

# Product Assurance

Space System Design, MAE 342, Princeton University  
Robert Stengel

- Assembly, Integration, and Verification
- Dependability
- Reliability
- Task Planning
- Quality Assurance



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<http://www.princeton.edu/~stengel/MAE342.html>*

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## Failure Analysis of Cygnus CRS Orb-3 *Orbital Sciences Antares 130*

- Possible causes
  - Manufacturing defect in turbopump Aerojet Rocketdyne AJ-130 motor
    - Refurbished Energomash NK-33 motor from stockpile
    - Built in 1970s
  - Design flaw in hydraulic balance assembly and thrust bearings



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# Assemble, Integrate, and Verify

- Assemble
  - Build spacecraft
- Integrate
  - Make it function
- Verify
  - Demonstrate compliance with goals
    - Qualification of design
    - Acceptance of hardware
  - Methods
    - Test
    - Analysis
    - Inspection
    - Design Review
  - System Level
    - Spacecraft
    - Module or sub-system
    - Unit
    - Equipment or component

Fortescue, Ch. 17

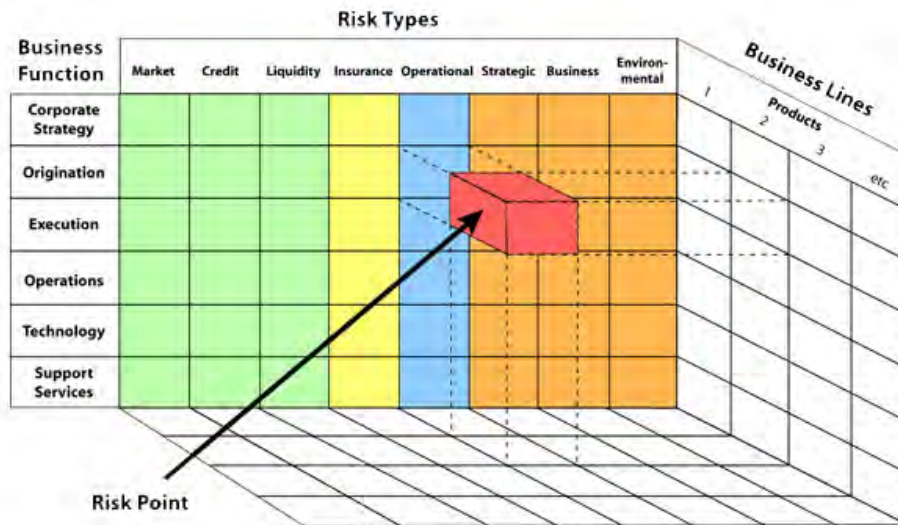
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## Manage Risk



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# Classify Risk



<http://www.riskbusinessamericas.com/Public.IndustryRiskProfiles.aspx>

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## Assess Risk

		Process Risks				Conduct Risks				External Risks			
		Execution, Delivery and Process Management		Business Disruption		Clients, Products and Business Practices		Internal Fraud and Theft		Employment Practices and Labor Safety		Damage to Assets	
		Transaction Management	Data Management	Reporting and Disclosure	Infrastructure and Systems	Financial	Improper Practices	Unauthorized Market Activity	Internal Fraud and Theft	Disability and Discrimination	Employee Relations	Safe Environment	Natural Disaster and Accident
		1	2	3	4	5	6	7	8	9	10	11	12
Origination	Product or Service Development and Suitability	16	17	18	19	20	21	22	23	24	25	26	27
	Relationship Management	17	18	19	20	21	22	23	24	25	26	27	28
	Credit Review and Approval	18	19	20	21	22	23	24	25	26	27	28	29
	Models and Methodologies	19	20	21	22	23	24	25	26	27	28	29	30
	Research	20	21	22	23	24	25	26	27	28	29	30	31
Execution	Advisory Services	21	22	23	24	25	26	27	28	29	30	31	32
	Custom or Structured Transaction Requirements	22	23	24	25	26	27	28	29	30	31	32	33
	Pricing and Quotations	23	24	25	26	27	28	29	30	31	32	33	34
	Limits and Facility Checking	24	25	26	27	28	29	30	31	32	33	34	35
	Instruction or Order Management	25	26	27	28	29	30	31	32	33	34	35	36
Processing and Operations	Reference Data Creation and Maintenance	26	27	28	29	30	31	32	33	34	35	36	37
	Transaction/Fees Capture and Record Update	27	28	29	30	31	32	33	34	35	36	37	38
	Confirm/Adm/Matching and Documentation	28	29	30	31	32	33	34	35	36	37	38	39
	Transaction Maintenance and Administration	29	30	31	32	33	34	35	36	37	38	39	40
	Interest Calculation and Application	30	31	32	33	34	35	36	37	38	39	40	41
	Client/Customer Valuation and Reporting	31	32	33	34	35	36	37	38	39	40	41	42
	Internal Valuation	32	33	34	35	36	37	38	39	40	41	42	43
	Trust and Fiduciary Administration	33	34	35	36	37	38	39	40	41	42	43	44
	Collateral/Margins/Netting	34	35	36	37	38	39	40	41	42	43	44	45
	Payment/Settlement/Collection (cash/escrowed)	35	36	37	38	39	40	41	42	43	44	45	46
	Custody and Actions (including assets)	36	37	38	39	40	41	42	43	44	45	46	47
	Asset Maturity and Disposals	37	38	39	40	41	42	43	44	45	46	47	48
	Reconciliation and Resolution	38	39	40	41	42	43	44	45	46	47	48	49
	Workdays and Credit Recoveries	39	40	41	42	43	44	45	46	47	48	49	50
	Cash Management	40	41	42	43	44	45	46	47	48	49	50	51
	Transaction Accounting	41	42	43	44	45	46	47	48	49	50	51	52
Business Continuity	Planning, Training, Testing, Execution	42	43	44	45	46	47	48	49	50	51	52	53
	Development, Implementation & Project Mgmt	43	44	45	46	47	48	49	50	51	52	53	54
	Infrastructure, Networks & Maintenance	44	45	46	47	48	49	50	51	52	53	54	55
Technology	IT Security	45	46	47	48	49	50	51	52	53	54	55	56
	Disaster Recovery	46	47	48	49	50	51	52	53	54	55	56	57
	Financial Reporting	47	48	49	50	51	52	53	54	55	56	57	58
Finance	Taxation	48	49	50	51	52	53	54	55	56	57	58	59
	Regulatory Reporting	49	50	51	52	53	54	55	56	57	58	59	60
	Policy, Surveillance and Monitoring	50	51	52	53	54	55	56	57	58	59	60	61
Oversight	Legal Advisory	51	52	53	54	55	56	57	58	59	60	61	62
	Litigation Management	52	53	54	55	56	57	58	59	60	61	62	63
	Audit and Investigation	53	54	55	56	57	58	59	60	61	62	63	64
Human Resources	Recruitment and Training	54	55	56	57	58	59	60	61	62	63	64	65
	Appraisal and Termination	55	56	57	58	59	60	61	62	63	64	65	66
	Remuneration, Expenses and Payroll	56	57	58	59	60	61	62	63	64	65	66	67
Corporate Services	Physical Security	57	58	59	60	61	62	63	64	65	66	67	68
	Property and Facilities Management	58	59	60	61	62	63	64	65	66	67	68	69
	Insurance and Recoveries	59	60	61	62	63	64	65	66	67	68	69	70
	3rd Party/Vendor Management	60	61	62	63	64	65	66	67	68	69	70	71

<http://www.riskbusinessamericas.com/Public.IndustryRiskProfiles.aspx>

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# Spacecraft Product Assurance

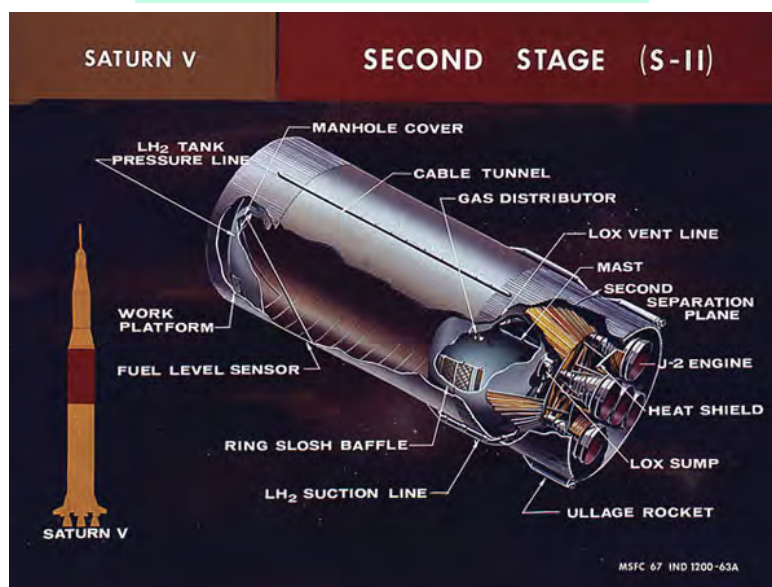
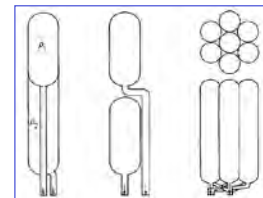
- **Origins**
  - Industrial Revolution
  - Formal quality assurance during WWII
- **Evolution**
  - Standards and certification methods borrowed from USAF, ABMA
  - *See Lecture 24 Course Materials on Blackboard*
- **Special problems**
  - Extremes of operating conditions
  - Length of unattended operation
  - Inaccessibility for maintenance

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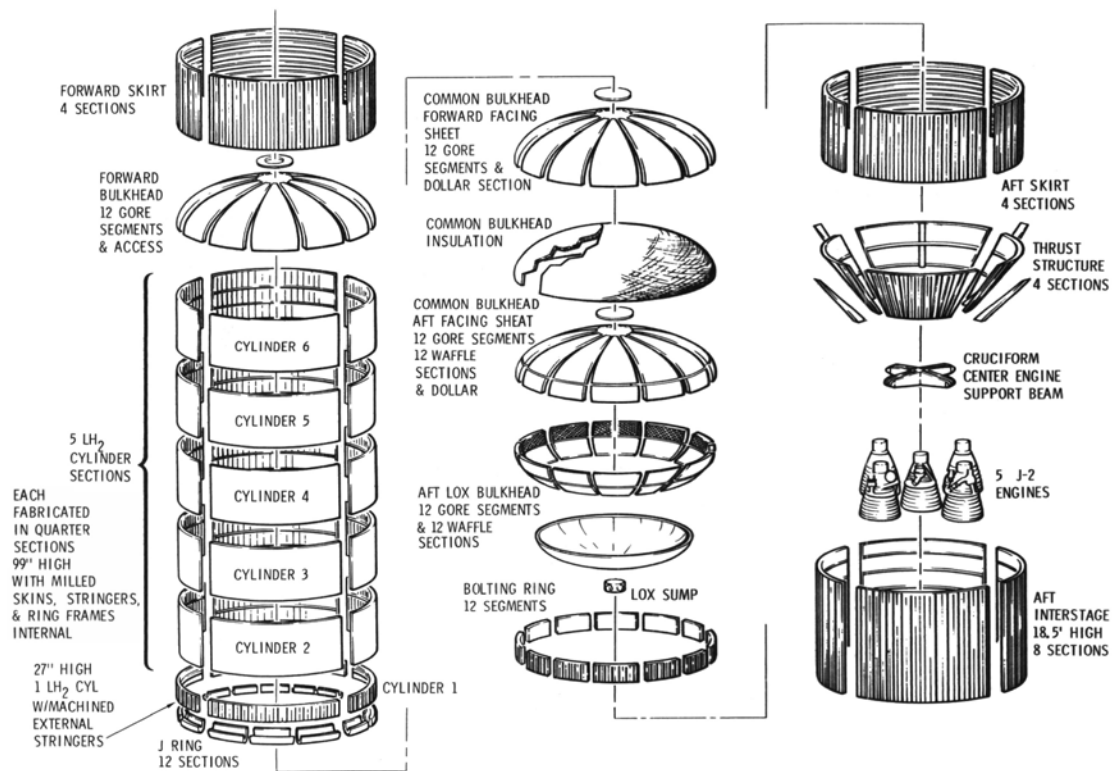
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## Saturn V Second Stage

Integral serial tanks, with common bulkhead



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S-II EXPLODED VIEW

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## Principles and Definitions for Product Assurance

- Quality
- Basis for quality assessment
- Proof of quality



# Objectives and Project Phases

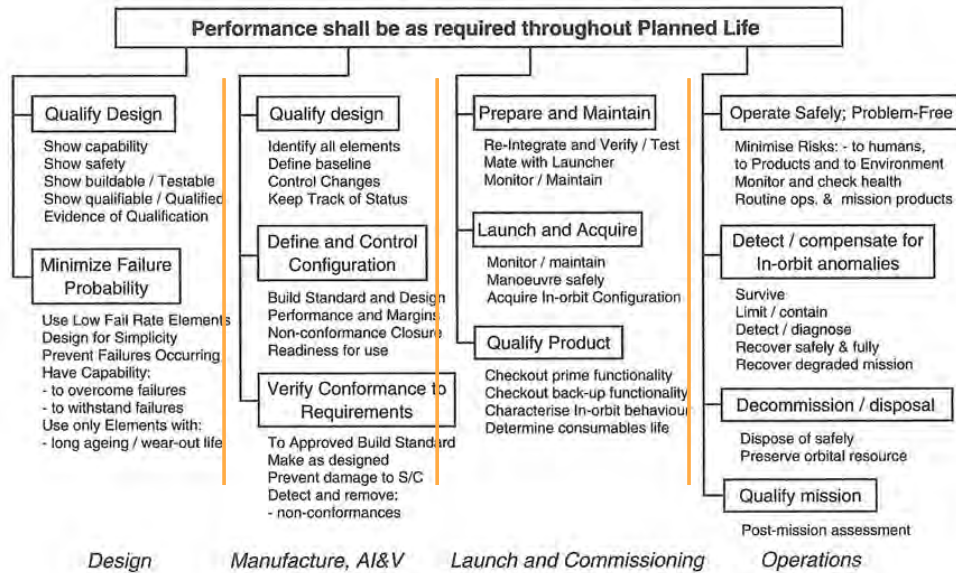


Figure 19.1 PA objectives vs. project phases

# Overlapping Issues

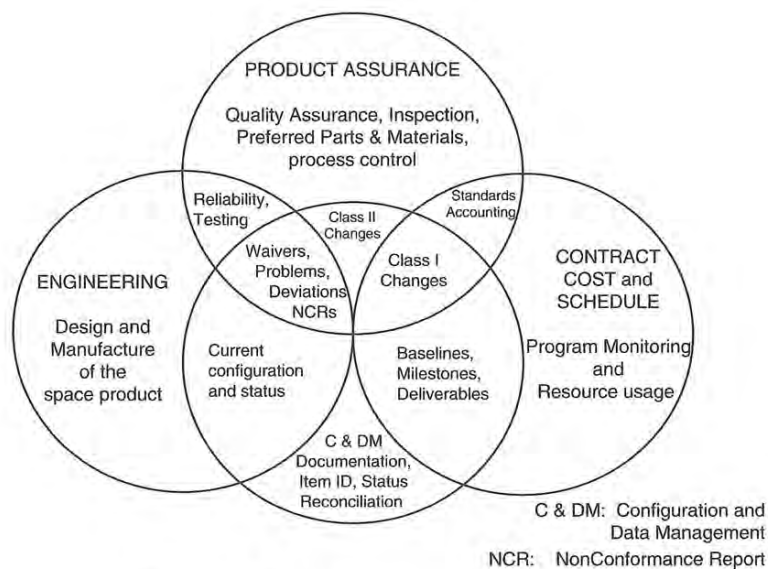
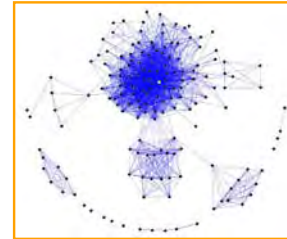


Figure 19.2 Product Assurance in a project context



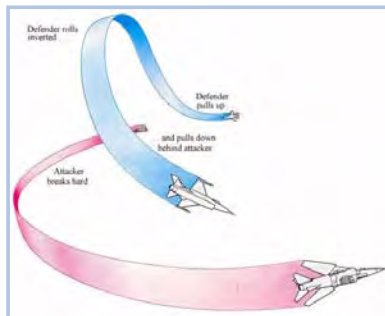
# Task Planning



Situation awareness  
 Decomposition and identification of communities  
 Development of strategy and tactics

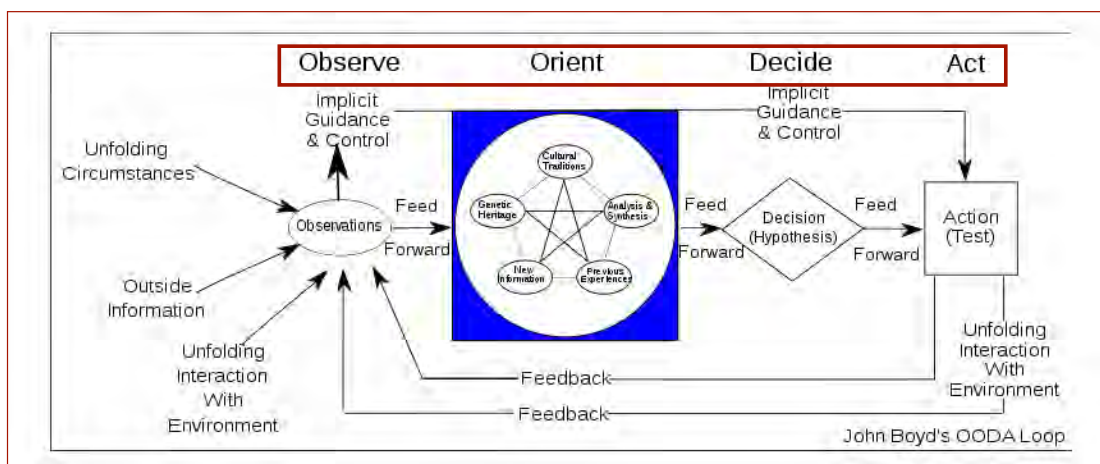
		Phase	
		Process	Outcome
Objective	Tactical (short-term)	Situation Assessment	Situation Awareness
	Strategic (long-term)	Comprehension	Understanding

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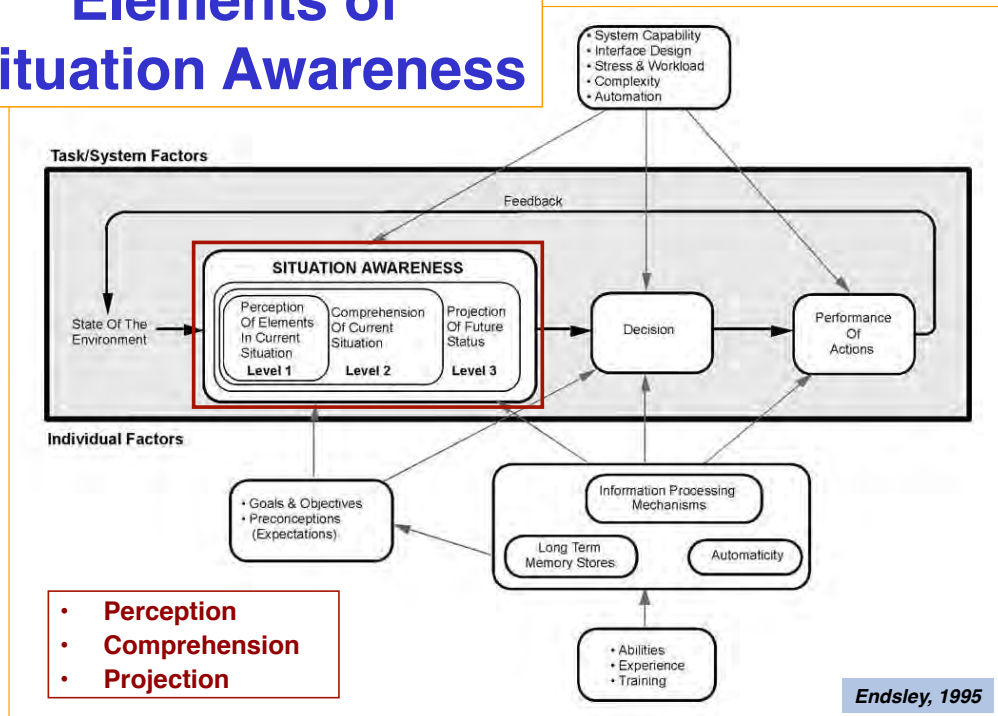
## Boyd's "OODA Loop" for Combat Operations

Derived from air-combat maneuvering strategy  
 General application to learning processes other than military



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# Elements of Situation Awareness



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## Important Dichotomies in Planning

### Strength, Weakness, Opportunity, and Threat (SWOT) Analysis



### “Knok-Knoks” and “Unk-Unks”

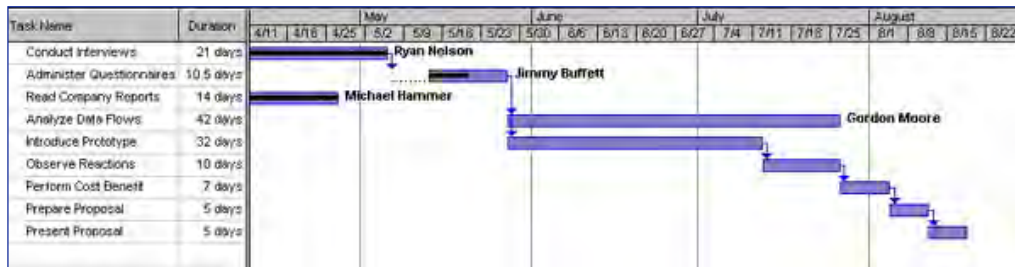


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# Program Management: Gantt Chart

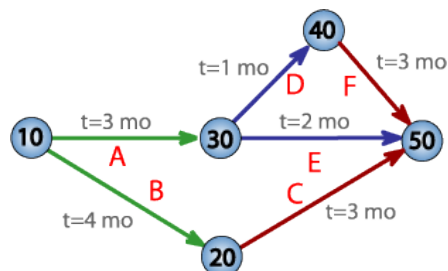
Project schedule  
Task breakdown and dependency  
Start, interim, and finish elements  
Time elapsed, time to go



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# Program Evaluation and Review Technique (PERT) Chart

Milestones  
Path descriptors  
Activities, precursors, and successors  
Timing and coordination  
Identification of **critical path**  
Optimization and constraint



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## **-ilities**

- **Dependability**
  - **Availability**
  - **Maintainability**
  - **Security**
- **Reliability**
  - **Qualitative**
  - **Quantitative**
  - **Design or predicted**
  - **Operational**

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## **Parts Procurement**

- **Vendors' track record**
- **Standardization**
- **Procurement systems**
  - **Organization**
  - **Documentation**
- **Substitution of less reliable equivalents**
- **Out-of-date/specification parts**

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# Materials and Processes

**Table 19.7** Material functional properties and constraints

Functional properties	Constraints
	Common constraints
Strength	Outgassing
Adhesion	Radiation resistance
Elastic moduli	Inertness
Thermal stability	Reproducibility
Ductile properties	Tolerance to processing
Malleability	Matching coefficients of expansion
Cuttable without shatter	Man-rated constraints
Reflectivity	Low toxicity
Absorptivity/transmittance	Low odour
Energy content:	Non-flammable
-propellant, electrolyte, springs	Low outgassing/offgassing

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## Materials to Avoid

**Table 19.8** Prohibited materials and materials to be avoided

Material	Type of problem
Flexible adhesives	Loses elasticity; fractures when solidified
Polyvinyl chloride backing tapes	Unstable properties
Cellulose, paper, fabrics, etc	Unstable properties
Varnishes and coatings which rely on solvent evaporation for hardening	Properties change in vacuum and with temperature cycling
Canada balsam; organic glasses in high precision equipment	Unstable
Most oils and greases	Material migration
Graphite	Abrasive in vacuum
Cadmium, zinc and tin	Dendrite growth; risk of shorting
Most paints	Outgassing unstable properties
Polyvinyl chloride, cellulose and acetates, Plastic film	Unstable properties
Potting	Fracture at temperature extremes
Polyester laminates	Unstable properties
Rubbers using poly-sulfides; plasticizers; chlorinated	Unstable properties
Polyvinyl chloride (PVC) thermoplastic; polyvinyl acetate; butyrate; several polyamides	Unstable properties

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# Material Problems in Orbit

**Table 19.9** Examples of material problems that occurred in orbit

Space Shuttle thermal tiles	The Space Shuttle has over 24 000 tiles, each with a different shape—an extreme configuration management problem. Early launches lost tiles during ascent and re-entry. An extra layer of bonding was required. This added to weight and thus reduced payload capability.
Atomic gas	Cleaning fluid can leave traces of contaminant on surfaces. The space environment encourages the release of atomic hydrogen, which disrupts the molecular lattice. The effect shows as embrittlement in metals. This can lead to fracture, and can result in a catastrophic failure in springs.
Growth of dendrites	Cadmium, zinc and tin have all exhibited dendrite (whisker) growth at corner sites when in the presence of an impurity and/or an electric field. This can lead to short circuits.
GOES lamps	Specialist lamps of an exact light frequency were used on a series of GOES satellites. The first lamps were successful. Later lamps failed in orbit before end of duty life. Part way through the production cycle, the lamp manufacturer had changed the supplier of the filament material for the lamps. The newly supplied raw material was of a different composition and its life characteristics had not been checked for application in the space environment.

# Materials Problems within Parts

**Table 19.10** Examples of materials problems within parts

Dendrite growth in digital integrated circuits	Temperature + electrical bias + moisture = dendrites. These needle-like growths (of molybdenum) occur at corner sites in metal/substrate interfaces. They can lead to cross-track shorts and capacity changes—important in some part types.
Galvanic corrosion	Dissimilar metals + moisture + warmth = voltage couple. The moisture passes through plating and forms an electrolyte. The sustained emf causes corrosion.
Stress corrosion	Mechanical stress opens tiny fissures in material. Fissures form sites where impurities can gather and leave material without its protective coating at these sites.
Constituents have unmatched coefficients of expansion	Large temperature excursions—in/out of eclipses—generate severe strains within the part that can lead either to fracture or unstable electrical behaviour.

# Product Assurance in Manufacturing

- Controls and Records
- Training and certification
- Traceability
- Measurement and calibration
- Non-conformance control
- Alerts, handling, ... margins
- Audits

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## Non-Conformance Control

Table 19.12 Non-conformance NCR processing scheme

Area	Classification (acronym)	Description	Re-test and check	Notify buyer	Buyer action needed
Severity	(NCR)	Non-conformance found and Report issued with unique ID.			
	(MRB)	Material Review Board convenes; assesses significance of NCR			Yes
	Minor	Disposition locally			
	Major	Serious. Involve customer at once		Yes	Yes
Concession	(RFW)	Request for Waiver of requirement that cannot be satisfied.		Yes	Yes
	(RFD)	Request for Deviation from specification of an in-work end-item		Yes	Yes
	None	Concession not needed			
Disposition	Use-as-is	Correction of no benefit and no value. No RFW and no RFD needed.			
	Rework <sup>(2)</sup>	Rework to full compliance with specification of the item.	Yes	Yes	
	Rework <sup>(3)</sup>	Rework beyond specification for use subject to approved deviation	Yes	Yes	Yes
	Scrap	Must not be used. Segregate and send to materials reclamation.	Yes	Yes	

<sup>(1)</sup>Only when 'major' classification is declared.

<sup>(2)</sup>Will meet specification after rework/retest/inspection.

<sup>(3)</sup>Will not meet specification after rework/retest/inspection.

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# Technology Readiness Levels

**Table 19.14** Technology readiness levels

Technology readiness level	Description
TRL 1	Basic principles observed and reported
TRL 2	Technology concept and/or application formulated
TRL 3	Analytical and experimental critical function and/or characteristic proof-of-concept
TRL 4	Component and/or breadboard validation in laboratory environment
TRL 5	Component and/or breadboard validation in relevant environment
TRL 6	System/subsystem model or prototype demonstration in a relevant environment (ground or space)
TRL 7	System prototype demonstration in a space environment
TRL 8	Actual system completed and 'flight qualified' through test and demonstration (ground or space)
TRL 9	Actual system 'flight proven' through successful mission operations

**Table 19.15** Technology development stages

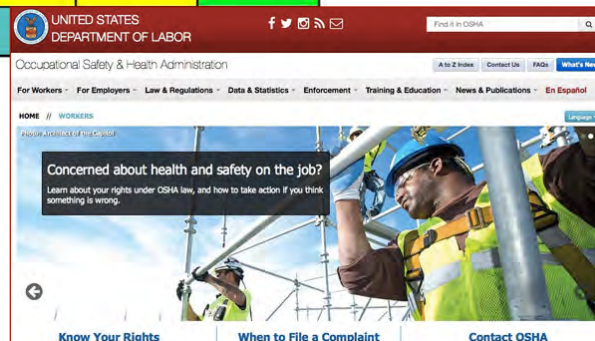
Development stage	TRL1	TRL2	TRL3	TRL4	TRL5	TRL6	TRL7	TRL8	TRL9
Basic technology research.	■	■							
Research to prove feasibility		■	■	■					
Technology development.			■	■	■				
Technology demonstration.					■	■	■		
System/subsystem dev't.							■	■	
System test, launch and ops.								■	■

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# Product Assurance and Safety in Operations

RISK ASSESSMENT MATRIX				
SEVERITY PROBABILITY	Catastrophic (1)	Critical (2)	Marginal (3)	Negligible (4)
Frequent (A)	High	High	Serious	Medium
Probable (B)	High	High	Serious	Medium
Occasional (C)	High	Serious	Medium	Low
Remote (D)	Serious	Medium	Medium	Low
Improbable (E)	Medium	Medium	Medium	Low
Eliminated (F)				



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# Reliability of a Component

If failure rate is constant,

$$R = e^{-\lambda t}$$

where failure rate is estimated as

$$\lambda = \begin{cases} 1/MTBF & \text{(repairable system)} \\ 1/MTTF & \text{(non-repairable system)} \end{cases}$$

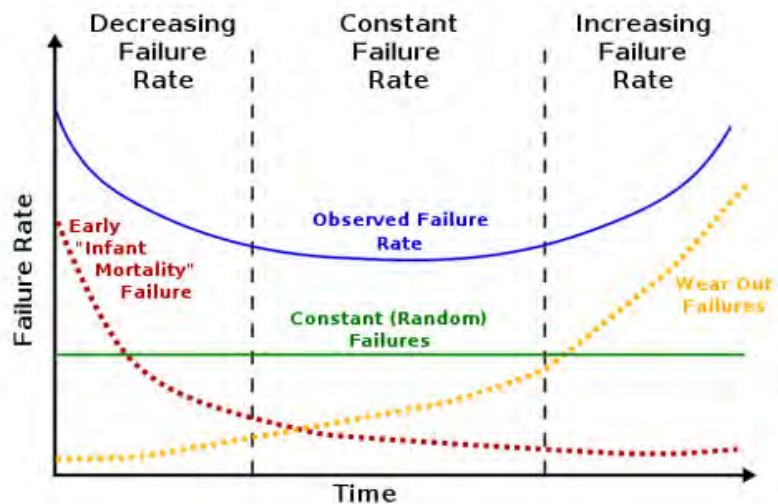
*MTBF* : Mean time between failures

*MTTF* : Mean time to failure

Also see Lecture 17 slides for reliability assessment

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## Failure Rate, $\lambda$



Expected number of failures per unit time

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# Reliability Enhancement

- Use of redundancy
- Design diversity
- Limitation of failure effects
- De-rating of parts
- Radiation screening
- Handling/assembly controls
- Inspection/testing

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## Reliability Analysis Techniques

- Failure state probabilities
- Worst-case analysis
  - [https://en.wikipedia.org/wiki/Worst-case\\_circuit\\_analysis](https://en.wikipedia.org/wiki/Worst-case_circuit_analysis)
- Failure modes and effects analysis
  - [https://en.wikipedia.org/wiki/Failure\\_mode\\_and\\_effects\\_analysis](https://en.wikipedia.org/wiki/Failure_mode_and_effects_analysis)
- Fault tree analysis
  - [https://en.wikipedia.org/wiki/Fault\\_tree\\_analysis](https://en.wikipedia.org/wiki/Fault_tree_analysis)
- Contingency analysis
  - What to do when failure occurs



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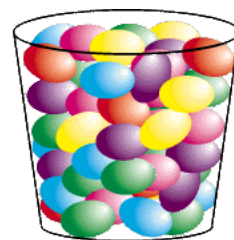
# Probability Distributions

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## Relative Frequency of Discrete, Mutually Exclusive Events

$$\Pr(x_i) = \frac{n_i}{N} \quad \text{in } [0,1]; \quad i = 1 \text{ to } I$$

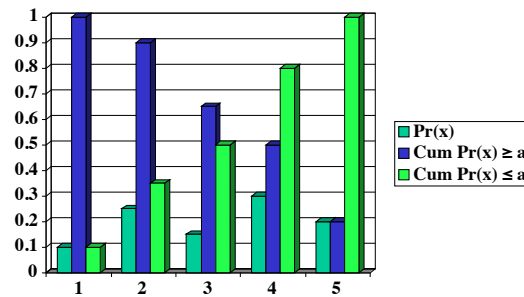
- **$N$**  = total number of events
- **$n_i$**  = number of events with value  **$x_i$**
- **$I$**  = number of different values
- **$x_i$**  = ordered set of hypotheses or values



$$\sum_{i=1}^I \Pr(x_i) = \frac{1}{N} \sum_{i=1}^I n_i = 1$$

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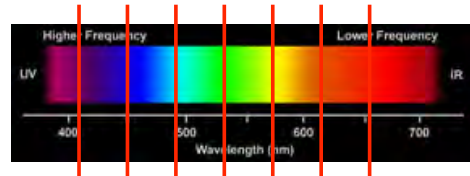
## Cumulative Probability, $\Pr(x \geq/\leq a)$ , and Discrete Measurements of a Continuous Variable



Suppose  $x$  represents a continuum of colors  
 $x_i$  is the center of a band in  $x$

$$\Pr(x_i \pm \Delta x / 2) = n_i / N$$

$$\sum_{i=1}^I \Pr(x_i \pm \Delta x / 2) = 1$$



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## Probability Density Function, $\text{pr}(x)$ Cumulative Distribution Function, $\Pr(x < X)$

Probability density function

$$\text{pr}(x_i) = \frac{\Pr(x_i \pm \Delta x / 2)}{\Delta x}$$

$$\sum_{i=1}^I \Pr(x_i \pm \Delta x / 2) = \sum_{i=1}^I \text{pr}(x_i) \Delta x \xrightarrow[\substack{\Delta x \rightarrow 0 \\ I \rightarrow \infty}]{\quad} \int_{-\infty}^{\infty} \text{pr}(x) dx = 1$$

Cumulative distribution function

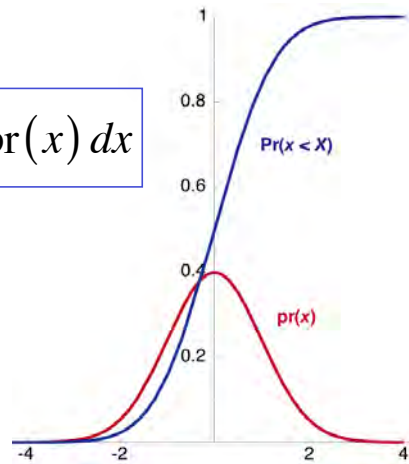
$$\Pr(x < X) = \int_{-\infty}^X \text{pr}(x) dx$$

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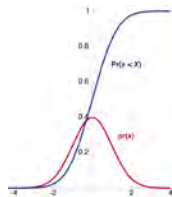


# Probability Density Function, $pr(x)$ Cumulative Distribution Function, $Pr(x < X)$

$$Pr(x < X) = \int_{-\infty}^X pr(x) dx$$



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## Properties of Random Variables

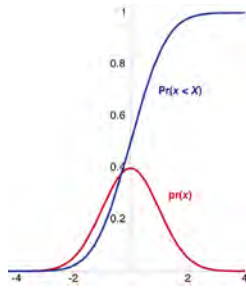
- **Mode**
  - Value of  $x$  for which  $pr(x)$  is maximum
- **Median**
  - Value of  $x$  corresponding to 50<sup>th</sup> percentile
  - $Pr(x < \text{median}) = Pr(x \geq \text{median}) = 0.5$
- **Mean**
  - Value of  $x$  corresponding to statistical average
- **First moment of  $x$  = Expected value of  $x$**

$$\bar{x} = E(x) = \int_{-\infty}^{\infty} x pr(x) dx$$

“Force”

“Moment arm”

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## Expected Values

- **Mean Value** is the first moment of  $x$

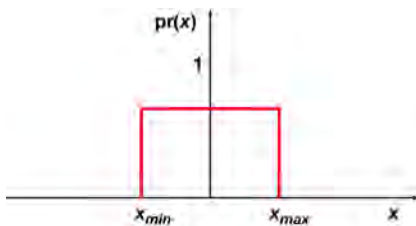
$$\bar{x} = E(x) = \int_{-\infty}^{\infty} x \text{pr}(x) dx$$

- **Second central moment of  $x$  = Variance**
  - Variance from the mean value rather than from zero
  - Smaller value indicates less uncertainty in the value of  $x$

$$\sigma_x^2 = E[(x - \bar{x})^2] = \int_{-\infty}^{\infty} (x - \bar{x})^2 \text{pr}(x) dx$$

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## Mean Value and Variance of a Uniform Distribution



$$\text{pr}(x) = \begin{cases} 0 & x < x_{\min} \\ \frac{1}{x_{\max} - x_{\min}} & x_{\min} < x < x_{\max} \\ 0 & x > x_{\max} \end{cases}$$

### Mean

$$\bar{x} = \int_{x_{\min}}^{x_{\max}} \frac{x}{(x_{\max} - x_{\min})} dx = \frac{1}{2}(x_{\max} + x_{\min})$$

### Variance

$$\text{If } x_{\min} = -x_{\max} \triangleq a$$

$$\sigma_x^2 = \frac{1}{2a} \int_{-a}^a x^2 dx = \frac{x^3}{6a} \Big|_{-a}^a = \frac{a^2}{3}$$

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# Gaussian (Normal) Random Distribution

Unbounded, symmetric distribution

Defined entirely by its mean and standard deviation

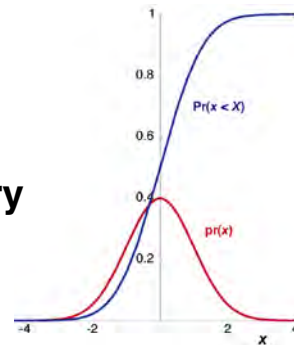
$$\text{pr}(x) = \frac{1}{\sqrt{2\pi} \sigma_x} e^{-\frac{(x-\bar{x})^2}{2\sigma_x^2}}$$

Mean value; from symmetry

$$E(x) = \int_{-\infty}^{\infty} x \text{pr}(x) dx = \bar{x}$$

Variance

$$E[(x - \bar{x})^2] = \int_{-\infty}^{\infty} (x - \bar{x})^2 \text{pr}(x) dx = \sigma_x^2$$



Units of  $x$  and  $\sigma_x$  are the same

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## Probability of Being Close to the Mean (Gaussian Distribution)

- Probability of being within  $\pm 1\sigma_x$

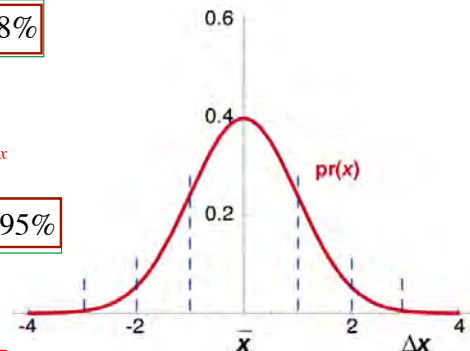
$$\Pr[x < (\bar{x} + \sigma_x)] - \Pr[x < (\bar{x} - \sigma_x)] \approx 68\%$$

- Probability of being within  $\pm 2\sigma_x$

$$\Pr[x < (\bar{x} + 2\sigma_x)] - \Pr[x < (\bar{x} - 2\sigma_x)] \approx 95\%$$

- Probability of being within  $\pm 3\sigma_x$

$$\Pr[x < (\bar{x} + 3\sigma_x)] - \Pr[x < (\bar{x} - 3\sigma_x)] \approx 99\%$$



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# Experimental Determination of Mean and Variance

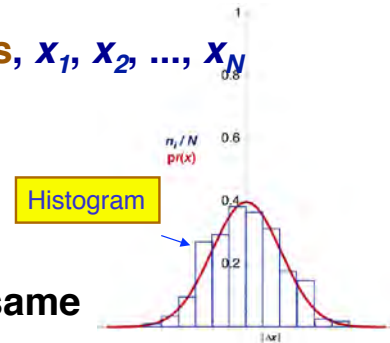
Sample mean for  $N$  data points,  $x_1, x_2, \dots, x_N$

$$\bar{x} = \frac{\sum_{i=1}^N x_i}{N}$$

Sample variance for same data set

$$\sigma_x^2 = \frac{\sum_{i=1}^N (x_i - \bar{x})^2}{(N-1)}$$

Divisor is  $(N-1)$  rather than  $N$  to produce an unbiased estimate



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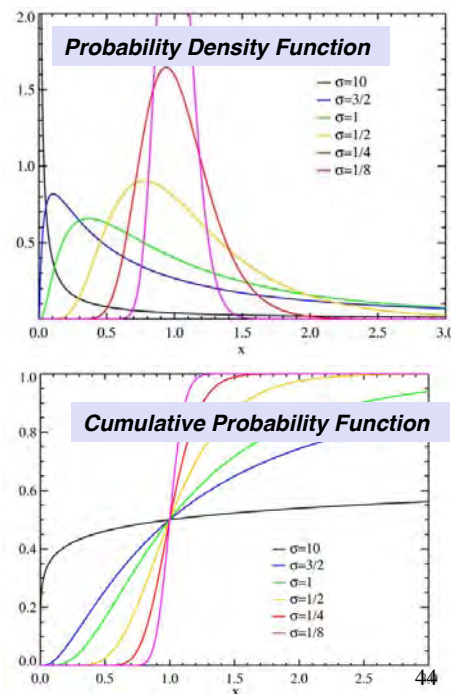
## Log-Normal Distribution

- Variation in large ensembles for which  $x > 0$
- The logarithm of  $x$  is Gaussian
- Replace  $x$  by  $x_l$  in previous equations

$$x_l \triangleq \log x$$

$$\text{pr}(x_l) = \frac{1}{\sigma_l \sqrt{2\pi}} e^{-\frac{(x_l - \bar{x}_l)^2}{2\sigma_l^2}}$$

$$\text{Pr}(x_l) = \frac{1}{2} \left( 1 + \text{erf} \frac{x_l - \bar{x}_l}{\sigma_l \sqrt{2}} \right)$$



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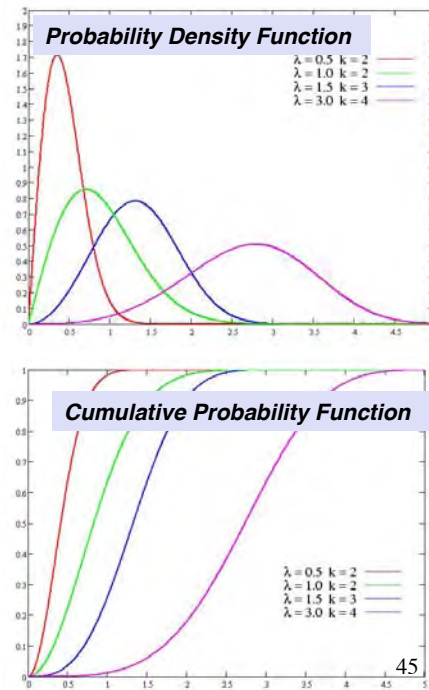
# Weibull Distribution

- Variation in life characteristics of parts or components
- Variation in large ensembles for which  $x > 0$

$$\text{pr}(x) = \left\{ \left[ \frac{b}{\theta - x_o} \right] \left[ \frac{x - x_o}{\theta - x_o} \right]^{b-1} \right\} e^{-\frac{(x-x_o)^b}{(\theta-x_o)^b}}$$

$$\text{Pr}(x) = 1 - e^{-\frac{(x-x_o)^b}{(\theta-x_o)^b}}$$

$x_o$  : expected minimum value  
 $b$  : shape or slope parameter ( $k$  in figure)  
 $\theta$  : characteristic life or scale parameter ( $\lambda$  in figure)



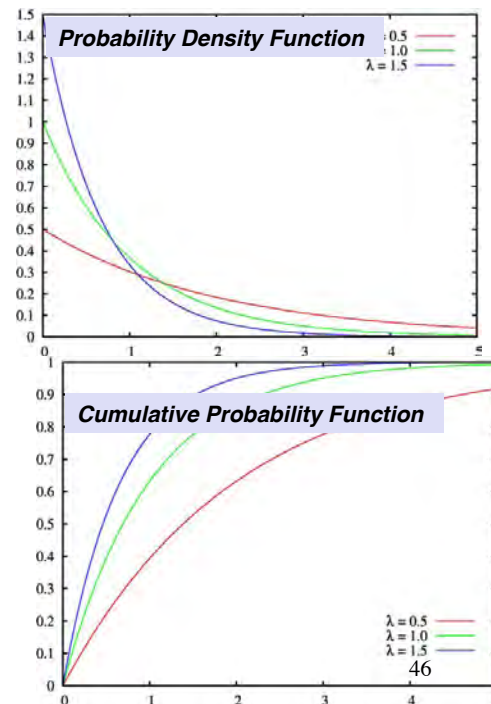
# Exponential Distribution

- Special case of Weibull distribution, with  $b = 1$ ,  $x_o = 0$ , and  $x = t$
- Time to failure of systems or parts
- Modeling of independent events that occur at a constant average rate

$$\text{pr}(t) = \frac{1}{\theta} e^{-t/\theta}$$

$$\text{Pr}(t) = 1 - e^{-t/\theta}$$

$$\lambda = 1/\theta : \text{failure rate}$$



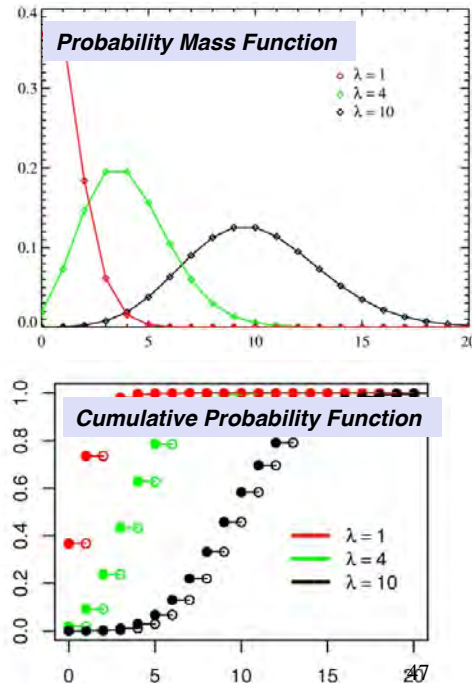


# Poisson Distribution

- Occurrence of isolated, independent events whose average rate is known
  - Number of events can be observed
  - Number of non-events cannot be observed
- Examples:
  - Number of machine breakdowns in a plant
  - Number of errors in a drawing

$$\text{pr}(r = r_i) = \frac{e^{-\lambda} \lambda^{r_i}}{r_i!}$$

$\lambda$  : average number of occurrences



# Binomial Distribution

- The probability of  $r$  successful outcomes in  $n$  trials
- Examples: inspection of parts, probability that a system will operate correctly

$$\text{pr}(r) = \binom{n}{r} p^r q^{n-r} = \binom{n}{r} p^r (1-p)^{n-r}$$

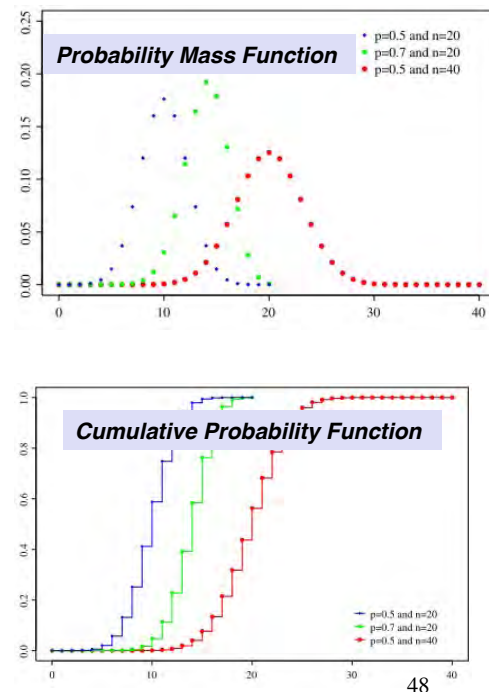
where

$$\binom{n}{r} = \frac{n!}{r!(n-r)!}$$

$n$  = number of trials

$p$  = probability of success

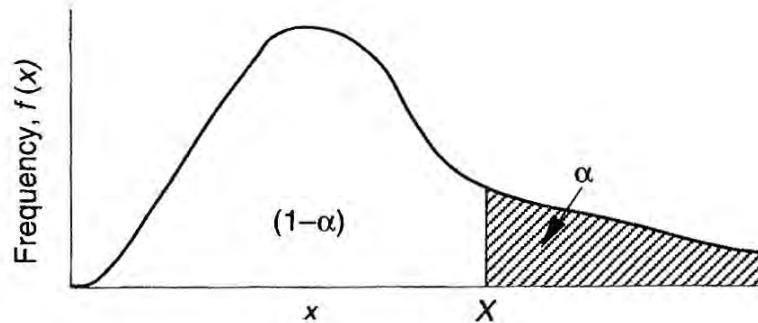
$q$  = probability of failure



# Confidence Level

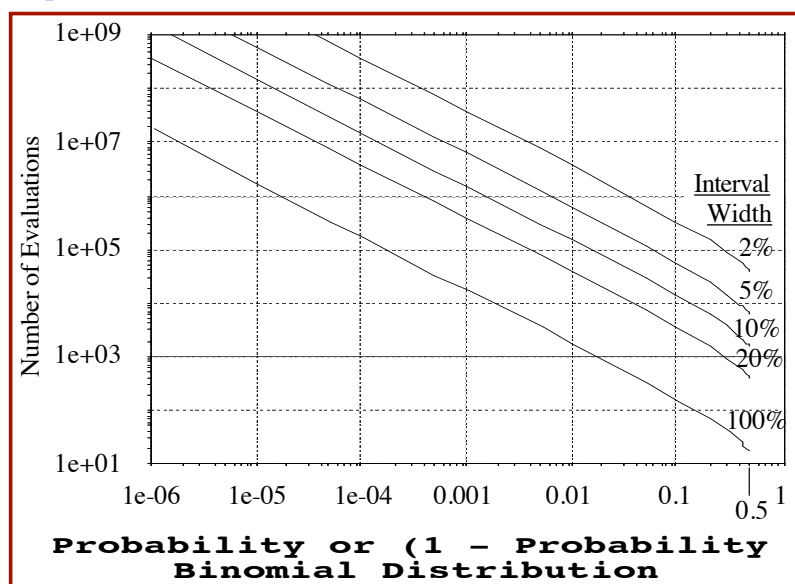
- The probability that a probability estimate is correct, e.g.,

“The likelihood of failure is 90%,  
with a confidence level of 95%”



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## Trials Required to Estimate Probability Depend on Confidence Interval



Required number of trials depends on outcome  
probability and desired confidence interval

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# MAE 342, Space System Design



