ihre/die Betreuung Ihres Kindes ist die/der Leiter/in Ihres Transplantationszentrums. Sie sollten Ihr/Ihm alle auftretenden Komplikationen und Probleme sofort mitteilen. Während der Behandlung auftretende Fragen sollten Sie mit Ihrem behandelnden Arzt klären.

#### Begleituntersuchungen

Bereits bei der Aufklärung bei Beginn Ihrer Behandlung/der Behandlung Ihres Kindes wurden Sie über die begleitenden Forschungsprojekte, die im Rahmen dieser Studie durchgeführt werden, informiert. Da möglicherweise die Klinik, in der die Blutstammzeltransplantation durchgeführt wird, nicht mit Ihrer primär behandelnden Klinik übereinstimmt, werden Sie hier noch einmal über diese Untersuchungen informiert, die auch speziell im Rahmen der Blutstammzelltransplantation durchgeführt werden.

Um neue Erkenntnisse zur weiteren Verbesserung der Behandlung von Patienten mit Rückfall eines großzellig anaplastischen Lymphoms in der Zukunft zu erlangen, werden mehrere begleitende Untersuchungen und Forschungsprojekte im Zusammenhang mit der Therapieoptimierungsstudie ALCL-Relapse durchgeführt. Zu festgelegten Zeitpunkten bitten wir Sie/Ihr Kind, an den Tagen der vorgeschriebenen Kontroll-Knochenmarkpunktionen (vor Transplantation, Tag +28/+60/+100, 6 Monate und 1 Jahr nach Blutstammzelltransplantation) Blut und Knochenmark zur Verfügung zu stellen. Es wird daraus das Verhältnis von Spenderund Empfängerzellen im Blut und Knochenmark ("Chimärismus") untersucht und die Konzentration eventuell noch vorhandener restlicher Lymphomzellen (minimale Resterkrankung oder MRD, kann nur durchgeführt werden, wenn Ihre Lymphomzellen/die Lymphomzellen Ihres Kindes einen geeigneten Marker aufweisen) bestimmt . Zusätzlich wird untersucht, ob spezifische Antikörper und Immun-Abwehrzellen gegen Lymphomzellen nachweisbar sind und welche Konzentration diese aufweisen.

Sie werden/Ihr Kind wird davon keinen direkten persönlichen Nutzen haben, da von keinem dieser Parameter bekannt ist, ob und welche Bedeutung er für Erfolg oder Misserfolg der Behandlung hat. Vielmehr soll in dieser Studie untersucht werden ob und wenn ja, welche Bedeutung ihnen für Erfolg oder Misserfolg der Behandlung der Behandlung zukommt. Bevor diesbezügliche Erkenntnisse gesichert vorliegen, sind Veränderungen der Therapieführung auf der Basis solcher Befunde nicht nur unwissenschaftlich, sondern können für den Patienten sogar eine Gefahr darstellen. Deshalb werden die Ergebnisse der Einzeluntersuchungen nicht mitgeteilt, sondern gesammelt ausgewertet werden. Die Ergebnisse dieser Untersuchung können jedoch für zukünftige Patienten möglicherweise von großer Bedeutung sein. Deshalb sind wir Ihnen sehr dankbar, wenn Sie/Ihr Kind einwilligen, für diese Untersuchungen Material (zusätzlich jeweils 10 ml Knochenmark und 15 ml Blut) zur Verfügung zu stellen. Die Entnahme dieses Knochenmarkbluts erfolgt bei einer Punktion, die für Sie/Ihr Kind ohnehin nötig wäre und

für die Steuerung der Therapie nach Blutstammzelltransplantation routinemäßig durchgeführt wird.

Eventuell ergeben sich entsprechend dem raschen wissenschaftlichen Fortschritt weitere wichtige Fragestellungen mit Bedeutung zum Wesen und zur besseren Behandlung Ihrer Erkrankung/der Erkrankung Ihres Kindes. Sofern Sie Ihr Einverständis geben, wird deshalb restliches Material, das für die vorgesehenen Untersuchungen nicht verbraucht wird, in dem zentralen Materialaufarbeitungs-Labor in der Studienzentrale in Gießen gelagert und für zukünftige wichtige Forschungsprojekte zur Verfügung gestellt. Sofern diese Untersuchungen an anderen als den oben angeführten Institutionen durchgeführt werden und zur sinnvollen Auswertung eine Verbindung mit weiteren Daten der Erkrankung, der Therapie und des Verlaufs sowie Daten zu Alter oder Geschlecht erfoderlich ist, werden diese ausschließlich in vollständig anonymisierter Form unter voller Beachtung der Vorschriften des Datenschutzes weitergegeben.

Abschließend möchten wir Sie bitten, der Teilnahme an dieser Studie zuzustimmen, und hierdurch einen wichtigen Beitrag zum Fortschritt im Kampf gegen Krebs zu leisten.

#### **PATIENTENAUFKLÄRUNG**

zur Therapiestudie ALCL-Relapse

Therapieprotokoll zur Behandlung rezidivierter großzellig anaplastischer Lymphome im Kindesund Jugendalter; internationale Multicenter-Studie der Europäischen Studiengruppen-Kooperation zur Behandlung von NHL bei Kindern (EICNHL)

für die allogene Blutstammzelltransplantation von Spendern mit unterschiedlichen Gewebsmerkmalen bei Kindern und Jugendlichen mit Rückfall eines großzellig anaplastischen Lymphoms

Liebe Patienten, liebe Eltern,

Sie haben beim Beginn der Behandlung des Rückfalls Ihrer Erkrankung/der Erkrankung Ihres Kindes nach entsprechender Aufklärung eingewilligt, dass Sie/Ihr Kind im Rahmen der Therapiestudie ALCL-Relapse behandelt werden/wird. Auf Grund des Zeitpunktes des Auftretens des Rückfalls während der intensiven Phase der Erstbehandlung der Erkrankung gehört zu Ihrem Behandlungsplan/zum Behandlungsplan Ihres Kindes die Durchführung einer sogenannten allogenen Blutstammzelltransplantation. Über diesen speziellen Behandlungsteil werden Sie im Folgenden genauer informiert.

#### Einführung

Die allogene Stammzelltransplantation (SZT), d.h. die Übertragung von Knochenmark oder Ursprungszellen der Blutbildung (Blut-Stammzellen), die sich auch im fließenden Blut finden, dient der Behandlung Ihrer Erkrankung/der Erkrankung Ihres Kindes. Der Stammzellübertragung voraus gehen eine intensive Medikamenten- und eventuell Strahlenbehandlung, die das Lymphom beseitigen und die körpereigene Abwehr unterdrücken sollen, damit die neuen Stammzellen nicht abgestoßen werden.

In der Therapiestudie ALCL-Relapse sind die Details der Spenderauswahl und der Behandlung während und nach der Transplantation festgelegt. Außer den unter "Begleituntersuchungen" erwähnten zusätzlichen Maßnahmen, werden nur die für die Transplantation ohnehin notwendigen Maßnahmen durchgeführt.

### Wissenschaftlicher Hintergrund

Die bisherigen Erfahrungen bei Kindern und Jugendlichen mit Rückfall eines großzellig anaplastischen Lymphoms haben gezeigt, dass die individuelle Heilungschance der Patienten bzw. das individuelle Risiko, dass die Krankheit nach einer Rückfallbehandlung erneut auftritt, sehr unterschiedlich ist. Patienten, die noch während der intensiven Phase der Erstbehandlung der Erkrankung einen Rückfall erleiden, haben am ehesten mit einer sogenannten allogenen Blutstammzelltransplantation, d.h. der Übertragung von gesunden Blut-Stammzellen aus dem Knochenmark von einem gewebsgleichen oder -ähnlichen Familienspender oder einem gut passenden unverwandten Spender, Chancen, von der Erkrankung geheilt zu werden. Allerdings waren bisher die Komplikationen nach einer SZT bei einem Spender mit nicht passenden Gewebsverträglichkeitsmerkmalen höher als nach einer Geschwistertransplantation oder der Transplantation von einem optimal passenden Fremdspender. Da bei Ihnen/Ihrem Kind der Rückfall des Lymphoms schon während der Erstbehandlung der Erkrankung eintrat, ist nach heutigem Kenntnisstand auch die Transplantation von einem Spender mit nicht passenden Gewebsverträglichkeitsmerkmalen die beste Chance, eine dauerhafte Heilung zu erreichen, da kein gewebsverträglicher Spender zur Verfügung steht.

Durchführung, Risiken und Nebenwirkungen der allogenen Stammzelltransplantation Im folgenden werden die Durchführung der allogenen SZT und die möglicherweise auftretenden Komplikationen sowie eventuelle Spätfolgen beschrieben.

#### Ablauf der SZT und Komplikationen

Der Termin für die Blutstammzelltransplantation wird unter Berücksichtigung der notwendigen Lymphombehandlung (Re-Induktion), der Maßnahmen zur Gewinnung der Stammzellen und der notwendigen Therapie vor und während der Transplantation vereinbart. Manchmal kann es zu Verschiebungen der Termine kommen; in diesem Fall wird eventuell eine weitere Chemotherapie notwendig. In der Vorbereitungsphase zur Blutstammzelltransplantation werden verschiedene Blutuntersuchungen und andere diagnostische Maßnahmen durchgeführt. Eventuell wird zu diesem Zeitpunkt - falls nicht bereits erfolgt - auch ein Dauerverweilkatheter für Infusionen und Blutabnahmen implantiert. Die stationäre Aufnahme erfolgt spätestens zum Zeitpunkt der Konditionierung. Die Aufenthaltsdauer im Isolierzimmer richtet sich nach dem Anwachsen der Stammzellen und den Komplikationen, die sich nach der Transplantation eventuell ergeben.

#### Stammzellpräparation:

Vor der SZT erfolgt eine Auftrennung der gewonnen Spenderzellen, um eine schwere Transplant-gegen-Empfängerreaktion ("Graft-versus-host-Disease"-GvHD) zu verhindern. Da in Ihrem Fall/im Fall Ihres Kindes kein gewebsverträglicher Spender gefunden werden konnte, ist diese Methode derzeit die erfolgversprechendste. Die Blut-Stammzellen des Spenders werden vor der SZT präpariert und in verschiedene Zellarten aufgetrennt ("Selektion"). Diese Manipulation beseitigt nicht nur fast alle Abwehrzellen (Lymphozyten) des Spenders sondern auch rote Blutzellen und Plasma.

#### Konditionierung:

Zur Vorbereitung des Patienten zur SZT ist eine "Konditionierung" unumgänglich, damit die körpereigenen Abwehrmechanismen, die die neuen Stammzellen abstoßen könnten, unterdrückt werden. Die Konditionierung besteht in einer sogenannten Ganzkörperbestrahlung zusammen einer sehr intensiven Chemotherapie. Vor Durchführng Ganzkörperbestrahlung werden Sie von einem Strahlentherpeut speziell über das Vorgehen und die Risiken bei dieser Behandlung informiert. Wenn der Spender nicht ein HLA-identisches Geschwisterkind ist, werden zusätzlich Antikörper gegen Immunzellen verabreicht. Mit dieser Behandlung werden auch eventuell noch vorhandene Lymphomzellen vernichtet oder zumindest reduziert. Die Therapie verursacht aber auch eine erhebliche Abwehrschwäche gegen alle Arten von Infektionen (Bakterien, Viren, Pilze, Parasiten). Diese Infektionen können manchmal unbeherrschbar sein und tödlich verlaufen.

Mit der Konditionierung können folgende Nebenwirkungen verbunden sein:

Übelkeit, Erbrechen, Mundschleimhautentzündung, Speicheldrüsenentzündung, Haarausfall, Einschränkung der Nierenfunktion, Leberveränderungen, Blasenentzündung, in seltenen Fällen auch akute Herz- und Lungenprobleme. Die körpereigene Produktion von roten Blutkörperchen (notwendig für den Sauerstofftransport) und von Blutplättchen (notwendig für die Blutgerinnung) wird unterbrochen; daher müssen diese Blutbestandteile über unterschiedlich lange Zeiträume in Form von Bluttransfusionen zugeführt werden. Trotzdem kann es in seltenen Fällen zu lebensbedrohlichen Organblutungen kommen.

#### Komplikationen der SZT:

Bei Infektionen oder langsamem Anwachsen der transplantierten Stammzellen kann es notwendig werden, Wachstumsfaktoren für Blutzellen einzusetzen, die diesen Vorgang beschleunigen.

Wenn die transplantierten Stammzellen nicht anwachsen (Ursachen hierfür können sein: Abstoßung, Infektionen, zu geringe Stammzellmengen), kann eine zweite SZT vom selben oder von einem anderen Spender notwendig werden.

Bedingt durch die notwendige Stammzellselektion kommt es zu einer sehr langsamen Erholung der Abwehrfunktion und hiermit besteht ein hohes Risiko für Infektionen mit Baketerien, Pilzen und vor allem Viren. Ebenso besteht die Gefahr, dass es in dieser Zeit zu einem Rückfall des Lymphoms kommt.

Nach erfolgreicher SZT ist das Abwehrsystem des Patienten noch längere Zeit beeinträchtigt und macht Vorsichts- und Isoliermaßnahmen für den Patienten auch nach der Entlassung aus der Transplantationseinheit notwendig.

#### Risiken und Nebenwirkungen der GvHD:

Wenn die Spenderzellen im Patienten angewachsen sind ("Engraftment"), besteht die Möglichkeit, dass Spender-Abwehrzellen den Patienten als fremd erkennen, und es kann zu akuten und chronischen, manchmal lebensbedrohlichen Unverträglichkeitsreaktionen kommen ("Graft versus Host Erkrankung"-GvHD). Sie betreffen vor allem Haut, Schleimhäute, Leber, Darm und eventuell die Lunge und äußern sich als akute und chronische Entzündungsreaktionen. Allerdings ist die Reaktion nach der Selektion des Transplantats selten zu erwarten. Zur Behandlung einer eventuell doch auftretenden GvHD werden verschiedene Medikamente gegeben, deren Auswahl von der Art des Spenders abhängt. Nebenwirkungen Medikamente bestehen in akuten Unverträglichkeitsreaktionen Hautveränderungen, Blutdruckabfall), bei langdauernder Verabreichung können sie zu hohem Blutdruck, eingeschränkter Nieren- und Leberfunktion, verstärkter Körperbehaarung und Magenproblemen führen. Die Abwehr gegen Infektionskrankheiten ist herabgesetzt.

Prinzipiell ist jedoch eine leichte Form der GvHD (die manchmal keine Krankheitssymptome verursacht) nicht unbedingt gefährlich, da dieser Effekt zu einer besseren Abwehr von vielleicht noch vorhandenen Lymphomzellen führen kann ("Graft versus Lymphom-Effekt" – GvL). Ein Graft versus Lymphom-Effekt kann jedoch auch ohne GvHD-Zeichen erfolgen. Zur Diagnose einer eventuell auftretenden GvHD muss unter Umständen eine Hautbiopsie durchgeführt werden.

### Mögliche Spätfolgen einer SZT:

Zu den Spätfolgen nach SZT zählen die Komplikationen nach zytostatischer Chemotherapie Strahlentherapie (z.B.: bleibende Unfruchtbarkeit, verzögertes Längenwachstum, Hormonminderproduktionen, Gefahr von zweiten Krebserkrankungen, Einschränkungen der Organfunktion), die chronische **GvHD** (Hautveränderungen, Lungenprobleme, Gelenksversteifung, Hornhauttrübung, Infektionen Abwehrschwäche), durch Komplikationen durch Infektionen (virusbedingte Leberveränderungen, Lungenveränderungen, u.a.). Nach langdauernder Behandlung einer GvHD kann es zu verstärkter Knochenbrüchigkeit bzw. zu Hüftproblemen kommen.

Auch durch die allogene SZT ist ein Rückfall der Lymphomkrankheit nicht immer zu verhindern.

#### Schwangerschaft:

Vor Beginn der Konditionierung muss eine Schwangerschaft ausgeschlossen werden.

#### **Alternative Therapie**

Die prinzipielle Alternative zur einer SZT ist die Fortsetzung der Chemotherapie in der Form, wie sie bisher bei Ihnen/Ihrem Kind begonnen wurde. Die Analysen der letzten Jahre deuten allerdings darauf hin, dass die allogene SZT die Chance erhöht, dass kein weiterer Rückfall der Erkrankung auftritt. Diese Studie geht davon aus, dass die Nebenwirkungen der Transplantation geringer als in den letzten Jahren sein werden, da man ein einheitliches Konzept für die Spenderauswahl, Konditionierung und die Vorbeugung und Behandlung von Infektionen und GvHD verfolgt.

#### **Datendokumentation und Vertraulichkeit**

Zur Therapiestudie ALCL-Relapse gehört auch eine Dokumentation der erfolgten Therapie und der Nebenwirkungen. Darüber wurden Sie bereits bei Beginn Ihrer Behandlung informiert und Sie wurden um Einwilligung zur Weitergabe der Daten entsprechend den Bedingungen des Bundesdatenschutzgesetzes und nach den geltenden EU-Richtlinien an bestimmte Ihnen genannte Stellen gebeten.

Für die Blutstammzelltransplantation gibt es spezielle Register, national und international, in welche die Transplantationszentren aufgefordert sind, bestimmte Daten zur Durchführung und

zum Verlauf von Blutstammzelltransplantationen zu melden. Zum einen stellt dies ein Kontrollinstrument für die Qualität der Arbeit der Transplantationszentren dar. Zum anderen können anhand der großen Patientenzahlen in dem Register übergeordnete wissenschaftliche Fragestellungen untersucht werden, die für die Verbesserung der Wirksamkeit der Transplantation und der Sicherheit der Patienten von großer Bedeutung sind.

Klinische Untersuchungen werden entsprechend den Bedingungen des geltenden Bundesdatenschutzgesetzes und nach den EU-Richtlinien dokumentiert. Patientendaten werden streng vertraulich behandelt und ohne Angabe der Patientennamen anonymisiert an Register innerhalb des Landes, aber auch an übergeordnete Register (European Group for Blood and Marrow Transplantation und International Bone Marrow Transplant Registry) weitergegeben und ausgewertet.

Zur Kontrolle der Prüfergebnisse kann ein Vergleich der Krankheitsdaten erforderlich werden. Zu diesem Zwecke ist es notwendig, dass zur Verschwiegenheit verpflichtete Mitarbeiter von Behörden oder andere autorisierte Personen Einblick in die Patientenunterlagen nehmen können. Von Ihnen/Ihrem Kind sollen personenbezogene (Name, Geburtsdatum, Wohnort) und medizinische Daten (Diagnose mit Befunderhebung, Labordaten usw.) gespeichert bzw. an folgende Zentren übermittelt werden:

- Studienzentrale NHL-BFM; Studienleiter: Prof. Dr. A. Reiter; Universitätsklinikum Gießen; Universitäts-Kinderklinik; Pädiatrische Hämatologie und Onkologie; Feulgenstr. 12; 35385 Gießen
- Pädiatrisches Register für Stammzell-Transplantationen: Prof. Dr. T. Klingebiel, Klinik für Kinderheilkunde III, Goethe Universität Frankfurt a. Main; Theodor Stern Kai 7, 60590 Frankfurt
- Onkogenetisches Labor; Prof. Dr. J. Harbott; Universitäts-Kinderklinik; Pädiatrische Hämatologie und Onkologie; Feulgenstr. 12; 35385 Gießen
- Untersuchung der Immunantwort auf das ALCL, Karen Pulford, Nuffield Department of Clinical Laboratory Sciences, Room 4A11, Level 4, Academic block, John Radcliffe Hospital, Headington, Oxford, OX3 9DU, U.K.

Dies kann nur geschehen, wenn Sie die behandelnden Ärzte zu diesem Zweck von der Schweigepflicht entbinden.

#### Notfalladresse und Leiter der Therapiestudie

Der verantwortliche Leiter dieser Studie ist Herr Prof. A. Reiter, Zentrum für Kinderheilkunde und Jugendmedizin, Abteilung Hämatologie und Onkologie, Universitätsklinikum Gießen, Feulgenstr. 12, 35385 Gießen.

Verantwortlich für Ihre/die Betreuung Ihres Kindes ist die/der Leiter/in Ihres Transplantationszentrums. Sie sollten Ihr/Ihm alle auftretenden Komplikationen und Probleme sofort mitteilen. Während der Behandlung auftretende Fragen sollten Sie mit Ihrem behandelnden Arzt klären.

#### Begleituntersuchungen

Bereits bei der Aufklärung bei Beginn Ihrer Behandlung/der Behandlung Ihres Kindes wurden Sie über die begleitenden Forschungsprojekte, die im Rahmen dieser Studie durchgeführt werden, informiert. Da möglicherweise die Klinik, in der die Blutstammzelltransplantation durchgeführt wird, nicht mit Ihrer primär behandelnden Klinik übereinstimmt, werden Sie hier noch einmal über diese Untersuchungen informiert, die auch speziell im Rahmen der Blutstammzelltransplantation durchgeführt werden.

Um neue Erkenntnisse zur weiteren Verbesserung der Behandlung von Patienten mit Rückfall eines großzellig anaplastischen Lymphoms in der Zukunft zu erlangen, werden mehrere begleitende Untersuchungen und Forschungsprojekte im Zusammenhang mit der Therapieoptimierungsstudie ALCL-Relapse durchgeführt. Zu festgelegten Zeitpunkten bitten wir Sie/Ihr Kind, an den Tagen der vorgeschriebenen Kontroll-Knochenmarkpunktionen (vor Transplantation, Tag +28/+60/+100, 6 Monate und 1 Jahr nach Blutstammzelltransplantation) Blut und Knochenmark zur Verfügung zu stellen. Es wird daraus das Verhältnis von Spenderund Empfängerzellen im Blut und Knochenmark ("Chimärismus") untersucht und die

Konzentration eventuell noch vorhandener restlicher Lymphomzellen (minimale Resterkrankung oder MRD, dies kann nur durchgeführt werden, wenn Ihre Lymphomzellen/die Lymphomzellen Ihres Kindes einen geeigneten Marker aufweisen) bestimmt . Zusätzlich wird untersucht, ob spezifische Antikörper und Immun-Abwehrzellen gegen Lymphomzellen nachweisbar sind und welche Konzentration diese aufweisen.

Sie werden/Ihr Kind wird davon keinen direkten persönlichen Nutzen haben, da von keinem dieser Parameter bekannt ist, ob und welche Bedeutung er für Erfolg oder Misserfolg der Behandlung hat. Vielmehr soll in dieser Studie untersucht werden ob und wenn ja, welche Bedeutung ihnen für Erfolg oder Misserfolg der Behandlung zukommt. Bevor diesbezügliche Erkenntnisse gesichert vorliegen, sind Veränderungen der Therapieführung auf der Basis solcher Befunde nicht nur unwissenschaftlich, sondern können für den Patienten sogar eine Gefahr darstellen. Deshalb werden die Ergebnisse der Einzeluntersuchungen nicht mitgeteilt, sondern gesammelt ausgewertet werden. Die Ergebnisse dieser Untersuchung können jedoch für zukünftige Patienten möglicherweise von großer Bedeutung sein. Deshalb sind wir Ihnen sehr dankbar, wenn Sie/Ihr Kind einwilligen, für diese Untersuchungen Material (zusätzlich jeweils 10 ml Knochenmark und 15 ml Blut) zur Verfügung zu stellen. Die Entnahme dieses Knochenmarkbluts erfolgt bei einer Punktion, die für Sie/Ihr Kind ohnehin nötig wäre und für die Steuerung der Therapie nach Blutstammzelltransplantation routinemäßig durchgeführt wird.

Eventuell ergeben sich entsprechend dem raschen wissenschaftlichen Fortschritt weitere wichtige Fragestellungen mit Bedeutung zum Wesen und zur besseren Behandlung Ihrer Erkrankung/der Erkrankung Ihres Kindes. Sofern Sie Ihr Einverständis geben, wird deshalb restliches Material, das für die vorgesehenen Untersuchungen nicht verbraucht wird, in dem zentralen Materialaufarbeitungs-Labor in der Studienzentrale in Gießen gelagert und für zukünftige wichtige Forschungsprojekte zur Verfügung gestellt. Sofern diese Untersuchungen an anderen als den oben angeführten Institutionen durchgeführt werden und zur sinnvollen Auswertung eine Verbindung mit weiteren Daten der Erkrankung, der Therapie und des Verlaufs sowie Daten zu Alter oder Geschlecht erfoderlich ist, werden diese ausschließlich in vollständig anonymisierter Form unter voller Beachtung der Vorschriften des Datenschutzes weitergegeben.

Abschließend möchten wir Sie bitten, der Teilnahme an dieser Studie zuzustimmen, und hierdurch einen wichtigen Beitrag zum Fortschritt im Kampf gegen Krebs zu leisten.

# Einwilligungserklärung zur allogenen Blutstammzelltransplantation im Rahmen der Therapiestudie ALCL-Relapse

Therapieprotokoll zur Behandlung rezidivierter großzellig anaplastischer Lymphome im Kindesund Jugendalter; internationale Multicenter-Studie der Europäischen Studiengruppen-Kooperation zur Behandlung von NHL bei Kindern (EICNHL)

Name des Patienter	1:
Ich bin/wir sind durc	h den/die behandelnde/n Arzt/Ärztin
unseres Kindes, Blutstammzelltransp Wirkungen (Wirksar genügend Zeit für ei im Rahmen der The	über Diagnose und Verlauf meiner Erkrankung/der Erkrankung ferner über Wesen, Bedeutung und Tragweite der olantation aufgeklärt und eingehend über die bekannten und zu erwartenden mkeit), Nebenwirkungen sowie Risiken informiert worden. Mir/Uns wurde eine Entscheidung über die Teilnahme an der Blutstammzelltransplantation rapieoptimierungsstudie eingeräumt. hführung einer allogenen Blutstamzelltransplantation und der dafür motherapie und ggf. Ganzkörperbestrahlung bei mir/bei unserem Kind ch/uns einverstanden. Ich/wir behalte/n mir/uns jedoch vor, jederzeit ohne en und ohne dass mir/unserem Kind daraus Nachteile entstehen, aus der L-Relapse auszuscheiden. Ich bin/wir sind uns jedoch bewusst, dass nach nierung zur Blutstammzeltransplantation diese anschließend vollständig zu n muß.  damit einverstanden, dass Knochenmarkblut und peripheres Blut für eke in den autorisierten Stellen tiefgefroren gelagert wird. Suchungen werden entsprechend den Bedingungen des gesetzes und der geltenden EU-Richtlinien dokumentiert. Patientendaten raulich behandelt und ohne Angabe eines Namens/des Namens unseres en und ausgewertet. Zur Kontrolle der Prüfergebnisse kann ein Vergleich erforderlich werden. Sche willige ich ein, dass zur Verschwiegenheit verpflichtete Mitarbeiter von lere autorisierte Personen Einblick in meine Patientenunterlagen nehmen kläre/n ich/wir uns damit einverstanden, dass von mir/meinem Kind (Name, Geburtsdatum, Wohnort) und medizinische Daten (Diagnose mit abordaten usw.) gespeichert bzw. an folgende Zentren emzelltransplantationsregister, Frankfurt
übermittelt werden	und entbinde die behandelnden Ärzte zu diesem Zweck von der e Kopie der Patienteninformation und dieser Einverständniserklärung wurde
Ort, Datum	Patientenunterschrift
Ort, Datum	Unterschrift der sorgeberechtigten Personen
_	s ich obengenannten Patienten/die Sorgeberechtigten, der/die sein/Ihr ie Teilnahme gegeben hat/haben, bezüglich Zweck, Nutzen, Art und Risiken t habe.
Ort, Datum	Name des/der behandelnden Arztes/Ärztin Tel. Unterschrift des/der Arztes/Ärztin

#### **PATIENTENAUFKLÄRUNG**

zur Therapiestudie ALCL-Relapse

Therapieprotokoll zur Behandlung rezidivierter großzellig anaplastischer Lymphome im Kindesund Jugendalter; internationale Multicenter-Studie der Europäischen Studiengruppen-Kooperation zur Behandlung von NHL bei Kindern (EICNHL)

für die <u>autologe Blutstammzelltransplantation</u> bei Kindern und Jugendlichen mit Rückfall eines großzellig anaplastischen Lymphoms

Liebe Patienten, liebe Eltern,

Sie haben beim Beginn der Behandlung des Rückfalls Ihrer Erkrankung/der Erkrankung Ihres Kindes nach entsprechender Aufklärung eingewilligt, dass Sie/Ihr Kind im Rahmen der Therapiestudie ALCL-Relapse behandelt werden/wird. Auf Grund des Zeitpunktes des Auftretens des Rückfalls gehört zu Ihrem Behandlungsplan/zum Behandlungsplan Ihres Kindes die Durchführung einer sogenannten autologen Blutstammzelltransplantation. Über diesen speziellen Behandlungsteil werden Sie im Folgenden genauer informiert.

#### Einführung

Nach heutigem Wissenstand kann mit einer sehr intensiven Chemotherapie bei vielen Patienten das Wiederauftreten der Erkrankung verhindert werden. Dabei kann es aber zu einer irreversiblen Schädigung des Knochenmarkes kommen. Deshalb werden vor dieser Chemotherapie Blutstammzellen aus dem Blut oder Knochenmark des Patienten entnommen, gelagert und nach der Behandlung des Patienten wieder als Infusion zurückgegeben. Dadurch kann sich die Blutbildung im Knochenmark neu aufbauen.

Außer den unter "Begleituntersuchungen" erwähnten zusätzlichen Maßnahmen, werden nur die für die Transplantation ohnehin notwendigen Maßnahmen durchgeführt.

#### Wissenschaftlicher Hintergrund

Die bisherigen Erfahrungen bei Kindern und Jugendlichen mit Rückfall eines großzellig anaplastischen Lymphoms haben gezeigt, dass die individuelle Heilungschance der Patienten bzw. das individuelle Risiko, dass die Krankheit nach einer Rückfallbehandlung erneut auftritt, sehr unterschiedlich ist. Erste Beobachtungen deuten darauf hin, dass Patienten, deren Lymphomzellen ein spezielles immunologisches Merkmal, das CD3 Antigen nicht tragen und die innerhalb von 12 Monaten nach Beginn der Erstbehandlung einen Rückfall des Lymphomes erlitten haben, mit einer intensiven Chemotherapie gefolgt von einer sogenannten autologen Blutstammzelltransplantation, d.h. der Rück-Übertragung eigener, vorher gewonnener Blut-Stammzellen bessere Chancen haben, von der Erkrankung geheilt zu werden.

Es gibt Hinweise, dass für Patienten, deren Lymphomzellen das CD3 Antigen tragen, die allogene Blutstammzelltransplantation mit der Übertragung von Blutstammzellen eines Familien- oder Fremdspenders, die optimale Behandlung darstellt. Falls jedoch kein sehr gut passender Familien- oder Fremdspender zur Verfügung stehen sollte, stellt die Blutstammzelltransplantation mit einem nicht optimalen Spender ein höheres Risiko dar. Dieses erhöhte Risiko sollte den Patienten primär nicht zugemutet werden, da in diesem Fall die autologe Blutstammzelltransplantation, d.h. die Rück-Übertragung eigener, vorher gewonnener Blut-Stammzellen nach intensiver Chemotherapie eine aussichtsreiche Therapiemöglichkeit darstellt. Dieses Vorgehen wird von Beobachtungen gestützt, dass die Patienten im Falle eines erneuten Rückfalls nach autologer Blutstammzelltransplantation noch einer allogenen Blutstammzelltransplantation zugeführt werden könnten.

Durchführung, Risiken und Nebenwirkungen der allogenen Stammzelltransplantation Im folgenden werden die Durchführung der allogenen SZT und die möglicherweise auftretenden Komplikationen sowie eventuelle Spätfolgen beschrieben.

#### Ablauf der SZT und Komplikationen

Der Termin für die Blutstammzelltransplantation wird unter Berücksichtigung der notwendigen Lymphombehandlung (Re-Induktion), der Maßnahmen zur Gewinnung der Stammzellen und der notwendigen Therapie vor und während der Transplantation vereinbart. Manchmal kann es zu Verschiebungen der Termine kommen; in diesem Fall wird eventuell eine weitere Chemotherapie notwendig. In der Vorbereitungsphase zur Blutstammzelltransplantation werden verschiedene Blutuntersuchungen und andere diagnostische Maßnahmen durchgeführt. Eventuell wird zu diesem Zeitpunkt - falls nicht bereits erfolgt - auch ein Dauerverweilkatheter für Infusionen und Blutabnahmen implantiert. Die stationäre Aufnahme erfolgt spätestens zum Zeitpunkt der Konditionierung. Die Aufenthaltsdauer im Isolierzimmer richtet sich nach dem Anwachsen der Stammzellen und den Komplikationen, die sich nach der Transplantation eventuell ergeben.

### Gewinnung und Lagerung der autologen Blutstammzellen

Zur Gewinnung der Blutstammzellen erhalten Sie/Ihr Kind jeweils im Anschluss an die Chemotherapiekurse der Re-Induktion einen Wachstumsfaktor, der das Wachstum von Blutstammzellen im Knochenmark und die Ausschwemmung dieser Zellen ins Blut fördert. Wenn eine gewisse Zahl an Blutstammzellen im Blut nachgewiesen werden kann, werden die Stammzellen entweder aus dem Blut gesammelt oder durch die Punktion von Knochenmark gewonnen.

### Chemotherapie

Zunächst erfolgt eine sehr intensive Chemotherapie mit dem Ziel, alle noch vorhandenen Lymphomzellen abzutöten. Im Anschluß daran erfolgt die Rückgabe der autologen Stammzellen. Mit der Chemotherapie können folgende Nebenwirkungen verbunden sein:

Übelkeit, Erbrechen, Mundschleimhautentzündung, Speicheldrüsenentzündung, Haarausfall, Einschränkung der Nierenfunktion, Leberveränderungen, Blasenentzündung, in seltenen Fällen auch akute Herz- und Lungenprobleme. Die körpereigene Produktion von roten Blutkörperchen (notwendig für den Sauerstofftransport) und von Blutplättchen (notwendig für die Blutgerinnung) wird unterbrochen; daher müssen diese Blutbestandteile über unterschiedlich lange Zeiträume in Form von Bluttransfusionen zugeführt werden. Trotzdem kann es in seltenen Fällen zu lebensbedrohlichen Organblutungen kommen. Die Therapie verursacht aber auch eine erhebliche Abwehrschwäche gegen alle Arten von Infektionen (Bakterien, Viren, Pilze, Parasiten). Diese Infektionen können in seltenen Fällen unbeherrschbar sein und tödlich verlaufen.

#### Komplikationen der SZT:

Bei Infektionen oder langsamem Anwachsen der transplantierten Stammzellen kann es notwendig werden, Wachstumsfaktoren für Blutzellen einzusetzen, die diesen Vorgang beschleunigen.

Nach erfolgreicher SZT ist das Abwehrsystem des Patienten noch längere Zeit beeinträchtigt und macht Vorsichts- und Isoliermaßnahmen für den Patienten auch nach der Entlassung aus der Transplantationseinheit notwendig.

#### Mögliche Spätfolgen einer SZT:

Zu den Spätfolgen nach SZT zählen die Komplikationen nach zytostatischer Chemotherapie (z.B.: bleibende Unfruchtbarkeit, verzögertes Längenwachstum, Hormonminderproduktionen, Gefahr von zweiten Krebserkrankungen, Einschränkungen der Organfunktion), sowie Komplikationen durch Infektionen (virusbedingte Leberveränderungen, Lungenveränderungen, u.a.).

Auch durch die autologe SZT ist ein Rückfall der Lymphomkrankheit nicht immer zu verhindern.

#### <u>Schwangerschaft:</u>

Vor Beginn der Konditionierung muss eine Schwangerschaft ausgeschlossen werden.

#### **Alternative Therapie**

Die prinzipielle Alternative zur einer SZT ist die Fortsetzung der Chemotherapie in der Form, wie sie bisher bei Ihnen/Ihrem Kind begonnen wurde. Die Analysen der letzten Jahre deuten allerdings darauf hin, dass die autologe SZT die Chance erhöht, dass kein weiterer Rückfall der Erkrankung auftritt.

#### **Datendokumentation und Vertraulichkeit**

Zur Therapiestudie ALCL-Relapse gehört auch eine Dokumentation der erfolgten Therapie und der Nebenwirkungen. Darüber wurden Sie bereits bei Beginn Ihrer Behandlung informiert und Sie wurden um Einwilligung zur Weitergabe der Daten entsprechend den Bedingungen des Bundesdatenschutzgesetzes und nach den geltenden EU-Richtlinien an bestimmte Ihnen genannte Stellen gebeten.

Für die Blutstammzelltransplantation gibt es spezielle Register, national und international, in welche die Transplantationszentren aufgefordert sind, bestimmte Daten zur Durchführung und zum Verlauf von Blutstammzelltransplantationen zu melden. Zum einen stellt dies ein Kontrollinstrument für die Qualität der Arbeit der Transplantationszentren dar. Zum anderen können anhand der großen Patientenzahlen in dem Register übergeordnete wissenschaftliche Fragestellungen untersucht werden, die für die Verbesserung der Wirksamkeit der Transplantation und der Sicherheit der Patienten von großer Bedeutung sind.

Klinische Untersuchungen werden entsprechend den Bedingungen des Bundesdatenschutzgesetzes und nach den geltenden EU-Richtlinien dokumentiert. Patientendaten werden streng vertraulich behandelt und ohne Angabe der Patientennamen anonymisiert an Register innerhalb des Landes, aber auch an übergeordnete Register (European Group for Blood and Marrow Transplantation und International Bone Marrow Transplant Registry) weitergegeben und ausgewertet.

Zur Kontrolle der Prüfergebnisse kann ein Vergleich der Krankheitsdaten erforderlich werden. Zu diesem Zwecke ist es notwendig, dass zur Verschwiegenheit verpflichtete Mitarbeiter von Behörden oder andere autorisierte Personen Einblick in die Patientenunterlagen nehmen können. Von Ihnen/Ihrem Kind sollen personenbezogene (Name, Geburtsdatum, Wohnort) und medizinische Daten (Diagnose mit Befunderhebung, Labordaten usw.) gespeichert bzw. an folgende Zentren übermittelt werden:

- Studienzentrale NHL-BFM; Studienleiter: Prof. Dr. A. Reiter; Universitätsklinikum Gießen; Universitäts-Kinderklinik; Pädiatrische Hämatologie und Onkologie; Feulgenstr. 12; 35385 Gießen
- Pädiatrisches Register für Stammzell-Transplantationen: Prof. Dr. T. Klingebiel, Klinik für Kinderheilkunde III, Goethe Universität Frankfurt a. Main; Theodor Stern Kai 7, 60590 Frankfurt
- Onkogenetisches Labor; Prof. Dr. J. Harbott; Universitäts-Kinderklinik; Pädiatrische Hämatologie und Onkologie; Feulgenstr. 12; 35385 Gießen
- Untersuchung der Immunantwort auf das ALCL, Karen Pulford, Nuffield Department of Clinical Laboratory Sciences, Room 4A11, Level 4, Academic block, John Radcliffe Hospital, Headington, Oxford, OX3 9DU, U.K.

Dies kann nur geschehen, wenn Sie die behandelnden Ärzte zu diesem Zweck von der Schweigepflicht entbinden.

### Notfalladresse und Leiter der Therapiestudie

Der verantwortliche Leiter dieser Studie ist Herr Prof. A. Reiter, Zentrum für Kinderheilkunde und Jugendmedizin, Abteilung Hämatologie und Onkologie, Universitätsklinikum Gießen, Feulgenstr. 12, 35385 Gießen.

Verantwortlich für Ihre/die Betreuung Ihres Kindes ist die/der Leiter/in Ihres Transplantationszentrums. Sie sollten Ihr/Ihm alle auftretenden Komplikationen und Probleme sofort mitteilen. Während der Behandlung auftretende Fragen sollten Sie mit Ihrem behandelnden Arzt klären.

#### Begleituntersuchungen

Bereits bei der Aufklärung bei Beginn Ihrer Behandlung/der Behandlung Ihres Kindes wurden Sie über die begleitenden Forschungsprojekte, die im Rahmen dieser Studie durchgeführt werden informiert. Da möglicherweise die Klinik in der die Blutstammzeltransplantation durchgeführt wird, nicht mit Ihrer primär behandelnden Klinik übereinstimmt, werden Sie hier noch einmal über diese Untersuchungen informiert, die auch speziell im Rahmen der Blutstammzelltransplantation durchgeführt werden.

Um neue Erkenntnisse zur weiteren Verbesserung der Behandlung von Patienten mit Rückfall eines großzellig anaplastischen Lymphoms in der Zukunft zu erlangen, werden mehrere begleitende Untersuchungen und Forschungsprojekte im Zusammenhang mit der Therapieoptimierungsstudie ALCL-Relapse durchgeführt. Zu festgelegten Zeitpunkten bitten wir Sie/Ihr Kind, an den Tagen der vorgeschriebenen Kontroll-Knochenmarkpunktionen (vor Transplantation, Tag +28/+60/+100, 6 Monate und 1 Jahr nach Blutstammzelltransplantation) Blut und Knochenmark zur Verfügung zu stellen. Es wird damit die Konzentration eventuell noch vorhandener restlicher Lymphomzellen (minimale Resterkrankung oder MRD, dies kann nur durchgeführt werden, wenn Ihre Lymphomzellen/die Lymphomzellen Ihres Kindes einen geeigneten Marker aufweisen) bestimmt und untersucht, ob spezifische Antikörper und Immun-Abwehrzellen gegen Lymphomzellen nachweisbar sind und in welcher Konzentration.

Sie werden/Ihr Kind wird davon keinen direkten persönlichen Nutzen haben, da von keinem dieser Parameter bekannt ist, ob und welche Bedeutung er für Erfolg oder Misserfolg der Behandlung hat. Vielmehr soll in dieser Studie untersucht werden ob und wenn ja, welche Bedeutung ihnen für Erfolg oder Misserfolg der Behandlung zukommt. Bevor diesbezügliche Erkenntnisse gesichert vorliegen, sind Veränderungen der Therapieführung auf der Basis solcher Befunde nicht nur unwissenschaftlich, sondern können für den Patienten sogar eine Gefahr darstellen. Deshalb werden die Ergebnisse der Einzeluntersuchungen nicht mitgeteilt, sondern gesammelt ausgewertet werden. Die Ergebnisse dieser Untersuchung können jedoch für zukünftige Patienten möglicherweise von großer Bedeutung sein. Deshalb sind wir Ihnen sehr dankbar, wenn Sie/Ihr Kind einwilligen, für diese Untersuchungen Material (zusätzlich jeweils 10 ml Knochenmark und 15 ml Blut) zur Verfügung zu stellen. Die Entnahme dieses Knochenmarkbluts erfolgt bei einer Punktion, die für Sie/Ihr Kind ohnehin erfolgen würde und für die Steuerung der Therapie nach Blutstammzelltransplantation routinemäßig erfolgt.

Eventuell ergeben sich entsprechend dem raschen wissenschaftlichen Fortschritt weitere wichtige Fragestellungen mit Bedeutung zum Wesen und zur besseren Behandlung Ihrer Erkrankung/der Erkrankung Ihres Kindes. Sofern Sie Ihr Einverständis geben, wird deshalb restliches Material, das für die vorgesehenen Untersuchungen nicht verbraucht wird, in dem zentralen Materialaufarbeitungs-Labor in der Studienzentrale in Gießen gelagert und für zukünftige wichtige Forschungsprojekte zur Verfügung gestellt. Sofern diese Untersuchungen an anderen als den oben angeführten Institutionen durchgeführt werden und zur sinnvollen Auswertung eine Verbindung mit weiteren Daten der Erkrankung, der Therapie und des Verlaufs sowie Daten zu Alter, Geschlecht erfoderlich ist, werden diese ausschließlich in vollständig anonymisierter Form unter voller Beachtung der Vorschriften des Datenschutzes weitergegeben.

Abschließend möchten wir Sie bitten, der Teilnahme an dieser Studie zuzustimmen, und hierdurch einen wichtigen Beitrag zum Fortschritt im Kampf gegen Krebs zu leisten.

# Einwilligungserklärung zur autologen Blutstammzelltransplantation im Rahmen der Therapiestudie ALCL-Relapse

Therapieprotokoll zur Behandlung rezidivierter großzellig anaplastischer Lymphome im Kindesund Jugendalter; internationale Multicenter-Studie der Europäischen Studiengruppen-Kooperation zur Behandlung von NHL bei Kindern (EICNHL)

Name des Patienten:
Geburtsdatum:
ch bin/wir sind durch den/die behandelnde/n Arzt/Ärztin
am
Onkogenetisches Labor, Gießen
☐ Labor zur Untersuchung der Immunantwort, Oxford  übermittelt werden und entbinde die behandelnden Ärzte zu diesem Zweck von der  Schweigepflicht. Eine Kopie der Patienteninformation und dieser Einverständniserklärung wurde  mir/uns übergeben.
Ort, Datum Patientenunterschrift
Ort, Datum Unterschrift der sorgeberechtigten Personen
ch bestätige, dass ich obengenannten Patienten/die Sorgeberechtigten, der/die sein/Ihr Einverständnis für die Teilnahme gegeben hat/haben, bezüglich Zweck, Nutzen, Art und Risiken der Studie aufgeklärt habe.
Ort, Datum Name des/der behandelnden Arztes/Ärztin Tel. Unterschrift des/der Arztes/Ärztin

### 18.6 Documentation forms

Documentation overview	96
Registration	97
Diagnosis of Relapse	98
Sc-donor selection	101
Announcement of SCT	102
Treatment documentation (Courses CC, CVA, ICM, ICI)	103
Acute Toxicity and Adverse Events during / after reinduction	107
Treatment documentation: VBL for 24 months	108
Allograft	109
Autograft	123
Follow up – SCT	134
Follow up – Vinblastine	142
Events	143
Serious Adverse Events (SAE)	144
Histopathological and immunohistochemical review	145
Genetics	147

96 Documentation forms ALCL-Relapse

Version: April 2004

Therapy Study ALCL-Relapse
Studienleitung: Prof. Dr. A. Reiter, Universitäts-Klinikum Gießen, Kinderklinik, Päd. Hämatologie und Onkologie, Feulgenstr. 12, 35385 Gießen, Tel.: 0641 - 99-43627 (Studienzentrale); -43626 (Studiendokumentation); Fax: 0641 - 9943629

### **Documentation overview** (for use in the treating centre) Surname (or initial): First name (or initial): Date of birth: |\_\_|\_|.|\_\_|. (dd mm yy) Registration number: | | | | | (if known)

form	time point	sent (from the hospital to the national data centre)	date (dd/mm/yy)
In general			
Registration	after diagnosis of relapse		
Diagnosis of Relapse			
Sc-donor selection (only for patients with relapse during frontline treatment or CD3 initially positive)	during Re-induction chemotherapy		
Announcement of SCT (if SCT is planned)			
Treatment documentation	after end of reinduction		
<ul> <li>Acute Toxicity and Adverse Event during / after reinduction</li> </ul>			
(if reinduction treatment was done)			
Treatment documentation: VBL for 24 months (if VBL treatment was done)	every 12 weeks		
Histopathological and Immunohistochemical Review (to be filled in by the reference pathology centre)	as soon as possible		
Genetics (if done)	as soon as possible		
<ul><li> Allograft</li><li> Autograft</li></ul>	day +100		
• Follow up – SCT	1, 2, 3, 4 etc years after SCT (or if patient died)		
• Follow up – VBL	1, 2, 3, 4 etc years after diagnosis of relapse		
If necessary			
SAE form	within 48 h after occurence		
Event form	within 14 days after occurence		

Version: April 2004

# Therapy Study ALCL-Relapse

35385 Gießen, Tel.: 0641 - 9					ISII. IZ,
All patients who fulfil the registr		stration ered in the study, re	egardless whether they a	re eligib	le for
trial's result evaluation. The					
Surname (or initial):	<del></del>	First name (or	initial):		
Date of birth:   _ .   (age < 22 years)	.   (dd mm yy)	sex: 🗖 male	☐ female		
Please note: before sending this for	m to the responsible da	ta centre, the inform	ned consent for data excha	nge, dig	ital data
storage a	nd data processing mus	st be signed by patie	ent / guardian(s)		
Eligibility to the study					
<ul> <li>Progression or relapse of histomorphological and/o</li> </ul>				□ no	□ <u>yes</u>
<ul> <li>Slides of relapsed lympho cytomorphological review</li> </ul>		ational/internati	onal pathological and		□ <u>yes</u>
<ul> <li>Signed informed consent</li> </ul>	for participation in	the study ALCL	-Relapse?	☐ no	☐ <u>yes</u>
<ul> <li>For female patients: No e</li> </ul>	vidence for pregna	ancy or lactation	period and assured		
contraception?					□ <u>yes</u> □ yes
Simultaneous participation in another clinical study?  If "yes": which clinical study					
<ul> <li>1<sup>st</sup> relapse of ALCL?</li> </ul>	?			☐ no	□ <u>yes</u>
if no, (subsequent relaps	•		Number of re	lapse	
<ul> <li>significant pre-treatment t</li> </ul>	•	_			☐ yes
adequate hepatic, renal a	and cardiac function	า?			□ <u>yes</u>
HIV infection or AIDS?	_				☐ yes
<ul> <li>severe immunodeficiency if "yes": specify:</li> </ul>				□ <u>no</u> _	☐ yes
<ul> <li>previous organ transplant if "yes": specify:</li> </ul>			· · · · · · · · · · · · · · · · · · ·	□ <u>no</u> _	☐ yes
<ul> <li>Previous malignancy prio if "yes": specify:</li> </ul>	r to the ALCL?			□ <u>no</u> -	☐ yes
<ul> <li>Other pre-existing diseas if "yes": specify:</li> </ul>	e prohibiting therap	•	ction of the protocol?	□ <u>no</u> -	☐ yes
<ul> <li>pre-condition prohibiting t protocol (see page 43)? if "yes": specify:</li> </ul>	-	-		□ <u>no</u> -	☐ yes
Study Group:		Treating centr	e:		
Responsible physician:					
Phone:		Fax:		<del>-</del>	
Hospital-Stamp	Date (dd mm yy)	Name (in b	lock letters)	Signatu	re

98 Documentation forms ALCL-Relapse

Version: April 2004

Therapy Study ALCL-Relapse
Studienleitung: Prof. Dr. A. Reiter, Universitäts-Klinikum Gießen, Kinderklinik, Päd. Hämatologie und Onkologie, Feulgenstr. 12,

35385 Gie			-43626 (Studiendokumentation); Fax: 0641 - 9943629			
Currence (existint)	<del>_</del>		apse (page 1/3).			
Surname (or initial): _			name (or initial):			
Date of birth:       .	.  . _  (dd mm yy) re sending this form to the responsible	-	stration number:			
r lease flote. Before			be signed by patient / guardian(s)			
General condition	at diagnosis of relapse:					
Score	Karnofsky Description (patients older than 16 years)		Lansky Description (Patients younger than 16 years)			
	no complaints, no evidence of disease.		Fully active, normal.			
sympton	carry on normal activity; minor signs or ms of disease.		Minor restrictions in physically strenuous activity.			
disease.	activity with effort; some signs or sympto	oms of	Active, but tires more quickly.			
70% Cares for active w	or self, unable to carry on normal activity vork.	or do	Both greater restriction of and less time spent in play activity.			
	es occasional assistance, but is able to c his/her needs	are for	Up and around, but minimal active play, keeps busy with quieter activities.			
50% Requires medical	es considerable assistance and frequent l care.		Gets dressed, but lies around much of the day; no active play; able to participate in all quiet play and activities.			
	d, requires special care and assistance. y disabled, hospitalisation indicated. Dea	ath	Mostly in bed; participates in quiet activities.			
not imm	• •	In bed; needs assistance even for quiet play.  Often sleeping; play entirely limited to very passive				
imminer		activities.  no play; does not get out of bed.				
General signs: loss in weight (> 1 fever (> 38°C for a night sweat	10% in last 6 months) at least 7 days)	no	yes			
•	<b>se</b> : Number of relapse 🗖 1 <sup>st</sup>	☐ 2 <sup>nd</sup>	□ 3 <sup>rd</sup> □ 4 <sup>th</sup>    <sup>th</sup> relapse			
, ,	□ no □ yes: bone marrow ascites pleural effusion pericardial effusion	no	yes			
, , ,	no fine-needle biops	y	☐ biopsy ☐ (partial-) resection			
Date of diagnostic	surgery / puncture:   _ .	.  _	(dd mm yy)			
,		ets (x 10	<sup>9</sup> /l):   _  Hb (g/l):   _			
CSF: nucleated of erythrocyte	n bone marrow aspirates (%):   cells /µl CSF:   _ _  es /µl CSF:   _ _  -cells /µl CSF   _	_	☐ not done			
LDH: Measuring unit of LD	DH: U/L µmol/sl other measuring unit:		⇒ translation in U/L with $\Box$ x 30 $\Box$ x 60 ⇒ translation in U/L with:			
	of LDH before start of therapy: per normal limit according to age:	_   _	,			

Version: April 2004 Therapy Study ALCL-Relapse																		
Diagnosis of Relapse (page 2/3).																		
Surname (or initial): First name (or initial):																		
Date of birth:   _ . _ . _  (d	d mm	yy)			Reg	istra	tion r	numb	oer:	_ _	_		_  (if	knov	vn)			
Monitoring of Minimal Residual Disease (MRD)  Cytogenetic or MRD marker available from frontline therapy:  □ not done □ no □ yes, state which and please enclose copy of each diagnostic finding:  □ laboratory: □																		
marker.										aboi	atory	/·						
Local diagnosis / on-site findings:  • local Histology: (Please send a copy of the report to the responsible data centre!)  • local Cytomorphology: (Please send a copy of the report other diagnosis: (Please send a copy of the report other diagnosis: (Please send a copy of the report other diagnosis: (Please send a copy of the report other diagnosis:																		
to the responsible data centre!)	□ n																	_
Reference diagnosis of relapsed ALCL:  Reference histology initiated:																		
		clinica amina		ult	rasou	ınd		x-ray		С	T / MF	રા	PET	(optio	onal)		tolog rphol	
Manifestations of relapsed ALCL	Ple	ase ti	ck th	ne app	propi	riate I	box 1	for <u>ea</u>	ach e	xami	natio	n an	d for	each	local	isatio indin	n, ev	en if
	not tested		+	not		+	not		+	not tested		+	not tested		+	not tested	- -	+
BONE MARROW	lestet	4		testec	•		tested			tested			testeu			Lesteu		
CNS: tumour intra-cerebral																		
intra- medullary CNS: cerebral nerve palsy																		
CNS: lymphoma-cells in CSF		_	_															
PERIPHERAL LYMPH NODES (LN) LN cervical, submandibular, nuchal LN supra- / infraclavicular / axillary LN inguinal other peripheral LN																		
HEAD AND NECK		_			_						_			_			_	
area of ear, nose and throat (ENT) other manifestation(s) of head and neck				0						0	<u></u>			<u></u>		0		ū
THORAX mediastinum pleura / pleural effusion pericard / pericardial effusion lung other thoracal manifestation(s)																		
ABDOMEN																		
ascites bowel liver spleen kidney(s)  unilateral bilateral abdominal LN other abdominal manifestation(s)																		
THER LOCALISATIONS  testis/ovary/adnexa □ unilateral □ bilateral  soft tissue □ unilocular □ multilocular  bone(s) □ unilocular □ multilocular  bone scan: □ not tested □ neg. □ pos.						0												
epidural other localisation(s):		0	0		<u> </u>	<u> </u>		<u> </u>										

Documentation forms ALCL-Relapse

Version: April 2004 Therapy Study ALCL-Relapse							
Diagnosis of Relapse (page 3/3).							
Surname (or initial): First name (or initial):							
Date of birth:       .     (dd mm yy) Registration number:         (if known)							
Skin involvement: ☐ no ☐ yes: please give further information:							
type of skin lesions: $\square$ maculo-papular lesions $\square$ ulcerative lesions $\square$ other:							
histology of skin lesions: ☐ not done ☐ negative ☐ positive							
only skin lesions overlying an involved node or soft tissue mass ☐ no ☐ yes							
history / anamnesis of Ichthyosis:							
Stage (Murphy/St. Jude): ☐ I ☐ II ☐ III ☐ IV							
Stage (Ann Arbor):   B-symptoms  III IIII IIII IIII IIIII IIIII IIIIIII							
Frontline treatment (to be filled in only at diagnosis of 1 <sup>st</sup> relapse):							
Date of first diagnosis of ALCL:   . _ . _  (dd mm yy)							
CD3 (immunohistochemical / immunological) in first diagnosis of ALCL: $\Box$ not done $\Box$ negative $\Box$ positive							
Date of the end of intensive treatment of first ALCL:   _ .  . _  (dd mm yy)  □ not applicable (relapse during the intensive frontline treatment)							
Vinblastine given in frontline treatment?  uno  ves, until							
Treatment of relapsed ALCL							
Date of the beginning of the protocol treatment ("ALCL-Relapse"):                 (dd mm yy)							
Treatment according to protocol ALCL-Relapse  ☐ Arm 1: progression during frontline therapy ☐ Arm 2: relapse after end of frontline therapy; CD3 positive ☐ Arm 3: relapse after end of frontline therapy and ≤ 12 months from diagnosis (or > 12 months from diagnosis, but Vinblastine during frontline therapy), CD3 negative ☐ Arm 4: relapse: > 12 months from diagnosis. CD3 negative, no Vinblastine during frontline therapy.							

Notes:

lacksquare other:

Hospital-Stamp

Date (dd mm yy)

Name (in block letters)

responsible physician

Studienleitung: Pro 35385 Gie	f. Dr. A. Reiter, U	<b>Therapy Study</b> niversitäts-Klinikum Gießen, 99-43627 (Studienzentrale);	ALCL-Relapse Kinderklinik, Päd. Hämatologie und -43626 (Studiendokumentation); Fa.	Onkologie, Feulgenstr. 12, x: 0641 - 9943629
	(to be filled	Sc-donor in only if relapse during	selection frontline treatment or CD3 positive	/e)
Surname (or initia	al):		First name (or initial):	_
Date of birth:	1 1.1 1	.    (dd mm yy)	Registration number:	
	he patient an	d possible family dor		
□ no				
•		ng report:   _	_ (dd/mm/yy)	
results of the far MSD identified:	mily analysis:			
no no	sex:	CMV IgG:		
yes:	□ m	pos		donor 1
	☐ f	∐ neg		
best possible other HLA-Match:	er family dono sex:	r: CMV lgG:		
/ <u>  10  </u>	□ m	pos		donor 2
	. 🗆 w	neg		401101 2
	degree of rela			
	☐ paren			
search for unrel	ated donor in	itiated:		
no no				
		_    _ (dd/mm/yy)		
results of the se best possible unr		nrelated donor:		
HLA-Match:	sex:	CMV lgG:	_	donor 2
/ <u>  10  </u>	☐ m	pos pos		donor 3
	f	neg		
extended family	analysis initi	atea:		
□ no □ yes, date	1 1 11 1	_     (dd/mm/yy)		
results of the ex	tended family			
best possible don		-		
HLA-Match:	sex::	CMV IgG:		
/   10	∐ m □ f	∐ pos □ neg		donor 4
	degree of rela	tionship:		
	☐ aunt/ι ☐ other:			
SCT planed:				
no, since	<ul> <li>no appro</li> </ul>	priate donor available		☐ no ☐ yes
<u> </u>		ng disease:		no yes
		ion of ALCL		☐ no ☐ yes
		f previous treatment: _ ent in SCT		
	other:			no yes
☐ yes, ☐	autologous	_		
	allogeneic:	donor 1		
		donor 2 donor 3		
		donor 4		
estimated date	of SCT:   _	<u>    _ </u> (dd/m	m/yy)	
				_
				<del></del>
Hospital-	Stamp	Date (dd mm yy)	Name (in block letters)	Signature

Version: April 2004

102 Documentation forms ALCL-Relapse

Therapy Study ALCL-Relapse
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35385 Gießen, Tel.: 0641 - 9	9-43627 (Studienzentrale	e); -43626 (Studiendokumentation)	; Fax: 0641 - 9943629
to be fille Please fax this form to the n	ed in by the medical	ment of SCT centre which will perform S and (for BFM Austria and G	
Surname (or initial):		First name (or initial):	
Date of birth:  _ . _	.    (dd mm yy)	Registration number:	(if known)
UPN (for BFM Austria and Germany): primary attending hospital number of relapses prior SCT	İ		
current status of remission	☐ CR ☐ n	ot in CR	
planed SCT	☐ matched siblin	g donor (MSD)	
	☐ 10/10 or 9/10	non-sibling donor	
	☐ mismatched d	onor (MMD)	
	☐ autologous SC	CT	
estimated date of SCT			
Was admitted to our hospital a responsible physician address		_ _	I
telefon	<u> </u>		
fax	l		
e-mail	<u> </u>		
consent for data transfer an  no yes, for national and internatio BFM Austria and Germany:	•		□ IBMT-R

Hospital-Stamp

Date (dd mm yy) Name (in block letters) Signature responsible physician

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Therapy Study ALCL-Relapse
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	Treatmen	t docume	ntation		
Surname (or initial):			ne (or initial):		
Date of birth:        .     .		_	,		
	(dd yy)		on namoor. <u> </u>		
Body weight:   _ ,   kg	Body height:	cm	BSA	:   ,   m²	ı
day	1	2	3	4	5
Dexamethasone 20 mg/m²/d	• • •	• • •	• • •	• • •	• • •
Vindesin 3 mg/m² (max. 5 mg)	•				
Cytarabine (ARA-C) 3 g/m²	• •	• •			
Etoposide (VP-16) 100 mg/m²/d			• •	• •	•
MTX / ARA-C / PRED i.t. age adjusted dosage					•
date	_/_/_	//	//	//	//
Administered dose of cytostatic  Dexamethasone: in the mo  Vindesin:  Cytarabine:  Etoposide:  MTX / ARA-C / PRED i.t.:	rning:   _,        ,   mg   _  mg   _  mg	mg at noon:	,   mg	in the evenir	ng:   <u> </u>  ,  <u> </u>   mg
□ no □ yes					
⇒ please fill in the total dose o	f the cytostatic age	ents:			
Dexamethasone:	_ ,   mg	1			
Vindesin:	,   mg				
Cytarabine:	_  n	ng			
Etoposide:	$ \underline{} \underline{} \underline{} $ mg				
MTX / ARA-C / PRED i.t.:	_/ //	mg			
⇒ please specify the mode of the specific the mode of the specific the mode.	modification and gi	ive the reasons:			
Please fill in a toxicity documentation	on form (see page	107).			
 Hospital-Stamp	Date (dd mm y	 /y) Name	e (in block lett	 ers)	Signature

responsible physician

104 Documentation forms ALCL-Relapse

Version: April 2004

Therapy Study ALCL-Relapse
Studienleitung: Prof. Dr. A. Reiter, Universitäts-Klinikum Gießen, Kinderklinik, Päd. Hämatologie und Onkologie, Feulgenstr. 12, 35385 Gießen, Tel.: 0641 - 99-43627 (Studienzentrale); -43626 (Studiendokumentation); Fax: 0641 - 9943629

, , , , , , , , , , , , , , , , , , , ,		Treatn	nent do	cumen	tation	, , , , , , , , , , , , , , , , , , ,					
Surname (or initial): First name (or initial):											
Date of birth:   _ . _	_ _ .	(dd mm yy)		Registration	number:  _	_	_  (if known)				
Body weight:  _ _ , _  kg Body height:  _ _  cm BSA:  _ , _  m²											
day	1	2	3	4	5	8	15	22			
<b>CCNU</b> 100 mg/m <sup>2</sup> p.o.	•										
Vinblastine 6 mg/m² (maximum single dose 10 mg)	•					•	•	•			
<b>Cytarabine</b> (ARA-C) 100 mg/m <sup>2</sup>	•	•	•	•	•						
date	_/_/_	_/_/_	_/_/_	_/_/_	_/_/_	_/_/_	//	//			
Administered dose of c	ytostatic a	gents (sing	le dose):			_					
date											
Please fill in a toxicity do	cumentatior	n form (see	page 107).								

Hospital-Stamp

Date (dd mm yy)

Signature

Name (in block letters)

Version: April 2004

Therapy Study ALCL-Relapse
Studienleitung: Prof. Dr. A. Reiter, Universitäts-Klinikum Gießen, Kinderklinik, Päd. Hämatologie und Onkologie, Feulgenstr. 12, 35385 Gießen, Tel.: 0641 - 99-43627 (Studienzentrale); -43626 (Studiendokumentation); Fax: 0641 - 9943629

		Treatm		ocume se ICM		on						
Surname (or initial):				First name (or initial):								
Date of birth:      .		Registration number:   _ _  (if known)										
Body weight:   _ , _	_  kg	Body he	ight:   <u>     </u>	cm	cm							
day	1	2	3	4	5	6	7	8	9			
MTX/ARA-C/PRED i.t.	•											
Mitoxantrone 8 mg/m <sup>2</sup>	•	•										
Carboplatin 200mg/m <sup>2</sup>												
Ifosfamide 2 g/m²/d												
Mesna (1) 500 mg/m <sup>2</sup>		•										
<b>Mesna</b> (2) 3 000 mg/m <sup>2</sup> /d												
<b>Hydration</b> 3 000 ml/m <sup>2</sup> /d												
date	_/_/_	_/_/_	_/_/_	_/_/_	_/_/_	_/_/_	_/_/_	//_	_/_/_			
<ul> <li>Ifosfamide:</li> <li>MTX / ARA-C / PRED i</li> <li>Modification of doses? Addi         <ul> <li>no</li> <li>yes</li> <li>please fill in the total</li> <li>Mitoxantrone:</li> <li>Carboplatin:</li> <li>Ifosfamide:</li> <li>MTX / ARA-C / PREI</li> <li>⇒ please specify the m</li> </ul> </li> </ul>	tional or o	omitted cyto  ne cytostati	c agents: ng g   mg  /	_  mg								
Please fill in a toxicity docur	nentation	form (see p	page 107)									
Hospital-Stamp	· · · · · · · · · · · · · · · · · · ·	 Date (dd r	 nm yy)	Name	e (in bloc	ck letters)		Signatu	ıre			

responsible physician

106 Documentation forms ALCL-Relapse

Version: April 2004

Therapy Study ALCL-Relapse

Studienleitung: Prof. Dr. A. Reiter, Universitäts-Klinikum Gießen, Kinderklinik, Päd. Hämatologie und Onkologie, Feulgenstr. 12, 35385 Gießen, Tel.: 0641 - 99-43627 (Studienzentrale): -43626 (Studiendokumentation): Fax: 0641 - 9943629

		Treatmer C		cumo se ICI		on			
Surname (or initial):						itial):			
Date of birth:      .									
1									
Body weight:   _ , _	_  kg	Body height:		_   cm		BSA:	<u> ,  </u> n	n²	
day	1	2	3	4	5	6	7	8	9
MTX/ARA-C/PRED i.t.	•								
Idarubicine 10 mg/m²/d	•	•							
Carboplatin 200mg/m <sup>2</sup> /d									
Ifosfamide 2 g/m²/d									
<b>Mesna</b> (1) 500 mg/m <sup>2</sup>		•							
<b>Mesna</b> (2) 3 000 mg/m <sup>2</sup> /d									
<b>Hydration</b> 3 000 ml/m <sup>2</sup> /d									
date	_/_/_		_/_	_/_/_	_/_/_	_/_/_	_/_/_	_/_/_	_/_/_
<ul> <li>Ifosfamide:</li> <li>MTX / ARA-C / PRED i</li> <li>Modification of doses? Addi</li> <li>□ no</li> <li>□ yes</li> <li>⇒ please fill in the total Idarubicine:</li> <li>Carboplatin:</li> <li>Ifosfamide:</li> <li>MTX / ARA-C / PREI</li> <li>⇒ please specify the m</li> </ul>	tional or o  dose of th  L  L  D i.t.:	ne cytostatic ag _ _ ,   mg _  _  mg _ _ _  mg	c agen	ts?	:				
Please fill in a toxicity docur	nentation	form (see page	: 107).						
Hospital-Stamp		Date (dd mm		Nam	ie (in blo	ck letters		Signat	ure

responsible physician

Version: April 2004

Therapy Study ALCL-Relapse
Studienleitung: Prof. Dr. A. Reiter, Universitäts-Klinikum Gießen, Kinderklinik, Päd. Hämatologie und Onkologie, Feulgenstr. 12, 35385 Gießen, Tel.: 0641 - 99-43627 (Studienzentrale); -43626 (Studiendokumentation); Fax: 0641 - 9943629

## Acute toxicity and adverse events during / after reinduction

Surname (or initial): First name (or initial):										
Date of birth:	_ .	.  _ (dd mm yy)		ration number:   _						
Maximum toxicity of	during / af	ter: 🔲 1 <sup>st</sup> CC	☐ 2 <sup>nd</sup> CC	☐ CVA ☐ IC	М 🔲 ІСІ					
Begin of therapy el	ement:		(dd mm yy)	d of therapy element:	<u> </u>	(dd mm yy)				
Documented period	d: I		(dd mm yy) End of	documented period:	1 1 1.1 1 1.1 1	(dd mm yy)				
Grade	0	1	2	3	4	not tested				
Haematology	-				-					
Haemoglobin (g/l)	normal for age	100 - < LLN	80 - < 100	65 - < 80	< 65					
WBC (x 10 <sup>9</sup> /l)	≥ 4.0	3.0 - < 4.0	2.0 - < 3.0	1.0 - < 2.0	< 1.0					
Granulocytes (x 10 <sup>9</sup> /l)	≥ 2.0	1.5 - < 2.0	1.0 - < 1.5	0.5 - < 1.0	< 0.5					
Platelets (x 10 <sup>9</sup> /l)	≥ 100	75 - < 100	50 - < 75	10 - < 50	< 10					
Infections										
Infection	none	mild	moderate, pathogen not identified; i.v. antibiotics	severe, pathogen identified; i.v. antibiotics	life threatening, with hypotonia					
Fever (°C)	< 38	38 - 39	> 39 - 40	> 40 for < 24 h	> 40 for ≥24 h					
Mucosal toxicity										
Stomatitis	none	painless ulcer, erythema	painful erythema or ulceration, can still eat	painful erythema or ulceration, cannot eat	TPN required, due to stomatitis					
Diarrhea (stool/day)	none	2 - 3	4 – 6 or nightly stool or light cramps	7 - 9 or incontinence or severe cramps	≥10 or bloody diarrhoea or TPN required					
Renal toxicity		I								
Creatinine	normal for age	> UNL - 1.5 x UNL	> 1.5 - 3.0 x UNL	> 3.0 - 6.0 x UNL	> 6 x UNL					
Liver toxicity	ugo									
Bilirubin	normal for age	> UNL - 1.5 x UNL	> 1.5 - 3.0 x UNL	> 3.0 - 10.0 x UNL	>10.0 x UNL					
SGOT / SGPT	normal for age	> UNL - 2.5 x UNL	> 2.5 - 5.0 x UNL	> 5.0 - 20.0 x UNL	> 20 x UNL					
Cardiac toxicity	ugo									
Cardiac function	normal	asymptomatic decline of resting EF of ≥10% but <20% of baseline value; SF ≥24% but <30%	asymptomatic but resting EF below LLN for laboratory or decline of resting EF ≥20% of baseline value; SF <24%	mild CHF, therapeutically compensated	severe or refractory CHF or requiring intubation					
Arrhythmia	none	asymptomatic, not requiring treatment	recurr. / persist., but not requiring treatment	requiring treatment	hypotension, ventr. arrhythmia, defibrillation					
Thrombosis	•									
Thrombosis / embolism	none	-	deep vein thrombosis, not requiring anticoagulant	deep vein thrombosis, requiring anticoagulant therapy	embolic event (including pulmonary embolism)					
Neurological toxicity	1		•							
Central neurotoxicity	none	temporary lethargy	somnolence < 50% of the time, moderate disorientation	somnolence ≥ 50% of the time, severe disorientation, hallucination	coma, seizures					
Peripheral neurotoxicity	none	paresthesia	severe paresthesia and/or mild weakness	unbearable paresthesia, obvious deficits in motoric function	paralysis					
Anaphylaxis				_						
Anaphylaxis	none	mild	moderate	severe	life threatening					
Other toxicity: (specify, and if possible, If case of SAE, please us		NCI-grades: 0=normal;	1=mild; 2=moderate; 3=	severe; 4=life-threatening)						
	UNL = upper normal limit TPN = total parenteral nutrition CHF = congestive hear failur									
	•	, .	••,	responsible	•					

Version: April 2004

Therapy Study ALCL-Relapse
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## **Treatment documentation** VBI for 24 months

					<b>V. – .</b> .							
Surname (or initial):				First n	ame (or initia	ıl):						
Date of birth:   _ . _ . _(	dd mm yy)	Registration r	number:		(if known) B	ody weight:		_  kg B	ody height:	_  cn	n BSA:	,   m²
full dose VBL iv 6 mg/m²/wee	k   _	,    mg(	maximum	single dos	e 10 mg)							
Number of week												
Date of VBL injection: VBL given [mg]		1 1 1 1					1 1 1 1	1 1 1.1 1	1 1 1.1 1			1 1 1 1 1
Vinblastine dose: none (0), 1/2 dose (1), 2/3 dose (2), full dose (3)	( )	( )	( )	( )	( )	( )	( )	( )	( )	( )	( )	( )
Reason of modification		•										
haematological toxicity	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes
neurological toxicity	□ no □ yes	-	□ no □ yes	□ no □ yes	□ no □ yes	-	-	_	-	_	□ no □ yes	□ no □ yes
other toxicity	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	_	□ no □ yes	-		_	_	□ no □ yes	□ no □ yes
other reason (if "yes": specify)	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes	□ no □ yes
haematological toxicity												
Leukocytes/µl:												
Granulocytes/µl												
Hb g/dl:												
Platelets/µl:												
Ery-Conz. (number):												
Platelet-Conz (number):												
neurotoxicity (grade)*	1 1	1 1	1 1	1 1	1 1	1 1	1 1	1 1	1 1	11	11	1.1
<ul><li>other toxicity</li><li>specify</li><li>NCI-grade (see toxicity form)</li></ul>	 *) Grade of N			parethesias, me paresthesias				thesias and/or	mild weakness	s;		<u>  </u>
Hospital Stamp	Date (dd n		_	ble physic				r	esponsibl	le physici	 ian: Signatu	ıre

# Version: April 2004 Therapy Study ALCL-Relapse Studienleitung: Prof. Dr. A. Reiter, Universitäts-Klinikum Gießen, Kinderklinik, Päd. Hämatologie und Onkologie, Feulgenstr. 12,

35385 Gießen, Tel.: 0641 - 99-43627 (Studienzentrale); -43626 (Studiendokumentation); Fax: 0641 - 9943629
Allograft (page 1/14)
Patient's name Registration number date of birth (dd mm yy) UPN Sex (m/f)
This form should be submitted on day 100 and should reflect information until day 100 only.
Day 100 post transplant:                 (dd/mm/yy)
Patient
HLA Type:
Molecular typing done: Class I
_ _ _ A  _ _ B  _ _ C  _  DRB1  _  DQB1
ABO group: Rh pos Rh neg
Viral status:
positive negative inconclusive not tested  CMV IgG  EBV IgG  Anti-HBs  Anti-HBc  HbsAg  HCV IgG  HAV IgG  HIV IgG  Toxoplasma IgG  other:
Molecular status before SCT
cytogenetic or MRD marker:  not done no see state which and please enclose copy of each diagnostic finding. marker: laboratory: laboratory:
Disease status before SCT:
status  CR after reinduction  CR never achieved after diagnosis of ALCL relapse further relapse during / after reinduction
SCT after   . relapse. (Please fill in the number of the relapse.)
Treatment before SCT
Treatment arm: Arm 1 (progress during frontline treatment) Arm 2a (progress after frontline treatment, CD3+, MSD or 10/10 MUD)

	Allograft (page 2	2/14)	
Patient's name	Registration number	date of birth (dd mm yy)	UPN

## **Clinical status before SCT**

Please mark the appropriate field for each parameter and complete the data (for toxicities: maximum value of the week before start of the conditioning regimen).

### **Performance status**

Score	Karnofsky Description (patients older than 16 years)	Lansky Description (Patients younger than 16 years)
100%	normal, no complaints, no evidence of disease.	Fully active, normal.
90%	Able to carry on normal activity; minor signs or symptoms of disease.	Minor restrictions in physically strenuous activity.
80%	normal activity with effort; some signs or symptoms of disease.	Active, but tires more quickly.
70%	Cares for self, unable to carry on normal activity or do active work.	Both greater restriction of and less time spent in play activity.
60%	Requires occasional assistance, but is able to care for most of his/her needs	Up and around, but minimal active play, keeps busy with quieter activities.
50%	Requires considerable assistance and frequent medical care.	Gets dressed, but lies around much of the day; no active play; able to participate in all quiet play and activities.
40%	Disabled, requires special care and assistance.	Mostly in bed; participates in quiet activities.
30%	Severely disabled, hospitalisation indicated. Death not imminent.	In bed; needs assistance even for quiet play.
20%	Very sick, hospitalisation indicated. Death not imminent.	Often sleeping; play entirely limited to very passive activities.
10%	Moribund, fatal processes progressing rapidly.	no play; does not get out of bed.

**Gastrointestinal toxicity** 

Grade	0	1	2	3	4	n.d.
Diarrhea	none	2 – 3 stools/day	nocturnal stools or incontinence or bloody		≥ 10 stools/day or bloody diarrhea or TPN necessary	
Vomiting [Number of episodes in 24h]	0	1	2 – 5	6 – 10	> 10 or TPN necessary	
Stomatitis	none	painless ulcers, erythema, or mild soreness in the absence of lesions	ma, or mild edema, or ulcers, but edema or ulcers stomatitis n eas in the can eat or swollow preventing swalloing		TPN because of stomatitis necessary	
Nausea	Si		oral intake significantly decreased	no significant intake requiring IV fluids	TPN necessary	

**Haematologic toxicity** 

Grade	0	1	2	3	4	n.d.
Granulocytes [G/L]	≥ 2.0	1.5 – < 2.0	1.0 – < 1.5	0.5 – < 1.0	< 0.5	
Hemoglobin [g/dL]	age norm (N)	10.0 – < N	8.0 – < 10.0	6.5 – < 8.0	< 6.5	
Leukocytes [G/L]	≥ 4.0	3.0 - < 4.0	2.0 - < 3.0	1.0 - < 2.0	< 1.0	
Platelets [G/L]	≥ 100	75 – < 100	50 – < 75	10 – < 50	< 10	

**Dermatologic/Skin toxicity** 

Grade	0	1	2	3	4	n.d.
Changes in the skin	none	erythema	dry desquamination, vasculitis, pruritus	moist desquamination, ulzerations	exfoliative dermatitis, Necrosis	

Allograft (page 3/14)							
Patient's name			gistration number	date of birth (dd mn	n yy) UPN	ı	
·			monary toxicity		<del></del>		
Grade	0	1		3	4	n.d.	
FEV <sub>1</sub>	≥ 90% of pretreatment or normal value	≥ 75% - <90% of pretreatment or normal value	≥ 50% - <75% of pretreatment or normal value	≥ 25% - <50% of pretreatment or normal value	<25% of pretreatment or normal value	II.u.	
Нурохіа	normal	-	decreased O <sub>2</sub> saturation with exercise	decreased O <sub>2</sub> saturation at rest requiring suppl. oxygen	decreased O <sub>2</sub> saturation requiring pressure support (CPAP) or assisted ventilation		
Pneumonitis, pulmonary infiltrates	none	radiographic changes, but asymptomatic or symptoms not requiring steroids	radiographic changes and requiring steroids or diuretics	radiographic changes and requiring oxygen	radiographic changes and requiring assisted ventilation		
		C	ardiac toxicity			•	
Grade	0	1	2	3	4	n.d.	
Arrhythmia	none	asymptomatic, not requiring treatment	recurrent, persistent, not reequiring treatment	therapy required	hypotension, ventr. arrhythmia, defibrillation		
Echocardio: LV-SF	≥ 30%	≥ 24% - < 30%	≥ 20% – < 24%	> 15% – < 20%	≤ 15%		
Cardiac function	normal	asymptomatic decline of resting ejection fraction of ≥10% but < 20% of baseline value	asymptomatic but resting EF below normal value for laboratory EF or decline of resting EF ≥20% of baseline value	mild CHF, responsive to treatment	severe or refractory CHF or requiring intubation		
		In	fection toxicity				
Grade	0	1	2	3	4	n.d.	
Fever [°C]	< 38°C	38 – 39°C	> 39 – 40°C	> 40°C für < 24 Std.	> 40°C für ≥ 24 Std.		
Infection	none	mild	moderate, pathogen not identified; IV antibiotics	severe, pathogen identified; IV antibiotics	life-threatening, hypotension		
			being treated withi	•	to conditioning, sp	ecify	
<ul><li>bacterial: ☐ no</li><li>viral: ☐ no</li></ul>				site:			
		cify: organism:   cify: organism:		site:     site:			
• parasitic: $\square$ no				site:			
<ul> <li>pathogen not ide</li> </ul>		, ,		site:			
		Н	epatic toxicity				
Crado	0			2	4	n d	
Grade Bilirubin	within limits	1 > ULN – 1.5 x	2 > 1.5 – 3.0 x ULN	3 > 3.0 – 10.0 x ULN	> 10.0 x ULN	n.d.	
S-GOT/S-GPT	of normal within limits of normal	ULN > ULN – 2.5 x ULN	> 2.5 – 5.0 x ULN	> 5.0 – 20.0 x ULN	> 20 x ULN		
	or nonnar		Renal toxicity				
Grade	0	1	2	3	4	n d	
Creatinine	within limits	> ULN – 1.5 x	> 1.5 – 3.0 x ULN	> 3.0 – 6.0 x ULN	> 6.0 x ULN	n.d.	
Creatinine-Clearance [ml/Min./1,73m²]	of normal ≥ 90	ULN 60 – 89	40 – 59	20 – 39	≤ 19		
Haematuria	none	microscopic only	intermittent gross bleeding, not clots	persistent gross bleeding or clots; catheterisation or instrumentation	transfusion required		
Proteinuria [g/l]	none	< 3	3 – 10.0	> 10.0	nephrotic syndrome	П	

		Allogr	oft /pogs A	/A A\				
Patient's name			aft (page 4 istration number	date of birth (dd m	m yy) UPN			
Neurological toxicity								
Crada	0	1	ological toxicit	3	4	n d		
Grade Peripheral Neurotoxicity	none	paresthesias, mild subjective weakness	severe paresthesias	unbearable paresthesias, deficits in motor funct.	paralysis	n.d.		
Central Neurotoxicity	none	mild somnolence or agitation; drowsiness	somnolence <50% of the time, moderate disorientation	somnolence ≥ 50% of the time, severe disorientation, hallucinations	coma, seizures			
		Aller	gy/Immunology	/				
Grade	0	1	2	3	4	n.d.		
Allergy	none	transient	asymptomatic bronchospasm	symptomatic bronchospasm, serum sickness	anaphylaxis			
		O	cular/Visual					
Grade	0	1	2	3	4	n.d.		
Cataract/ Keratitis	none	asymptomatic cataract, mild keratitis or corneal ulceration	symptomatic cataract or corneal ulceration, glaucoma	massive keratitis, retinopathy, glaucoma	panophthal-mitis, unilateral or bilateral loss of vision			
		0:	steonecrosis					
Grade	0	1	2	3	4	n.d.		
Osteonecrosis (avascular necrosis)	none	asymptomatic and detected by imaging only	symptomatic and interfering, but not interfering with activities of daily living	symptomatic and interfering with activities of daily living	symptomatic or disabling			
other clinically s  no  lf y	s <b>ignifica</b> yes /es, spec	•	sease or organ	impairment:				
Do	they cau	use modifications	s in the condition	ning regimen or	dose of medication	— on?		
	no	yes If yes, please st	ate which:			<u>—</u>		

	Allog	raft (page 5	/14)	
Patient's name		gistration number	date of birth (dd mm yy)	UPN
U	l l	-		
Donor				
Relationship to recipie	e <b>nt:</b>	ated rela	ated: monocygotic twin sibling parent uncle/aunt cousin other:	
Sex	] male 🔲	female		
<b>Age</b> bii	rth date:   _	(dd	/mm/yy)   _	years
HLA Type:				
Molecular typing done:	Class I Class II	no _ no	yes yes	
_  A     _    A	B   <u> _</u>	_ C    _ _ C	_ _  DRB1  _ _  _ _  DRB1  _	_   DQB1 _   DQB1
ABO group:	☐ Rh pos ☐ A	☐ Rh neg ☐ B	□ АВ	<u> </u>
Viral status:				
CMV IgG EBV IgG Anti-HBs Anti-HBc HbsAg HCV HIV HTLVI other:	oositive	negative	inconclusive	not tested

		Allogra	aft (pa	ge 6	/14)			
Patient's name		Regi 	stration nun	nber	date of birth (do	d mm yy) UF   _	PN 	
Conditioning	Regimen							
Body height:  _	l l lcm	Body weigh	ıtı I I	11	kg	,     m²		
Therapy	_   0111	Body Weigh	··· I——I——I-	,	.   Ng   DO/ N.  _	,   '''		
drugs, ATG, mon (product name)	о АВ	daily dose	<b>given</b> mg/kg/d		days of dministration	total dose given (mg)		je according protocol yes
Example:   En	doxan	40	_  🛛		<u>  -3         </u>	1200		$\boxtimes$
<u> </u>		_	_  □					
		_	_  □					
		_	_  □					
1		 	 		 			
if dose modifica	ation, specify: I	I I	_, ∟		III	II		
					days of			t
no	yes total d		nber of frac	ctions	administrat	ion		
ТВІ	]	_l Gy				_		
CNS boost	]	_l Gy				_		
other boost		_  Gy				_		
if other, spec	cify:							
Transplantati	ion							
Date of transpla			_ _		_			
Number of this Source of stem			   ∃BM					
			☐ PBS					
			☐ cord	blood				
Manipulation of	- ·							
∐ no L	」yes Ⅰf yes, type of	maninulatio	n·					
	T-Cell-Deplet		no □	☐ yes	s method: [			
	CD34+ Selec		no 🔲 no		s method: [			
	other:		∐ no	yes	s method:			
Cells infused:	Number of nuc	cleated cells:				*10 <sup>8</sup> /k	g	
	CD34+ cells:				*10 <sup>6</sup> /k	g		
	CD3+ cells:	- T-cell de	oleted gra	ıft:	*10 <sup>4</sup> /k	g		
		- unmanipı	ulated gra	ıft:	*10 <sup>7</sup> /k	g		

Allograft (page 7/14)
Patient's name Registration number date of birth (dd mm yy) UPN
Cytokines in the immediate post transplant period (till day +28):
no ges, specify: G-CSF other:
starting day (dd/mm/yy)   _
starting dose:  , µg/kg
length of cytokine treatment:   _  days
Engraftment
Evidence of haematopoietic reconstitution: (dd/mm/yy)  Leucocytes >1,000/µl:
Graft failure:
no yes, date of diagnosis          (dd/mm/yy)  Reason of graft failure:
GVHD prophylaxis
Cyclosporine A iv:  ☐ no ☐ yes ⇒ starting dose CSA iv
Cyclosporine A <u>p. o.:</u>
□ no □ yes ⇒ starting dose CSA p.o.     mg/kg/day / target level of CSA:       ng/m date of CSA start p.o.           (dd/mm/yy) date of CSA stop p.o.         (dd/mm/yy) □ CSA p.o. ongoing total days with CSA p.o.:

Allograft (page 8/14)							
Patient's name		R:	egistration	number da	ate of birth (dd mm	yy) UPN   <u>     </u>	
Tapering of CSA:							
no no	fatantı			/ d d /man	o (, n, ı)		
	f stop:		_	_   (dd/mn _   (dd/mn		tapering o	ngoing
Methotrexate:							
☐ no ☐ yes		ose MTX: nys:	<u>_</u> +		1 <u>          </u>	mg/m²/d	
Leucovorin:		.,	'		11		
☐ no ☐ yes		se Leucovo iys:	rin  _ +		r	mg/m²/d	
other GVHD prophy	laxis:						
☐ no							
☐ yes ⇒ specif				, 2			
dose:   startin	g date:	mg/kg/d	ay ∐m ∐ l l	lg/m²/d [_]     (dd/	other:    mm/yy)		
	f stop:	<u> </u>			mm/yy)	☐ on	going
Acute GvHD							
☐ no ☐ yes	s. date c	of onset:	1 1 1	1 1 1 1	(dd/mm/yy)		
•		pased on:	_	cal evidence blogic eviden			
ove	erall grad	de	□ 0		] II       III	□IV	
skir live gut	r:	stage: stage: stage:	□ 0 □ 0 □ 0	□1 [ □1 [ □1 [	2	☐ 4 ☐ 4 ☐ 4	
aGʻ	VHD res	solved	☐ no	☐ yes, date	e   _	_  (dd/r	nm/yy)
Acute GvHD treat	ment						
no yes, spe	cify:						
Medication	no yes	pı	roduct na	me	maximum dose (units)	total duration o	f treatment
increase of CSA					mg/kg/day		
Methylprednisolone					mg/kg/day		
Mycophenolate-Mofetile					mg/kg/day		
Tacrolimus					mg/kg/day	<u> </u>	
ALG/ATG		<u> </u>			mg/kg/day	<u> </u>	
monoclonal AB		<u> </u>			//		
Photophoresis							
PUVA							
other		1		1		1 1	

	Allograft (page 9	9/14)	
Patient's name	Registration number	date of birth (dd mm yy)	UPN

# Chimerism

no	t done	done, plea	se specif	y the resu	lts						
PB/	date of	if sorted,	% of donor	Method	Laboratory			Treati	ment		
BM	examination	type of cells	cells	(FISH, VNTR, other)	(city)	none	<b>Immun</b> unchanged	osuppre increased	ession: reduced	stopped	DLI

	Allograft (page 1	0/14)	
Patient's name	Registration number	date of birth (dd mm yy)	UPN

# Complications < day 100

Please mark the appropriate field for each parameter (maximum value till day 100 or date of death respectively) and complete the data.

**Gastrointestinal toxicity** 

Grade	0	1	2	3	4	n.d.
Diarrhea	none	2 – 3 stools/day	4 – 6 stools/day or nocturnal stools or light cramps	7-9 stools/day or incontinence or severe cramps	≥ 10 stools/day or bloody diarrhea or TPN necessary	
Vomiting [Number of episodes in 24h]	0	1	2 – 5	6 – 10	> 10 or TPN necessary	
Stomatitis	none	painless ulcers, erythema, or mild soreness in the absence of lesions	painful erythema, edema, or ulcers, but can eat or swollow	painful erythema, edema or ulcers preventing swalloing or requiring IV hydration	TPN because of stomatitis necessary	
Nausea	none	able to eat	oral intake significantly decreased	no significant intake requiring IV fluids	TPN necessary	

Haematologic toxicity

Grade	0	1	2	3	4	n.d.
Granulocytes [G/L]	≥ 2.0	1.5 – < 2.0	1.0 – < 1.5	0.5 – < 1.0	< 0.5	
Hemoglobin [g/dL]	agenorm (N)	10.0 – < N	8.0 - < 10.0	6.5 – < 8.0	< 6.5	
Leukocytes [G/L]	≥ 4.0	3.0 - < 4.0	2.0 - < 3.0	1.0 - < 2.0	< 1.0	
Platelets [g/l]	≥ 100	75 – < 100	50 – < 75	10 – < 50	< 10	

**Dermatology/Skin toxicity** 

Grade	0	1	2	3	4	n.d.
Changes in the skin	none	erythema	dry desquamination, vasculitis, pruritus	moist desquamination, ulzerations	exfoliative dermatitis, necrosis	

**Pulmonary toxicity** 

i uniteriary texterity						
Grade	0	1	2	3	4	n.d.
FEV <sub>1</sub>	≥ 90% of pretreatment or normal value	≥ 75% - <90% of pretreatment or normal value	≥ 50% - <75% of pretreatment or normal value	≥ 25% - <50% of pretreatment or normal value	<25% of pretreatment or normal value	
Нурохіа	normal	-	decreased O <sub>2</sub> saturation with exercise	decreased O <sub>2</sub> saturation at rest requiring suppl. oxygen	decreased O <sub>2</sub> saturation requiring pressure support (CPAP) or assisted ventilation	
Pneumonitis, pulmonary infiltrates	none	radiographic changes, but asymptomatic or symptoms not requiring steroids	radiographic changes and requiring steroids or diuretics	radiographic changes and requiring oxygen	radiographic changes and requiring assisted ventilation	

**Cardiac toxicity** 

Surdius toxioity							
Grade	0	1	2	3	4	n.d.	
Arrhythmia	none	asymptomatic, not requiring treatment	recurrent, persistent, not reequiring treatment	therapy required	hypotension, ventr. arrhythmia, defibrillation		
Echocardio: LV-SF	≥ 30%	≥ 24% - < 30%	≥ 20% – < 24%	> 15% – < 20%	≤ 15%		
Cardiac function	normal	asymptomatic decline of resting EF of ≥10% but < 20% of baseline value	asymptomatic but resting EF below normal value for lab. or decline of resting EF ≥20% of baseline value	mild CHF, responsive to treatment	severe or refractory CHF or requiring intubation		

Allograft (page 11/14)						
Patient's name		Regi:	stration number	date of birth (dd mr	n yy) UPN 	
		He	patic toxicity	,		
Grade	0	1	2	3	4	n.d.
Bilirubin	within limits of normal	> ULN – 1.5 x ULN	> 1.5 – 3.0 x ULN	> 3.0 – 10.0 x ULN	> 10.0 x ULN	
S-GOT/S-GPT	within limits of normal	> ULN – 2.5 x ULN	> 2.5 – 5.0 x ULN	> 5.0 – 20.0 x ULN	> 20 x ULN	
	r	R	enal toxicity			
Grade	0	1	2	3	4	n.d.
Creatinine	within limits of normal	> ULN – 1.5 x ULN	> 1.5 – 3.0 x ULN	> 3.0 – 6.0 x ULN	> 6.0 x ULN	
Creatinine-Clearance [ml/Min./1,73m²]	≥ 90	60 – 89	40 – 59	20 – 39	≤ 19	
Hämaturia	none	microscopic only	intermittent gross bleeding, not clots	persistent gross bleeding or clots; req. catheterization or instrumentation	transfusion required	
Proteinuria [g/l]	none	< 3	3 – 10.0	> 10.0	nephrotic syndrome	
		Neuro	ological toxicity	•		
Grade	0	1	2	3	4	n.d.
Peripheral Neurotoxicity	none	paresthesias, mild subjective weakness	severe paresthesias	unbearable pares- thesias, deficits in motor funct.	paralysis	
Central Neurotoxicity	none	mild somnolence or agitation; drowsiness	somnolence < 50% of time, moderate disorientation	somnolence ≥ 50% of time, severe disorientation, hallucinations	coma, seizures	
		Aller	gy/lmmunology			
Grade	0	1	2	3	4	n.d.
Allergy	none	transient	asymptomatic bronchospasm	symptomatic bronchospasm, serum sickness	anaphylaxis	
		0	cular/Visual			
Grade	0	1	2	3	4	n.d.
Cataract/ Keratitis	none	asymptomatic cataract, mild keratitis or corneal ulceration	symptomatic cataract or corneal ulceration, glaucoma	massive keratitis, retinopathy, glaucoma	panophthal-mitis, unilateral or bilateral loss of vision	
		Os	steonecrosis			
Grade	0	1	2	3	4	n.d.
Osteonecrosis (avascular necrosis)	none	asymptomatic and detected by imaging only	symptomatic and interfering, but not interfering with activities of daily living	symptomatic and interfering with activities of daily living	symptomatic or disabling	
		Infe	ection toxicity			
Grade	0	1	2	3	4	n.d.
Fever [°C]	< 38°C	38 – 39°C	> 39 – 40°C	> 40°C für < 24 Std.	> 40°C für ≥ 24 Std.	
Infection	none	mild	moderate, pathogen not identified; IV antibiotics	severe, pathogen identified; IV antibiotics	life-threatening, hypotension	
·	· · · · · · · · · · · · · · · · · · ·	·			·	_

Allograft (page 12/14)
Patient's name Registration number date of birth (dd mm yy) UPN
If clinically important infections were present or being treated till day +100, please complete:
pathogen identified:  no, please specify site of infection: site: systemic localized: lungs skin gut brain other, specify: yes, please specify:
bacterial: ☐ no ☐ yes:              (dd/mm/yy), specify organism: :  site: ☐ systemic ☐ localized:
lungs
Candida ssp
parasitic <u>:</u> no yes:             (dd/mm/yy) site: systemic localized: lungs skin gut brain other, specify:
other clinically significant coexisting disease or organ impairment:
□ no □ yes, please specify:   • severe bleeding □ no   • infarction or thrombosis □ no   • VOD □ no   • ARDS □ no   • acute vascular leak syndrome □ no    yes
intensive care measures:
□ no □ yes, please state indication:   • assisted ventilation □ no □ yes   • haemodialysis □ no □ yes   • haemofiltration □ no □ yes   • other □ no □ yes: □
admittance to ICU?
Best response to SCT
☐ continued CR ☐ CR achieved: date CR achieved: ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐

	Allograft (page 1	13/14)	
Patient's name	Registration number	date of birth (dd mm yy)	UPN
Treatment after SCT			
no yes, please  no rela  mixi  othe  if yes, kind o  r  1.DLI: no  2.DLI: no  3.DLI: no	ed chimerism  no	/kg	
• S	·—· — ·	yes, date:   _	(dd/mm/yy
Cytokines in the post transp	plant period > day +29 to	day +100:	
no yes if yes, speci starting date starting dos duration of o	other: no s	/es /es,   _          (dd/mm _ ,  µg/kg _  days	 n/yy)
Remission status – day 10	00 or at date of death		
,			
Relapse after SCT:  not a yes (please fill in an event site of rela		☐ no   _	(dd/mm/yy) 
Molecular status – day 10	0 or at date of death		
	yes, state which and pleas		diagnostic finding.
marker:		laboratory:	

	Allograft (pa	ge 14/14)					
Patient's na							
	status – day 100	L L L L (dd/mm/m)					
∐ aliv	ve date of last follow up:   _   Performance status criteria (Plea	(dd/mm/yy)					
	renormance status cinteria (Fied	ase mark the appropriate value)					
Score	Karnofsky Description (patients elder than 16 years)	Lansky Description (Patients younger than 16 years)					
100 %	normal, no complaints, no evidence of disease.	Fully active, normal.					
90%	Able to carry on normal activity; minor signs or symptoms of disease.	Minor restrictions in physically strenuous activity.					
80%	normal activity with effort; some signs or symptoms of disease.	Active, but tires more quickly					
70%	Cares for self, unable to carry on normal activity or do active work.	Both greater restriction of and less time spent in play activity.					
60%	Requires occasional assistance, but is able to care for most of his/her needs	Up and around, but minimal active play, keeps busy with quieter activities.					
50%	Requires considerable assistance and frequent medical care.	Gets dressed, but lies around much of the day; no active play; able to participate in all quiet play and activities.					
40%	Disabled, requires special care and assistance.	Mostly in bed; participates in quiet activities.					
30%	Severely disabled, hospitalization indicated. Death not imminent.	In bed; needs assistance even for quiet play.					
20%	Very sick, hospitalization indicated. Death not imminent.	Often sleeping; play entirely limited to very passive activities.					
10%	Moribund, fatal processes progressing rapidly.	no play; does not get out of bed.					
Notes	Died ⇒ please fill in an Event form (see page 143)  Date of death:  _        (dd/mm/yy)  Main Cause of death:  Relapse or Progression  Transplantation Related Cause: (tick all that apply)  GVHD  graft failure  pulmonary toxicity  cardiac toxicity  infection  VOD  post transplant lymphoproliferative disorder  other:    Secondary Malignancy  Late Event  Other:    Unknown						
Notes							
Hos	pital Stamp Date (dd mm yy) Na	ame (in block letters)  responsible physician					

			Version	: April 2004
Thera	py Study ALC	L-Relapse		
Studienleitung: Prof. Dr. A. Reiter, Universitäts- 35385 Gießen, Tel.: 0641 - 99-43627 (				
Aut	tograft (pag	e 1/11)		
Patient's name	Registration number	date of birth (dd mm yy)	UPN	Sex (m/f)
	<del> </del>			<u>  </u>

This form should	be submitted on day 1	00 and should r	eflect information until of	day 100 only.
	y 100 post transplant		(dd/mm/yy)	
	<u>,</u>	<u> </u>		
Patient				
ABO group:	☐ Rh pos ☐ A ☐ B	☐ AB	☐ Rh neg ☐ 0	
Viral status:				
	positive	negative	inconclusive	not tested
CMV IgG EBV IgG Anti-HBs Anti-HBc HbsAg HCV IgG HAV IgG Toxoplasma IgG other:				
Molecular status	before SCT			
cytogenetic or MRD not done no yes: state which	marker: and please enclose co	py of each diag	nostic finding.	
marke	er:		laboratory:	
Disease status be	fore SCT:			
☐ C ☐ fu	R after reinduction R never achieved after orther relapse during / a pse. ( <i>Please fill in the n</i>	fter reinduction	·	
	` `			
Treatment before	e SCT			
Treatment arm:	non-sibling	g donor)	eatment, CD3+, no MSE atment, CD3 negative, re	

months from diagnosis or > 12 months but VBL in frontline)

Autograft (page 2/11)
Patient's name Registration number date of birth (dd mm yy) UPN Sex (m/f)
Collection
Source of stem cells:   BM PBSC
Date of collection / cytapheresis:   _ .  .   (dd mm yy)
If PBSC:  collection of PBSC after Re-induction course  1st course of re-inductio 2nd course of Re-induction other  cytokines no yes: G-CSF: no yes GM-CSF: no yes other: no yes
mobilisation courses: number of mobilisation courses:    date of last mobilisation course:   _  (dd mm yy)
Stem cell manipulation:  no yes: please specify:

Autograft (page 3/11)								
Patient's name	Registration number	date of birth (dd mm yy)	UPN	Sex (m/f)				
		_ .						

## **Clinical status before SCT**

Please mark the appropriate field for each parameter and complete the data (for toxicities: <u>maximum value of the week before start of the conditioning regimen</u>).

### **Performance Status**

Score	Karnofsky Description (patients older than 16 years)	Lansky Description (Patients younger than 16 years)
100%	normal, no complaints, no evidence of disease.	Fully active, normal.
90%	Able to carry on normal activity; minor signs or symptoms of disease.	Minor restrictions in physically strenuous activity.
80%	normal activity with effort; some signs or symptoms of disease.	Active, but tires more quickly.
70%	Cares for self, unable to carry on normal activity or do active work.	Both greater restriction of and less time spent in play activity.
60%	Requires occasional assistance, but is able to care for most of his/her needs	Up and around, but minimal active play, keeps busy with quieter activities.
50%	Requires considerable assistance and frequent medical care.	Gets dressed, but lies around much of the day; no active play; able to participate in all quiet play and activities.
40%	Disabled, requires special care and assistance.	Mostly in bed; participates in quiet activities.
30%	Severely disabled, hospitalisation indicated. Death not imminent.	In bed; needs assistance even for quiet play.
20%	Very sick, hospitalisation indicated. Death not imminent.	Often sleeping; play entirely limited to very passive activities.
10%	Moribund, fatal processes progressing rapidly.	no play; does not get out of bed.

**Gastrointestinal toxicity** 

Grade	0	1	2	3	4	n.d.
Diarrhea	none	2 – 3 stools/day	4 – 6 stools/day or nocturnal stools or light cramps	7-9 stools/day or incontinence or severe cramps	≥ 10 stools/day or bloody diarrhea or TPN necessary	
Vomiting [Number of episodes in 24h]	0	1	2 – 5	6 – 10	> 10 or TPN necessary	
Stomatitis	none	painless ulcers, erythema, or mild soreness in the absence of lesions	painful erythema, edema, or ulcers, but can eat or swollow	painful erythema, edema or ulcers preventing swalloing or requiring IV hydration	TPN because of stomatitis necessary	
Nausea	none	able to eat	oral intake significantly decreased	no significant intake requiring IV fluids	TPN necessary	

**Haematologic toxicity** 

Grade	0	1	2	3	4	n.d.
Granulocytes [G/L]	≥ 2.0	1.5 – < 2.0	1.0 – < 1.5	0.5 – < 1.0	< 0.5	
Hemoglobin [g/dL]	age norm (N)	10.0 – < N	8.0 – < 10.0	6.5 – < 8.0	< 6.5	
Leukocytes [G/L]	≥ 4.0	3.0 - < 4.0	2.0 - < 3.0	1.0 - < 2.0	< 1.0	
Platelets [G/L]	≥ 100	75 – < 100	50 – < 75	10 – < 50	< 10	

**Dermatologic/Skin toxicity** 

Grade	0	1	2	3	4	n.d.
Changes in the skin	none	erythema	dry desquamination, vasculitis, pruritus	moist desquamination, ulzerations	exfoliative dermatitis, Necrosis	

Autograft (page 4/11)						
Patient's name		Reg	istration number dat	e of birth (dd mm yy)	UPN Sex	(m/f)
		Pul	monary toxicity			
Grade	0	1	2	3	4	n.d.
FEV ₁	≥ 90% of pretreatment or normal value	≥ 75% - <90% of pretreatment or normal value	≥ 50% - <75% of pretreatment or normal value	≥ 25% - <50% of pretreatment or normal value	<25% of pretreatment or normal value	
Нурохіа	normal	-	decreased O <sub>2</sub> saturation with exercise	decreased O <sub>2</sub> saturation at rest requiring suppl. oxygen	decreased O <sub>2</sub> saturation requiring pressure support (CPAP) or assisted ventilation	
Pneumonitis, pulmonary infiltrates	none	radiographic changes, but asymptomatic or symptoms not requiring steroids	radiographic changes and requiring steroids or diuretics	radiographic changes and requiring oxygen	radiographic changes and requiring assisted ventilation	
		С	ardiac toxicity			
Grade	0	1	2	3	4	n.d.
Arrhythmia	none	asymptomatic, not requiring treatment	recurrent, persistent, not reequiring treatment	therapy required	hypotension, ventr. arrhythmia, defibrillation	
Echocardio: LV-SF	≥ 30%	≥ 24% - < 30%	≥ 20% – < 24%	> 15% – < 20%	≤ 15%	
Cardiac function	normal	asymptomatic decline of resting ejection fraction of ≥10% but < 20% of baseline value	asymptomatic but resting EF below normal value for laboratory EF or decline of resting EF ≥20% of baseline value	mild CHF, responsive to treatment	severe or refractory CHF or requiring intubation	
		In	fection toxicity			
Grade	0	1	2	3	4	n.d.
Fever [°C]	< 38°C	38 – 39°C	> 39 – 40°C	> 40°C für < 24 Std.	> 40°C für ≥ 24 Std.	
Infection	none	mild	moderate, pathogen not identified; IV antibiotics	severe, pathogen identified; IV antibiotics	life-threatening, hypotension	
		are present or cify: organism:	being treated withi	n one month prior	to conditioning, sp	ecify
• viral: $\square$ no		cify: organism:		site:		 
• fungal:  no		cify: organism:		site:		
• parasitic: 🔲 no				site:		
<ul> <li>pathogen not ide</li> </ul>	ntified			site:		
		Н	epatic toxicity			
Grade	0	1	2	3	4	n.d.
Bilirubin	within limits of normal	> ULN – 1.5 x ULN	> 1.5 – 3.0 x ULN	> 3.0 – 10.0 x ULN	> 10.0 x ULN	
S-GOT/S-GPT	within limits of normal	> ULN – 2.5 x ULN	> 2.5 – 5.0 x ULN	> 5.0 – 20.0 x ULN	> 20 x ULN	
		F	Renal toxicity			
Grade	0	1	2	3	4	n.d.
Creatinine	within limits of normal	> ULN – 1.5 x ULN	> 1.5 – 3.0 x ULN	> 3.0 – 6.0 x ULN	> 6.0 x ULN	
Creatinine-Clearance [ml/Min./1,73m²]	≥ 90	60 – 89	40 – 59	20 – 39	≤ 19	
Hämaturia	none	microscopic only	intermittent gross bleeding, not clots	persistent gross bleeding or clots; catheterisation or instrumentation	transfusion required	
Proteinuria [g/l]	none	< 3	3 – 10.0	> 10.0	nephrotic syndrome	

		Autogr	aft (page 5	5/11)		
Patient's name				te of birth (dd mm yy)	UPN Se	x (m/f)
		Neur	ological toxicit	-1	<u> </u>	
Grade	0	1	2	3	4	n.d.
Peripheral Neurotoxicity	none	paresthesias, mild subjective weakness	severe paresthesias	unbearable paresthesias, deficits in motor funct.	paralysis	
Central Neurotoxicity	none	mild somnolence or agitation; drowsiness	somnolence <50% of the time, moderate disorientation	somnolence ≥ 50% of the time, severe disorientation, hallucinations	coma, seizures	
		Aller	gy/Immunology	y		
Grade	0	1	2	3	4	n.d.
Allergy	none	transient	asymptomatic bronchospasm	symptomatic bronchospasm, serum sickness	anaphylaxis	
		C	cular/Visual			
Grade	0	1	2	3	4	n.d.
Cataract/ Keratitis	none	asymptomatic cataract, mild keratitis or corneal ulceration	symptomatic cataract or corneal ulceration, glaucoma	massive keratitis, retinopathy, glaucoma	panophthal-mitis, unilateral or bilateral loss of vision	
		0	steonecrosis			
Grade	0	1	2	3	4	n.d.
Osteonecrosis (avascular necrosis)	none	asymptomatic and detected by imaging only	symptomatic and interfering, but not interfering with activities of daily living	symptomatic and interfering with activities of daily living	symptomatic or disabling	
other clinically s	significa   yes yes, spec	•	sease or organ	impairment:		<u> </u>
Do	they cau	use modifications  yes	s in the condition	ning regimen or o	dose of medication	on?
		If yes, please st	ate which:			<u> </u>

	Autograft (page 6/11)
Patient's name	Registration number date of birth (dd mm yy) UPN Sex (m/f)
Conditioning	regimen
Body height:  _	 _   cm Body weight:   _ , _  kg BSA:   ,   m²
Therapy drugs, ATG, mon (product name)	
Example:   En	
<u> </u>	
if dose modifica	ation, specify:
no	days of yes total dose number of fractions administration
тві 🗆	]
CNS boost	]
other boost [	]
if other, spec	cify:
Transplantat	ion
	antation (dd/mm/yy)   _        transplantation
Manipulation of	yes if yes, type of manipulation:  T-cell-depletion:
0 11 1 1	other:     no yes method:
Cells infused:	number of nucleated cells:*10 <sup>8</sup> /kg
	CD34+ cells:*10 <sup>6</sup> /kg
	CD3+ cells: - T-cell depleted graft:*10 <sup>4</sup> /kg - unmanipulated graft:*10 <sup>7</sup> /kg
	- unmanipulated graft:*10'/kg
Cytokines in the	e immediate post transplant period (till day +28):
no [	☑ yes, specify:   ☐ G-CSF other:
	starting day (dd/mm/yy)   _   _
	starting dose:   ,  µg/kg
	length of cytokine treatment:   _  days

		Autogra	ift (page 7/	<b>711)</b>		
Patient's name				of birth (dd mm yy)	UPN Sex	(m/f)
				_ - -  -	<u> </u>	
Engraftment						
Evidence of had Leucocytes Neutrophils Platelets Platelets last platelet trans last red cell trans	>1,000/µl: >500/µl: >20,000/µ >50,000/µ sfusion:	    :	on: (dd/mm/yy                 	n n n n n n n n n n tr	ot reached ot reached ot reached ot reached ansfusions ongo ansfusions ongo	
Graft failure:						
no   yes, date of diagnosis                 (dd/mm/yy)   Reason of graft failure:   non-engraftment   other:						•
, .			opriate field for ea			
(maxir	num value ti	•	of death respective	vely) and complete	e the data.	
Grade	0	1	2	3	4	n.d.
Diarrhea	none	2 – 3 stools/day	4 – 6 stools/day or nocturnal stools or light cramps	7-9 stools/day or incontinence or severe cramps	≥ 10 stools/day or bloody diarrhea or TPN necessary	
Vomiting [Number of episodes in 24h]	0	1	2 – 5	6 – 10	> 10 or TPN necessary	
Stomatitis	none	painless ulcers, erythema, or mild soreness in the absence of lesions	painful erythema, edema, or ulcers, but can eat or swollow	painful erythema, edema or ulcers preventing swalloing or requiring IV hydration	TPN because of stomatitis necessary	
Nausea	none	able to eat	oral intake significantly decreased	no significant intake requiring IV fluids	TPN necessary	
		Haema	tologic toxicity			
Grade	0	1	2	3	4	n.d.
Granulocytes [G/L]	≥ 2.0	1.5 – < 2.0	1.0 – < 1.5	0.5 – < 1.0	< 0.5	
Hemoglobin [g/dL]	agenorm (N)	10.0 – < N	8.0 - < 10.0	6.5 – < 8.0	< 6.5	$\Box$
Leukocytes [G/L]	≥ 4.0	3.0 - < 4.0	2.0 - < 3.0	1.0 - < 2.0	< 1.0	
Platelets [g/l]	≥ 100	75 – < 100	50 – < 75	10 – < 50	< 10	
		Dermato	logy/Skin toxic	ity		
Grade	0	1	2	3	4	n.d.
Changes in the skin	none	erythema	dry desquamination,	moist	exfoliative	

ulzerations

			aft (page 8	/11)					
Patient's name		Regis 	tration number date	e of birth (dd mm yy)	UPN Sex	(m/f)			
Pulmonary toxicity									
Grade	0	1	2	3	4	n.d.			
FEV 1	≥ 90% of pretreatment or normal value	≥ 75% - <90% of pretreatment or normal value	≥ 50% - <75% of pretreatment or normal value	≥ 25% - <50% of pretreatment or normal value	<25% of pretreatment or normal value				
Нурохіа	normal	-	decreased O <sub>2</sub> saturation with exercise	decreased O <sub>2</sub> saturation at rest requiring suppl. oxygen	decreased O <sub>2</sub> saturation requiring pressure support (CPAP) or assisted ventilation				
Pneumonitis, pulmonary infiltrates	none	radiographic changes, but asymptomatic or symptoms not requiring steroids	radiographic changes and requiring steroids of diuretics	radiographic changes and requiring oxygen	radiographic changes and requiring assisted ventilation				
		Cai	rdiac toxicity						
Grade	0	1	2	3	4	n.d.			
Arrhythmia	none	asymptomatic, not requiring treatment	recurrent, persistent, not reequiring treatment	therapy required	hypotension, ventr. arrhythmia, defibrillation				
Echocardio: LV-SF	≥ 30%	≥ 24% - < 30%	≥ 20% - < 24%	> 15% - < 20%	≤ 15%				
Cardiac function	normal	asymptomatic decline of resting EF of ≥10% but < 20% of baseline value	asymptomatic but resting EF below normal value for lab. or decline of resting EF ≥20% of baseline value	mild CHF, responsive to treatment	severe or refractory CHF or requiring intubation				
		He	patic toxicity						
Grade	0	1	2	3	4	n.d.			
Bilirubin	within limits of normal	> ULN – 1.5 x ULN	> 1.5 – 3.0 x ULN	> 3.0 – 10.0 x ULN	> 10.0 x ULN				
S-GOT/S-GPT	within limits of normal	> ULN – 2.5 x ULN	> 2.5 – 5.0 x ULN	> 5.0 – 20.0 x ULN	> 20 x ULN				
		Re	enal toxicity	1	1				
04-	0								
Grade Creatinine	within limits	> ULN – 1.5 x ULN	> 1.5 – 3.0 x ULN	3 > 3.0 - 6.0 x ULN	> 6.0 x ULN	n.d.			
Creatinine-Clearance	of normal ≥ 90	60 – 89	40 – 59	20 – 39	≤ 19				
[ml/Min./1,73m²] Hämaturia	none	microscopic only	intermittent gross bleeding, not clots	persistent gross bleeding or clots; req. catheterization or instrumentation	transfusion required				
Proteinuria [g/I]	none	< 3	3 – 10.0	> 10.0	nephrotic syndrome				
		Neuro	logical toxicity	<u></u>					
Grade	0	1	2	3	4	n.d.			
Peripheral Neurotoxicity	none	paresthesias, mild subjective weakness	severe paresthesias	unbearable pares- thesias, deficits in motor funct.	paralysis				
Central Neurotoxicity	none	mild somnolence or agitation; drowsiness	somnolence < 50% of time, modrate disorientation	somnolence ≥ 50% of time, severe disorientation, hallucinations	coma, seizures				
		Allerg	gy/lmmunology	<u>,                                      </u>					
Grade	0	1	2	3	4	n.d.			
Allergy	none	transient	asymptomatic bronchospasm	symptomatic bronchospasm, serum sickness	anaphylaxis				

		Autogr	aft (page 9	/11)			
Patient's name			stration number date	e of birth (dd mm yy)	UPN Sex (m/f)		
Ocular/Visual							
Grade	0	1	2	3	4 n.d.		
Cataract/ Keratitis	none	asymptomatic cataract, mild keratitis or corneal ulceration	symptomatic cataract or corneal ulceration, glaucoma	massive keratitis, retinopathy, glaucoma	panophthal-mitis, unilateral or bilateral loss of vision		
		0:	steonecrosis				
Grade	0	1	2	3	4 n.d.		
Osteonecrosis (avascular necrosis)	none	asymptomatic and detected by imaging only	symptomatic and interfering, but not interfering with activities of daily living	symptomatic and interfering with activities of daily living	symptomatic or disabling		
		Infe	ection toxicity				
Grade	0	1	2	3	4 n.d.		
Fever [°C]	< 38°C	38 – 39°C	> 39 – 40°C	> 40°C für < 24 Std.	> 40°C für ≥ 24 Std. □		
Infection	none	mild	moderate, pathogen not identified; IV antibiotics	severe, pathogen identified; IV antibiotics	life-threatening, hypotension		
yes, please sp  bacterial:  no site:  [  viral:  no  column	systemic localized lungs coecify: ges systemic localized lungs coecify yes		(dd/mm/yy	other, specify:	es		
	patitis C	☐ no ☐ yes	<ul> <li>Herpes</li> <li>Varicella-2</li> </ul>	no 🔲 ye	es, type:		
• oth site:	ner:  system localize lungs	ed:	<u> </u>	Zoster no ye			
• Ca	☐ yes pergillus s undida ssp ner ☐ systemic ☐ localized ☐ lungs ☐	sp	es es:	other, specify:	probableproven		
parasitic <u>:</u>	] systemic		_  (dd/mm/yy	······ ')			
	☐ localized ☐ lungs ☐		☐ brain ☐	other, specify:			

	Autograft (page 10/11)
Patient's name	Registration number date of birth (dd mm yy) UPN Sex (m/f)
other clinica	ally significant coexisting disease or organ impairment:
□ no □	yes, please specify:  • severe bleeding
intensive ca	re measures:
□no □	yes, please state indication:  • assisted ventilation
admittance t	to ICU?
☐ no	□ yes
Best respo	nse to SCT
continue CR achie no remis	eved: date CR achieved:
Treatment a	after SCT
□ no	yes, please specify indication:  • no remission
Cytokines in	the post transplant period > day +29 to day +100:
□ no	yes if yes, specify: G-CSF: □ no □ yes other: □ no □ yes, □ starting date □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □
Remission	duration of cytokine treatment:       days status – day 100 or at date of death
Relapse after	

	Autograft (pa				
Patient's nan	ne Registration num	ber date of birth (dd mm yy)			
Molecula	ar status – day 100 or at date of dea	th			
not dor	marker:	please enclose copy of each diagnostic finding			
Survival	status – day 100				
aliv	· · · · · · · · · · · · · · · · · · ·	_     (dd/mm/yy)			
	Performance status criteria (Plea	ase mark the appropriate value)			
Score 100 %	Karnofsky Description (patients elder than 16 years) normal, no complaints, no evidence of disease.	Lansky Description (Patients younger than 16 years) Fully active, normal.			
90%	Able to carry on normal activity; minor signs or symptoms of disease.	Minor restrictions in physically strenuous activity.			
80%	normal activity with effort; some signs or symptoms of disease.	Active, but tires more quickly			
70%	Cares for self, unable to carry on normal activity or do active work.	Both greater restriction of and less time spent in play activity.			
60%	Requires occasional assistance, but is able to care for most of his/her needs	Up and around, but minimal active play, keeps busy with quieter activities.			
50%	Requires considerable assistance and frequent medical care.	Gets dressed, but lies around much of the day; no active play; able to participate in all quiet play and activities.			
40%	Disabled, requires special care and assistance. Severely disabled, hospitalization indicated. Death	Mostly in bed; participates in quiet activities.			
30%	not imminent.  Very sick, hospitalization indicated. Death not	In bed; needs assistance even for quiet play.  Often sleeping; play entirely limited to very passive			
20%	imminent.	activities.			
10%	Moribund, fatal processes progressing rapidly.	no play; does not get out of bed.			
Died ⇒ please fill in an Event form (see page 143)  Date of death:   _     (dd/mm/yy)  Main Cause of death:  Relapse or Progression  Transplantation Related Cause: (tick all that apply)  GVHD  graft failure  pulmonary toxicity  cardiac toxicity  infection  VOD  post transplant lymphoproliferative disorder  other:    Secondary Malignancy  Late Event  Other:    Unknown					
Notes					
Hos	pital Stamp Date (dd mm yy) Na	ame (in block letters) Signature responsible physician			

Version: April 2004
Therapy Study ALCL-Relapse Studienleitung: Prof. Dr. A. Reiter, Universitäts-Klinikum Gießen, Kinderklinik, Päd. Hämatologie und Onkologie, Feulgenstr. 12,
35385 Gießen, Tel.: 0641 - 99-43627 (Studienzentrale); -43626 (Studiendokumentation); Fax: 0641 - 9943629
Follow up – SCT (page 1/8)
Patient's name Registration number date of birth (dd mm yy)
☐ 1 year ☐ 2 years ☐ 3 years ☐ 4 years    years after SCT
Last Follow up Date reported to the study centre:  _              (dd/mm/yy)  Please report on all events occurring only after the above date.
Disease status – 1 year after SCT or at date of death
continued CR no remission relapse: date of relapse: local no yes: BM no yes CNS no yes other: no yes:
Anti-lymphoma treatment after SCT
no   yes, please specify indication:    no remission   no   yes     relapse   no   yes     mixed chimerism   no   yes   (allogeneic SCT only)     other   no   yes:         if yes, kind of treatment:   reduction or discontinuation of immunosuppression   no   ye     (allogeneic SCT only)   no   ye     (allogeneic SCT only)   no   ye     odnor leukocyte infusion (allogeneic SCT only)   no   ye     1.DLI: no. of CD3 or CD   cells:   /kg       (dd/mm/yy)     2.DLI: no. of CD3 or CD   cells:   /kg     (dd/mm/yy)     3.DLI: no. of CD3 or CD   cells:   /kg   (dd/mm/yy)     4.DLI: no. of CD3 or CD   cells:   /kg   (dd/mm/yy)     subsequent SCT   no   yes, date:     (dd/mm/yy)     other treatment   no   yes:
Chronic GvHD (allogeneic SCT only)
no yes, date of onset:  _ _   (dd/mm/yy)   limited   extended   progression from aGvHD:   no   yes  Diagnosis based on:   clinical evidence
histologic evidence

	Fo	llow up – SCT (pa	age 2/8)		
Patient's name		Registration number	date of birth (dd mm y	y)	
Indicate organ invo	lvement o	f chronic GvHD:	<u>                                     </u>		
Skin / Ha		no 🗌 yes, specify:			
Eyes:		no yes, specify:			
Mouth:		] no 🗌 yes, specify:			
Lung:		] no 🗌 yes, specify:			
GI Tract:		no 🗌 yes, specify:			
Liver:		no 🗌 yes, specify:			
UG Trac	t: skeletal: ☐	] no □ yes, specify:   ] no □ yes, specify:			
haemato		] no □yes, specify:   ] no □_yes, specify:			-
other:		no  yes, specify:			
Chronic GvHD Tro			_	(dd/mm/yy)	
Medication	no yes	product name	maximum dose (units)	total duration of t	reatment <b>going</b>
increase of CSA			mg/kg/day	<u>  </u>	
Methylprednisolone			mg/kg/day		
Mycophenolate-Mofetile			mg/kg/day		
Tacrolimus			mg/kg/day		
ALG/ATG			mg/kg/day	<u> </u>	
monoclonal AB			/	<u>  </u>	
Photophoresis					
PUVA					
other			//	1 1	

Follow	up – SCT (pa	age 3/8)	
Patient's name	Registration number	date of birth (dd mm yy)	
Complications / Late Effects			

Please mark the appropriate field for each parameter (maximum value since last Follow up date; see page 1) and complete the data.

**Gastrointestinal toxicity** 

Grade	0	1	2	3	4	n.d.		
Diarrhea	none	2 – 3 stools/day	4 – 6 stools/day or nocturnal stools or light cramps	7-9 stools/day or incontinence or severe cramps	≥ 10 stools/day or bloody diarrhea or TPN necessary			
<b>Vomiting</b> [Number of episodes in 24h]	0	1	2 – 5	6 – 10	> 10 or TPN necessary			
Stomatitis	none	painless ulcers, erythema, or mild soreness in the absence of lesions	painful erythema, edema, or ulcers, but can eat or swollow	painful erythema, edema or ulcers preventing swalloing or requiring IV hydration	TPN because of stomatitis necessary			
Nausea	none	able to eat	oral intake significantly decreased	no significant intake requiring IV fluids	TPN necessary			

Haematologic toxicity

Grade	0	1	2	3	4	n.d.
Granulocytes [G/L]	≥ 2.0	1.5 – < 2.0	1.0 – < 1.5	0.5 – < 1.0	< 0.5	
Hemoglobin [g/dL]	age norm (N)	10.0 – < N	8.0 – < 10.0	6.5 – < 8.0	< 6.5	
Leukocytes [G/L]	≥ 4.0	3.0 - < 4.0	2.0 - < 3.0	1.0 - < 2.0	< 1.0	
Platelets [g/l]	≥ 100	75 – < 100	50 – < 75	10 – < 50	< 10	

**Dermatology/Skin Toxicity** 

Grade	0	1	2	3	4	n.d.
Changes in the skin	none	erythema	dry desquamination, vasculitis, pruritus	moist desquamination,	exfoliative dermatitis, necrosis	
				ulzerations		

**Pulmonary toxicity** 

			<u> </u>			
Grade	0	1	2	3	4	n.d.
FEV <sub>1</sub>	≥ 90% of pretreatment or normal value	≥ 75% - <90% of pretreatment or normal value	≥ 50% - <75% of pretreatment or normal value	≥ 25% - <50% of pretreatment or normal value	<25% of pretreatment or normal value	
Нурохіа	normal	-	decreased O <sub>2</sub> saturation with exercise	decreased O <sub>2</sub> saturation at rest requiring suppl. oxygen	decreased O <sub>2</sub> saturation requiring pressure support (CPAP) or assisted ventilation	
Pneumonitis, pulmonary infiltrates	none	radiographic changes, but asymptomatic or symptoms not requiring steroids	radiographic changes and requiring steroids or diuretics	radiographic changes and requiring oxygen	radiographic changes and requiring assisted ventilation	

		Follow up	- SCT (na	1/2)				
Follow up – SCT (page 4/8)								
Patient's name		Reg 	istration number 	date of birth (dd m	m yy) <u></u>			
Cardiac toxicity								
Grade	0	1	2	3	4	n.d.		
Arrhythmia	none	asymptomatic, not requiring treatment	recurrent, persistent, not reequiring treatment	therapy required	hypotension, ventr. arrhythmia, defibrillation			
Echocardio: LV-SF	≥ 30%	≥ 24% - < 30%	≥ 20% – < 24%	> 15% – < 20%	≤ 15%			
Cardiac function	normal	asymptomatic decline of resting EF of ≥10% but < 20% of baseline value	asymptomatic but resting EF below normal value for lab. or decline of resting EF ≥20% of baseline value	mild CHF, responsive to treatment	severe or refractory CHF or requiring intubation			
	n	He	patic toxicity					
Grade	0	1	2	3	4	n.d.		
Bilirubin	within limits of normal	ULN	> 1.5 – 3.0 x ULN	> 3.0 – 10.0 x ULN	> 10.0 x ULN			
S-GOT/S-GPT	within limits of normal	of > ULN – 2.5 x ULN	> 2.5 – 5.0 x ULN	> 5.0 – 20.0 x ULN	> 20 x ULN			
		R	enal toxicity					
Grade	0	1	2	3	4	n.d.		
Creatinine	within limits of normal	> ULN – 1.5 x ULN	> 1.5 – 3.0 x ULN	> 3.0 – 6.0 x ULN	> 6.0 x ULN			
Creatinine-Clearance [ml/Min./1,73m²]	≥ 90	60 – 89	40 – 59	20 – 39	≤ 19			
Hämaturia	none	microscopic only	intermittent gross bleeding, not clots	persistent gross bleeding or clots; req. catheterization or instrumentation	transfusion required			
Proteinuria [g/l]	none	< 3	3 – 10.0	> 10.0	nephrotic syndrome			
		Neur	ological toxicit	у				
Grade	0	1	2	3	4	n.d.		
Peripheral Neurotoxicity	none	paresthesias, mild subjective weakness	severe paresthesias	unbearable pares- thesias, deficits in motor funct.	paralysis			
Central Neurotoxicity	none	mild somnolence or agitation; drowsiness	somnolence < 50% of time, modrate disorientation	somnolence ≥ 50% of time, severe disorientation, hallucinations	coma, seizures			
		Aller	gy/lmmunology	1				
Grade	0	1	2	3	4	n.d.		
Allergy	none	transient	asymptomatic bronchospasm	symptomatic bronchospasm, serum sickness	anaphylaxis			
		0	cular/Visual					
Grade	0	1	2	3	4	n.d.		
Cataract/ Keratitis	none	asymptomatic cataract, mild keratitis or corneal ulceration	symptomatic cataract or corneal ulceration, glaucoma	massive keratitis, retinopathy, glaucoma	panophthal-mitis, unilateral or bilateral loss of vision			
Osteonecrosis								
Grade	0	1	2	3	4	n.d.		
Osteonecrosis (avascular necrosis)	none	asymptomatic and detected by imaging only	symptomatic and interfering, but not interfering with activities of daily living	symptomatic and interfering with activities of daily living	symptomatic or disabling			

Follow up – SCT (page 5/8)										
Patient's name		Reg	istration number	date of birth (dd m	m yy) 					
Infection toxicity										
Grade	0	1	2	3	4	n.d.				
Fever [°C] Infection	< 38°C none	38 – 39°C mild	> 39 – 40°C moderate, pathogen not identified; IV antibiotics	> 40°C für < 24 Std. severe, pathogen identified; IV antibiotics	> 40°C für ≥ 24 Std.  life-threatening, hypotension					
Only for the 1-year	r-Follow u		oortant infections v	vere present or be	eing treated after					
pathogen identified no, please spesite:	ecify site of systemic localized lungs	f infection:		] other, specify:						
<u>bacterial:</u> ☐ no site:	yes     systemic   localized   lungs	c d:	-	y), specify organis	sm: : <u> </u>					
<ul><li>EE</li><li>He</li><li>Bk</li></ul>	//V infections SV epatitis B epatitis C	n	(dd/mm/y	ease	es es es es, type:   es					
	lungs 🗆		☐ brain ☐	other, specify:						
	☐ yes pergillus sandida ssp ner ☐ systemid ☐ localized ☐ lungs ☐	sp	es es es:	y)  possible [  ] other, specify:	probable 🔲	proven				
parasitic <u>:</u>	☐ systemic ☐ localized	c		y) ] other, specify:						
other clinically										
	s, please severe bl infarction VOD ARDS	specify:	☐ no ☐ no ☐ no ☐ no	☐ yes ☐ yes ☐ yes ☐ yes						

Follow up – SCT (page 6/8)							
Patient's name		Registrat	ion number	date of bir	rth (dd mm yy)    .		
intensive care mea	sures:			1-1-1			
• as:	olease state ind sisted ventilation emodialysis emofiltration ner		☐ yes ☐ yes ☐ yes ☐ yes: [				
admittance to ICU?	?						
□ no □ ye	es						
Complications / L	ate Effects:	Endocrino	ological dia	gnostic	investigation		
Thyroid Gland: sonography done:		☐ No	Yes, sp	ecify:	☐ normal ☐ pathologic		
Laboratory results: parameter T4 fT4 TSH	normal	pathologic	c not do	ne			
hormone substitution	n: No		es				
Gonodal function: parameter LH FSH Prolactin Oestradiol Testosterone hormone substitution	normal	patholog	ic not do	one			
Other Complication	ons / Late Ef	fects					
growth curve doc substitution of gro		□ No	Yes, spe	ecify:	☐ normal ☐ pathologic		
Tanner's stages:	Breast	<u>  </u>	Pubic Hair		Genitals		
<ul><li>for girls: menarch</li><li>for boys: testes' v</li></ul>		□ No    right	Yes	_  left			
<ul> <li>continuous alope</li> </ul>	cia	□No	Yes				
• Skeletal abnorma (e.g. necrosis of t if yes, specify:		□ No ad)	□Yes				
• additional late efficiency, specify:	ects:	□No	Yes				

ALCL-Relapse 140 Documentation forms

Follow up – SCT (page 7/8)													
Patien I	t's name			Registratio	n number _II	date of t	oirth (d   .	d mm yy)   _	1				
Last	chimerism	result (all	ogeneic SC	Γ only)									
no	t done	☐ done											
f done, please specify results:													
PB/	Date of ex-	If sorted,	% of donor	Method	Laboratory		Treatment						
ВМ	amination	type of cells	cells	(FISH, VNTR, other)	(city)	(city) Immunosuppres				stopped	DLI		
S000	ndom/ moli	ananav.	•										
Seco	ndary mali	gnancy											
n n	о 🗌 ує	es, please	fill in an I	Event form	(see page	143)							
Survi	val status												
Alive		Date of last	t follow u	p:   <u>     </u>	_   _ _	   <u> </u>	_  (dd	d/mm/yy	/)				
	ı	Performan	ce statu	s criteria	(Please tick	the app	ropriat	e value)					
Saa		Karnofsk (patients eld	xy Descripti ler than 16			Lansky Description (Patients younger than 16 years)							
Sco 100		o complaints, r	no evidence	of disease.	Fully activ	Fully active, normal.							
90		erry on normal a	activity; mind	or signs or	Minor res	Minor restrictions in physically strenuous activity.							
80	80 Normal activity with effort; some signs or symptoms of disease.			s of Active, bu	f Active, but tires more quickly.								
70		Cares for self, unable to carry on normal activity of active work.			do Both grea								
60	Requires	occasional ass s/her needs	sistance, but	is able to care			minima	al active pl	ay, keep	s busy w	rith		
50		Requires considerable assistance and frequent			Gets dres	Gets dressed, but lies around much of the day; no active play; able to participate in all quiet play and activities.							
40	Disabled,	requires specia			Mostly in	bed; parti	cipates	in quiet ac	tivities.				
30	not immin					In bed; needs assistance even for quiet play.							
20		Very sick, hospitalization indicated. Death not imminent.					Often sleeping; play entirely limited to very passive activities.						

No play; does not get out of bed.

Moribund, fatal processes progressing rapidly.

10

	Follow up – SCT (page 8/8)
Patient's name	Registration number date of birth (dd mm yy)
Dat	fill in an Event form (see page 143) e of death:
Notes	
Hospital Stamp	Date (dd mm yy)  Name (in block letters)  responsible physician

	Therapy Study ALCL-Relapse	April 2004					
Studienleitung: Prof. Dr. A. Reiter, Universitäts-Klinikum Gießen, Kinderklinik, Päd. Hämatologie und Onkologie, Feulgenstr. 12, 35385 Gießen, Tel.: 0641 - 99-43627 (Studienzentrale); -43626 (Studiendokumentation); Fax: 0641 - 9943629							
	Follow up - Vinblastine						
Patient's name	Registration number date of birth (dd mm yy)						
☐ 1 year ☐ 2 year	rs   3 years   4 years    years after end	of VBL					
	eported to the study centre:   _            (dd/mm/y	/y)					
Disease and Survival Status							
Alive?	⇒ date of last follow up:              (dd/mm/yy)						
Event (relapse / progression no no yes =	n, secondary malignancy, late event, death) occurred?  Please fill in an event form!						
Late Effects							
Late effect(s):	no yes Date of 1 <sup>st</sup> occurrence Specification of late effect(s)	NCI-					
<ul> <li>cardiovascular system</li> <li>CNS / peripheral nerves</li> <li>endocrinology</li> <li>lung / respiratory tract</li> <li>psychosocial late effects</li> <li>kidney / urinary tract</li> <li>sensory organs</li> <li>musculoskeletal system</li> <li>liver</li> <li>skin</li> <li>haematology</li> <li>gastrointestinal tract</li> <li>other</li> </ul>	(dd mm yy)	Grade					
Notes / Specification of	late Effects						
Hospital Stamp D	Date (dd mm yy)  Name (in block letters)  responsible physician	Э					

Version: April 2004

Therapy Study ALCL-Relapse

Studienleitung: Prof. Dr. A. Reiter, Universitäts-Klinikum Gießen, Kinderklinik, Päd. Hämatologie und Onkologie, Feulgenstr. 12, 35385 Gießen, Tel.: 0641 - 99-43627 (Studienzentrale): -43626 (Studiendokumentation): Fax: 0641 - 9943629

35385 Gielsen, Tel.: 0641 - 99-43627 (Studie	nzentraie); -	43626 (Studiendoki	umentation); Fax: 0641 - 9943629			
Events should be sent at lastest two weeks after occurrence of any event						
Surname (or initial):	Fi	rst name (or initia	al):			
Date of birth:   _ . _ . _  (dd mm yy)	Re	egistration numbe	er:   _ _  (if known)			
Treatment Arm ☐ Arm 1 ☐ Arm 2a ☐	Arm 2b	☐ Arm 3	Arm 4 (see chapter 7, page 26)			
<b>Progression</b> □ no □ yes, at	. _	_  .   (dd m	nm yy)			
Localisation(s) of progression:						
bone marrow	☐ no	☐ yes				
• CNS	☐ no	☐ yes				
• testes	☐ no	☐ yes				
reappearance or increase of residuals	☐ no	☐ yes:				
<ul> <li>appearance of new location(s)</li> </ul>	☐ no					
Therapy of progression planned/done?	☐ no	☐ yes:				
Second Malignancy no yes, at	_ _ . _	_  .   (dd m	nm yy)			
Diagnosis: 🔲 AML 🔲 MDS other:						
Therapy after diagnosis of second maligna	-					
☐ no ☐ yes:						
Late Event □ no □ yes, at (malignancy more than 3 years after diagnosis of relapsed ALC Diagnosis: Therapy after diagnosis of Late Event □ no □ yes:	L; no different	• =				
Patient died no yes, at	.	.    (dd m	nm yy) Please fill in an Follow up form			
Autopsy:  no yes						
Reason for death:						
☐ caused by progression of lymphoma						
☐ caused by therapy complications						
☐ chemotherapy-related causes						
☐ transplantation-related causes						
<ul> <li>GvHD</li> </ul>		🗖 no	☐ yes			
graft failure		no no	☐ yes			
<ul><li>pulmonary toxicities</li><li>cardiac toxicities</li></ul>		☐ no ☐ no	□ yes □ yes			
<ul><li>cardiac toxicities</li><li>infection</li></ul>		no no	☐ yes			
<ul> <li>veno occlusive disorder (VOD)</li> </ul>		no no	☐ yes			
<ul> <li>posttransplant lymphoproliferati</li> </ul>	ve disorde		☐ yes			
• unknown		☐ no	☐ yes			
• other		☐ no	☐ yes, please specify:			
☐ caused by other reasons:						
Date of last examination             (dd mm yy)						
Notes:						

Hospital Stamp

Version: April 2004

Therapy Study ALCL-Relapse
Studienleitung: Prof. Dr. A. Reiter, Universitäts-Klinikum Gießen, Kinderklinik, Päd. Hämatologie und Onkologie, Feulgenstr. 12, 35385 Gießen, Tel.: 0641 - 99-43627 (Studienzentrale); -43626 (Studiendokumentation); Fax: 0641 - 9943629

	Ple			<b>Vents (SAE)</b> Idy Centre within 48						
Surr	name (or initial):			me (or initial):						
Date	e of birth:   _ .  . _	(dd mm yy)	Registra	ation number:   _		(if	know	n)		
Rea	sons for SAE Report									
<ul> <li>death of the patient please fill in the "Event" form and send it to the responsible data centre</li> <li>life-threatening event</li> <li>impairment of further therapy as per instruction of the protocol</li> <li>unscheduled in-patient hospitalisation or prolongation of hospitalisation</li> <li>persistent significant disability or incapacity</li> <li>medically significant event or an event which requires intervention to prevent one or other of the outcomes listed above</li> <li>unexpected, severe side effects, which can not be documented on the toxicity form</li> </ul>										
NCI-	-CTC toxicity grading of S	<b>AE</b> : □ 1 □ 2	<b>□</b> 3 <b>□</b> 4 <b>□</b>	unknown / not to	arrang	е				
Duri Plea	inning resp. detection of the inglaster therapy element:  ase describe the event and aptoms, localisation, laboratory re	☐ 1 <sup>st</sup> CC ☐ 2 <sup>r</sup> ☐ after SCT ☐	old CC	A ICM II						
Med	lication at the occurrence	of the SAF								_
N°	Medicament	Daily dosage	Application	Dates of therapy (from/to)	Relatio	nship b nulikely	betwee	probable probable	ication :	insufficient data to assess the data to assess
1. 2.										
3.							0			
4.							) [	]	)	
5. 6.										
7.										
8.										
9. 10.										
•	Is there a relationship poss administered before the SA Has (have) one (or several Did reaction abate after sto Has (have) one (or several Did reaction reappear after Was (were) the dosage(s) of	E occurred? ) treatment(s) been pping the treatmen ) treatment(s) been reintroduction?	stopped? t(s)?	ion	/es, /es, N° /es, N° /es, N°	: : : :				
Acc	ording to your opinion, S	AE is related to:							-	
□ T	Disease aggravation, which reatment according to Prote Other known or suspected c	ocol ALCL-Relapse	9	☐ Other o					s)	
	Ongoing Death due to the SAE	☐ Death unco	without after-eff	e SAE						
Date	e of recovering or of death:	<u>  . _ .  </u>	(dd mm yy)	<u>or</u> □ not app	licable	(still c	ngoi	ng)		

Hospital Stamp

Date (dd mm yy)

Name (in block letters)

Signature

Version: April 2004

## Therapy Study ALCL-Relapse

Studienleitung: Prof. Dr. A. Reiter, Universitäts-Klinikum Gießen, Kinderklinik, Päd. Hämatologie und Onkologie, Feulgenstr. 12, 35385 Gießen, Tel.: 0641 - 99-43627 (Studienzentrale); -43626 (Studiendokumentation); Fax: 0641 - 9943629

## Histopathological and Immunohistochemical Review page 1/2 (form to be completed by the reference pathology center)

Surname (or initial): First name (or initial): Date of birth: |\_\_|.|\_|.|\_ (dd mm yy) Registration number: | \_ | \_ | \_ | (if known) Local histology number ..... Date of review ..... For the national review, name of the reviewer Review histology number Site of biopsy: Lymph node ...... no (0) yes (1) Skin ...... no (0) yes (1) Soft tissue mass ...... no (0) yes (1) Other ...... no (0) yes (1) Bone marrow biopsy ..... negative (0) positive (1) ND(X) If positive ..... no HE (0) after IHC (1) Diagnosis: ALCL ...... 1 Specify: ..... Subtype of ALCL according to WHO classification<sup>43</sup>: Classical ......1 Giant cell ......2 Lymphohistiocytic ......4 Hodgkin's like ......5 Component (example: for Classical + small cell + Lymphohisticcytic = 1-3-4)..... Specify ..... Unclassifiable ......8 Other Morphologic parameters: Perivascular pattern .....no (0) Specify .....

Histopathologic					view page 2/2
Patient's name		egistration nu	erence patholo mber date	of birth (dd mr	m yy)
		<u></u>	_	<u> </u>	<u> </u>   
Immunophenotype on paraffin (Mandatory antibodies) :	embedded	tissue			
Alk0 (0)	, ,	, ,	+++ (3)	, ,	<u> </u>
	r and cytopl ismic restric			1 2	
Cytopla	ismic with n	nembrane re	einforcement		
Restrict	ted to the m	embrane			
CD300 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	
CD 20 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	
CD 30 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	
CD 50 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u> </u>
CD 200 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u> </u>
CD 430 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u> </u>
CD 560 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u> </u>
Perforin0 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u> </u>
Granzyme B0 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u> </u>
Other mandatory antibodies in	Alk negati	ve cases :			
EMA0 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	
bcl-20 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	
CD 150 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	_
CLA (CD45)0 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u>  </u>
Optional antibodies :					
Cytotoxic markers :					
Tia 10 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u>                                     </u>
T cell markers :					
CD 40 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u>                                     </u>
CD 70 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u>                                     </u>
CD 80 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u>                                     </u>
B cell markers :					
CD 79a0 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u>                                     </u>
CD 220 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u>                                     </u>
Other antibodies :					
UCHL10 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u>                                     </u>
ß-F10 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u>                                     </u>
LMP 10 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u>                                     </u>
CD68/KP1 or PGM10 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	<u>  </u>
0 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	
0 (0)	+ (1)	++ (2)	+++ (3)	ND (4)	
Cell lineage :					
null (0)	T/NK (	1) B (2)	Undeter	minate (3)	

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## **Therapy Study ALCL-Relapse**

	zentrale); -43626 (Studiendokumentation); Fax: 0641 - 9943629
	Genetics
Surname (or initial):	First name (or initial):
Date of birth:   _ .  .   (dd mm yy)	Registration number:   _ _ (if known)
Use further	forms, if necessary!
Cytogenetic result:	
Kind of material:	Date of biopsy/puncture:   _ . _ . _  (dd mm yy)
culture time 🛘 24 h 🖾 48 h Specime	en-/Entrance-number:   _ _ _ _
Results: ☑ no evaluable metaphases	
☐ number of evaluated metaphases:	
Karyotype (according to ISCN95):	
FISH:	
	Data of his and house to be all the late of the
Kind of material:	Date of biopsy/puncture:   _ .  .   (dd mm yy)
culture time 🛘 24 h 🖾 48 h Specime	en-/Entrance-number:   _ _ _ _ _
analysed chromosomal region:	
used probes:	
number of analysed	_
result:	negative  positive in  _ _  % of cells
Results of molecular genetics:	
Kind of material:	Date of biopsy/puncture:   _ . _ . _  (dd mm yy)
Specimen-/Entrance-number:   _ _ _ _	
analysed gene rearrangement:	
	□ positive
	_ poso

Date (dd mm yy)

Stamp

148 Contract of participation ALCL-Relapse

## 18.7 Contract of participation (clinic)

## Contract of participation in the study ALCL-Relapse

Hospital (stamp):

Investigator			
Name:			
		e-mail:	
Investigator represe	entative		
Name:			
Phone:	Fax:	e-mail:	
Investigator represe	entative		
Name:			
Phone:	Fax:	e-mail:	
Contact person for	study affairs and mail		
Name:			
		e-mail:	
Data Management			
Name:			
		e-mail:	
understood the requirestudy according to declaration of Helsi document verification accordance to valid relagree to inform the	rements and conditions of this protocol, the interninki (version 2002) and an and inspection of the stude gulations.  I study chair on problems in 48 hours of any clinical actions.	udy protocol ALCL-Relapse. He study protocol, I agree to per ational good clinical practice regulatory authority requiremently. Further I will archive the study of diagnostic and therapeutic deductives event that is serious, who	form the clinical principles, the ents for source dy documents in cision making. I
Investigator:			
Date and S			
Investigator represen	Date and Signature		
Investigator represen	Date and Signature		
I agree to send diagn	ostic material to the nation	al reference pathology and cytor	norphology:
Pathologist:			
Name	Date	and	Signature

## 18.8 Participating groups and centres

## Principal investigators of the study groups/national reference centres

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PPLLSG	Study	Paediatric Oncologist	Data	Statistician	Pathologist
Name	Group		Management		
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Oncology & Haematology   UI. Bujwida 44   P-50345 Wroclaw   P-50345 Wroclaw   P-50345 Wroclaw   O0-576 Warsaw   O0-576 Warsa	Name	Grazyna Wrobel			Jadwiga Maldyk
Fax         0048 71 3282040         0048 22 629 10 40           L-mail         Miln@pedhemat.am.wroc.pl         dedu.pl           SFCE           Name         Address         Dept. de Pediatrie Institut Gustave Roussy 39 rue C. Desmoulins 94 805 Villejuife Cedex         P. Brousset           Phone Fax         0033 1 42 11 41 89         P. Brousset           SHOP         SHOP           Name         Address           Address         Dept. of Peadiatric Oncology: Addenbrookes NHS Trust, Hills Road, Cambridge CB2           Phone Fax E-mail         Uited Kingdom Fax Cambridge CB2           Phone Fax E-mail         United Kingdom Fax Cambridge CB2	Address	Oncology & Haematology UI. Bujwida 44			Children's Hospital Marszalkowska 24
E-mail klin@pedhemat.am.wroc.pl Gwrobel@pwr.wroc.pl  SFCE  Name Address Dept. de Pediatrie Institut Gustave Roussy 39 rue C. Desmoulins 94 805 Villejuife Cedex  Phone Fax 0033 1 42 11 41 89 E-mail 0033 1 42 11 52 75 brugiere@igr.fr  SHOP Name Address Phone Fax E-mail UKCCSG Name Denise Williams Address Dept. of Peadiatric Oncology; Addenbrookes NHS Trust, Hills Road, Cambridge CB2 United Kingdom Fax E-mail Ucided Kingdom Fax E-mail United Kingdom Fax E-mail United Kingdom Fax E-mail United Kingdom Fax E-mail Uenice Williams Addense Williams	Phone	0048 71 3282040			0048 22 629 10 40
SFCE Name Address Dept. de Pediatrie Institut Gustave Roussy 39 rue C. Desmoulins 94 805 Villejuife Cedex Phone Fax 0033 1 42 11 41 89 E-mail Dept. de Pediatrie Institut Gustave Roussy 39 rue C. Desmoulins 94 805 Villejuife Cedex Phone Fax E-mail Dept. de Pediatrie Dept. de Pediatrie Dougiere@igr.fr  SHOP Name Address Dept. of Peadiatric Oncology; Addenbrookes NHS Trust, Hills Road, Cambridge CB2 United Kingdom Fax E-mail Uenie Kingdom Fax E-mail denise.williams@	Fax				
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Phone Fax	Address	Institut Gustave Roussy 39 rue C. Desmoulins			
E-mail 0033 1 42 11 52 75 brugiere@igr.fr  SHOP  Name  Address  Phone Fax E-mail  UKCCSG  Name  Denise Williams  Address  Dept. Of Peadiatric Oncology; Addenbrookes NHS Trust, Hills Road, Cambridge CB2 United Kingdom Fax E-mail  Uhited Kingdom Fax E-mail denise.williams@	Phone	o i oco vinojano ocacx			
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		denise williams@			
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		52057 Aachen	
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BB BERLIN-BUCH	Herr Dr. W. Dörffel	Klinikum Berlin-Buch II. Kinderklinik Bereich Onkologie/Allg. Pädiatrie Wiltbergstr. 50	Tel.: 030/9401-2359 Fax: 030/9401-4520
		13122 Berlin	
BI BIELEFELD	Herr Dr. N. Jorch	Kinderklinik Gilead Hämatologie - Onkologie Grenzweg 10	Tel.: 0521/144-2712 Fax: 0521/144-6032
		33617 Bielefeld-Bethel	
BL BASEL	Herr Prof. P. Imbach	Baseler Kinderspital Pädiatrische Onkologie/Hämatologie Römergasse 8	Tel.: 0041-61/685-6226 Fax: 0041-61/685-6003
BN	Herr Prof. Dr. U. Bode	CH - 4005 Basel Zentrum für Kinderheilkunde	Tel.: 0228/287-3215
BONN		der Universität Bonn Abt. Päd. Hämatologie/Onkologie Adenauerallee 119	Fax: 0228/287-3220
		53113 Bonn	
BR BRNO	Herr PD. Dr.J. Sterba	Department of Pediatric Oncology University Hospital Brno Černopolní 9	Tel: +420-545122614 Fax: +420-545122614
		CZ - 662 63 Brno	
BS BRAUNSCHWEIG	Herr Prof. Dr. G. Mau	Städtisches Krankenhaus Kinderklinik Holwedestr. 16	Tel.: 0531/595-1424 Fax: 0531/595-1400
		38118 Braunschweig	

C CHEMNITZ	Herr OA Dr. K. Hofmann	Klinikum Chemnitz GmbH Klinik für Kinder- und Jugendmedizin Station F 230 Flemmingstr. 4 PF - 948	Tel.: Fax:	0371/33324-124 0371/33324-125
		09009 Chemnitz		
COTTBUS	Frau Dr. D. Möbius	Carl-Thiem-Klinikum Kinderklinik Abt. Hämatologie/Onkologie Thiemstr. 111 03048 Cottbus	Tel.: Fax:	0355/46-2332 0355/46-2077
<u> </u>	Ham Brot Do H. Okhal		T-1-	0044 044 7000
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Gießen, den 6. Mai 2004 Az.: Prof.Fed./Erb

Unser Zeichen: 56/04 Sitzung am: 29.04.2004

#### Ethik-Kommission, Gaffkvatr, 11c, D-35385 Gloßen

Herrn
Prof. Dr. A. Reiter
Zentrum für Kinderheilkunde und Jugendmedizin
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Titel: Treatment protocol for relapsed anaplastic large cell lymphoma of childhood and adolescence.

An European Inter-group Co-operation on Childhood Non-Hodgkin Lymphome (EICNHL);
International Multicentre Therapy Studie.

Es handelt sich um eine Erstbegutachtung für den Lelter der Klinischen Prüfung (LKP) Es handelt sich um eine Anschlussbegutachtung

X

Eingesandte Unterlagen:

Sr Formalisierter Antrag

Denkschrift der Deutschen Krebsgesellschaft e.V. und der Deutschen Krebeshilfs e.V. betr. Therapisoptimierungsprüfung, Verslon April 1999

Ausführliche Darstellung des Vorhabens (Studienprotokoli, Version I, April 2004)

Patlenten/Probanden-Information und Einwilligungserklärung der Patienten/Probanden an der Therapiestudie ALCL-Relapse, für allogene Blutstammzelltransplantation von HLA passenden Spendern, für allogene Blutstammzelltransplantation von Spendern mit unterschiedlichen Gewebsmerkmelen, für autologe Blutstammzelltransplantation, jeweils Version April 2004

Der Antrag wurde unter ethischen, medizinisch-wissenschaftlichen und rechtlichen Gesichtspunkten geprüft. Soweit betreffend, wurde das auf Selte 2 wiedergegebene Protokoli unter Berücksichtigung des Good Clinical Practice for Trials on Medicinal Products in the European Community (ICH-GCP) erstellt. Es bezieht sich auf die vorgelegte Fassung des Antrags.

Forderungen der Ethik-Kommisslon, sowelt darin aufgeführt, wurden inzwischen erfüllt.	
Sie stimmt dem Vorhaben zu.	×
Sie stimmt dem Vorhaben unter Auflagen zu (siehe S. 2). Sie stimmt dem Vorhaben nicht zu (siehe S. 2).	

Die Ethik-Kommission erwartet, daß Ihr bis 7...2. Ohne Aufforderung ein kurzer Bericht auf beigefügtem (roten) Formblatt übermitteit wird. Er soll mitteilen, ob das Ziel der Studie erreicht wurde, ob ethische, medizinisch-wissenschaftliche oder rechtliche Probleme aufgetreten sind, und ob das Ergebnis publiziert ist/wird. Unabhängig davon ist die Ethik-Kommission über alle Änderungen des Prüfplans zu unterrichten. Ihr sind alle schweren unerwünschten Wirkungen mitzuteilen, soweit sie im Bereich der Zuständigkeit dieser Ethik-Kommission aufgetreten sind. Bei überregionalen Studien sind sie auch dem LKP mitzuteilen.

Die ärztliche und juristische Verantwortung des Leiters der klinischen Prüfung und der an der Prüfung tellnehmenden Ärzte bleibt entsprechend der Beratungsfunktion der Ethik-Kommission durch unsere Stellungnahme unberührt.

Seite 2

## Auszug aus dem Protokoll der Kommissionsitzung vom 29.04.2004:

Herr Prof. Dr. Reiter berichtet. Es handelt sich um eine Therapieoptimierungsstudie bei Kindern mit Rezidiv eines großzelligen anaplastischen Non-Hodgkin-Lymphoms (betr. ca. 20% aller betroffenen Kinder), die mit einer Behandlung nach Maßgabe der neuen Studie (konzipiert in Zusammenarbeit mit mehreren europäischen Studiengruppen) eine wesentlich bessere Heilungschance haben (ca. 50%). Für das multizentrische Vorhaben liegt die Prüfungsleitung bei Prof. Reiter, Erstgutachten: Ethik-Kommission Gießen. Sponsor: Carreras-Stiftung und Klinikum der JLU. Ziel der Studie ist es, die Wirksamkeit der neuen Therapiestrategie zu überprüfen, bei der es sich vorwiegend um Chemotherapie (Re-Induktion) handelt mit folgender entweder allogener oder autologer Stammzelltransplantation. Therapiestratifizierung anhand folgender Parameter: Zeitpunkt des Rezidivs, Immunphänotyp des ALCL, Verfügbarkeit eines Stammzellspenders, Verabreichung von Vinblastin in der first line therapy. Hauptziel: 1. Verbesserung des Event free survival für Kinder und Jugendliche mit frühem Progress des ALCL, 2. Beantwortung der Frage, ob bei Patienten mit Rezidiv das Koordinierungsregime BEAM (ohne Ganzkörperbestrahlung) vor autologer Stammzelltransplantation wirksam ist, Überprüfung der Wirksamkeit einer Vinblastin-Dauertherapie bei Patienten, die Vinblastin noch nicht in der first line-Therapie erhalten hatten, an einem ALCL mit CD3 negativem Immunphänotyp leiden und sehr spät rezidivieren, Therapiedauer 6-24

Die Studie wird ausführlich von Herrn Prof. Reiter dargelegt und von der Kommission diskutiert. Ihr liegt eine sehr sorgfältige und ausführliche Planung zugrunde. Die Kommission gibt ein positives Votum ab.

(Ende)

(Prof. Dr. Drs. h.c. K. Federlin)

Die Namen der bei dieser Sitzung anwesenden Mitglieder sind durch Unterstreichung hervorgehoben.

Mitglieder: Frau Dr. Blütters-Sawatzki (Pädlatrie); Dr. Bödeker (Informatik); Prof. Breithaupt (Klinische Pharmakologie); Herr Brumherd (Pharmazie); Prof. Federlin, Vorsitzender (Innere Medizin); Prof. Schapp (Bürgerliches Recht); Prof. Schmidt (Pharmakologie), Prof. Schwemmie, stv. Vorsitzender (Chirurgie); Prof. Weiler (Rechtsmedizin).

Vertreter: Prof. Dudeck (Informatik); Dr. Gödicke (Bürgerliches Recht); Frau Prof. Kemkes-Matthes (Innere Medizin); Frau Kreckel (Pharmazis); Prof. Künzel (Gynakologie); Prof. Lasch (Innere Medizin); Dr. Repp (Pharmakologie), PD Dr. Riße (Rechtsmedizin).

160 References ALCL-Relapse

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#### 21 Index of abbreviations

AB antibody

AIEOP Associazione Italiana di Ematologia ed Oncologia Pediatrica

ANC absolute neutrophils count

ARA-C Cytarabin

ATG anti human lymphocyte immunglobulines

BFM Berlin-Frankfurt-Münster

BM bone marrow

BMP bone marrow puncture BSA body surface area

Ca calcium

CGH Comparative Genomic Hybridization

CHF congestive heart failure
CI confidence interval
CMV cytomegalovirus
CNS central nervous system
CR complete remission
CRT cranial radiation therapy
CsA Cyclosporine A

CSF Cyclosporine A Cyclosporine A Cyclosporine A

CT computerized tomography

d day

DCOG Dutch Childhood Oncology Group

DFS disease free survival

DIC disseminated intravascular coagulation
DMC Data Safety and Monitoring Committee

EBMT European Group for blood and marrow transplantation

EBV Epstein-Barr virus ECG electrocardiogram

ECOG Eastern Co-operative Oncology Group
ECOP extracorporal photo-immunotherapy
EDTA ethylenediaminetetracetic acid

EF ejection fraction
EFI event-free interval
EFS event-free survival

EFS<sub>c</sub> conditional event free survival

EORTC European Organisation for research and treatment of cancer FAB French-American-British (cytomorphological classification)

FACS fluorescence-activeted cell sorter

FFP fresh frozen plasma

FK 506 Tacrolimus

FPIA Flourescence-phosphor-immunoassay

GCP good clinical practice

G-CSF granulocytes colony-stimulating factor

GFR glomerular filtration rate

Glc glucose

GPOH Gesellschaft für Pädiatrische Hämatologie und Onkologie

GPT glutamic-pyruvic-transaminase GvHD graft versus host disease

Gy Gray
h hour
HD high-dose

HEPA high-efficiency particulate air filtration HIV human immunodeficiency virus

HLA human leucocyte antigen

i.m. intramusculari.t. intrathecaliv intravenouskg kilogram

LDH lacate dehydrogenase
LLN lower limit of normal
LP lumbar puncture
LRT local radiotherapy
MD matched donor

MDD minimally disseminated disease

MFD matched family donor

mg milligram ml millilitre

MMD mismatched donor MMF Mycophenolat-Mofetil Mismatched family donor **MMFD MMUD** mismatched unrelated donor **MRD** minimal residual disease MRI magnetic resonance imaging MSD matched sibling donor MUD matched unrelated donor

N number Na sodium NC nucleated cell

NCI-CTC National Cancer Institute-Common Toxicity Criteria
NOPHO Nordic Society of Pediatric Haematology and Oncology

OS overall survival P phosphate p.a. posterior-anterior

PCR Polymerase chain reaction

p.o. per os

pEFS probability of event-free survival pOS probability of overall survival

PPLLSG Polish Paediatric Leukaemia/Lymphoma Study Group

pts patients s.c. subcutaneous

SAE serious adverse events

SC stem cell

SCT stem cell transplantation

SD single dose SE standard deviation

SELDI surface enhanced laser desorption/ionization

SF shortening fraction

SFCE Société Française des Cancers de l'Enfant TPM-SMZ Trimethoprim-Sulfamethoxazole (Cotrim)

TPN total parenteral nutrition
TRM treatment related mortality
TBI total body irradiation

U units

UKCCSG United Kingdom Children Cancer Study Group

UNL upper normal limit
WBC white blood cell/count
WNL within normal limits

#### 22 Index

#### Α

Aciclovir 36
Acute toxicity 107
adverse events 51
Adverse Events 107
allogenic SCT 40
Allograft 109
amendments 53
Announcement of SCT 102
anti-emetic 39
Autograft 123

#### В

Background 12, 56 BFM-Germany / Switzerland/Czechia 149 Blood 22 Bone marrow 22 Bone marrow involvement 23 BSA 30

#### C

cerebrospinal fluid 23
chimerism 25
Classification of therapeutic groups 29
CMV 39
CMV status 40
CNS involvement 23
Conditioning 43, 45, 46, 48, 49, 50
consent forms 71
contract of participation 62, 148
Course CC 31, 103
Course CVA 32, 104
Course ICI 34, 106
Course ICM 33, 105
Cytogenetics 20, 59

#### D

data collection 54
Declaration of Helsinki 63
design of the study 16
diagnostic procedures 20
diagnostics 20
Disease evaluation 96, 98, 99, 100
DMC 54
documentation forms 95
Donor hierarchy 41
donor stimulation 42, 46
dose calculation 30
Drugs 48

#### Ε

Early relapse 28 ethical committee 18 Events 51, 143

#### F

Fever with Neutropenia 37 fine-needle biopsy 20 Follow Up SCT 134 Follow Up Vinblastine 142 Follow-up 25, 55 Forms 54 fresh tumour material 20 Funding 58

#### G

Ganciclovir 39 Gene expression profiling 59 Genetics 147 GvHD 45

#### Н

HLA-Typing 40

immunodeficiency 19
Infection prophylaxis 36
informed consent 19
insurance 58
Irradiation 43
Isolation 38

#### K

Karnofsky 23

#### L

Laboratory for tumor cell processing and banking 5, 70 late effects 27 Liquor 22 local research ethical committee 18

#### М

manipulation 42, 46 Matched Donor 40 Matched Sibling Donor 40 164 Index ALCL-Relapse

Matrix comparative genomic hybridisation 20, 59
MD 40
minimal residual disease 25
Mismatched Donor 40
MMD 40
MRD 20, 59
MSD 40

#### Ν

national study committee 6

#### 0

organ involvement 23 organisational aspects 53

#### Ρ

pain therapy 39
participating centres 149
participating groups 18, 62, 149
pathological review 19
Pathological Review 145, 146
Patient eligibility 19
patient information 58, 62, 71
Performance Status 23
Pleural effusion / Ascites 22
Pneumicysis carinii 36
pregnancy 19
previous transplantation 19
Processing of tumour material 22
Proteomics studies 20
publication rules 59, 61

#### R

Rationale 12 Reference genetics 4, 5, 69, 70 Reference pathology 4, 69 Referenzpathologie 4, 69 Registration 97 research projects 59

#### S

Salvage chemotherapy 31 Sc-donor selection 40, 101 SCT 38 serious adverse events 51 Serious Adverse Events 144 Serum 22 Skin involvement 24 St. Jude's Classification 24 Stage 24 Staging 23 statistical considerations 56 Stem cell transplantation 38 Stem cells 42, 46 Study Chair 3 study objectives 16 Substitution of blood products 36 Supportive care 36, 38 Supportive therapy 36 Synopsis 11

# T TBI 43 TBI/Thio/Eto 43, 46 Time points of follow-up 26 Treatment documentation 103 Tumour touch imprints 22

#### V

Varicella 36 VBL for 24 months 108