

1    **Interpreting UniFrac with Absolute Abundance: A Conceptual and Practical Guide**

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9     **Abstract**

10     $\beta$ -diversity is central to microbial ecology, yet commonly used metrics overlook changes in  
11    microbial load (or “absolute abundance”), limiting their ability to detect ecologically meaningful  
12    shifts. Popular for incorporating phylogenetic relationships, UniFrac distances currently default  
13    to relative abundance and therefore omit important variation in microbial abundances. As  
14    quantifying absolute abundance becomes more accessible, integrating this information into  $\beta$ -  
15    diversity analyses is essential. Here, we introduce *Absolute UniFrac* ( $U^A$ ), a variant of Weighted  
16    UniFrac that incorporates absolute abundances. Using simulations and a reanalysis of four 16S  
17    rRNA metabarcoding datasets (from a nuclear reactor cooling tank, the mouse gut, a freshwater  
18    lake, and the peanut rhizosphere), we demonstrate that Absolute UniFrac captures microbial load,  
19    composition, and phylogenetic relationships. While this can improve statistical power to detect  
20    ecological shifts, we also find Absolute UniFrac can be strongly correlated to differences in cell  
21    abundances alone. To balance these effects, we also incorporate absolute abundance into the  
22    generalized extension ( $GU^A$ ) that has a tunable, continuous ecological parameter ( $\alpha$ ) that  
23    modulates the relative contribution of rare versus abundant lineages to  $\beta$ -diversity calculations.  
24    Finally, we benchmark  $GU^A$  and show that although computationally slower than conventional  
25    alternatives,  $GU^A$  is comparably sensitive to noise in load estimates compared to conventional  
26    alternatives like Bray-Curtis dissimilarities, particularly at lower  $\alpha$ . By coupling phylogeny,  
27    composition, and microbial load, Absolute UniFrac integrates three dimensions of ecological  
28    change, better equipping microbial ecologists to quantitatively compare microbial communities.

29

30    **Keywords:** Microbial Ecology - Beta Diversity - Absolute Abundance - Bioinformatics -  
31    UniFrac

32     **Main Text**

33         Microbial ecologists routinely compare communities using  $\beta$ -diversity metrics derived  
34         from relative abundances. Yet this approach overlooks a critical ecological dimension: microbial  
35         load. High-throughput sequencing produces compositional data, in which each taxon's  
36         abundance is constrained by all others [1]. However, quantitative profiling studies show that cell  
37         abundance, not only composition, can drive major community differences [2]. In low-biomass  
38         samples, relying on relative abundance can allow contaminants to appear biologically  
39         meaningful despite absolute counts too low for concern [3].

40         Sequencing-based microbiome studies therefore rely on relative abundance even when  
41         the hypotheses of interest implicitly concern absolute changes in biomass. This creates a  
42         mismatch between ecological framing (growth, bloom magnitude, pathogen proliferation,  
43         disturbance recovery, or colonization pressure) and the information the  $\beta$ -diversity metric  
44         encodes [4]. As a result,  $\beta$ -diversity is often treated as if it includes biomass, even when absolute  
45         abundance is either not measured at all or is measured but excluded from the calculation (as in  
46         conventional UniFrac). Many studies therefore test biomass-linked hypotheses using a metric  
47         that normalizes biomass away [4]. A conceptual correction is needed in which  $\beta$ -diversity is  
48         understood as variation along three axes: composition, phylogeny and absolute abundance.

49         Absolute microbial load measurements are now increasingly obtainable through flow  
50         cytometry, qPCR, and genomic spike-ins, allowing biomass to be quantified alongside taxonomic  
51         composition [4, 5]. These approaches improve detection of functionally relevant taxa and  
52         mitigate the compositional constraints imposed by sequencing [1, 2]. Most studies that  
53         incorporate absolute abundance counts currently rely on Bray-Curtis dissimilarity, which can  
54         capture load but does not consider phylogenetic similarity [5–7]. Unifrac distances provide the  
55         opposite strength, incorporating phylogeny but discarding absolute abundance, as they are  
56         restricted to relative abundance data by construction [8]. This leaves no phylogenetically  
57         informed  $\beta$ -diversity metric that operates on absolute counts, despite the fact that biomass is  
58         central to many ecological hypotheses.

59         To evaluate the implications of incorporating absolute abundance into phylogenetic  $\beta$ -  
60         diversity, we use both simulations and reanalysis of four 16S rRNA amplicon datasets. The

61 simulations use a simple four-taxon community with controlled abundance shifts to directly  
62 compare both Bray-Curtis and UniFrac in their relative and absolute forms, illustrating how each  
63 metric responds when abundance, composition, or evolutionary relatedness differ. We then  
64 reanalyze four real-world datasets spanning nuclear reactor cooling water [5], the mouse gut [3],  
65 a freshwater lake [9], and rhizosphere soil [10]. These differ widely in richness, biomass range,  
66 and ecological context, allowing us to test when absolute abundance changes align with or  
67 diverge from phylogenetic turnover. Together, these simulations and reanalyses provide the  
68 empirical foundation for interpreting Absolute UniFrac relative to existing  $\beta$ -diversity measures  
69 across the three axes of ecological difference: abundance, composition, and phylogeny.

70 We also extend Absolute UniFrac as was proposed by [11] to Generalized Absolute  
71 UniFrac that incorporates a tunable ecological dimension,  $\alpha$ , and evaluate its impact across  
72 simulated and real-world datasets. As  $\alpha$  increases,  $\beta$ -diversity is increasingly correlated with  
73 differences in absolute abundance, allowing researchers to fine tune the relative weight their  
74 analyses place on microbial load versus composition.

## 75 Defining Absolute UniFrac

76 The UniFrac distance was first introduced by Lozupone & Knight (2005) and has since  
77 become enormously popular as a measure of  $\beta$ -diversity within the field of microbial ecology  
78 [12]. A benefit of the UniFrac distance is that it considers phylogenetic information when  
79 estimating the distance between two communities. After first generating a phylogenetic tree  
80 representing species (or amplicon sequence variants, “ASVs”) from all samples, the UniFrac  
81 distance computes the fraction of branch-lengths which is *shared* between communities, relative  
82 to the total branch length represented in the tree. UniFrac can be both unweighted, in which only  
83 the incidence of species is considered, or weighted, wherein a branch’s contribution is weighted  
84 by the proportional abundance of taxa on that branch [8]. The Weighted UniFrac is derived:

$$85 U^R = \frac{\sum_{i=1}^n b_i |p_i^a - p_i^b|}{\sum_{i=1}^n b_i (p_i^a + p_i^b)}$$

86 where the contribution of each branch length,  $b_i$ , is weighted by the difference in the relative  
87 abundance of all species ( $p_i$ ) descended from that branch in sample  $a$  or sample  $b$ . Here, we  
88 denote this distance as  $U^R$ , for “Relative UniFrac”. Popular packages which calculate weighted

89 UniFrac—including the diversity-lib QIIME plug-in and the R packages phyloseq and  
90 GUniFrac—run this normalization by default [11, 13, 14].

91 Importantly,  $U^R$  is most sensitive to changes in abundant lineages, which can sometimes  
92 obscure compositional differences driven by rare to moderately-abundant taxa [11]. To address  
93 this weakness, Chen et al. (2012) introduced the generalized UniFrac distance ( $GU^R$ ), in which  
94 the impact of abundant lineages can be mitigated by decreasing the parameter  $\alpha$ :

$$95 \quad GU^R = \frac{\sum_{i=1}^n b_i (p_i^a + p_i^b)^\alpha \left| \frac{p_i^a - p_i^b}{p_i^a + p_i^b} \right|}{\sum_{i=1}^n b_i (p_i^a + p_i^b)^\alpha}$$

96 where  $\alpha$  ranges from 0 (close to Unweighted UniFrac) up to 1 (identical to  $U^R$ , above). However,  
97 if one wishes to use absolute abundances, both  $U^R$  and  $GU^R$  can be derived without normalizing  
98 to proportions:

$$99 \quad U^A = \frac{\sum_{i=1}^n b_i |c_i^a - c_i^b|}{\sum_{i=1}^n b_i (c_i^a + c_i^b)} \quad GU^A = \frac{\sum_{i=1}^n b_i (c_i^a + c_i^b)^\alpha \left| \frac{c_i^a - c_i^b}{c_i^a + c_i^b} \right|}{\sum_{i=1}^n b_i (c_i^a + c_i^b)^\alpha}$$

100 Where  $c_i^a$  and  $c_i^b$  denote for the absolute counts of species descending from branch  $b_i$  in  
101 communities  $a$  and  $b$ , respectively. We refer to these distances as *Absolute UniFrac* ( $U^A$ ) and  
102 *Generalized Absolute UniFrac* ( $GU^A$ ). Although substituting absolute for relative abundances is  
103 mathematically straightforward, to our knowledge there is no prior work that examines UniFrac  
104 in the context of absolute abundance, either conceptually or in application. Incorporating  
105 absolute abundances introduces a third axis of ecological variation: beyond differences in  
106 composition and phylogenetic similarity,  $U^A$  also captures divergence in microbial load. This  
107 makes interpretation of  $U^A$  nontrivial, particularly in complex microbiomes.

## 108 **Demonstrating $\beta$ -diversity metrics' behavior with a simple simulation**

109 To clarify how  $U^A$  behaves relative to existing  $\beta$ -diversity metrics, we first constructed a  
110 simple four-taxon simulated community arranged in a small phylogeny (Fig. 1A). By varying the  
111 absolute abundance of each ASV (1, 10, or 100), we generated 81 samples and 3,240 pairwise  
112 comparisons. For each pair, we computed four dissimilarity metrics: Bray-Curtis with relative

113 abundance ( $BC^R$ ), Bray-Curtis with absolute abundance ( $BC^A$ ), Weighted UniFrac with relative  
114 abundance ( $U^R$ ), and Weighted UniFrac with absolute abundance ( $U^A$ ). These comparisons help  
115 to illustrate how each axis of ecological difference—abundance, composition, and phylogeny—is  
116 expressed by the different metrics.

117  $U^A$  does not consistently yield higher or lower distances compared to other metrics, but  
118 instead varies depending on how abundance and phylogeny intersect (Fig. 1B). In the improbable  
119 scenario that all branch lengths are equal,  $U^A$  is always less than or equal to  $BC^A$  (Fig. S1).  
120 These comparisons emphasize that once branch lengths differ, incorporating phylogeny and  
121 absolute abundance alters the structure of the distance space. The direction and magnitude of that  
122 change depend on which branches carry the abundance shifts.  $U^A$  is also usually smaller than  
123  $BC^A$  and is more strongly correlated with  $BC^A$  (Pearson's  $r = 0.82$ ,  $p < 0.0001$ ) than with  $BC^R$  ( $r$   
124 = 0.41) and  $U^R$  ( $r = 0.55$ ).

125 To better understand how these metrics diverge, we examined individual sample pairs  
126 (Fig. 1C). Scenario 1 (gold star) illustrates the classic advantage of UniFrac: ASV\_1 and ASV\_2  
127 are phylogenetically close, so  $U^R$  and  $U^A$  discern greater similarity between samples than  $BC^R$   
128 and  $BC^A$ , which ignore phylogenetic structure. Scenario 2 (red star) highlights a limitation of  
129 relative metrics: two samples with identical relative composition but a 100-fold difference in  
130 biomass appear identical to  $BC^R$  and  $U^R$ , but not to their absolute counterparts. In Scenario 3  
131 (green star), incorporating absolute abundance decreases dissimilarity.  $BC^A$  and  $U^A$  are lower  
132 than their relative counterparts because half the community is identical in absolute abundance,  
133 even though their proportions differ. In contrast, Scenario 4 (blue star) shows that  $U^A$  can exceed  
134  $BC^A$  when abundance differences occur on long branches, amplifying phylogenetic dissimilarity.

135 These scenarios demonstrate that  $U^A$  integrates variation along three ecologically  
136 relevant axes: composition, phylogenetic similarity, and microbial load, rather than isolating any  
137 single dimension. Because a given  $U^A$  value can reflect multiple drivers of community change,  
138 interpreting it requires downstream analyses to disentangle the relative contributions of these  
139 three axes. To evaluate how this plays out in real systems we next reanalyzed four previously  
140 published datasets spanning diverse microbial environments.

141

142 **Application of Absolute UniFrac to Four Real-World Microbiome Datasets**

143 To illustrate the sensitivity of  $U^A$  to both variation in composition and absolute  
144 abundance, we re-analyzed four previously published datasets from diverse microbial systems.  
145 These datasets included: (i) nuclear reactor cooling water sampled across three reactor cycle  
146 phases [5]; (ii) the mouse gut sampled across multiple regions and between two diets [3]; (iii)  
147 depth-stratified freshwater communities sampled across two months [9]; and (iv) peanut  
148 rhizospheres under two crop rotation schemes (conventional rotation (CR) vs. sod-based rotation  
149 (SBR)), plant maturities, and irrigation treatments [10]. Absolute abundance was quantified by  
150 flow cytometry for the cooling water and freshwater datasets, droplet digital PCR for the mouse  
151 gut, and by qPCR for the rhizosphere dataset. Together these span a wide range of richness—from  
152 215 ASVs in the cooling water to 24,000 ASVs in the soil—and microbial load—from as low as  
153  $4 \times 10^5$  cells/ml (cooling water) up to  $2 \times 10^{12}$  16S rRNA copies/gram (mouse gut). Additional  
154 details of the re-analysis workflow, including ASV generation and phylogenetic inference, are  
155 provided in the Supporting Methods.

156 We first calculated four  $\beta$ -diversity metrics for all sample pairs in each dataset and  
157 compared them to  $U^A$  (Fig. 2). The degree of concordance between  $U^A$  and other metrics was  
158 highly context dependent. In the cooling water dataset,  $U^A$  closely tracked all three alternatives,  
159 whereas in the remaining systems it diverged substantially.  $U^A$  also spanned a similar or wider  
160 range of distances than the other metrics. For example, in the soil dataset  $BC^R$  and  $U^R$  occupied a  
161 narrow range relative to the broad separation observed under  $U^A$ .

162  $U^A$  generally reported distances that were similar to or greater than  $U^R$ , consistent with  
163 the simulations shown in Fig. 1B. This reflects the ability of  $U^A$  to discern dissimilarity due to  
164 differences in microbial load, even when community composition is conserved. In contrast,  $U^A$   
165 yielded distances that were similar to or lower than  $BC^A$ , again matching the simulated behavior  
166 in Fig 1B. In these cases, phylogenetic proximity between abundant, closely-related ASVs leads  
167  $U^A$  to register greater similarity than  $BC^A$ .

168 Given these differences, we next quantified how well each metric discriminates among  
169 categorical sample groups. For each dataset, we ran PERMANOVAs to measure the proportion  
170 of variance ( $R^2$ ) and statistical power (*pseudo-F*, *p*-value) attributable to group structure, using  
171 groupings that were determined to be significant in the original publications. To evaluate how

172 strongly absolute abundance contributed to this discrimination, we also calculated  $GU^A$  across a  
173 range of  $\alpha$  values. The resulting  $R^2$  values are displayed in Fig. 3A, with the corresponding  
174 *pseudo-F* statistics and *p*-values provided in Fig. S2.

175 As in Fig. 2, the performance of each metric was strongly context dependent (Fig. 3A). In  
176 the mouse gut and freshwater datasets, absolute-abundance-aware metrics ( $BC^A$  and  $GU^A$ )  
177 explained the greatest proportion of variance ( $R^2$ ), and  $R^2$  generally increasing as  $\alpha$  increased. In  
178 contrast, relative metrics captured more variation in the cooling water dataset (again at higher  $\alpha$ ),  
179 and all metrics explained comparably little variance in the soil dataset. Taken at face value, these  
180 trends might suggest that higher  $\alpha$  values typically improve group differentiation.

181 However, this comes with a major caveat: at high  $\alpha$  values,  $GU^A$  becomes strongly  
182 correlated with total cell count alone (Fig. 3B). Mantel tests confirmed that absolute-abundance  
183 metrics are far more sensitive to differences in microbial load than their relative counterparts.  
184 This behavior is intuitive, and to some extent desirable, because these metrics are designed to  
185 detect changes in microbial load even when composition remains constant. Yet at  $\alpha = 1$ ,  $U^A$   
186 approaches a proxy for sample absolute abundance itself. In ordination space (Fig. S3), this can  
187 cause Axis 1 to correlate with absolute abundance and in some cases (freshwater and soil)  
188 produces strong horseshoe effects [15], potentially distorting ecological interpretation. Beyond  
189 tuning  $\alpha$  to modify the influence of abundances, approaches such as NMDS or partial ordination  
190 can also be used to modulate the sensitivity of ordinations to microbial load [16].

191 We recommend calibrating  $\alpha$  based on research goals, modulating this effect by using  
192  $GU^A$  across a range of  $\alpha$  rather than relying on  $U^A$  ( $\alpha = 1$ ). Researchers should consider how  
193 much emphasis they want their dissimilarity metric to place on microbial load (Box 1). When  
194 biomass differences are central to the hypothesis being tested (for example, detecting  
195 cyanobacterial blooms), high  $\alpha$  are recommended. In contrast, if microbial load is irrelevant or  
196 independent of the hypothesis in question, low  $\alpha$  (or  $U^R$ ) may be preferred; for example in the  
197 soil dataset, fine-scale differences in composition may be obscured by random variation in  
198 microbial load.

199 In many systems, microbial biomass is one piece of the story, likely correlated to other  
200 variables being tested. If the importance of microbial load in the system is unknown, one

201 potential approach is to calculate correlations as demonstrated in Fig. 3B and select an  $\alpha$  prior to  
202 any ordinations or statistical testing. Again, correlation to cell count is valuable and is intrinsic to  
203 absolute abundance-aware measures, especially when microbial load is relevant to the  
204 hypotheses being tested. Correlations to cell count in  $BC^A$ , an accepted approach in the literature,  
205 ranged from ~0.5 up to ~0.8. As a general recommendation from these analyses, we recommend  
206  $\alpha$  values in an intermediate range from 0.1 up to 0.6, wherein  $GU^A$  has similar correlation to cell  
207 count as  $BC^A$ .

208 **Computational and Methodological Considerations**

209 Applying  $GU^A$  in practice raises several considerations related to sequencing depth,  
210 richness, and computational cost. Both Bray-Curtis and UniFrac can be sensitive to sequencing  
211 depth because richness varies with read count [17–19]. To address this, we provide a workflow  
212 and accompanying code describing how we incorporated rarefaction into our own analyses (Box  
213 2; available code). This approach minimizes sequencing-depth biases while preserving  
214 abundance scaling for downstream  $\beta$ -diversity analysis.

215 To explore the sensitivity of  $GU^A$  to rarefaction, we calculated  $GU^A$  using rarefaction at  
216 multiple sequencing depths, and used Mantel tests to assess the correlation between the rarefied  
217  $GU^A$  distance matrices the non-rarefied control (Fig. S4; supplemental methods).  $GU^A$  was  
218 largely insensitive to rarefaction at high  $\alpha$  values, even at depths as low as 250 reads per sample,  
219 but sensitivity increased as  $\alpha$  decreased (Fig. S4). When rarefying to the minimum sequencing  
220 depth, as recommended, the effect of rarefaction was negligible (all Mantel's R > 0.95, Fig. S4).

221  $GU^A$  is slower to compute than both  $BC^A$  and  $U^R$  because it must traverse the phylogenetic  
222 tree to calculate branch lengths for each iteration. Computational time of  $GU^A$  increases  
223 quadratically with ASV number and is 10-20 times slower, though up to 50 times slower, than  
224  $BC^A$  (Fig. 4A-B). The number of samples or  $\alpha$  values, however, have relatively little effect on  
225 runtime (Fig. S5). For a dataset of 24,000 ASVs, computing on a single CPU is still reasonable  
226 (1.4 minutes), but repeated rarefaction increases runtime substantially because branch lengths are  
227 redundantly calculated with each iteration. Allowing branch-length objects to be cached or  
228 incorporated directly into the GUnifrac workflow would considerably improve computational  
229 efficiency.

We also evaluated the sensitivity of  $GU^A$  to measurement error in absolute abundance due to uncertainty arising from the quantification of cell number or 16S copy number. To assess the sensitivity of  $GU^A$  and  $BC^A$  to measurement error, we added random error to the 16S copy number measurements from the mouse gut dataset, limiting our analyses to the stool samples where copy numbers varied by an order of magnitude. Across 50 iterations, each copy number could randomly vary by a given percentage of error in either direction. We re-calculated  $\beta$ -diversity ( $BC^A$  and  $GU^A$  at  $\alpha = 1$  and  $\alpha = 0.2$ ) and compared these measurements to the original dataset.

Introducing random variation into measured 16S copy number altered  $GU^A$  values only modestly, and the effect was proportional to the magnitude of the added noise (Fig. 4C–D). At  $\alpha = 1$ , each 1% of quantification error introduced an average difference of 0.0022 in  $GU^A$ ; at  $\alpha = 0.2$ ,  $GU^A$  was even less sensitive. Thus, moderate  $\alpha$  values provide a balance between interpretability and robustness to noise in absolute quantification. The max deviation from true that added error could inflict on a given metric was also proportional (and always less) than the magnitude of the error itself (Fig. 4D). A more rigorous approach to assessing error propagation within these metrics, including mathematical proofs of the relationships estimated above, is outside the scope of this paper but would be helpful.

$GU^A$  was also insensitive to normalization approaches that adjust ASV abundances based on the predicted 16S rRNA gene copy number (Fig. S6; supplemental methods). We used PICRUSt2 (v2.6) to normalize ASV abundances within each dataset based on predicted 16S copy number per genome, and then applied our standard rarefaction pipeline prior to  $GU^A$  calculation (Box 2) [20, 21]. Correlations between  $GU^A$  distance matrices calculated from 16S copy number-normalized datasets and those from the original, non-normalized datasets were consistently near unity across all datasets (Mantel's R > 0.98, Fig. S6A), demonstrating that 16S copy number-normalization had a negligible effect on  $GU^A$ . Sensitivity of  $GU^A$  to 16S copy number-normalization generally decreased with increasing values of  $\alpha$  in the cooling reactor, freshwater, and soil datasets, but not in the mouse gut dataset (Fig. S6A). In the mouse gut dataset, several highly abundant ASVs belonging to the genus *Faecalibaculum* had predicted 16S copy numbers of seven copies per genome, explaining the relatively greater (though still weak) sensitivity of this dataset to copy number-normalization (Fig. S6B). Finally, we note that 16S copy number-

260 normalization does not account for variation in genome copies per cell (ploidy), which can vary  
261 across several orders of magnitude between species and growth phase [22–24].

## 262 Ecological Interpretation and conceptual significance

263        Absolute UniFrac reframes the interpretation of  $\beta$ -diversity by making biomass an explicit  
264 ecological axis rather than an unmeasured or normalized-away quantity. Conventional UniFrac  
265 captures composition and shared evolutionary history but implicitly invites interpretation as if it  
266 also encodes differences on microbial load. By incorporating absolute abundance directly,  
267 Absolute UniFrac helps to resolve this mismatch and restores alignment between ecological  
268 hypotheses and the quantities represented in the metric. In this view,  $\beta$ -diversity becomes a three-  
269 axis ecological measure, incorporating composition, phylogeny and biomass, rather than a two-  
270 axis approximation. That said, the additional dimension of microbial load also increases the  
271 complexity of applying and interpreting this metric.

272        There are many cases where the incorporation of absolute abundance allows microbial  
273 ecologists to assess more realistic, ecologically-relevant differences in microbial communities,  
274 especially when microbial load is mechanistically central. Outside of the datasets re-analyzed  
275 here [3, 5, 9, 10]; the temporal development of the infant gut microbiome involves both a rise in  
276 absolute abundance and compositional changes [6]; bacteriophage predation in wastewater  
277 bioreactors can be understood only when microbial load is considered [25]; and antibiotic-driven  
278 declines in specific swine gut taxa were missed using relative abundance approaches [26]. As  $\beta$ -  
279 diversity metrics (and UniFrac specifically) remain central to microbial ecology, these findings  
280 highlight how interpretation changes once biomass is incorporated. Broader adoption of absolute  
281 abundance profiling will also depend on data availability. Few studies currently make absolute  
282 quantification data publicly accessible, underscoring the need to deposit absolute measurements  
283 alongside sequencing reads for reproducibility, ideally as metadata within SRA submissions.

284        While demonstrated here with 16S rRNA data, the approach should extend to other marker  
285 genes or (meta)genomic features, provided absolute abundance estimates are available. In this  
286 sense,  $GU^A$  offers a more ecologically grounded view of lineage turnover by jointly reflecting  
287 variation in biomass and phylogenetic structure.

288 No single metric (or  $\alpha$  in  $GU^A$ ), however, is universally “best”. Each  $\beta$ -diversity metric  
289 emphasizes a different dimension of community change. Researchers should therefore select  
290 metrics based on the ecological quantity that is hypothesized to matter most (Box 1). Here, we  
291 demonstrate not that  $GU^A$  outperforms other measures, but that it faithfully incorporates the three  
292 axes of variation it was designed to incorporate: composition, phylogenetic similarity, and  
293 absolute abundance (Fig. 1 and Fig. 2). As with any  $\beta$ -diversity analysis, interpretation requires  
294 matching the metric to the ecological question at hand, and exploring sensitivity across different  
295 metrics where appropriate [27]. By providing demonstrations and code for the application and  
296 interpretation of  $U^A/GU^A$ , we hope to encourage the use of these metrics as a tool of microbial  
297 ecology.

298 **Conclusion**

299 By explicitly incorporating absolute abundance, Absolute UniFrac shifts phylogenetic  $\beta$ -  
300 diversity from a two-axis approximation to a three-axis ecological measure. This reframing  
301 connects the metric to the underlying biological questions that motivate many microbiome  
302 studies. As methods for quantifying microbial load continue to expand, the ability to interpret  $\beta$ -  
303 diversity in a biomass-aware framework will become increasingly important for distinguishing  
304 true ecological turnover from proportional change alone. In this way, Absolute UniFrac is not  
305 simply an alternative distance metric but a tool for aligning statistical representation with  
306 ecological mechanism.

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310 **Data Availability:** All data and code used to produce the manuscript are available at  
311 [https://github.com/MarschmiLab/Pendleton\\_2025\\_Absolute\\_Unifrac\\_Paper](https://github.com/MarschmiLab/Pendleton_2025_Absolute_Unifrac_Paper), in addition to a  
312 reproducible renv environment. All packages used for analysis are listed in Table S1.

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399 **Figure Legends**

400 **Figure 1. Simulated communities reveal how absolute abundance affects phylogenetic and**  
401 **non-phylogenetic  $\beta$ -diversity measures.** (A) We constructed a simple four-ASV community with  
402 a known phylogeny and generated all permutations of each ASV having an absolute abundance  
403 of 1, 10, or 100, resulting in 81 unique communities and 3,240 pairwise comparisons. (B)  
404 Distributions of pairwise differences between weighted UniFrac using absolute abundance ( $U^A$ )  
405 and three other metrics: Bray-Curtis using relative abundance ( $BC^R$ ), weighted UniFrac using  
406 relative abundance ( $U^R$ ), and Bray-Curtis using absolute abundance ( $BC^A$ ). (C) Illustrative  
407 sample pairs demonstrate how absolute abundances and phylogenetic structure interact to  
408 increase or decrease dissimilarity across metrics. Stars indicate where each scenario falls within  
409 the distributions shown in panel B. Actual values for each metric are displayed beneath each  
410 scenario.

411 **Figure 2. Absolute UniFrac ( $U^A$ ) compared with other  $\beta$ -diversity metrics across four real**  
412 **microbial datasets.** Each panel shows pairwise sample distances for  $U^A$  (x-axis) against another  
413 metric (y-axis): Bray-Curtis using relative abundance ( $BC^R$ , first column), weighted UniFrac  
414 using relative abundances ( $U^R$ , second column), and Bray-Curtis using absolute abundances  
415 ( $BC^A$ , third column). Contours indicate the relative density of pairwise comparisons (n shown for  
416 each dataset, left), with darker shading corresponding to more observations. The dashed line  
417 marks the 1:1 relationship. Points above the line indicate cases where  $U^A$  is smaller than the  
418 comparator metric, while points below the line indicate cases where  $U^A$  is larger.

419 **Figure 3. Discriminatory performance of  $U^A$  and related metrics across four microbial**  
420 **systems.** (A) PERMANOVAs were used to quantify the percent variance ( $R^2$ ) explained by  
421 predefined categorical groups (shown in italics beneath each dataset name), with 1,000  
422 permutations. PERMANOVA results were evaluated across five metrics and, where applicable,  
423 across eleven  $\alpha$  values (0-1 in 0.1 increments). For consistency with the original studies, only  
424 samples from Reactor cycle 1 were used for the cooling-water dataset, only stool samples for the  
425 mouse gut dataset, and only mature rhizosphere samples for the soil dataset (no samples were  
426 excluded from the freshwater dataset). (B) Mantel correlation (R) between each distance metric  
427 and the pairwise differences in absolute abundance (cell counts or 16S copy number), illustrating  
428 the degree to which each metric is driven by biomass differences.

429 **Figure 4.  $GU^A$  requires more computational time but remains resilient to quantification error.**  
430 (A) Runtime for  $GU^A$  (GUniFrac package),  $U^R$  (FastUniFrac in the phyloseq package) and  $BC^A$   
431 (vegan package) was benchmarked across 50 iterations on a sub-sampled soil dataset (mature  
432 samples only) [10], using increasing ASV richness (50, 100, 200, 500, 1,000, 2,000, 5,000,  
433 10,000), with 10 samples and one  $\alpha$  value per run (unweighted UniFrac is also calculated by  
434 default). Error bars represent standard deviation. (B) Quadratic relationship between  $GU^A$   
435 computation time and ASV richness. Large center point represents median across 50 iterations,  
436 error bars (standard deviation) are too small to be seen. All benchmarks were run on an AMD  
437 EPYC 64-core processor with 1014 GB system memory (R v4.3.3, vegan v2.7-1, phyloseq  
438 v1.52.0, GUnifrac v1.8.1). (C-D) Sensitivity of  $GU^A$  ( $\alpha = 1$  and 0.2) and  $BC^A$  to measurement  
439 error was evaluated by adding random variation ( $\pm 1\%$  to  $\pm 50\%$ ; Supporting Methods) to 16S  
440 copy number estimates in stool samples from the mouse gut dataset [3]. For each error level, 50  
441 replicate matrices were generated and compared to the original values. Panels reflect the (C)  
442 mean difference and (D) max difference between the error-added metrics compared to the  
443 originals. Error bars represent the standard deviation of the average mean and max difference  
444 across 50 iterations.

445

446 **Box 1:  $\beta$ -diversity metrics should reflect the hypothesis being tested**

447 Absolute UniFrac is most informative when variation in microbial load is expected to carry  
448 ecological meaning rather than being a nuisance variable. The choice of  $\alpha$  determines how  
449 strongly abundance differences influence the metric, and should therefore be selected based on  
450 the hypothesis, not by convention. In settings where biomass is central to the mechanism under  
451 study, higher  $\alpha$  values appropriately foreground that signal, whereas in cases where load  
452 variation is incidental or confounding, lower  $\alpha$  values maintain interpretability. Framing  $\alpha$  as a  
453 hypothesis-driven choice repositions  $\beta$ -diversity from a default normalization step to an explicit  
454 ecological decision.

455

456 **Box 1 continued:**

			Metric to Use		Hypothetical Example	
Treat closely related lineages as similar?	Yes	How relevant is microbial load?	Central to hypothesis		$GU^A, \alpha > 0.5$	Cyanobacterial bloom, pathogen proliferation
			Relevant, but associated with other variables of interest		$GU^A, 0.1 < \alpha < 0.5$	Compositional shifts in response to nutrient addition
			Irrelevant	Emphasize rare or dominant taxa?	$GU^R, \alpha > 0.5$	Diet-associated microbiome shifts across hosts
				Rare	$GU^R, \alpha < 0.5$	Tributary inputs of rare taxa
	No	Microbial load relevant?	Unknown		$GU^A$ at multiple $\alpha$	Random variation in microbial load obscured compositional shifts in soil communities
	Yes		$BC^A$	Strain turnover and proliferation in the infant gut		
	No		$BC^R$	Temporal succession in chemostat		

458 **Box 2: Rarefaction workflow for incorporating absolute abundance**

459 While we refrain from an in-depth analysis of rarefaction approaches, here we present our  
 460 workflow for incorporating  
 461 rarefaction alongside absolute  
 462 abundance. First, samples were  
 463 assessed for anomalously low read  
 464 counts and discarded (sequencing  
 465 blanks and controls were also  
 466 removed). For rarefaction, each  
 467 sample in the ASV table was  
 468 subsampled to equal *sequencing*  
 469 depth (# of reads) across 100  
 470 iterations, creating 100 rarefied ASV  
 471 tables. These tables were then  
 472 converted to relative abundance by  
 473 dividing each ASV's count by the  
 474 equal sequencing depth (rounding  
 475 was not performed). Then, each  
 476 ASV's absolute abundance within a  
 477 given sample was calculated by  
 478 multiplying its relative abundance  
 479 by that sample's total cell count or  
 480 16S copy number. Methods to predict genomic 16S copy number for a given ASV were not used  
 481 unless explicitly stated (Fig. S6) [20, 21]. Each distance/dissimilarity metric was then calculated  
 482 across all 100 absolute-normalized ASV tables. The final distance/dissimilarity matrix was  
 483 calculated by averaging all 100 iterations of each distance/dissimilarity calculation. Of note for  
 484 future users: pruning the phylogenetic tree after rarefaction for each iteration is not necessary –  
 485 ASVs removed from the dataset do not contribute nor change the calculated UniFrac  
 486 distances.

